



STATE OF CALIFORNIA, COUNTY OF SIERRA
BOARD OF SUPERVISORS
AGENDA
REGULAR TELECONFERENCE MEETING

Lee Adams, Vice-Chair, District 1

P.O. Box 1 - Downieville, CA 95936 - 530-289-3506 - supervisor1@sierracounty.ca.gov

Peter W. Huebner, District 2

P.O. Box 349 - Sierra City, CA 96125 - 530-565-6055 - phuebner@sierracounty.ca.gov

Paul Roen, District 3

P.O. Box 43 - Calpine, CA 96124 - 209-479-2770 - supervisor3@sierracounty.ca.gov

Jim Beard, Chair, District 4

P.O. Box 1140 - Loyalton, CA 96118 - 530-565-6092 - jbeard@sierracounty.ca.gov

Sharon Dryden, District 5

P.O. Box 246 - Loyalton, CA 96118 - 530-913-9218 - sdryden@sierracounty.ca.gov

The Sierra County Board of Supervisors will meet in regular session commencing at 9:00 a.m. on April 7, 2020 via teleconference. This meeting will be recorded for posting on the Board of Supervisors' website at www.sierracounty.ca.gov.

In accordance with the Governor's Executive Order pertaining to the convening of public meetings in response to the COVID-19 pandemic, the Sierra County Board of Supervisors will hold meetings via teleconference. The Board of Supervisors' Chambers will remain closed until further notice.

The public may observe and provide public comments by using the Webex options below:

By Phone: 1-408-418-9388

Access Code: 961 733 185

By PC: <https://tinyurl.com/scbos04072020>

Access Code: 961 733 185

NOTICE

Accommodations for individuals with disabilities, as required by Section 202 of the Americans with Disabilities Act of 1990 and the Federal Rules and Regulations adopted in implementation thereof, can be made with the Clerk of the Board and CA Relay Services 711 prior to the meeting. The Clerk of the Board may be reached at 530-289-3295 or at the following address:

Heather Foster
Clerk of the Board of Supervisors
P.O. Drawer D
Downieville, CA 95936

All items posted on the agenda, including under correspondence, may be acted upon by the Board of Supervisors. However, matters under committee reports and department manager's reports may be briefly addressed by the Board or Staff but no action or discussion shall be undertaken on any item not appearing on the posted agenda. (GC 54954.2)

The Board of Supervisors may hold a Closed Session as the agenda schedule permits.

REGULAR AGENDA

1. 9:00 A.M. STANDING ORDERS

- Call to Order
- Pledge of Allegiance
- Roll Call
- Approval of Consent Agenda, Regular Agenda and Correspondence to be addressed by the Board

2. PUBLIC COMMENT OPPORTUNITY

Matters under the jurisdiction of the Board not on this posted agenda may be addressed by the general public during the Public Comment Opportunity time. No action may be taken or substantive discussion pursued on matters not on the posted agenda. Public comment is regulated by the Sierra County Board of Supervisors' Rules and Procedures. You may obtain a copy of the Public Comment rules from the Clerk. The Board limits public comment to three minutes per person and not more than three individuals addressing the same subject.

3. COMMITTEE REPORTS & ANNOUNCEMENTS

Board members will report on committee meetings and/or activities. Board members or members of the public may ask questions for clarification but no action will be taken.

Documents:

[BoardMeeting_Highlights_March_11_2020_FINAL.pdf](#)

4. DEPARTMENT MANAGERS' REPORTS & ANNOUNCEMENTS

Department Managers may provide brief reports on activities within their departments. Board members or members of the public may ask questions for clarification but no action will be taken.

5. FOREST SERVICE UPDATE

Update by District Ranger on items that may affect the County of Sierra.

6. HEALTH & SOCIAL SERVICES - VICKIE CLARK

6.A. Resolution authorizing the acceptance of COVID-19 Crisis Response Funding.

Documents:

[COVID-19 Board.pdf](#)

6.B. Second reading and adoption of proposed ordinance adding Subsection C to Section

36.05.050 and amending Section 36.05.060, Subsection B and Section 36.05.070 of the Sierra County Code Pertaining to Storage of Hazardous Substances In Underground Tanks.

Documents:

[2nd and Adopt changed Ordinance.pdf](#)

7. BEHAVIORAL HEALTH - LEA SALAS

- 7.A. Discussion/direction regarding the approved 60% FTE Behavioral Health Associate position.

Documents:

[BHA Board.pdf](#)

8. PERSONNEL DIRECTOR - MARGARET LONG

- 8.A. Discussion/adoption of revised resolution establishing interim personnel policies.

Documents:

[Interim Personnel Policies.pdf](#)

9. COUNTY COUNSEL - DAVID PRENTICE

- 9.A. Resolution appointing a member of the Board of Supervisors as a liaison to the Human Resources Department.

Documents:

[BOS liaison appointment.pdf](#)

10. PUBLIC WORKS/TRANSPORTATION - TIM BEALS

- 10.A. Presentation of bids and adoption of resolution awarding Public Works Contract for the Sierra County Wellness Center Foundation Construction.

Documents:

[Foundation.Item.pdf](#)

- 10.B. Approval of Lease Agreement with Alliance for Workforce Development for the modular office at the Sierraville School Complex.

Documents:

[AFWD Lease.Item.pdf](#)

- 10.C. Approval of letter in support of Senate Bill 1191, related to organic waste management.

Documents:

[SB1191 Dahle.Item.pdf](#)

- 10.D. Resolution adopting a list of road maintenance projects to be funded by SB 1 funds for Fiscal Year 2020-2021.

Documents:

[SB1.Item.pdf](#)

11. **BOARD OF SUPERVISORS**

- 11.A. Discussion/action with respect to a waiver of permit fees for the Pliocene Ridge Community Services District with respect to a USDA funded improvement project at the Alleghany fire station. (Supervisor Adams)

Documents:

[PRCSD Permit Fee Waiver.pdf](#)

- 11.B. CONTINUED COVID-19 PUBLIC HEALTH EMERGENCY UPDATE: Report from Sierra County Public Health, County Office of Emergency Services, County Department Managers, Forest Service Representatives, and other local agencies on recent developments relating to the COVID-19 Public Health Emergency and possible direction to staff. (CLERK OF THE BOARD)

Documents:

[COVID-19 Item.pdf](#)

12. **COUNTY SERVICE AREA BOARD OF DIRECTORS**

Meeting of the County Service Area (CSA) Board of Directors.

- 12.A. Minutes from the County Service Area Board of Directors meeting held on September 3, 2019. (CLERK OF THE BOARD)

Documents:

[09032019csa minutes.pdf](#)

- 12.B. Minutes from the County Service Area Board of Directors meeting held on October 1, 2019. (CLERK OF THE BOARD)

Documents:

[10012019csa minutes.pdf](#)

- 12.C. Approval of Contract Change Order #2 for the Sierra Brooks Water System Project making contract deletions and additions to conform to approved project budget.

Documents:

13. CLOSED SESSION

- 13.A. Closed session pursuant to Government Code Section 54956.9(a) - conference with legal counsel regarding the following litigation: ARP - Loyaltan Cogen LLC Chapter 11 Bankruptcy - United States Bankruptcy Court Central District Case No. 8:20-bk-10535-ES.

Documents:

[Closed Session ARP BK.pdf](#)

14. TIMED ITEMS

- 14.A. 10:00 AM TRANSIENT OCCUPANCY TAX MEASURE

Continued discussion/direction to staff regarding special election for countywide increase in the transient occupancy tax (TOT) to support fire/rescue/EMS services within local fire districts.

Documents:

[TOT measure.pdf](#)

15. CONSENT AGENDA

Items placed on the Consent Agenda are of a routine and non-controversial nature and are approved by a blanket roll call vote. At the time the Consent Agenda is considered, items may be deleted from the Consent Agenda by any Board member or Department Manager and added to the Regular Agenda directed by the Chairman.

- 15.A. Amendment to Agreement 2019-057 and amendments 2019-089 and 2019-133 between Clean & Sober Recovery Services, Inc. and the County of Sierra to increase contract amount. (BEHAVIORAL HEALTH)

Documents:

[Clean and Sober Recovery Amend 3 Board.pdf](#)

- 15.B. Memorandum of Understanding and Data Sharing Agreement between Plumas County and Sierra County regarding the Housing Tools Contract and the No Place Like Home (NPLH) Technical Assistance (TA) Grant. (BEHAVIORAL HEALTH)

Documents:

[Plumas Co MOU Board.pdf](#)

- 15.C. Resolution authorizing the Sierra County Auditor to make certain changes to the 2019-2020 Final Budget - Coronavirus Preparedness and Response Supplemental Appropriations Act funding. (PUBLIC HEALTH)

Documents:

[COVID-19 Trfers Board.pdf](#)

- 15.D. Resolution approving the Memorandum of Understanding between the Sacramento County Department of Human Assistance and the Sierra County Department of Human Services in regards to the Cash Assistance Program for Immigrants (CAPI) and authorizing the Director of Social Services to sign the Memorandum of Understanding. (SOCIAL SERVICES)

Documents:

[CAPI Board.pdf](#)

- 15.E. Agreement between the California Department of Social Services and the County of Sierra to provide services or activities related to the Resource Family Approval (RFA) program. (SOCIAL SERVICES)

Documents:

[CDSS RFA Board.pdf](#)

- 15.F. Approval of Interim Amendment to the Social Services General Relief Plan. (SOCIAL SERVICES)

Documents:

[General Relief Plan Interim Amendment Board.pdf](#)

- 15.G. Resolution approving Tolling Agreement with AT&T which allows for an extension of processing time for a Conditional Use Permit Application, Sierra County Planning Department File No. 1669. (PLANNING)

Documents:

[Tolling Agmt.Item.pdf](#)

- 15.H. Resolution approving Lease Option Renewal, Fifth Amendment with Pacific Bell for use of property at Sierra City Community Park. (PUBLIC WORKS)

Documents:

[ATT Lease Modification.pdf](#)

- 15.I. Cash Audit report for the quarter ending June 20, 2019. (AUDITOR)

Documents:

[Auditors cash report.pdf](#)

- 15.J. Treasurer's investment report and statement of liquidity for the period April 2019 through June 2019. (TREASURER)

Documents:

[Treasurers Report.pdf](#)

- 15.K. Resolution adopting the Sierra County Lactation Accommodation Policy.
(PERSONNEL)

Documents:

[Lacatation Accomodation for 4-7-2020.pdf](#)

- 15.L. Resolution establishing policy for the award of county property to retiring employees.
(CLERK OF THE BOARD)

Documents:

[Award of Service Weapon Policy.pdf](#)

- 15.M. Certified statement of the results of the canvass for the March 3, 2020 Presidential
Primary Election. (ELECTIONS)

Documents:

[Certified Statement of Results.pdf](#)

- 15.N. Resolution approving appointments in lieu of election to the Sierra County Democratic
Central Committee. (ELECTIONS)

Documents:

[Democratic Central Committee Appointments In Lieu.pdf](#)

- 15.O. Minutes from the regular meeting held on February 18, 2020. (CLERK-RECORDER)

Documents:

[02182020 minutes.pdf](#)

- 15.P. Minutes from the regular meeting held on March 17, 2020. (CLERK-RECORDER)

Documents:

[03172020 minutes.pdf](#)

- 15.Q. Governing Body Resolution naming authorizing agents for the designation of applicants
agents for the purpose of obtaining Federal Financial Assistance due to the COVID-19
Emergency. (PUBLIC WORKS)

Documents:

16. **CORRESPONDENCE LOG**

- 16.A. Letter from Mr. Gerald R. Bushore inquiring about purchasing Sierra County owned vacant land in the town of Downieville, APN 003-031-007.

Documents:

[Bushore Letter.pdf](#)

- 16.B. Notice of Intent to Harvest Timber submitted by Sierra Pacific Industries and located in Sierra County in portions of Sections 7, 9, and 31, T19N, R10E, MDBM.

Documents:

[Notice of Intent to Harvest Timber.pdf](#)

ADJOURN



To: RCRC Board of Directors
From: Paul A. Smith, Senior Vice President Governmental Affairs
Date: March 13, 2020
Re: RCRC Board Meeting Highlights (March 11, 2020)

The March RCRC Board of Directors meeting took place at the RCRC Board Room in Sacramento on Wednesday, March 11, 2020. The RCRC Board of Directors reviewed and discussed many issues, and following are highlights:

President's Report

Greg Norton, President and CEO, introduced Ms. Dorothy Poole, External Affairs Coordinator, to the RCRC Board of Directors. Ms. Poole will be responsible for planning RCRC events, including the RCRC Annual Installation of Officers and Rural Leadership Awards Receptions, the County of the Chair meetings, and the RCRC Annual Meetings.

Administrative Matters

RCRC Revised Pay Rate Schedule – APPROVED

Mr. Norton presented the RCRC revised pay rate schedule. The most recent pay rate schedule was approved by the RCRC Executive Committee and the RCRC Board of Directors in conjunction with the approval of the 2020 annual budget. The RCRC Board of Directors adopted the RCRC revised pay rate schedule.

The memo can be accessed [here](#). The RCRC revised pay rate schedule can be accessed [here](#).

April 2020 Board of Directors Meeting Update

RCRC Chair Daron McDaniel (Merced) and Paul A. Smith, Senior Vice President Governmental Affairs, provided an update on the April 2020 RCRC Board of Directors meeting. As is tradition, the RCRC Board of Directors holds one Board Meeting each year in the county of the RCRC Chair. This year, Merced County will serve as host. RCRC will host a dinner on Tuesday night, April 21st, for Board Members that will be arriving early. On Wednesday, April 22nd, the group will partake in several economic development-focused tours. The RCRC Board of Directors meeting will be held on Thursday morning, April 23rd.

The registration form can be accessed [here](#). Completed registration forms can be sent to Ms. Poole at dpoole@rcrcnet.org.

Guest Speaker

Tyson Eckerle, Deputy Director, GO-Biz

Mr. Eckerle provided an overview of the Governor's Office of Business and Economic Development's electric vehicle charging station permit streamlining efforts. Mr. Eckerle's presentation can be accessed [here](#).

Governmental Affairs

Consideration of two 2020 RCRC Sponsored Legislation – APPROVED

Paul A. Smith and John Kennedy, Legislative Advocate, provided the RCRC Board of Directors with details on 2020 Legislative Session proposals for potential RCRC sponsorship/co-sponsorship. The RCRC Board of Directors approved the sponsorship/co-sponsorship of two 2020 Legislative Session proposals pertaining to local flexibility for organic waste management and mitigating PSPS impacts on elections.

The memo can be accessed [here](#). The sample template letter for AB 2612 can be accessed [here](#).

Proposals Impacting the Homeowners Insurance Market - Assembly Bill 2167 (Daly) and Assembly Bill 2367 (Gonzalez) – APPROVED

Staci Heaton, Senior Regulatory Affairs Advocate, provided an overview of Assembly Bill 2167 (Daly) and Assembly Bill 2367 (Gonzalez). RCRC staff recommended the RCRC Board of Directors have the Homeowner's Insurance Ad Hoc Committee consider and advise RCRC regarding a position on AB 2167 and AB 2367. The RCRC Board of Directors approved RCRC staff recommendation.

The memo regarding AB 2167 can be accessed [here](#). A copy of AB 2167 can be accessed [here](#).

The memo regarding AB 2367 can be accessed [here](#). A copy of AB 2367 can be accessed [here](#).

Forest Management and Wildfire Update

Ms. Heaton provided an update on current efforts in the Legislature and various state and federal agencies to address California's persistent catastrophic wildfire events. Topics addressed included homeowners insurance availability and affordability, the Governor's Forest Management Task Force, and USDA Forest Service's 10-Year Trail Shared Stewardship Challenge.

The memo can be accessed [here](#).

Governor's Homelessness Budget Proposal Update

Tracy Rhine, Legislative Advocate, provided an overview of recent actions of the Governor and the Legislature to address the homelessness crisis, including the creation of a new funding program outlined in the Governor's 2020-21 proposed Budget as well as RCRC's proposal for rural access to housing and services.

The memo can be accessed [here](#). RCRC's proposal can be accessed [here](#).

NACo Legislative Conference and Federal Advocacy Update

RCRC Chair Daron McDaniel (Merced), RCRC First Vice Chair Stacy Corless (Mono), and RCRC Immediate Past Chair Matt Kingsley (Inyo) joined RCRC Board Members and Western Interstate Region Delegates Kevin Cann (Mariposa) and Lee Adams (Sierra) and RCRC governmental affairs staff for the National Association of Counties' (NACo) 2020 Legislative Conference in Washington, D.C. RCRC's senior leadership heard from a number of political analysts on the upcoming election contests that are occurring throughout the nation. The RCRC delegation also held meetings with key personnel and staff, including U.S. Forest Service Chief Vicki Christiansen.

The memo can be accessed [here](#).

Water and Natural Resources Committee

Water Issues Update

Mary-Ann Warmerdam, Senior Legislative Advocate, provided an update on a number of issues involving California water policy. At the state level, Ms. Warmerdam provided an update on the Water Resiliency Initiative Portfolio, the Voluntary Agreements, the General Obligation Bonds to Fund Resource Programs, and the Safe and Affordable Drinking Water Fund. At the federal level, the Drought Resiliency and Water Supply Infrastructure Act, and the SAVE Water Resources Act.

The memo can be accessed [here](#).

Sustainable Groundwater Management Act Update

Ms. Warmerdam provided an update on the Sustainable Groundwater Management Act and its implementation in various regions throughout the state.

The memo can be accessed [here](#).

Regulatory Committee

California Public Utilities Commission Update

Ms. Heaton, Mr. Kennedy, and Leigh Kammerich, Regulatory Affairs Coordinator, provided a summary of the progress of several California Public Utilities Commission proceedings impacting how utilities undertake wildfire hazard mitigation and de-energization of power lines to prevent high-severity wildfires, as well the Self-Generation Incentive Program proceeding, Emergency Disaster Relief Program, and the Microgrids and Resiliency Proceeding.

The memo can be accessed [here](#).

Fair Allocation Rulemaking – Counties Encouraged to Contact CDFA

Ms. Warmerdam and Ms. Kammerich provided an overview of the California Department of Food and Agriculture's (CDFA) rulemaking that would distribute a share of the state's portion of sales and use tax revenues from transactions made at fairgrounds to financially assist with the operations and maintenance of fairgrounds.

The memo can be accessed [here](#). RCRC's letter to CDFA can be accessed [here](#). The sample template letter that counties can send which urges CDFA to disburse monies can be accessed [here](#).

Environmental Services Joint Powers Authority Update

Ms. Heaton provided a summary of the Rural Counties' Environmental Services Joint Powers Authority's recent activities, including updates on various legislative and regulatory activities impacting RCRC member county solid waste departments.

The memo can be accessed [here](#).

Federal National Environmental Policy Act Rulemaking

Ms. Heaton provided an overview of the Council on Environmental Quality's (Council) process of updating the regulations implementing the procedural provisions of the National Environmental Policy Act as well as RCRC's involvement and advocacy efforts.

The memo can be accessed [here](#). RCRC's letter to the Council can be accessed [here](#).

Legislative Committee

State Legislation

RCRC staff provided a brief overview on the following legislation: Assembly Bill 2323 (Friedman), Assembly Bill 2832 (C. Garcia), Senate Bill 917 (Wiener), and Senate Bill 1363 (Allen).

Please refer to the Board Packet and Supplemental Packet for further details related to the items above, as well as all items covered during the March 2020 RCRC Board of Directors meeting. The March 2020 Board Packet can be accessed [here](#).

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: April 7, 2020	TYPE OF AGENDA ITEM: <input checked="" type="checkbox"/> Regular <input type="checkbox"/> Timed <input type="checkbox"/> Consent
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DEPARTMENT: Public Health
APPROVING PARTY: Vickie Clark, Director
PHONE NUMBER: (530) 993-6700

AGENDA ITEM: Resolution of the Board of Supervisors of the County of Sierra Authorizing the Acceptance of COVID-19 Crisis Response Funding

SUPPORTIVE DOCUMENTS ATTACHED: Memo Resolution Agreement Other

BACKGROUND INFORMATION: Please see attached memo

FUNDING SOURCE: 0515610

GENERAL FUND IMPACT: No General Fund Impact

OTHER FUND: COVID

AMOUNT: \$ 152,181.00 N/A

ARE ADDITIONAL PERSONNEL REQUIRED?

 Yes, -- --
 No

IS THIS ITEM ALLOCATED IN THE BUDGET? Yes No

IS A BUDGET TRANSFER REQUIRED? Yes No

SPACE BELOW FOR CLERK'S USE

<p>BOARD ACTION:</p> <input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken	<input type="checkbox"/> Set public hearing For: _____ <input type="checkbox"/> Direction to: _____ <input type="checkbox"/> Referred to: _____ <input type="checkbox"/> Continued to: _____ <input type="checkbox"/> Authorization given to: _____	Resolution 2020- _____ Agreement 2020- _____ Ordinance _____ Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus
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COMMENTS:

CLERK TO THE BOARD

DATE

Memorandum

To: Sierra County Board of Supervisors

From: Vickie Clark, Director

Reference: Agenda Item

Date of memo: March 30, 2020

Date of Board Meeting: April 7, 2020

Requested Action: Resolution of the Board of Supervisors of the County of Sierra Authorizing the Acceptance of COVID-19 Crisis Response Funding

Mandated by: Section 311(c)(1) of the Public Health Services Act (42 USC 243 (c)(1), Coronavirus Preparedness and Response Supplemental Appropriations Act, 2020 (P.L. 116-123)

Funding

Budgeted? YesX No

Revenue	\$152,181.00	
Expenses	\$152,181.00	
Difference	0	

Background Information: On March 6, 2020, the President signed into law the Coronavirus Preparedness and Response Supplemental Appropriations Act, 2020 (P.L. 116-123)(Coronavirus Supplemental). This act provides funding to prevent, prepare for, and respond to Corona Virus Disease 2019 (COVID-19). Sierra County was allocated \$152,181.00 for the period of March 5, 2020 through March 15, 2021. The funding is intended to carry out surveillance, epidemiology, laboratory capacity, infection control, mitigation, communications, and other preparedness and response activities.

Potential Issues to consider: None

Alternatives or Impacts of disapproval:

BOARD OF SUPERVISORS, COUNTY OF SIERRA, STATE OF CALIFORNIA

RESOLUTION NO. _____

**RESOLUTION OF THE BOARD OF SUPERVISORS OF THE COUNTY OF SIERRA
AUTHORIZING THE ACCEPTANCE OF THE COVID-19 CRISIS RESPONSE
FUNDING**

WHEREAS, on March 6, 2020, the President signed into law the Coronavirus Preparedness and Response Supplemental Appropriations Act, 2020 (P.L. 116-123)(Coronavirus Supplemental). This act provides funding to prevent, prepare for, and respond to Corona Virus Disease 2019 (COVID-19).

WHEREAS, to support governmental public health emergency response to COVID-19, the Centers for Disease Control and Prevention (CDC) is awarding funding to provide resources to prevent, prepare for, and respond to COVID-19.

WHEREAS, The Emergency Preparedness Office (EPO) is allocating \$152,181.00 to the County of Sierra.

NOW THEREFORE BE IT RESOLVED, the Sierra County Board of Supervisors authorizes the acceptance of the COVID-19 Crisis Response funding.

ADOPTED by the Board of Supervisors of the County of Sierra, State of California on the 7th day of April, 2020, by the following vote:

**AYES:
NOES:
ABSTAIN:
ABSENT:**

JIM BEARD
Chairman, Board of Supervisors

Date

ATTEST:

HEATHER FOSTER
Clerk of the Board

APPROVED AS TO FORM:

DAVID PRENTICE
County Counsel



SONIA Y. ANGELL, MD, MPH
State Public Health Officer & Director

State of California—Health and Human Services Agency
California Department of Public Health



GAVIN NEWSOM
Governor

March 20, 2020

Dr. Celia Sutton-Pado
Health Officer
County of Sierra
202 Front Street
Loyalton, CA 96118

Authority:

*Section 311(c)(1) of the Public Health
Service Act
(42 USC 243(c)(1))*

*Coronavirus Preparedness and
Response Supplemental Appropriations
Act, 2020 (P.L. 116-123)*

Dear Dr. Celia Sutton-Pado:

**COVID-19 Crisis Response Funding
Award Number: COVID-19-46 for County of Sierra**

This letter covers COVID-19 Crisis Response reimbursement information for the period of March 5, 2020 through March 15, 2021. The Emergency Preparedness Office (EPO) is allocating **\$152,181** to **County of Sierra** in order to support your greatest response needs to prevent, prepare for, and respond to COVID-19.

Your Agency may use discretion to allocate this funding to your highest priority response needs in the following categories (Attachment 1 – Allowable Activities):

- Incident Management for Early Crisis Response;
- Jurisdictional Recovery;
- Information Management;
- Countermeasures and Mitigation;
- Surge Management; and
- Biosurveillance

The following costs are unallowable:

- Research;
- Clinical care except as provided above in connection with countermeasures and mitigation; and
- Publicity and propaganda (lobbying):
 - Other than for normal and recognized executive-legislative relationships, no funds may be used for:
 - publicity or propaganda purposes, for the preparation, distribution, or use of any material designated to support or defeat the enactment of legislation before any legislative body; and



- the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before any legislative body.

EPO will reimburse your Agency within three business days of invoice receipt. In order to receive your allocation, please complete and submit your invoice (Attachment 2 – Invoice) as soon as possible to: LHBTProg@cdph.ca.gov.

Please Submit the following to EPO:

1. Invoice requesting reimbursement at your Agency's full allocation. Use the attached COVID-19 Invoice. Submit your invoice to: LHBTProg@cdph.ca.gov.
2. By April 9, 2020, submit a spend plan (Attachment 3 – Spend Plan) to: LHBTProg@cdph.ca.gov.
 - Personnel supported with this funding should not duplicate efforts across other federal grants; exceed 1.0 FTE across all funding sources; and salary is kept below \$189k as required by the funder.
 - Please maintain any supporting documentation for expenditures against this funding.
3. By April 23, 2020, submit a work plan (Attachment 4 – Work Plan) to: LHBTProg@cdph.ca.gov.
4. On a quarterly basis, beginning in June 2020, submit an expenditure report (Attachment 3) and work plan progress report (Attachment 4).

Thank you for the time your Agency has and will continue to invest in this response. I am hopeful that with additional funding your Agency will have the adequate resources for an appropriate response. If you have any questions or need further clarification, please contact your assigned EPO Contract Manager directly.

Sincerely,



Tricia Blocher, Deputy Director
Emergency Preparedness Office
California Department of Public Health

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: April 7, 2020	TYPE OF AGENDA ITEM: <input checked="" type="checkbox"/> Regular <input type="checkbox"/> Timed <input type="checkbox"/> Consent
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DEPARTMENT: Public Health
APPROVING PARTY: Vickie Clark, Director
PHONE NUMBER: (530) 993-6700

AGENDA ITEM: Second reading and adoption of proposed ordinance adding Subsection C to Section 36.05.050 and Amending Section 36.05.060, Subsection B and Section 36.05.070 of the Sierra County Code Pertaining to Storage of Hazardous Substances In Underground Tanks

SUPPORTIVE DOCUMENTS ATTACHED: Memo Resolution Agreement Other Ordinance

BACKGROUND INFORMATION: Please see attached memo

FUNDING SOURCE:
GENERAL FUND IMPACT: No General Fund Impact
OTHER FUND:
AMOUNT: \$ N/A

ARE ADDITIONAL PERSONNEL REQUIRED?

 Yes, -- --
 No

IS THIS ITEM ALLOCATED IN THE BUDGET? Yes No

IS A BUDGET TRANSFER REQUIRED? Yes No

SPACE BELOW FOR CLERK'S USE

<p>BOARD ACTION:</p> <input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken	<input type="checkbox"/> Set public hearing For: _____ <input type="checkbox"/> Direction to: _____ <input type="checkbox"/> Referred to: _____ <input type="checkbox"/> Continued to: _____ <input type="checkbox"/> Authorization given to: _____	Resolution 2020- _____ Agreement 2020- _____ Ordinance _____ Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus
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COMMENTS:

CLERK TO THE BOARD

DATE

Memorandum

To: Sierra County Board of Supervisors

From: Vickie Clark, Director of Public Health and Social Services

Reference: Agenda Item

Date of memo: March 26, 2020

Date of Board Meeting: April 7, 2020

Requested Action: Second reading and adoption of proposed ordinance adding Subsection C to Section 36.05.050 and Amending Section 36.05.060, Subsection B and Section 36.05.070 of the Sierra County Code Pertaining to Storage of Hazardous Substances In Underground Tanks

Mandated by:

Funding

Budgeted? Yes No

Revenue		
Expenses		
Difference	0	

Background Information: The code changes are updates that were requested from the 2019 CUPA audit findings. They have been approved by the state and our county counsel to meet those requirements.

Potential Issues to consider: NA

Alternatives or Impacts of disapproval: NA

**BOARD OF SUPERVISORS
COUNTY OF SIERRA
STATE OF CALIFORNIA**

ORDINANCE NO. _____

**Adding Subsection C to Section 36.05.050
and Amending Section 36.05.060, Subsection B and Section 36.05.070
of the Sierra County Code Pertaining to
Storage of Hazardous Substances In Underground Tanks**

THE BOARD OF SUPERVISORS OF THE COUNTY OF SIERRA ORDAINS as follows:

Ordinance Section One:

Section 36.050.050, Subsection C is hereby added to read as follows:

- C. Permits are not-transferable.

Ordinance Section Two:

Section 36.050.060, Subsection B is hereby amended to read:

- B. All permits shall be valid for a period ending August 31st of each year.

Ordinance Section Three:

Section 36.050.070 is hereby amended to read:

A. Fee Schedule. The fee schedule for permits issued pursuant to this title, exclusive of surcharges adopted by the Legislature of the state of California, pursuant to Cal. Health & Safety Code § 25287(b) and amendments, shall be established and set forth by resolution of the Board of Supervisors. Permit to operate fees are collected annually.

B. State Surcharge. Sierra County shall collect the state surcharge for each underground storage tank in an amount which is imposed and determined by the state of California. The state surcharge fees are billed together with permit fees, and remitted to the state quarterly. This fee shall be in addition to any other fees collected.

Ordinance Section Three:

This ordinance shall take effect thirty (30) days after its passage. Before the expiration of fifteen (15) days after passage of this ordinance, it shall be published once with the names of the members of the Board of Supervisors, voting for and against the ordinance in the Mountain Messenger, a newspaper of general circulation published in the County of Sierra, State of California.

Introduced at a regular meeting of the Board of Supervisors held on the 17th day of March, 2020, and passed and adopted by the Board of Supervisors of the County of Sierra, State of California, on the 7th day of April, 2020, by the following roll call vote, to-wit:

AYES: Supervisor
NOES: Supervisor
ABSTAIN:
ABSENT:

COUNTY OF SIERRA

JIM BEARD
CHAIRMAN, BOARD OF SUPERVISORS

ATTEST:

APPROVED AS TO FORM:

HEATHER FOSTER
CLERK OF THE BOARD

DAVID PRENTICE
COUNTY COUNSEL

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: April 7, 2020	TYPE OF AGENDA ITEM: <input checked="" type="checkbox"/> Regular <input type="checkbox"/> Timed <input type="checkbox"/> Consent
---------------------------------------	---

DEPARTMENT: Behavioral Health
APPROVING PARTY: Lea Salas, Administrative Director
PHONE NUMBER: (530) 993-6746

AGENDA ITEM: Discussion and Direction regarding the approved 60% FTE Behavioral Health Associate position

SUPPORTIVE DOCUMENTS ATTACHED: Memo Resolution Agreement Other

BACKGROUND INFORMATION: Please see attached memo

FUNDING SOURCE: 0515670
GENERAL FUND IMPACT: No General Fund Impact
OTHER FUND:
AMOUNT: \$ N/A

ARE ADDITIONAL PERSONNEL REQUIRED?

 Yes, -- --
 No

IS THIS ITEM ALLOCATED IN THE BUDGET? Yes No

IS A BUDGET TRANSFER REQUIRED? Yes No

SPACE BELOW FOR CLERK'S USE

<p>BOARD ACTION:</p> <input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken	<input type="checkbox"/> Set public hearing For: _____ <input type="checkbox"/> Direction to: _____ <input type="checkbox"/> Referred to: _____ <input type="checkbox"/> Continued to: _____ <input type="checkbox"/> Authorization given to: _____	Resolution 2020- _____ Agreement 2020- _____ Ordinance _____ Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus
---	---	---

COMMENTS:

CLERK TO THE BOARD

DATE

Memorandum

To: Sierra County Board of Supervisors
From: Lea Salas, Administrative Director
Reference: Agenda Item
Date of memo: March 26, 2020
Date of Board Meeting: April 7, 2020

Requested Action: Discussion and Direction regarding the approved 60% FTE Behavioral Health Associate position

Mandated by:

Funding

Budgeted? Yes No

Revenue		
Expenses		
Difference	0	

Background Information: This approved 60% FTE Behavioral Health Associate position has yet to be filled. Applicants that have been interested in this position are not available to commit to the 24 hours per week. Behavioral Health would like the ability to hire a temporary extra help employee that is not benefited to cover the gap in service. This would give the County greater flexibility in filling this position. The job class and title would remain unchanged at a Behavioral Intervention Specialist, Topo A, Class 32, Step A-C.

Potential Issues to consider: None

Alternatives or Impacts of disapproval: Sierra County would not be meeting the Network Adequacy Standards based on case load.

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: April 7, 2020	TYPE OF AGENDA ITEM: <input checked="" type="checkbox"/> Regular <input type="checkbox"/> Timed <input type="checkbox"/> Consent
---------------------------------------	---

DEPARTMENT: Personnel
APPROVING PARTY: Margaret Long, Director
PHONE NUMBER: 530-289-2879

AGENDA ITEM: Discussion/adoption of revised resolution establishing interim personnel policies.

SUPPORTIVE DOCUMENTS ATTACHED: Memo Resolution Agreement Other
See attached proposed revisions to Resolution 2020-032

BACKGROUND INFORMATION:

FUNDING SOURCE:
GENERAL FUND IMPACT: No General Fund Impact
OTHER FUND:
AMOUNT: \$ N/A

ARE ADDITIONAL PERSONNEL REQUIRED?

 Yes, -- --
 No

IS THIS ITEM ALLOCATED IN THE BUDGET? Yes No

IS A BUDGET TRANSFER REQUIRED? Yes No

SPACE BELOW FOR CLERK'S USE

<p>BOARD ACTION:</p> <input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken	<input type="checkbox"/> Set public hearing For: _____ <input type="checkbox"/> Direction to: _____ <input type="checkbox"/> Referred to: _____ <input type="checkbox"/> Continued to: _____ <input type="checkbox"/> Authorization given to: _____	Resolution 2020- _____ Agreement 2020- _____ Ordinance _____ Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus
---	---	---

COMMENTS:

CLERK TO THE BOARD

DATE

BOARD OF SUPERVISORS, COUNTY OF SIERRA, STATE OF CALIFORNIA

RESOLUTION ESTABLISHING INTERIM PERSONNEL POLICIES

RESOLUTION NO. 2020-

WHEREAS, currently the world is experiencing a pandemic related to the COVID-19 (Coronavirus), and

WHEREAS, the Governor of the State of California has declared a statewide emergency and issued orders concerning limitations on public gatherings, and

WHEREAS, school districts across the country and throughout the State of California are closing schools to prevent the spread of COVID-19, and

WHEREAS, school closures are impacting Sierra County employees who could not have anticipated these events and are left without day care, and

WHEREAS, the Board of Supervisors finds that it is in the best interest of the citizens and employees of Sierra County to prevent the spread of COVID-19 and to protect employees who have been left without recourse to care for their children during this public emergency.

NOW THEREFORE BE IT RESOLVED, that the Board of Supervisors, based on the foregoing, establishes that personnel policies and rules will be temporarily amended as stated below:

1. Employees¹ who can effectively perform the essential functions of their classification while working remotely from County facilities may work from home with permission of their Department Head, in concurrence with Human Resources. Employees so working will not be charged for leave time, but considered as working full time in their respective positions. Employee must comply with all County policies while working from home, including, but not limited to all Information Technology Policies and Telecommuting Policies

2. Employees coming in contact with COVID-19 shall voluntarily quarantine² in their homes for a period of fourteen (14) days. These employees may work from home, if possible, unless suffering from symptoms of the disease. Full-time employees on voluntary quarantine who cannot work shall be allowed to use 80 hours of paid emergency sick leave. Part-time employees shall be allowed emergency sick leave proportionate to the amount they work. Once this one-time sick leave is exhausted, the employee must use accrued sick leave or vacation leave during the quarantine period.

3. Employees who have minor children who are unable to attend school due to illness, quarantine or school closures due to COVID-19, and who cannot find other child care arrangements, shall be allowed to work from remotely under the terms of Section 1, or shall be allowed to use Emergency Family Medical Leave Act pay, sick leave or vacation leave during the necessary period. The employees must have the ability to work in a private and distraction free environment in order to be eligible to work from home under the terms of Section 1.

4. Emergency Sick Leave - For employees under quarantine and without sufficient leave to cover the quarantine period, the Board of Supervisors authorizes, with the approval of the Human Resource Director in each case, the accumulation of up to eighty (80) hours of negative sick leave, which will be made up by a percentage reduction in sick leave accruals over a period of one year from the lifting of the Governor's declaration of emergency.

Board of Supervisors authorizes, with the approval of the Human Resource Director in each case, the

1 Employee is defined as permanent full time or part time employees with the County.

2 Quarantine means isolated at home and under self-monitoring for symptoms of COVID-19. While employees are on quarantine, it is expected that they will remain only at home, unless they are visiting a health care provider.

~~accumulation of up to eighty (80) hours of negative sick leave, which will be made up by a percentage reduction in sick leave accruals over a period of one year from the lifting of the Governor's declaration of emergency.~~

5. Under these interim rules and policies, with the exception of those working from home pursuant section 1, the Human Resource Director may require that the employee obtain medically certification ~~to that they~~ have or have been exposed to COVID-19 prior to or during their use of any emergency leave. They are also required to contact their Department Head twice a day to confirm their status.

6. County offices that do not have the ability to close to the public and allow employees to work remotely from home are authorized to make appropriate modifications to regular business operations as deemed necessary including limiting hours open to the public in order to reduce exposure.

7. The Board of Supervisors will reconsider this resolution during each regularly scheduled Board meeting following adoption and this Resolution shall sunset thirty (30) days from enactment.

8. The Human Resource Director, working through the county's official labor negotiator, shall establish contact with the ~~two-three~~ bargaining unit representatives to assure labor concurrence or suggested modifications of these interim policies. All personnel policies, rules and regulations not directly affected by this resolution shall remain in full force and effect.

ADOPTED by the Board of Supervisors of the County of Sierra, State. California on the ___ day of _____ 2020 by the following vote:

AYES:
NOES:
ABSTAIN:
ABSENT:

COUNTY OF SIERRA

BOARD OF SUPERVISORS

ATTEST:

APPROVED AS TO FORM:

CLERK OF THE BOARD

COUNTY COUNSEL

BOARD OF SUPERVISORS, COUNTY OF SIERRA, STATE OF CALIFORNIA

RESOLUTION ESTABLISHING INTERIM PERSONNEL POLICIES

RESOLUTION NO. 2020-

WHEREAS, currently the world is experiencing a pandemic related to the COVID-19 (Coronavirus), and

WHEREAS, the Governor of the State of California has declared a statewide emergency and issued orders concerning limitations on public gatherings, and

WHEREAS, school districts across the country and throughout the State of California are closing schools to prevent the spread of COVID-19, and

WHEREAS, school closures are impacting Sierra County employees who could not have anticipated these events and are left without day care, and

WHEREAS, the Board of Supervisors finds that it is in the best interest of the citizens and employees of Sierra County to prevent the spread of COVID-19 and to protect employees who have been left without recourse to care for their children during this public emergency.

NOW THEREFORE BE IT RESOLVED, that the Board of Supervisors, based on the foregoing, establishes that personnel policies and rules will be temporarily amended as stated below:

1. Employees¹ who can effectively perform the essential functions of their classification while working remotely from County facilities may work from home with permission of their Department Head, in concurrence with Human Resources. Employees so working will not be charged for leave time, but considered as working full time in their respective positions. Employee must comply with all County policies while working from home, including, but not limited to all Information Technology Policies and Telecommuting Policies

2. Employees coming in contact with COVID-19 shall voluntarily quarantine² in their homes for a period of fourteen (14) days. These employees may work from home, if possible, unless suffering from symptoms of the disease. Full-time employees on voluntary quarantine who cannot work shall be allowed to use 80 hours of paid emergency sick leave. Part-time employees shall be allowed emergency sick leave proportionate to the amount they work. Once this one-time sick leave is exhausted, the employee must use accrued sick leave or vacation leave during the quarantine period.

3. Employees who have minor children who are unable to attend school due to illness, quarantine or school closures due to COVID-19, and who cannot find other child care arrangements, shall be allowed to work from remotely under the terms of Section 1, or shall be allowed to use Emergency Family Medical Leave Act pay, sick leave or vacation leave during the necessary period. The employees must have the ability to work in a private and distraction free environment in order to be eligible to work from home under the terms of Section 1.

4. Emergency Sick Leave - For employees under quarantine and without sufficient leave to cover the quarantine period, the Board of Supervisors authorizes, with the approval of the Human Resource Director in each case, the accumulation of up to eighty (80) hours of negative sick leave, which will be made up by a percentage reduction in sick leave accruals over a period of one year from the lifting of the Governor's declaration of emergency.

5. Under these interim rules and policies, with the exception of those working from home pursuant

¹ Employee is defined as permanent full time or part time employees with the County.

² Quarantine means isolated at home and under self-monitoring for symptoms of COVID-19. While employees are on quarantine, it is expected that they will remain only at home, unless they are visiting a health care provider.

section 1, the Human Resource Director may require that the employee obtain medically certification that they have or have been exposed to COVID-19 prior to or during their use of any emergency leave. They are also required to contact their Department Head twice a day to confirm their status.

6. County offices that do not have the ability to close to the public and allow employees to work remotely from home are authorized to make appropriate modifications to regular business operations as deemed necessary including limiting hours open to the public in order to reduce exposure.

7. The Board of Supervisors will reconsider this resolution during each regularly scheduled Board meeting following adoption and this Resolution shall sunset thirty (30) days from enactment.

8. The Human Resource Director, working through the county's official labor negotiator, shall establish contact with the three bargaining unit representatives to assure labor concurrence or suggested modifications of these interim policies. All personnel policies, rules and regulations not directly affected by this resolution shall remain in full force and effect.

ADOPTED by the Board of Supervisors of the County of Sierra, State. California on the ___ day of _____ 2020 by the following vote:

AYES:
NOES:
ABSTAIN:
ABSENT:

COUNTY OF SIERRA

BOARD OF SUPERVISORS

ATTEST:

APPROVED AS TO FORM:

CLERK OF THE BOARD

COUNTY COUNSEL

BOARD OF SUPERVISORS, COUNTY OF SIERRA, STATE OF CALIFORNIA

**RESOLUTION APPOINTING BOARD MEMBER
AS LIAISON TO THE HUMAN RESOURCE DEPARTMENT**

RESOLUTION NO. 2020-

WHEREAS, the Board has created a Human Resource Department and appointed Margaret Long to the office of Personnel Director and Judi Behlke to the position of Personnel Analyst; and

WHEREAS, there is no County Administrator or Executive Officer; and

WHEREAS, the Human Resource Department will need, on occasion, to discuss confidential personnel matters and formulate decisions with the goal of keeping the County informed regarding employee issues without breaching confidentiality by discussing these matters with the full Board of Supervisors; and

WHEREAS, the Board of Supervisors will be reviewing personnel appeal procedures in the future which may alleviated the current conflicts with Board involvement or knowledge of personnel matters; and

WHEREAS, the Board of Supervisors finds that in the interest of employee confidence in the Human Resource system, a Board Member should be appointed to assist the department when needed to ensure compliance with personnel rules and regulations.

NOW THEREFORE BE IT RESOLVED, that the Board of Supervisors appoints _____to the position of Liaison (Liaison) to the Human Resource Department to assist the department in operation and to secure full compliance with the Human Resource practices as sanctioned by the Board of Supervisors.

BE IT FURTHER RESOLVED, that the Liaison shall be disqualified from participation in personnel appeals.

ADOPTED by the Board of Supervisors of the County of Sierra, State of California on the ___ day of April, 2020 by the following vote:

- AYES:
- NOES:
- ABSTAIN:
- ABSENT:

COUNTY OF SIERRA

JIM BEARD, CHAIRPERSON
BOARD OF SUPERVISORS

ATTEST:

APPROVED AS TO FORM:

HEATHER FOSTER
CLERK OF THE BOARD

DAVID PRENTICE
COUNTY COUNSEL

BOARD OF SUPERVISORS, COUNTY OF SIERRA, STATE OF CALIFORNIA

**IN THE MATTER OF AWARD OF CONTRACT TO
LOWEST QUALIFIED BIDDER FOR
SIERRA COUNTY WELLNESS CENTER
FOUNDATION CONSTRUCTION**

RESOLUTION NO. 2020-_____

WHEREAS, the County of Sierra has heretofore called for sealed bids for the project referred to as Sierra County Wellness Center Foundation Project; and,

WHEREAS, in response to the formal call for bids, three were received; and

WHEREAS, the contract shall be awarded to the lowest qualified responsible bidder;
and

WHEREAS, bid opening was held at 3:01 p.m. on Tuesday, March 24, 2020; and,

WHEREAS, after analysis of the bid it has been determined that Nor-Cal Construction. has submitted the lowest qualified bid.

NOW THEREFORE, BE IT RESOLVED THAT the award of contract to Nor-Cal Construction is hereby approved.

BE IT FURTHER RESOLVED THAT the Director of Transportation is hereby granted authority to administratively approve construction change orders on the referenced contract as necessary, and within the confines of the funding, cumulative total of which is not to exceed 10% of the construction contract.

ADOPTED by the Board of Supervisors of the County of Sierra on the 7th day of April, 2020 by the following vote:

AYES:
NOES:
ABSENT:
ABSTAIN:

COUNTY OF SIERRA

JAMES BEARD, CHAIRMAN
BOARD OF SUPERVISORS

ATTEST:

APPROVED AS TO FORM:

HEATHER FOSTER
CLERK OF THE BOARD

DAVID PRENTICE
COUNTY COUNSEL

**COUNTY OF SIERRA
PUBLIC WORKS CONTRACT
SHORT FORM**

PARTIES

THIS CONTRACT, made this 7th day of April, by and between the COUNTY OF SIERRA, a political subdivision of the State of California, hereinafter referred to as “**County**” and Nor-Cal Construction, hereinafter referred to as “**Contractor**”.

This contract is for the following project:

Sierra County Wellness Center Foundation Project

NOW, THEREFORE, in consideration of the mutual covenants and agreements herein contained, it is hereby agreed as follows:

TERMS & CONDITIONS

1. SCOPE OF WORK:

The work to be performed includes but is not limited to provision of all equipment, materials, and labor necessary to perform and complete in a good and workmanlike manner, and in strict accordance with the Contract Documents as defined in Section 5 hereof, the work of:

Provision of all equipment, materials and labor necessary for the complete construction of Mobile Home foundation and related flatwork. Work includes;

1. Construct "pit" style sunken foundation to manufacturer's engineering plans and specifications. Work includes excavation and removal of surplus soil from the site, formwork, reinforcing steel, concrete, anchor bolts, sills and required structural hardware. Sleeves for utility stubs shall be incorporated into the stem walls as shown on drawings.
2. Trenching and installation of utility piping and conduit for sewer hook-up, water lines, gas lines, and electrical lines to connect the new building to existing utilities as shown on plans. Water and Sewer lines shall be extended through sleeves into the crawl space. Provide all necessary valves, valve boxes, pull boxes, and clean outs as shown on the drawings.
3. Construct a drainage system around the new building. Work includes interior sump, drain lines and drop inlets.
4. Furnish, install and construct all concrete flat work, parking, walkways, ramps and paving as shown on drawings. This work shall be completed after the manufactured home is set and secured to the foundation. Provide all necessary flashings, expansion joint material, and finish belly band as needed. Work also includes setting ADA signage and warning pads.

5. Provide finish grading to meet CBC surface drainage requirements and slopes away from the building foundation.
6. Coordinate with Manufactured home installer and the County for final hook-up and testing of utility services.
7. Clean and remove all construction debris from construction site.

2. CONTRACT TIME:

2.1 COMMENCEMENT AND COMPLETION

The Work shall be commenced on the date specified in the County's "Notice of Award to Contractor" and shall be fully completed no later than **Sixty (60)** calendar days thereafter, or such additional time as may have been provided by Change Order, pursuant to the Contract Documents.

2.2 TIME IS OF THE ESSENCE

Time is of the essence. If the work is not completed in the time specified, including such extensions of time as may have been granted for unavoidable delays, the Contractor will be assessed damages for delay in accordance with the liquidated damages provision herein. A determination of whether delays were avoidable or not shall be made by County.

3. CONTRACT PRICE:

County shall pay Contractor for the full and complete performance of this Contract the sum of **One Hundred Forty Four Thousand Four Hundred Dollars (\$144,400.00)**.

The contract price may be adjusted only as provided in the Contract Documents and only upon the express written approval of the County Board of Supervisors or the County Director of Transportation and in the event of any such adjustment, the Contractor agrees that the maximum adjustment to be attributable to his overhead and profit shall not exceed fifteen percent (15%) of the actual costs to Contractor for any additional work encompassed by any such adjustment, in accordance with the General Conditions.

4. PAYMENTS:

4.1 PROGRESS PAYMENTS

Where the work is anticipated to require more than forty-five (45) days to complete, Contractor may apply for progress payments on a monthly basis. Monthly progress payments shall be made in accordance with the General Conditions of these Contract Documents, subject to a ten percent (10%) withhold as specified therein Contractor shall submit a signed application for payment covering the work completed to that date and accompanied by supporting documentation to County's satisfaction. Progress payments will be in an amount equal to ninety percent (90%) of the work completed.

4.2 FINAL PAYMENT

Payment in full for the completed project will not be due until at least thirty (30) days after filing of the Notice of Completion with the County Clerk. Acceptance of final payment will be deemed a waiver of all claims except those which were timely made pursuant to the claims provisions of this contract. Final payment shall be made in accordance with the General Conditions of these Contract Documents.

4.3 INVOICE CONTENT

Invoices or applications for payment to the County shall be detailed and shall contain full documentation of all work performed and all reimbursable expenses incurred. Where the scope of work on the contract is divided into various tasks, invoices shall detail the related expenditures accordingly. Labor expenditures need documentation to support time, subsistence, travel and field expenses. No expense will be reimbursed without adequate documentation. This documentation will include, but not be limited to, receipts for material purchases, rental equipment and subcontractor work.

4.4 ACCEPTANCE OF FINAL PAYMENT AS RELEASE

The acceptance by the Contractor of final payment shall be and shall operate as a release to the County of any and all claims and all liability to the Contractor for all things done or furnished in connection with this work and for every act and/or neglect of the County or others relating to or arising from the Work, to the full extent authorized by Public Contracts Code Section 7100. No payment, however, final or otherwise, shall operate to release the Contractor of his sureties from any obligations under this Contract or the Performance and Payment Bonds required by this Contract, or the guarantees and warranties required by the Contract Documents, or the bond securing the same.

5. CONTRACT DOCUMENTS:

The contract documents comprise the entire agreement between the parties and may be amended only by writing signed by both parties or by written change order. The contract documents shall include this contract, plans and specifications, bidding documents, addenda thereto, all proposals submitted by Contractor, the general conditions attached hereto and special, and/or supplementary conditions issued by the County. In the case of ambiguity or conflict, the documents shall be given the following priority:

- This Agreement
- Contract Drawings
- Technical Specifications
- Duly issued Addenda
- General and/or Special Conditions
- Supplementary conditions, if any
- Duly issued Clarifications and Field Orders

Duly issued Work Authorizations
Duly issued Change Orders
Supplemental Drawings issued pursuant to Article 4 of the General Conditions
Initial Submittals approved pursuant to Article 3 and all other
submittals approved pursuant to the General Conditions.
Contractor's Bid Proposal Contractor's Guarantee and Bond
Designation of Subcontractors
Performance Bond
Payment Bond

Such documents, collectively referred to as the "Contract Documents", are hereby incorporated herein by this reference and made a part hereof.

6. PERFORMANCE AND LABOR & MATERIAL BONDS:

Pursuant to the provisions of the California Civil Code Section 9550, for projects in excess of twenty five thousand dollars (\$25,000), the Contractor shall, prior to the performance of any work covered by this Contract, provide to County in such form as may be acceptable to County, a "performance bond" guaranteeing the faithful and timely performance of the work to be performed under this Contract and guaranteeing the work for a period of one full year from the date of the completion of the work (which shall be evidenced by the filing of a notice of completion by County) and a separate "labor and material bond" guaranteeing payment to any laborer, subcontractor and/or material supplier for the work under this Contract. The amount of each of these bonds shall be in accordance with the General Conditions to the Contract.

7. REPRESENTATIONS BY CONTRACTOR:

The Contractor hereby represents that before bidding, he carefully examined the Drawings and Project Manual, visited the Site of the Work and fully informed himself as to all existing conditions at the Site and limitations of information provided by the County regarding the Site.

The Contractor further represents that he has satisfied himself as to the nature and location of the Work, the general and local conditions, conditions of the Site, availability of labor, materials on the Site, the kind of equipment needed, the requirements of various trades or crafts needed to perform the Work and all other matters which in any way affect the Work or cost. The Contractor agrees that his failure to acquaint himself with all available information concerning conditions shall not relieve him from his bid or his responsibility for estimating properly the difficulties or cost of the Work, or the requirements for any trade, craft or portion of the Work.

The Contractor further represents that the Contract Price shall include everything necessary for the completion of Work and of fulfillment of this Agreement for Construction within the time specified hereby, including, but not limited to, furnishing all materials, equipment, tools, plant and other facilities, and all management, superintendence, labor, and services. The Contract Price includes allowance for all Federal, State and local taxes and payment of the prevailing wages required by applicable law and/or the General Conditions.

8. AMENDMENT:

This Contract may only be amended by a written amendment which shall require the formal approval of the Board of Supervisors. No County officer, agent or representative shall have the authority to amend this Contract.

9. DELAY:

The Contractor specifically acknowledges and agrees that a time extension is his sole remedy for delays caused by the County, and agrees to make no claim for additional damages for such delay. Contractor shall be entitled to a price adjustment with such time extension Change Order, but such price adjustment shall be limited to his direct additional costs to perform the Contract, subject to the General Conditions, and subject to verification by the County.

Processing of Submittals, Clarifications and other information by the County within the time specified in the Contract Documents shall in no event constitute a County-caused delay.

10. NOTICES:

Any notice, demand, request, consent, approval or communication that any party desires or is required to give any other party shall be in writing and either served personally or sent by pre-paid first-class mail. Any such writing shall be addressed as follows:

COUNTY

Tim H. Beals, Project Director
Sierra County Department of Public Works
Post Office Box 98
Downieville, CA 95936

PROJECT MANAGER

Bryan Davey
Sierra County
P.O. Box 98
Downieville, CA 95936

PROJECT CONTRACTOR

John Fisher
Nor Cal Construction
15144 McCourtney Road
Grass Valley, CA 95949

11. LIQUIDATED DAMAGES:

If the Work is not completed by Contractor in the time specified in Section 2 of the Agreement for Construction, or within any period of extension authorized pursuant to a duly issued Change Order, Contractor acknowledges and admits that the County will suffer damage, and that it is impracticable and infeasible to fix the amount of actual damages. Therefore, it is agreed by and between the Contractor and the County that the Contractor shall pay to the County as fixed and liquidated damages, and not as a penalty, a sum equal to one-half of one percent of the contract price, or the sum of **Five Hundred** dollars (**\$500.00**), whichever is greater, for each calendar day of delay until the Work is completed and accepted, and that both Contractor and Contractor's surety shall be liable for the total amount thereof, and that the County may deduct said sums from any monies due or that may become due to Contractor, prior to determining the final amount due to Contractor.

This liquidated damages provision shall apply to all delays of any nature whatsoever, save and except only delays found by the Board of Supervisors to be unavoidable pursuant to the General Conditions, or time extensions granted in writing by the Board of Supervisors.

IN WITNESS WHEREOF, the parties hereto executed this Agreement the day and year first above written.

COUNTY OF SIERRA

By _____
JAMES BEARD
Chairman of the Board of Supervisors

ATTEST:

APPROVED AS TO FORM:

HEATHER FOSTER
Clerk of the Board

DAVID PRENTICE
County Counsel

CONTRACTOR

By _____
Official Title

License Number _____

(CORPORATE SEAL)

CORPORATE CERTIFICATE

I, _____, certify that I am the Secretary of the Corporation named as CONTRACTOR in the foregoing Contract; that _____, who signed said Contract on behalf of said Corporation is authorized to fully bind the corporation to this Agreement; that said contract was duly signed for and on behalf of said corporation by authority of its governing body and is within the scope of its corporate powers.

(Secretary)

(CORPORATE SEAL)

PART 3 - GENERAL CONDITIONS

GENERAL CONDITIONS

1. CHANGES IN THE WORK:

County may order additions, deletions or revisions in the work which shall be authorized by written change order. Circumstances may occur where Contractor may need to apply for a change in the contract. Such changes must be applied for in writing in advance by Contractor and approved prior to doing of the additional work. Changes in contract price due to change orders shall be established either by (1) unit prices (where bidding was done by unit price), (2) based on the actual cost of the work (including but not limited to all labor and materials) plus an amount not to exceed 15 percent (15%) for overhead and profit together, or (3) by mutual acceptance of a lump sum.

2. BONDS:

A. For projects involving more than \$25,000 the contractor shall furnish two bonds each in the amount of 100 percent (100%) of the contract price, one as security for the faithful performance of the work, and the other as security for the faithful payment and satisfaction of all persons furnishing materials and performing labor for the project. The labor and materials bonds shall remain in effect until the recording of a Notice of Completion. The performance bond shall remain in effect until the running of the warranty period. The bonds shall be issued by a corporation duly licensed to transact surety business in California. The bonds must be executed by a duly licensed surety company and accompanied by a certified copy of the authority to act and shall be on the form provided by County.

B. In the event of a default by contractor, County shall notify contractor of the specifics of the default and shall extend a reasonable time to contractor to cure same or to pay such damages as County may reasonably assess under the terms of the Public Works Contract. County shall not release the bonds or security until contractor has fully performed under the contract. If contractor fails to promptly cure any breach or to pay such damages as may have been reasonably assessed after notification of the breach and/or of the assessment of damages, County shall proceed to sell the securities and/or make demand on the bonds.

C. County reserves the right to accept or to reject the tender of any bond or security as being sufficient to protect the interest of the County.

3. CONTRACTOR LIABILITY AND INSURANCE:

Contractor shall be liable for all damages and injury which shall be caused to County or any other property on or in the vicinity of the work or which shall occur to any person or persons or property whatsoever arising out of the performance of this contract. Contractor shall purchase and maintain such commercial general liability and other insurance as is appropriate and/or as may be required in the special conditions, for the work being performed and furnished and which will provide complete protection to County, and shall be at least as broad as CG 20 01 04 13. Said policies shall be payable on a “per occurrence” basis unless County specifically consents to a “claims made” basis. At a minimum Contractor shall provide and maintain a policy of commercial general liability insurance in a combined single limit of two million dollars (\$2,000,000.00) and Worker's Compensation Insurance.

County shall be designated as a named insured on the Contractor’s insurance and a certificate of insurance and endorsements shall be provided by Contractor prior to commencement of work. Contractor must provide the full coverage and full limits available to the Contractor as the named insured to the County as a named insured. Coverage under the policy available to the County must be either the minimum required under the contract or the maximum available to the Contractor as the named insured, whichever is greater and shall be at least as broad as CG 20 01 04 13. Contractor must provide the stated required insurance for a period of 3 years beyond work completion.

Contractor shall also purchase and maintain property insurance upon the work or equipment and supplies stored at the site, if any, to the full insurable value thereof. All policies of insurance shall contain a provision that the coverage will not be canceled, materially changed, or renewal refused until at least thirty (30) days prior written notice has been given to County.

The coverage under Contractor’s policy shall be primary and non-contributory and verification from the insurer must be provided that they will not seek contribution from the County’s insurance or self-insurance.

Contractor shall provide the applicable Certificate of Insurance with the following attachments:

- a) Waiver of subrogation endorsement for Worker’s Compensation
- b) Additional Insured Endorsement for ongoing operations (CG 20 38)
- c) Additional Insured Endorsement for completed operations exposures such as construction, repair, or maintenance operations (CG 20 37.)
- d) A copy of the Declarations & Policy Endorsements page for the General Liability (GL) policy.

Where the services to be provided under this contract involve or require the use of any type of vehicle by Contractor in order to perform said services, Contractor shall also provide comprehensive business or commercial automobile liability coverage including non-owned and hired automobile liability in the amount of one million dollars (\$1,000,000.00).

4. RISK OF LOSS:

Liability for loss or damage to equipment, materials, work completed or services occurring on or off the site shall be the responsibility of Contractor. Liability for completed work shall not be assumed by County until both the work has been completed and County has accepted the work as complete.

5. MATERIALS AND EQUIPMENT:

All material and equipment shall be of good quality and new unless the contract provides otherwise. Whenever materials or equipment are specified or described in the contract documents by using the name of a proprietary item or a particular supplier, it is intended to establish the type, function and quality required. Any substitutions must be expressly consented to by County in advance of installation or use.

Where applicable, Contractor shall deliver all manufacturer's operating and maintenance instructions to County prior to receipt of final payment.

6. WARRANTY AND CORRECTION PERIOD:

If within one year after the date of completion and County's acceptance of the work or such longer period of time as may be prescribed by law or regulations or by the terms of any applicable special guarantee required by the contract documents any work is found to be defective, Contractor shall promptly, without cost to County and in accordance with County's written instruction, either correct such defective work, or, if it has been rejected by County, remove it from the site and replace it with non-defective work. If Contractor is unable to promptly and properly correct any defective work, County may at its option have the work corrected by such other means as County deems appropriate and hold Contractor liable for all direct, indirect and consequential costs caused by such defective work. Said warranty shall apply to all work found to be "defective" which is attributable to the quality or quantity of the materials used, the quality of the workmanship or for lack of proper performance under the contract. The warranty obligation shall not limit the County's right to otherwise seek damages in the event of any failure by Contractor to properly perform under this Agreement.

7. PERMITS AND TAXES:

Unless otherwise provided in the special contract provisions, Contractor shall obtain and pay for all construction permits, licenses or other permits necessary to complete the work and shall be liable for all governmental charges, inspection fees, utility connection charges, sales, consumer, use and other taxes.

8. INDEMNIFICATION:

Contractor shall fully indemnify, hold harmless and defend County and its consultants, agents, officers and employees from and against all claims, damages, losses and expenses, direct, indirect or consequential arising out of or resulting from the negligent performance of the work

herein or willful misconduct by Contractor.

9. SUSPENSION OF WORK:

County may, at any time and without cause, suspend the work or any portion thereof for a reasonable period of time by notice in writing to Contractor.

10. TERMINATION:

Except as limited by law or regulation, County may terminate this contract upon the occurrence of any one or more of the following events.

- A. If Contractor commences a voluntary case under any chapter of the Bankruptcy Code (Title 11, United States Code), as now or hereafter in effect, or if Contractor takes any equivalent or similar action by filing a petition or otherwise under any other federal or state law in effect at such time relating to the bankruptcy or insolvency;
- B. If a petition is filed against Contractor under any chapter of the Bankruptcy Code as now or hereafter in effect at the time of filing, or if a petition is filed seeking any such equivalent or similar relief against Contractor under any other federal or state law in effect at the time relating to bankruptcy or insolvency;
- C. If Contractor makes a general assignment of its obligations and/or compensation under this Agreement;
- D. If a trustee, receiver, custodian or agent of Contractor is appointed under applicable law or under contract, whose appointment or authority to take charge of property of Contractor is for the purpose of enforcing a lien against such property or for the purpose of general administration of such property for the benefit of Contractor's creditors;
- E. If Contractor admits in writing an inability to pay its debts generally as they become due;
- F. If Contractor persistently fails to perform the work in accordance with the contract documents (including, but not limited to, failure to supply sufficient skilled workers or suitable materials or equipment or failure to adhere to the time schedule);
- G. If Contractor disregards ordinances, laws or regulations of any public body having jurisdiction;
- H. If Contractor disregards the authority of County's supervisory staff, and, in particular, the Contract Administrator;
- I. If Contractor otherwise violates in any substantial way any provisions of the contract documents.

County may, after giving Contractor seven days' written notice, terminate the services of Contractor, exclude Contractor from the site and take possession of the work, incorporate in the work all materials and equipment stored at the site or for which County has paid Contractor but which are stored elsewhere, and finish the work as County may deem expedient. In such case Contractor shall not be entitled to receive any further payment until the work is finished. If the unpaid balance of the contract price exceeds the direct, indirect and consequential costs of completing the work (including but not limited to fees and charges of engineers, architects, attorneys and other professionals and court costs) such excess will be paid to Contractor. If such costs exceed such unpaid balance, Contractor shall pay the difference to County. When exercising any rights or remedies under this paragraph, County shall not be required to obtain the lowest price for the work performed. Where Contractor's services have been so terminated by County, the termination will not affect any rights or remedies of County against Contractor then existing or which may thereafter accrue. Any retention or payment of moneys due Contractor by County will not release Contractor from liability.

Upon seven days' written notice to Contractor, County may, without cause and without prejudice to any other right or remedy, elect to abandon the work and terminate the Contract. In such case, Contractor shall be paid for all work executed and any actual expense sustained.

11. SUPERVISION AND CLAIMS DETERMINATIONS:

11.1 CONTRACT ADMINISTRATOR

County may appoint staff or hire professional services for supervision and administration, at its election. Said person is hereinafter referred to as "Contract Administrator". Upon the appointment of any such Contract Administrator the County shall promptly notify Contractor of such action. The Contract Administrator is delegated authority to determine the amount, quality, acceptability and fitness of the work, materials and equipment to be paid for under this contract, to decide for County all questions relative to contract interpretation, to reject or condemn all work or material which does not conform to the terms of this contract and to review and make a final determination on all claims submitted to County. In the absence of an appointment of a Contract Administrator, the County Director of Public Works shall perform these functions.

11.2 WRITTEN CLARIFICATION

If there is an ambiguity in the contract documents, Contractor shall request an interpretation from the Contract Administrator. Contractor Administrator shall issue a written clarification or interpretation. If Contractor believes that a written clarification or interpretation justifies an increase in the contract price or an extension of the contract time and the parties are unable to agree on the amount or extent thereof, Contractor may make a claim therefore.

11.3 CHANGE ORDER

The Contract Administrator may authorize or require variations in the work from

the requirements of the contract documents so long as it is in writing. Contractor shall perform the work involved promptly. If Contractor believes that such a change order justifies an increase in the contract price or an extension of the contract time and the County and Contractor are unable to agree as to the amount or extent thereof, Contractor may make a claim therefore.

11.4 UNIT PRICING

If the contract was based on unit pricing, the Contract Administrator will determine the actual quantities and classifications of unit price work. The Contract Administrator's written decisions thereon will be final and binding upon Contractor unless Contractor delivers to Contract Administrator written notice that Contractor disputes said decision and the reasons therefore as required below.

11.5 CLAIM PROCEDURE

For purposes of this paragraph, "claim" shall be defined as set forth in Public Contracts Code Section 20104(b)(2). Claims shall be presented in writing and include the documents necessary to substantiate the claim. Claims must be filed with the Contract Administrator no later than thirty (30) days after the occurrence of the event giving rise thereto or denial of the change order, whichever occurs last. The form of said claims shall be the same as is required by Government Code Sections 910 and 910.2.

11.6 CLAIMS UNDER \$50,000

If the claim is under \$50,000, County shall respond in writing to Contractor within forty-five (45) days of receipt of Contractor's claim or may request, in writing, within thirty (30) days of receipt of the claim, any additional documentation supporting the claim or relating to defenses or claims the County may have against the Contractor. If additional information is thereafter required, it shall be requested and provided pursuant to Public Contracts Code Section 20104.2, upon mutual agreement of the County and the Contractor. The County's written response to the claim, as further documented, shall be submitted to the Contractor within fifteen (15) days after receipt of the further documentation or within a period of time no greater than that taken by the Contractor in producing the additional information, whichever is greater.

11.7 CLAIMS OVER \$50,000

For claims over \$50,000 and less than or equal to \$375,000, County shall respond in writing to all written claims within sixty (60) days of receipt of the claim, or may request, in writing within thirty (30) days of receipt of the claim, any additional documentation supporting the claim or relating to defenses or claims the County may have against Contractor. If additional information is thereafter required, it shall be requested and provided pursuant to Public Contracts Code Section 20104.2, upon mutual agreement of County and Contractor. The County's written response to the claim, as further documented, shall be submitted to Contractor within thirty (30) days after receipt of the further

documentation, or within a period of time no greater than that taken by Contractor in producing the additional information or requested documentation, whichever is greater.

11.8 MEET AND CONFER

If Contractor disputes County's written response, or County fails to respond within the time prescribed above, Contractor may so notify County, in writing, either within 15 days of receipt of County's response or within fifteen (15) days of County's failure to respond within the time prescribed, respectively, and demand an informal conference to meet and confer with such County representatives as the Board of Supervisors directs for settlement of the issues in dispute. Upon such demand, County shall schedule a meet and confer conference within thirty (30) days for settlement of the dispute.

11.9 APPEAL TO BOARD OF SUPERVISORS

If following the meet and confer conference the claim or any portion remains in dispute, if Contractor desires to pursue Contractor's claim/demand for further compensation, Contractor shall be required to file a claim with the Board of Supervisors pursuant to procedures set out in Chapter 1 (commencing with Section 900) and Chapter 2 (commencing with Section 910) of Part 3 of Division 3.6 of Title 1 of the Government Code. All claims filed with the Board of Supervisors pursuant to the Government Code shall be filed within ninety (90) days of the denial of the original claim by the County representative. The running of the period of time within which a claim under the Government Code must be filed shall be tolled by any period of time utilized by the meet and confer conference. Any lawsuit which Contractor intends to bring with respect to any claim filed pursuant to the Government Code which claim has been denied by County must be commenced not later than six months after the recording of the notice of completion or not later than six months after the date final payment is deposited in the mail or personally delivered, whichever date comes first.

11.10 DOCUMENTATION

For every claim that Contractor makes, it shall provide the following documentation upon request of County as a condition precedent to consideration of the claim: Contractor's bidding calculations forms, cost estimates, time sheets, trend reports, job cost analysis records, labor records, as-built documents, any other records used by Contractor in arriving at its bid price, and any other documents or records kept by Contractor during the course of construction. In the event that claims are made, Contractor agrees that County shall have the right to conduct a complete audit of the books and records of Contractor relating to this project and any books and records relating to overhead, profit or general office expenses charged to this project.

11.11 CONTRACT ADMINISTRATOR NOT RESPONSIBLE

Notwithstanding the above, Contract Administrator shall not be responsible for Contractor's means, methods, techniques, sequences or procedures of construction or the

safety precautions and programs incident thereto and will not be responsible for Contractor's failure to perform or furnish the work in accordance with the contract documents. Contract Administrator shall also not be responsible for the acts or omissions of Contractor or of any subcontractor, any supplier, or any other person or organization performing or furnishing any of the work.

12. PAYMENTS:

12.1 SCHEDULE OF VALUES

The schedule of values established for the work will serve as the basis for progress payments and will be incorporated into a form of application for payment acceptable to County. Progress payments on account of unit price work will be based on the number of units completed.

12.2 PROGRESS PAYMENT

Once each month County shall cause an estimate to be made covering the work completed as of the date of the estimate. No materials shall be paid for until incorporated into the work. The amount of retention with respect to progress payments will be five percent (5%).

12.3 AMOUNTS OF PROGRESS PAYMENTS

Prior to completion, progress payments will be in an amount equal to:

12.3.1 NINETY FIVE PERCENT (95%) OF COMPLETED WORK. Ninety percent (95%) of the work completed, and

12.3.2 NINETY PERCENT (95%) OF STORED MATERIALS. Where applicable pursuant to the above, ninety percent (95%) of materials and equipment not incorporated in the work but delivered and suitably stored, less in each case the aggregate of payments previously made.

12.3.3 CONTRACT BALANCE. Thirty (30) days after recordation of a notice of completion by the County, County will pay an amount sufficient to increase total payments to Contractor to one hundred percent (100%) of the contract price, less such amounts as County shall determine in accordance with this contract. County reserves the right to retain such funds as it shall determine in accordance with the contract documents to complete the work.

12.3.4 ESCROWED SECURITY ALTERNATION. The Contractor may elect to receive one hundred percent 100% of payments due under this contract from time to time, without retention of any portion of the payment by the public agency, by depositing securities of equivalent value with County in accordance with the provisions of Section 4590 of the *California Government Code*. Securities eligible

for investment shall include those listed in Section 16430 of the *California Government Code*, or bank or savings and loan certificates of deposits. Such securities, if deposited by the bidder, shall be valued by County whose decision on the valuation of the securities shall be final. The bidder shall be the beneficial owner of any securities substituted for money withheld and shall receive any interest thereon. Said deposited funds shall be covered by an escrow agreement in a form approved by the County.

12.4 CONTRACTOR'S WARRANTY OF TITLE

Contractor warrants and guarantees that title to all work, materials and equipment covered by any application for payment, whether incorporated in the project or not, will pass to County no later than the time of payment free and clear of all liens.

12.5 PAYMENT OF PROGRESS PAYMENT

County will submit each progress pay estimate to Contractor. Upon receipt back from Contractor of a signed copy of the pay estimate, County shall process the pay estimate for payment. The amount approved by County will become due thirty (30) days after receipt of the signed progress pay estimate. Said payment shall be made by County to Contractor unless County has knowledge of claims or liens filed in connection with the work.

12.6 COUNTY'S RECOMMENDATION OF PAYMENT

By recommending any payment, Contractor cannot conclude that County represents that exhaustive or continuous on-site inspections have been made to check the quality or the quantity of the work in the contract documents or that there may not be other matters or issues between the parties that might entitle Contractor to be paid additionally by County or County to withhold payment to Contractor.

12.7 COUNTY MAY REFUSE TO MAKE PAYMENT

County may refuse to make payment of the full amount or any part if, in County's opinion, it would be incorrect to make such payment. County may also refuse to make any such payment, or, because of subsequently discovered evidence or the results of subsequent inspections or tests, nullify any such payment previously recommended, to such extent as may be necessary in County's opinion to protect County from loss because:

12.7.1 DEFECTIVE WORK. The work is defective, or completed work has been damaged requiring correction or replacement,

12.7.2 REDUCTION IN PRICE. The contract price has been reduced by written amendment or change order,

12.7.3 REQUIRED CORRECTIONS. Contractor has been required to correct

defective work or complete work, or

12.7.4 SUSPENSION OR TERMINATION. Of County's actual knowledge of the occurrence of any of the events enumerated in paragraphs relating to suspension of work and termination.

12.7.5 LIENS OR CLAIMS. County may refuse to make payment of the full amount because claims have been made against County on account of Contractor's performance or furnishing of the work or liens have been filed in connection with the work or there are other items entitling County to a set-off against the amount recommended, but County must give Contractor immediate written notice stating the reasons for such action.

12.8 COMPLETION AND FINAL INSPECTION

When Contractor considers the entire work ready for its intended use, Contractor shall notify County in writing that the entire work is completed. Within a reasonable time thereafter, County and Contractor shall make an inspection of the work to determine the status of completion. If County does not consider the work complete, County will notify Contractor in writing giving the reasons therefor. If County considers the work incomplete, County will prepare and deliver a list of items to be completed or corrected before final payment. Contractor shall immediately take such measures as are necessary to complete or correct the listed items.

12.9 ACCEPTANCE AND FINAL APPLICATION FOR PAYMENT

After Contractor has completed all such measures to remedy deficiencies to the satisfaction of County and delivered all maintenance and operating instructions, schedules, guarantees, bonds, certificates of inspection, marked-up record documents, property owner's releases and other documents, all as required by the contract documents, and after County has indicated that the work is acceptable, Contractor may make application for final payment. The final application for payment shall be accompanied by all documentation called for in the contract documents, together with complete and legally effective releases or waivers (satisfactory to County) of all liens and stop notices arising out of or filed in connection with the work. In lieu thereof and as approved by County, Contractor may furnish receipts or releases in full; an affidavit of Contractor that the releases and receipts include all labor, services, material and equipment for which a lien could be filed, and that all payrolls, material and equipment bills, and other indebtedness connected with the work for which County or County's property might in any way be responsible, have been paid or otherwise satisfied; and consent of the surety, if any, to final payment. If any subcontractor or supplier fails to furnish a release or receipt in full, Contractor may furnish a bond or other collateral satisfactory to County to indemnify County against any lien.

12.10 FINAL PAYMENT

If, on the basis of County's review of the final application for payment and

accompanying documentation, all as required by the contract documents, County is satisfied that Contractor's obligations under the contract documents have been fulfilled, County will, within ten days after receipt of the final application for payment, process the application for payment. Otherwise, County will return the application to Contractor, indicating in writing the reasons for refusing to make final payment, in which case Contractor shall make the necessary corrections and resubmit the application. Thirty (30) days after presentation to County of the application and accompanying documentation, in appropriate form and substance, or thirty (30) days after recording of a Notice of Completion, whichever date is later, the amount will become due and will be paid by County to Contractor.

12.11 CONTRACTOR'S CONTINUING OBLIGATION

Contractor's obligation to perform and complete the work in accordance with the contract documents shall be absolute. Neither the issuance of a certificate of substantial completion, nor any payment by County to Contractor under the contract documents, nor any use or occupancy of the work or any part thereof by County, nor any act of acceptance by County nor any failure to do so, nor any review and approval of a shop drawing or sample submission, nor the issuance of a notice of acceptability, nor any correction of defective work by County will constitute an acceptance of work not in accordance with the contract documents or a release of Contractor's obligation to perform the work in accordance with the contract documents.

13. ARBITRATION:

For claims under \$375,000, County shall have the option of electing arbitration pursuant to Public Contracts Code Sections 10240, et seq. If County does not so elect, the provisions of Public Contracts Code Section 20104 shall apply. For claims which are above \$375,000, the arbitration provisions of this contract are voluntary. Neither County nor Contractor shall be required to enter into arbitration for amounts above \$375,000. Written consent of both parties to arbitrate such claims shall be a prerequisite to such arbitration. If the parties agree to arbitrate claims above \$375,000, the provisions of Public Contracts Code Sections 10240, et seq. shall be utilized.

14. WORKER'S COMPENSATION CERTIFICATION:

Contractor hereby certifies that Contractor is aware of the provisions of Section 3700 of the Labor Code which requires every employer to be insured against liability for Worker's Compensation or to undertake self-insurance in accordance with the provisions of that Code, and will comply with such provisions before commencing the performance of the work of this contract.

15. PREVAILING WAGE RATES:

In accordance with the provisions of Section 1770 and 1773 of the Labor Code, the County of Sierra has determined the general prevailing rate of wages applicable to the work to be done. These rates are on file in the Department of Transportation. The Contractor shall post a copy of

the wage rates on the job site. Pursuant to California Labor Code Section 1775, Contractor shall forfeit twenty-five dollars (\$25.00) for each calendar day, or portion thereof, for each worker paid less than the prevailing rates for such work or craft, and said amounts shall be distributed pursuant to the requirements of said Section 1775. Any employee whose type of work is not covered by any of the classified wage rates shall be paid not less than the rate of wage listed for the classification which most nearly corresponds to the type of work to be performed.

In accordance with the provisions of Section 1813 of the California Labor Code, Contractor will be subject to the forfeiture of twenty-five dollars (\$25) per worker employed in the execution of the contract by the Contractor or subcontractor for each day said worker is required or permitted to work in excess of eight hours in any one calendar day and/or forty hours in any calendar week, except as provided in Section 1815 of the California Labor Code.

16. EMPLOYMENT OF APPRENTICE LABOR:

Reference is hereby made to Section 1777.5 of the Labor Code of the State of California, which regulations shall govern the employment of apprentices on the work. Compliance with said Section shall be the responsibility of the Contractor.

17. COLLECTIVE BARGAINING AGREEMENTS:

Pursuant to California Labor Code Section 1773.8, Contractor shall pay travel and subsistence payments to any workers covered by applicable collective bargaining agreements which provide for such payments.

18. PAYROLL RECORDS:

Contractor shall be responsible for keeping accurate payroll records as required by California Labor Code Section 1776. Contractor is aware that a penalty of twenty-five dollars (\$25.00) per day or portion thereof for each worker may be assessed for noncompliance with said section. Contractor shall forward to County a certified copy of each payroll record within ten days after close of each payroll period. An additional 10% retention may be withheld from any payment due for failure to provide same.

No contractor or subcontractor may be listed on a bid proposal for a public works project (submitted on or after March 1, 2015) unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5 [with limited exceptions from this requirement for bid purposes only under Labor Code section 1771.1(a)].

No contractor or subcontractor may be awarded a contract for public work on a public works project (awarded on or after April 1, 2015) unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5.

This project is subject to compliance monitoring and enforcement by the Department of Industrial Relations.

19. EQUAL EMPLOYMENT OPPORTUNITY AND NONDISCRIMINATION:

During the performance of this contract, the contractor agrees to abide by all provisions of Section 1735 of the California Labor Code, as amended, regarding nondiscrimination practices.

20. ASSIGNMENT:

No assignment by a party hereto of any rights under or interests in the contract documents will be binding on another party hereto without the written consent of the party sought to be bound; and specifically but without limitation, moneys that may become due and moneys that are due may not be assigned without such consent (except to the extent that the effect of this restriction may be limited by law), and unless specifically stated to the contrary in any written consent to an assignment no assignment will release or discharge the assignor from any duty or responsibility under the contract documents.

21. CONFLICT OF INTEREST RESTRICTIONS:

No official of the County who is authorized in such capacity and on behalf of the County to negotiate, make, accept or approve, or to take part in negotiating, making, accepting, or approving any architectural, engineering, inspecting, construction or material supply contract or any subcontract in connection with the construction of the project, shall become directly or indirectly interested personally in this contract or in any part thereof. No officer, employee, architect, attorney, engineer, or inspector of or for the County who is authorized in such capacity and on behalf of the County who is in any legislative, executive, supervisor, or other similar function in connection with the construction of the project, shall become directly or indirectly interested personally in this contract or in any part thereof, any material supply contract, subcontract, insurance contract, or any other contract pertaining to the project.

22. WAIVER OF RIGHTS:

No action or lack of action on the part of County at any time to exercise any right or remedy conferred upon it under this contract shall be deemed to be a waiver on the part of the County of any of County's other rights or remedies.

23. SUCCESSORS IN INTEREST:

County and Contractor each bind themselves, their partners, successors, assigns and legal representatives to the other party hereto, its partners, successors, assigns and legal representatives in respect to all covenants, agreements, and obligations contained in the contract documents.

24. SEVERABILITY:

If any provision to this contract is declared or found to be illegal, unenforceable or void, then both parties shall be relieved of all obligations arising under such provisions, and the remainder of this contract shall not be affected by such declaration or finding and each provision not so affected shall be enforced to the fullest extent permitted by law.

25. BOOKS OF RECORD AND AUDIT PROVISION:

Contractor shall maintain on a current basis complete books and records relating to this

contract. Such records shall include, but not be limited to, documents supporting all bids, all income and all expenditures. The books and records shall be original entry books with a general ledger itemizing all debits and credits for the work on this contract. In addition, Contractor shall maintain detailed payroll records including all subsistence, travel and field expenses, and cancelled checks, receipts and invoices for all items. These documents and records shall be retained for at least five years from the completion of this contract. Contractor will permit County to audit all books, accounts or records relating to this contract or all books, accounts or records of any business entities controlled by Contractor who participated in this contract in any way. Any audit may be conducted on Contractor's premises or, at County's option, Contractor shall provide all books and records within a maximum of fifteen (15) days upon receipt of written notice from County. Contractor shall refund any moneys erroneously charged. If County ascertains that it has been billed erroneously by Contractor for an amount equaling 5% or more of the original bid, Contractor shall be liable for the costs of the audit in addition to any other penalty to be imposed.

26. NOTICE:

Notices shall be given to County at the following location:

If to "COUNTY":

Board of Supervisors
County of Sierra
Post Office Drawer D
Downieville, CA 95936

With a copy to:

Director of Public Works
P.O. Box 98
Downieville, CA 95936

If to "CONTRACTOR":

John Fisher
Nor Cal Construction
15144 McCourtney Road
Grass Valley, CA 95949

27. JURISDICTION AND VENUE:

This Contract shall be construed in accordance with the laws of the State of California and the parties hereto agree that venue shall be in Sierra County, California.

LEASE

This Lease is made and entered into by and between the COUNTY OF SIERRA hereinafter referred to as "LESSOR", and the **ALLIANCE FOR WORKFORCE DEVELOPMENT, INC.**, hereinafter referred to as "LESSEE". LESSOR, hereby leases to LESSEE and LESSEE hereby leases from LESSOR the portion of the facilities commonly known as the former Sierraville School, situated in Sierraville, California, as more fully described in Exhibit "A", attached hereto and incorporated herein by this reference, hereinafter referred to as the Premises, on the following terms and conditions:

1. **TERM:** The term hereof shall commence on July 1, 2020, and terminate on June 30, 2021 unless otherwise extended.

2. **RENT:** The rent shall be \$600.00 per month, payable monthly on or before the fifth day of each and every month during the term of the lease.
All rents shall be paid to LESSOR at the following address:

Sierra County Department of Public Works
P.O. Box 98
Downieville, CA 95936

3. **USE:** Said premises, as shown on Exhibit "A", are to be used for the conduct of the professional offices of the Alliance for Workforce Development, Inc. and shall include the modular office building, use of the restroom at the north end of the Sierraville School Building, as well as shared use of the kitchen as relates to daily use of the professional office. No additional rent is required for the kitchen unless such use is part of a larger event for which LESSEE is renting additional space within the school building.

4. **UTILITIES:** LESSEE shall be responsible for and pay for electricity and propane for the Premises. In addition LESSEE shall be responsible for the cost of any phone and internet service to the Premises, including any expense for installation thereof.

5. **USES PROHIBITED:** LESSEE shall not use any portion of the Premises for purposes other than those specified in the above paragraph (#3). No use shall be made or permitted to be made upon the Premises, nor acts done, which will increase the existing rate of insurance policies covering said property. LESSEE shall not conduct or permit any sale by auction on the Premises.

6. **ASSIGNMENT AND SUBLETTING:** LESSEE shall not assign this lease or sublet any portion of the Premises without prior written consent of the LESSOR. Any such assignment or subletting without consent shall be void and, at the option of the LESSOR, may terminate this lease.

7. **ORDINANCES AND STATUTES:** LESSEE shall comply with all statutes, ordinances and requirements of all municipal, state and federal authorities now in force, or which

may hereafter be in force, pertaining to the Premises, occasioned by or affecting the use of the premises and failure to comply shall be grounds for termination of this lease.

8. **MAINTENANCE, REPAIRS, and ALTERATIONS:** LESSEE acknowledges that the Premises are in good order and repair, unless otherwise indicated herein. LESSEE shall, at its own expense, and at all times, maintain the Premises in good and safe condition and shall surrender the same, at termination hereof, in a good as condition as received, normal wear and tear excepted. LESSEE shall be responsible for all repairs required excepting the roof, exterior walls, structural foundations, HVAC (water and electrical) systems, sidewalks, and parking lot, all of which shall be maintained by LESSOR. Except as expressly provided next, no improvements or alteration shall be made to the Premises without the prior written consent of the LESSOR. Prior to the commencement of any substantial repair LESSEE shall give LESSOR at least (2) days written notice in order that the LESSOR may post appropriate notices to avoid any liability for liens.

LESSOR expressly grants to and LESSEE agrees to wire the Premises with CAT 5 cabling with LESSEE being solely responsible for the cost thereof.

LESSEE agrees to and shall be responsible for cleaning the single restroom at the north end of the existing school building. LESSEE shall be responsible to clean the kitchen area of the Sierraville School building when it is used by LESSEE. In addition LESSEE shall keep the sidewalk closest to building entrance free of debris and snow.

LESSEE agree to work with Sierra County Public Works for seasonal ground maintenance and janitorial work for the Sierraville School, however LESSEE is solely responsible for janitorial work within the leased modular.

9. **ENTRY AND INSPECTION:** LESSEE shall permit LESSOR or LESSOR's agents to enter upon the Premises at reasonable times and upon reasonable notice, for the purpose of inspecting same.
10. **POSSESSION:** If LESSOR is unable to deliver possession of the Premises at the commencement hereof, LESSOR shall not be liable for any damages caused thereby, nor shall this lease be void or voidable, but LESSEE shall not be liable for any rent until possession is delivered. LESSEE may terminate this lease if possession is not delivered within 20 days of the commencement term hereof.
11. **INSURANCE-LESSEE:** LESSEE shall, at its expense, maintain in full force and effect during the term of this Lease, a policy for comprehensive public liability insurance covering bodily injury and property damage in and about the property, including but not limited to the building, the parking lot, and sidewalks, providing minimum limits of coverage in the amount of One Million Dollars (\$1,000,000). LESSOR shall be named as an additional insured on said insurance policy and provided with a copy of the policy.
12. **TERMINATION:** This lease is may be terminated by either party with 45 days written notice.

13. **TRADE FIXTURES:** Any and all improvements made to the premises during the term hereof shall belong to the LESSOR, except trade fixtures and, if LESSOR requests, computer wiring of the LESSEE. LESSEE shall upon termination hereof, remove all its trade fixtures and computer wiring, but shall repair or pay for all repairs necessary for damages to the premises occasioned by removal.
14. **DESTRUCTION OF PREMISES:** In the event of a partial destruction of the premises during the term hereof, from any cause, LESSOR shall forthwith repair the same, provided that such repairs can be reasonably be made within sixty (60) days under existing government laws and regulations and in consideration of the practicality and expense of any such repairs, but such partial destruction shall not terminate this lease, except that LESSEE shall be entitled to a proportionate refund of rent while such repairs are being made, based on the extent to which the making of such repairs shall interfere with business of the LESSEE on the premises. If such repairs cannot be made within sixty (60) days, this lease may be terminated at the option of either party. A total destruction of the building in which the premises are situated shall terminate this lease.
15. **HAZARDOUS MATERIALS:** LESSEE shall not use, store, or dispose of any hazardous substances upon the premises, except use and storage of such substances if they are customarily use in LESSEE's business, and such use and storage complies with all environmental laws. Hazardous substances mean any hazardous waste, substance or toxic materials regulated under any environmental laws or regulations applicable to the property.
16. **REMEDIES OF OWNER ON DEFAULT:** In the event of any breach of this lease by LESSEE, LESSOR may, at its option, terminate the lease and recover from LESSEE: (a) the worth at the time of award of the rent which was earned at the time of termination; (b) the worth at the time of award of the amount by which the return which would have been earned after termination until the time of the award exceed the amount of such rental loss that the LESSEE proves could have been reasonable avoided; (c) the worth at the time of award of the amount by which the unpaid rent for the balance of the term after the time award exceeds the amount of such rental loss that the LESSEE proves could be reasonable avoided; and (d) any other amount necessary to compensate LESSOR for all detriment proximately caused by LESSEE's failure to perform its obligations under the lease or which in the ordinary course of things would be likely to result therefrom.

LESSOR may, in the alternative, continue this lease in effect, as long as LESSOR does not terminate LESSEE'S right to possession, and LESSOR may enforce all its rights and remedies under the lease, including the right to recover the rent as it becomes due under the lease. If said branch of lease continues, LESSOR may at any time thereafter, elect to terminate the lease.

Nothing contained herein may be deemed to limit any other rights or remedies which LESSOR may have.

17. **WAIVER:** No failure of LESSOR to enforce any term hereof shall be deemed to be a waiver.
18. **NOTICES:** Any notice which either party may or is required to give, shall be given by mailing the same, postage prepaid, to LESSEE or LESSOR at the address shown below, or at such other places that may be designated by the parties from time to time.

LESSEE:
Luis M. Moreno, Assistant Director
Alliance for Workforce Development, Inc.
P.O. Box 3750
Quincy, CA 95971

LESSOR:
Tim H. Beals, Director
Sierra County Department of Public Works
P.O. Box 98
Downieville, CA 95936

with copy to:
Sierra County Board of Supervisors
P.O. Drawer D
Downieville, CA 95936

19. **HOLDING OVER:** If LESSEE, with LESSOR's consent, remains in possession of the premises after expiration or termination of the term, or after the date in any notice given by LESSOR to LESSEE terminating this lease, such possession by LESSEE shall be deemed to be a month-to-month tenancy terminable on 30 days' notice given at any time by either party.
20. **OPTION TO RENEW:** Provided that LESSEE is not in default in the performance of this lease, LESSEE shall have the option to renew the lease for an additional term of one year commencing at the termination of the original lease term. All of the terms and conditions of the lease shall apply during the renewal term. The option shall be exercised by written notice given to LESSOR not less than 60 days prior to the expiration of the initial lease term. If notice is not given in the manner provided herein within the time specified, this option shall expire.
21. **LESSOR'S LIABILITY:** The term "LESSOR" as used in this paragraph, shall mean the County of Sierra, the holder of the initial lease for the real property. In the event of transfer of such title or interest, the LESSOR named herein (or the grantor in case of any subsequent transfers) shall be relieved of all liability related to LESSOR's obligation to be performed after such transfer, however, that any funds in the hands of LESSOR or Grantor at the time of such transfer shall be delivered to GRANTEE. LESSOR's aforesaid obligations shall be time of such transfer shall be delivered to GRANTEE.

LESSOR's aforesaid obligations shall be binding upon LESSOR's successor and assigns only during their retrospective periods of ownership.

22. ENTIRE AGREEMENT: The foregoing constitutes the entire agreement between the parties and may be modified only in writing and signed by both parties.

The undersigned LESSEE hereby agrees to the above terms and conditions and Acknowledges receipt of a copy hereof.

LESSEE:
ALLIANCE FOR WORKFORCE
DEVELOPMENT

LUIS M. MORENO

Dated: _____

The undersigned LESSOR hereby agrees to the above terms and acknowledges receipt of a copy hereof.

LESSOR:

COUNTY OF SIERRA

JAMES BEARD
Chairman, Board of Supervisors

ATTEST:

APPROVED AS TO FORM:

HEATHER FOSTER
Clerk of the Board

DAVID PRENTICE
County Counsel

Miriam Dines

From: Tim Beals
Sent: Wednesday, March 25, 2020 8:44 AM
To: Miriam Dines
Subject: FW: RCRC-Sponsored Senate Bill 1191 (Dahle) - Request for Support Letters-April 3 BOS Item
Attachments: SB_1191_Ltr_to_Auth_03232020.pdf; SB_1191_County_Template_Ltr.docx; SB 1191 alternative compliance sample board memo - Approved.doc; Roadmap for SB 1383 Implementation.docx; SB 1191 Dahle Text.pdf

[April 3 BOS item](#)

From: Staci Heaton [mailto:sheaton@rcrcnet.org]
Sent: Wednesday, March 25, 2020 8:34 AM
To: Staci Heaton <sheaton@rcrcnet.org>
Cc: John Kennedy <jkennedy@rcrcnet.org>
Subject: RCRC-Sponsored Senate Bill 1191 (Dahle) - Request for Support Letters

Good morning!

At the March Board Meeting, RCRC's Board of Directors voted to sponsor SB 1191 (Dahle), which provides greater flexibility for local implementation of CalRecycle's new SB 1383 organic waste regulations and requires CalRecycle to consider a jurisdiction's "good faith efforts" to implement its organic waste recycling program before issuing a compliance order or imposing penalties on that jurisdiction. We discussed this bill at length at our March ESJPA Board of Directors meeting as well.

RCRC is requesting that Boards of Supervisors send SB 1191 support letters to Senator Brian Dahle. The bill has been referred to the Senate Environmental Quality Committee and is expected to be heard once the Legislature reconvenes in mid-April. We would love to have your help in elevating the bill to your respective Boards for their support!

Please find the following materials that may be of assistance attached:

- Copy of SB 1191 (Dahle)
- RCRC's Support/Sponsor letter for SB 1191
- SB 1191 support letter template
- SB 1191 support letter sample board memo
- Rural Counties Environmental Services Joint Powers Authority "Roadmap for SB 1383 Implementation" summarizing what the regulation is expected to require

Given the tremendous burden that SB 1383 implementation will impose on local governments, we strongly suggest submitting letters expressing support for SB 1191.

Please contact John Kennedy, RCRC Legislative Advocate, at jkennedy@rcrcnet.org or (661) 805-2697 with any questions.

Thank you!

Staci Heaton
Program Manager
Environmental Services Joint Powers Authority
(916) 447-4806
www.esjpa.org

SIERRA COUNTY

Board of Supervisors
P.O. Drawer D
Downieville, California 95936
Telephone (530) 289-3295
Fax (530) 289-2830



April 7, 2020

The Honorable Brian Dahle
Member, California State Senate
State Capitol, Room 2054
Sacramento, CA 95814

**RE: Senate Bill 1191 – SUPPORT
As Amended March 23, 2020**

Dear Senator Dahle:

On behalf of the County of Sierra I am writing to express our support for your Senate Bill 1191, related to organic waste management.

Senate Bill 1383 (Lara) of 2016 requires the state to reduce landfill disposal of organic waste 75 percent by 2025 and to increase edible food waste recovery 20 percent. The California Department of Resources Recycling and Recovery's (CalRecycle) is on the verge of adopting far-reaching regulations to achieve those objectives and has estimated that it will cost as much as \$40 billion over the next decade and will require adding 50-100 new organic waste recycling facilities. These requirements pose many financial and implementation challenges for local governments across the state.

SB 1191 allows low population counties and rural jurisdictions to develop and implement alternative organic waste management programs in place of the rigid and costly SB 1383 mandates. Additionally, SB 1191 aligns CalRecycle's organic waste management statute to conform it to long-standing provisions that require CalRecycle to consider whether a jurisdiction made a "good faith" effort to implement its recycling program before issuing a compliance order or imposing penalties.

The new SB 1383 regulations will impose a host of costly new requirements on local governments and will substantially drive up residential service rates – especially in areas that lack the population densities. While these jurisdictions may not have the economic resources to fully implement SB 1383, they can play a key role in developing the organic waste recycling capacity and markets to meet the overarching objectives. SB 1191 will temporarily allow those jurisdictions to implement alternative organic waste management programs that recover edible food waste, evaluate collaborative

approaches to organic waste recycling for animal feed and compost production, and explore opportunities for siting new regional organic waste facilities and markets.

Additionally, SB 1191 appropriately requires CalRecycle to consider whether a jurisdiction made a “good faith effort” to implement its organic waste recycling program before issuing a compliance order or imposing penalties on that jurisdiction. This “good faith” evaluation has long been a part of the state’s solid waste diversion program.

For these reasons, we support your SB 1191.

Sincerely,

SIERRA COUNTY
BOARD OF SUPERVISORS

James Beard
Chairman



RURAL COUNTY REPRESENTATIVES
OF CALIFORNIA

March 23, 2020

The Honorable Brian Dahle
Member, California State Senate
State Capitol, Room 2054
Sacramento, CA 95814

**RE: Senate Bill 1191 – SUPPORT/SPONSOR
As Amended March 23, 2020**

Dear Senator Dahle:

On behalf of the Rural County Representatives of California (RCRC), I am writing to affirm our sponsorship of your Senate Bill 1191, related to organic waste management. RCRC is an association of thirty-seven rural California counties, and the RCRC Board of Directors is comprised of elected supervisors from those member counties.

SB 1191 allows low population counties and rural jurisdictions to develop and implement alternative organic waste management programs in place of the rigid and costly Senate Bill 1383 (Lara; 2016) mandates. Additionally, SB 1191 aligns the California Department of Resources Recycling and Recovery's (CalRecycle) organic waste management statute to conform it to long-standing provisions that require CalRecycle to consider whether a jurisdiction made a "good faith" effort to implement its recycling program before issuing a compliance order or imposing penalties on that jurisdiction.

SB 1383 requires the state to reduce landfill disposal of organic waste 75 percent by 2025 and to increase edible food waste recovery 20 percent. CalRecycle is on the verge of adopting far-reaching regulations to achieve those objectives and has estimated that it will cost as much as \$40 billion over the next decade and will require adding 50-100 new organic waste recycling facilities. These requirements pose many financial and implementation challenges for local governments across the state.

The new SB 1383 regulations will impose a host of costly new requirements on local governments and will substantially drive up residential service rates – especially in low-population and rural areas that lack the population density or economies of scale often found in urban areas. Unfortunately, there is little flexibility built into the regulations to let smaller, rural jurisdictions and low population counties develop innovative approaches that will contribute to the state's organic waste reduction objectives at far lower cost. While these jurisdictions may not have the economic resources to fully implement the SB 1383 regulations, they can play a key role in developing the organic waste recycling capacity

1215 K Street, Suite 1650, Sacramento, CA 95814 | www.rcrcnet.org | 916.447.4806 | Fax: 916.448.3154

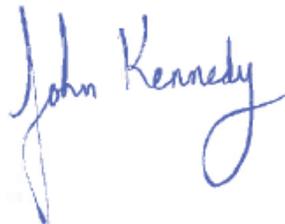
necessary to meet the overarching objectives and increasing the utilization of organic waste on working lands. SB 1191 will temporarily allow rural jurisdictions (those 19 counties with a population under 70,000 residents and the cities within those counties – just 1.5 percent of the state’s population) and low population counties (13 counties with between 70,000 and 250,000 residents) to implement alternative organic waste management programs that recover edible food waste, evaluate collaborative approaches to organic waste recycling for animal feed and compost production, and explore opportunities for siting new regional organic waste facilities and markets.

California’s ambitious solid waste diversion and recycling requirements have long required CalRecycle to consider whether a jurisdiction made a “good faith effort” to implement its solid waste diversion program before imposing penalties or a compliance order on that jurisdiction. Unfortunately, SB 1383’s placement of organic waste reduction requirements in the Public Resources Code made those existing requirements for CalRecycle to consider “good faith efforts” inapplicable to the ambitious new organics mandate. This leaves CalRecycle with little flexibility to consider a host of internal and external factors that impact a jurisdiction’s ability to implement the new regulatory requirements. SB 1191 reasonably aligns CalRecycle’s organic waste management statute with those long-standing requirements.

Finally, CalRecycle’s new SB 1383 regulations exempt high altitude elevations (over 4,500’) from food waste separation and recovery requirements to avoid bear-related public safety problems. Unfortunately, bear populations aren’t confined to areas above 4,500’ and the regulations fail to offer similar relief for those communities with nearby bear populations below that altitude. SB 1191 provides a similar exemption for residential and small commercial generators below 4,500’ in elevation where nearby bear populations pose a risk to public safety.

For these reasons, we support your SB 1191. If you should have any questions, please do not hesitate to contact me at jkennedy@rcrcnet.org or (916) 447-4806.

Sincerely,



JOHN KENNEDY
Legislative Advocate

cc: Members of the Senate Environmental Quality Committee
Genevieve Wong, Consultant, Senate Environmental Quality Committee
Scott Seekatz, Consultant, Senate Republican Caucus



**Rural Counties
Environmental Services
Joint Powers Authority**

ESJPA

**Planning for Implementation of SB 1383
February 27, 2020**

- Determine the Scope of SB 1383 requirements for your jurisdiction.
- Determine and map applicable organic collection waivers/exemptions from CalRecycle that may apply to the jurisdiction:
 - Counties with a population of less than 70,000 may apply for a rural exemption from complying from the organic waste collection requirements of Article 3 through December 31, 2026.
 - The Board of Supervisors must adopt a resolution that includes a finding as to the purpose of and need for the exemption prior to January 1, 2022.
 - Procurement requirements are delayed until January 1, 2027.
 - The first capacity planning report for infrastructure is delayed two years, until August 1, 2024.
 - Counties with a population of 70,000 or more may apply for low population waivers for up to five years for some or all its generators from some or all of the organic waste collection requirements of Article 3.
 - Low populations are census tracts with less than 75 persons per square mile or cities with less than 7,500 persons that had less than 5,000 tons of waste in 2014.
 - A jurisdiction may apply to renew this waiver at anytime up to 180 days prior to the expiration of the existing waiver.
 - Determine areas within the County that are at or above the 4,500-foot elevation for *food waste* collection waivers.
 - Determine the remaining population subject to organic waste collection requirements.
 - Apply for the waivers/exemptions right away, as it will impact the extent of your requirements.
- Determine the number of Tier 1 and Tier 2 businesses subject to the edible food recovery requirements.
 - Tier 1 – Supermarket (gross annual sales of at least \$2 million), grocery store (facility size 10,000 square feet), food service provider, food distributor, and wholesale food vendor.
 - Tier 2 – Restaurant with 250 or more seats, or a total facility size of 5,000 square feet, hotel with an on-site food facility and 200 or more rooms, health facility with an on-site food facility and 100 or more beds, large venues (e.g. county fair with more than 2,000 individuals per day), large events (e.g. sporting events or flea market with more than 2,000 individuals per day), a state agency with a cafeteria with 250 or more seats or a total cafeteria facility size at least 5,000 square feet, a local education agency with an on-site food facility.
 - The number of qualifying businesses may impact how you would like to proceed with your program.
- SB 1383 extends beyond the Solid Waste Management Department and Programs. Boards of Supervisors will need to determine what Department will take responsibility to implement the various requirements contained in these regulations.
 - The CalGreen Construction and Demolition Debris and Model Water Efficient Landscape Ordinance is generally administered by the Building Department. Should the Building Official

be directly responsible to meet the requirements of Article 8 of this Chapter implementing the CALGreen Building Standards and the Model Water Efficient Landscape Ordinance (MWELO), including the reporting requirements?

- SB 1383 requires jurisdictions annually procure a quantity of recovered organic waste products that meets or exceeds its annual procurement target determined by CalRecycle. Qualified products include compost, renewable gas used for fuel for transportation, electricity, heating application, electricity from biomass conversion, and mulch. The procurement requirements impact various departments. Should the procurement requirements including retaining documentation be administered through the County Administrative Office, Purchasing, or individual departments (which can be electronic)?
- SB 1383 includes an edible food recovery program. Should this program be administered through Social Services, Environmental Health, an Edible Food Recovery Task Force/working group that could include representatives from the Social Services Department, Environmental Health Department, Food Banks, Tier 1 and Tier 2 businesses, non-governmental organizations, churches, and other organizations to meet the requirements of Article 10 of this Chapter, including the reporting requirements.
- SB 1383 requires new responsibilities on LEAs.
- Who will be responsible for enforcement for each of the programs?
- Who will be responsible for the Implementation Record that is to gather all reporting requirements and kept in one central location?
- Determine if the Performance-Based Source Separated Organic Waste Collection Service is applicable to your jurisdiction.

➤ Adopt ordinances or enforceable mechanisms for implementation of SB 1383 by January 1, 2022.

- Determine cost and timeline associated with adopting enforceable mechanisms for SB 1383 implementation.
- Determine how to provide the required services and negotiate any necessary changes to existing collection contracts or franchise agreements.
- Components to be addressed are:
 - Organic waste collection service, including self-haul compliance.
 - Education and outreach, monitoring and inspections, enforcement, recordkeeping and reporting.
 - Edible food recovery.
 - Organic waste capacity planning.
 - Procurement.
 - CalGreen Building standards for recycling containers in new commercial and multi-family construction and construction and demolition recycling of residential and non-residential construction debris.
 - Model Water Efficient Landscape Ordinance for new construction to meet Water Efficient Landscape requirement for compost and mulch application.

➤ Provide organic waste collection to residents and remaining small businesses by January 1, 2022 (January 1, 2027, for an approved rural exemption).

- Determine amount of additional organic waste to be collected.
 - Identify the amount of waste disposed in your jurisdiction in 2014 and calculate the amount of organic waste in the waste stream (baseline).
 - Calculate the 50% diversion target for 2020.
 - Calculate the 75% diversion target for 2025.
- Determine types and amounts of organic waste being discarded either through waste composition studies or using CalRecycle's Waste Characterization Estimates tool.

- Food waste
- Leaves and grass
- Pruning and trimmings
- Branches and stumps
- Lumber
- Agricultural green waste
- Organic textiles
- Organic carpets
- Paper products
- Printing and writing paper
- Manure
- Biosolids
- Digestate
- Sludges
- Determine potential programs for organic diversion.
 - Reducing food waste
 - Backyard composting
 - Community composting/gardening
 - Animal feed opportunities
 - Land application opportunities
 - Compost operations
 - Anaerobic digestion facilities
- Identify existing organic processing facilities locations and available organic processing facility capacities.
 - Determine diversion rates for compliance with “high diversion organic waste processing facility” requirements.
 - What types of organics will be accepted?
 - Determine transportation costs and costs associated with the facilities.
 - Determine needed facility capacities and costs associated with new or expanded facilities.
- Identify existing collection haulers and collection systems.
- Working with the haulers, determine changes required and the associated cost to the collection system.
 - Route/collection system changes
 - Cart color changes
 - Labeling
 - For 2 or 3 container service, monitoring for container contamination
 - Annual random route reviews, or
 - Waste composition studies two times per year
- Determine compliance mechanisms and costs for self-haulers.

➤ Establish an edible food recovery program by January 1, 2022 that recovers 20% edible food from the waste stream by 2025.

- Determine scope of the program
 - Determine which Department is responsible to implement edible food recovery program.
 - Staffing
 - Recordkeeping
 - Enforcement
 - Reporting
 - Decide if the jurisdiction will include a task force/working groups made up of interested parties for edible food recovery for people and edible food recovery for animals
 - Responsibilities and expectations of the body
 - Number and composition of members
 - Number of meetings

- Determine Tier 1 and Tier 2 commercial generators edible waste.
 - Determine amount of food currently donated and to whom.
 - Determine amount of food currently discarded.
- Identify existing food recovery organization and services.
 - Develop and annually maintain list of food recovery organizations within the jurisdiction on the website.
 - Identify existing partnerships.
 - Determine their existing capacity and needs to expand capacity.
- Determine costs associated with program implementation.
 - Provide education and outreach to commercial edible food generators regarding edible food donation requirement, and available edible food recovery organizations.
 - Identify ways to increase donations.
 - Identify ways to increase potentially new partnerships.
 - Monitor commercial food generators compliance.
 - Conduct appropriate enforcement.
 - Explore funding mechanisms to increase food recovery.

➤ **Conduct outreach and education to all affected parties, including generators, haulers, facilities, edible food recovery organizations, and city/county departments prior to February 1, 2022.**

- Determine how and the cost to provide education and outreach.
- Annually provide information to organic waste generators on the proper segregation for the type of collection service provided, methods for prevention and recycling, methane reduction benefits, public health, safety and environmental impacts, through print or electronic media or direct contact through workshops, meetings, or on-site visits.
- Develop and maintain a list of food recovery organizations and services operating within the jurisdiction and maintain the list on the jurisdiction's website, updated annually.
- Annually provide commercial businesses that generate edible food with information about the jurisdiction's edible food recovery collection program, about commercial edible food generators requirements, about food recovery organization and services operating within the jurisdiction, and information about actions that commercial edible food generators can take to prevent the creation of food waste.
- Translation of education materials into any non-English language spoken by a substantial number of the public provided organic waste collection services is left to the discretion of the local agency.

➤ **Capacity Planning: Evaluating and planning for your jurisdiction's implementation of SB 1383 beginning August 1, 2022 (August 1, 2024, for counties with an approved rural exemption).**

- Determine costs associated with capacity planning requirements.
- Counties shall report the capacity planning for organic waste processing facilities and edible food recovery capacity to CalRecycle by August 1, 2022, for the period covering January 1, 2024, through December 31, 2024 (except for those counties with a rural exemption).
- Beginning August 1, 2024 and every five years thereafter all counties shall report to CalRecycle the capacity planning for ten-year periods beginning the following January 1.
- Counties are responsible to coordinate with the cities to estimate existing, new and/or expanded capacity.
- Counties and cities must demonstrate that they have access to recycling capacity through existing written documented arrangements.
- If capacity is insufficient, then each jurisdiction that lacks capacity must submit an implementation schedule to CalRecycle that includes specified timelines and milestones, including necessary funding.

- Procure recycled organic waste products like compost, mulch, and renewable natural gas (RNG) beginning by January 1, 2022 (January 1, 2027 for counties with an approved rural exemption).
 - Identify potential costs associated with procurement requirements to the various departments.
 - CalRecycle will provide a minimum procurement target that is linked to the jurisdiction's population.
 - CalRecycle will provide a calculator with the conversion factors for the various products procured.
 - The jurisdiction can decide what mix of products it will purchase or use.
 - A jurisdiction may count procurement from direct service providers (for example, its haulers).

- Monitor and inspect for compliance with SB 1383 beginning January 1, 2022, with enforcement beginning January 1, 2024.
 - Identify staff to conduct monitoring and inspections for various aspects of compliance.
 - Collection service
 - Edible food recovery program
 - Identify costs associated with enforcement against organic waste generators that are not in compliance.

- Maintain accurate and timely records of SB 1383 compliance.
 - Consider purchase of software program.
 - Determine cost to maintain records of all relevant documents supporting compliance with each requirement.
 - Copies of ordinances, contracts, agreements, policies, procedures, and programs.
 - Documentation and correspondence for
 - Organics collection
 - Container contamination minimization
 - Waivers and exemptions
 - Education and outreach
 - Hauler program
 - Edible food recovery program
 - Procurement
 - Enforcement
 - Documentation of which collection method(s) will be used and the geographical area for each collection method; if applicable, a list of all the high diversion organic waste processing facilities used and their quarterly and annual average mixed waste organic content recovery rates; a list of all approved haulers, and the geographical areas the hauler(s) serve; and if applicable, written notification from each facility that can recover compostable plastics to be placed in the green container or organic waste to be collected in plastic bags.
 - Process for determining the level of container contamination and documentation of the route reviews conducted; if applicable documentation of waste composition studies, including information on targeted route reviews conducted as a result of the studies, the dates of the studies, the location of the solid waste facility where the study was performed, routes, source sector, number of samples, weights and ratio of prohibited container contaminants and total sample size; copies of all written notices, violations, education and enforcement orders issued to generators; and documentation of the number of containers disposed of due to observation of prohibited container contaminants.
 - Description of the hauler program including type of hauler systems used, type and conditions of approvals per type of hauler, and criteria for approvals, denials, and revocations; the

jurisdictions process for issuing, revoking, and denying self-hauling and back-hauling; and records of hauler compliance including copies of reports required by haulers, and copies of all written approvals, denials, and revocations.

- Reporting requirements commencing 2022, and annually thereafter to the Department.
 - Determine costs associated with reporting requirements.
 - Beginning April 1, 2022, report on implementation and compliance with the requirements of this chapter including a copy of enforceable mechanisms adopted to implement the requirements, all reporting items listed in a jurisdiction's annual report, and contact information for the compliance-related responsible person.
 - Commencing August 1, 2022 and annually thereafter submit an annual report. The first report shall cover the period of January 1, 2022 – June 30, 2022 and is due October 1, 2022. Each subsequent report shall cover the entire previous year.
 - Each jurisdiction shall report the following:
 - Relative to the collection service: the type of organic waste collection services provided to its generators, the total number of generators receiving each type of organic waste collection service, and the RDRS Number of any high diversion organic waste processing facility it uses. If the jurisdiction allows placement of compostable plastic in green containers or organic waste to be collected in plastic bags, notices from each facility that accepts and recovers that material.
 - Relative to contamination monitoring: the number of route reviews conducted for container contaminants; the number of times notices, violations, or targeted education material were issued; the number of notifications received from a solid waste facility operator regarding container contaminants received at the facility, and the results of waste composition studies performed to meet the container contamination minimization requirement and resulting targeted route reviews.
 - Relative to waivers: the number of days an emergency circumstances waiver in effect and the type of waiver issued, the tons of organic waste that were disposed as a result of waivers, the number of generators issued a physical space waiver, the number of generators waived by the department from the requirements of organic waste collection service.
 - Regarding education and outreach: the number of organic waste generators and edible food generators that received information and the type of education and outreach use and the number of limited English speaking and linguistically isolated households that received information.
 - Regarding the hauler oversight requirements: the number of haulers approved to collect organic waste, the RDRS number of each facility that is receiving organic waste from haulers, and the number of haulers that have had their approval revoked or denied, and the number of self-haulers approved to operate within the jurisdiction.
 - Regarding the CALGreen Building Standards: the number of Construction and Demolition removal activities conducted; and the Model Water Efficient Landscape Ordinance: the number of projects subject to the ordinance.
 - Regarding the edible food recovery: the number of commercial edible food generators, the number of food recovery services and organizations that contract with or have written agreements with commercial edible food generators, and the total amount of edible food recovered by edible food recovery organizations and services.
 - Regarding the organic waste recycling capacity planning and edible food recovery capacity planning: the tons estimated to be generated for disposal, the amount of capacity verifiably available to the county and jurisdictions within the county, the amount of new capacity needed, the location identified for new or expanded facilities, the jurisdictions that are required to submit implementation schedules, and the jurisdictions that did not provide information required to the county within 120 days.

- Regarding the procurement requirements: the amount of each recovered organic waste product procured directly or through direct service providers by the county or cities during the prior calendar year, the total dollar amount spent on all paper purchases, the total dollar amount spent on all recycled content paper purchases, the total amount of transportation fuel, electricity, and gas for heating applications and pipeline injection procured from the previous year if the jurisdiction procures a reduced amount pursuant to section 18993.1. (j), and additional procurement opportunities identified within the jurisdiction's departments.
- Regarding compliance, monitoring, and enforcement: the number of commercial businesses subject to compliance reviews and the number of violations found and corrected; the number of route reviews conducted; the number of inspections conducted by type for commercial edible food generators, food recovery organizations, and commercial businesses; the number of complaints received, investigated, and violations found; and the number of NoVs and penalties issued by type of entity, and the number of enforcement actions that were resolved categorized by type of regulated entity.

➤ Facility monitoring requirements.

- Transfer stations/processing operations or facilities - grey container collection stream waste evaluations
 - Identify manned transfer stations or processing facilities and determine the annual volume going to landfill.
 - Determine the cost of quarterly grey container collection stream waste evaluations for those transfer stations or facilities that receive more than 500 tons of solid waste from at least one jurisdiction annually. (CalRecycle estimated that each sample would require an additional four hours of staff time.)
 - Beginning July 1, 2022, take one random, composite sample taken from various times during the operating day, representative of an operating day, of at least 200 pounds from the incoming gray container collection stream received by the facility.
 - Record the weight of the sample.
 - Remove any remnant organic material and determine the weight of that remnant organic material.
 - Determine the ratio of remnant organic material in the sample.
 - With written notification to the LEA, the gray container waste evaluations may be conducted offsite at an alternative, permitted or authorized solid waste facility or operation provided that the material is not processed prior to its transfer offsite for the waste evaluation.
 - Records of the waste evaluations and training of personnel in evaluating the amount of remnant organic material shall be maintained for 5 years and be available for review.
- Transfer stations/processing facilities
 - Identify organic waste processing facilities (MRFs, compost facilities, AD facilities)
 - Determine the cost of the monitoring requirements of organic waste recovered from mixed waste organic waste collection streams and source separated organic waste collections streams. (CalRecycle based its estimate on sampling 40 days per year and estimated that each sampling event would require two hours of employee time for a total of 80 hours per facility and a facility cost of \$4,560/year.)
 - Quarterly, measurements shall be performed over 10 consecutive operating days.
 - On each sampling day, take one sample of at least 200 pounds from each organic waste type separated after processing, representative of a typical operating day and taken either from various times of the day or from various locations within each pile of each of the organic waste types prior to sending to its destination.
 - Record the weight of each sample from each organic waste type.
 - Remove any incompatible material and determine the remaining weight of organic waste for each sample.
 - Determine the ratio of the remaining weight of organic waste to the total sample for each type of organic waste.

- Multiply the ratio for each type of organic waste by the total weight of all of the same type of organic waste separated after processing for its destination.
 - Determine the total weight of organic waste separated from the collection stream by adding the sum of all the weights calculated above.
 - Determine the cost of the monitoring requirements of organic waste removed from mixed waste organic waste collection streams and source separated organic waste collections streams for disposal. (CalRecycle based its estimate on sampling 40 days per year and estimated that each sampling event would require two hours of employee time for a total of 80 hours per facility and a facility cost of \$4,560/year.)
 - Quarterly, measurements shall be performed over 10 consecutive operating days.
 - On each sampling day, take one sample of at least 200 pounds representative of a typical operating day and taken either from various times of the day or from various locations within each pile of each of the organic waste types prior to sending to its destination.
 - Record the total weight of the sample.
 - Remove any incompatible material and determine the remaining weight of organic waste in the sample.
 - Determine the ratio of the organic waste present in the materials removed for disposal to the total sample.
 - Determine the total weight of organic waste removed from the collection stream that is sent to disposal by multiplying the ratio determined above by the total weight of the materials removed from the collection stream for disposal.
 - The measurements shall be conducted in the presence of the EA when requested and the EA may require the operator to increase the frequency of measurements and/or revise the protocol to improve accuracy. An alternative measurement protocol may be approved by the EA with concurrence by the Department.
- Compost facilities
 - Determine the quarterly percentage of organic waste contained in materials sent to landfill disposal.
 - The sampling protocol shall be conducted over 10 consecutive operating days.
 - Each operating day, the sampling protocol is:
 - Take one random, representative sample of at least 200 pounds of materials that is sent to disposal on that operating day, taken either from various times of the day or from various locations.
 - Record the total weight of the sample.
 - Remove any material that is not organic waste and determine the remaining weight of organic waste in the sample.
 - Determine the ratio of the organic waste in the materials by dividing the total from the remaining weight of organic waste by the total weight of the sample.
 - Determine the total weight of organic waste that is sent to disposal by multiplying the ratio determined above by the total weight of the materials sent to landfill disposal.
 - Record the sum of outgoing weights of organic waste present in the material from the 10 sampling days that is sent to landfill disposal each day.
 - Determine the ratio of organic waste sent to disposal by dividing the total from above by the total outgoing weights of material that is sent to disposal each sampling day.
 - Determine the percentage of organic waste present in the material sent to disposal.
 - The measurement shall be conducted in the presence of the EA when requested and the EA may require the operator to increase the frequency of measurements and/or revise the protocol to improve accuracy. An alternative measurement protocol may be approved by the EA with concurrence by the Department.
- In-vessel digestion facilities
 - Determine the quarterly percentage of organic waste contained in materials sent to landfill disposal.
 - The sampling protocol shall be conducted over 10 consecutive operating days.

- Each operating day, the sampling protocol is:
 - Take one random, representative sample of at least 200 pounds of materials that is sent to disposal on that operating day, taken either from various times of the day or from various locations.
 - Record the total weight of the sample.
 - Remove any material that is not organic waste and determine the remaining weight of organic waste in the sample.
 - Determine the ratio of the organic waste in the materials by dividing the total from the remaining weight of organic waste by the total weight of the sample.
 - Determine the total weight of organic waste that is sent to disposal by multiplying the ratio determined above by the total weight of the materials sent to landfill disposal.
 - Record the sum of outgoing weights of organic waste present in the material from the 10 sampling days that is sent to landfill disposal each day.
 - Determine the ratio of organic waste sent to disposal by dividing the total from above by the total outgoing weights of material that is sent to disposal each sampling day.
 - Determine the percentage of organic waste present in the material sent to disposal.
- The measurement shall be conducted in the presence of the EA when requested and the EA may require the operator to increase the frequency of measurements and/or revise the protocol to improve accuracy. An alternative measurement protocol may be approved by the EA with concurrence by the Department.
- Landfills
 - Determine the cost to prepare a Status Impact Report (SIR) that provides an analysis of the potential impact to the landfill resulting from the implementation of the organic disposal reduction requirements.
 - The SIR shall be submitted to CalRecycle within a year of the effective date of this regulation.
 - The SIR shall be prepared by a CA registered civil engineer or certified engineering geologist; and contain specific and detailed information:
 - Site development
 - Waste types/volumes
 - Daily and intermediate cover and beneficial use
 - Volumetric capacity based on reduction requirements
 - Waste handling methods
 - Gas control and monitoring systems
 - Gas generation
 - Operation and closure design
 - Final grading plan
 - Site life estimate
 - Ancillary facilities
 - Cost estimate for closure and postclosure
 - Financial assurance mechanisms for closure, postclosure, and non-water corrective action requirements



RURAL COUNTY REPRESENTATIVES
OF CALIFORNIA

March 23, 2020

The Honorable Brian Dahle
Member, California State Senate
State Capitol, Room 2054
Sacramento, CA 95814

**RE: Senate Bill 1191 – SUPPORT/SPONSOR
As Amended March 23, 2020**

Dear Senator Dahle:

On behalf of the Rural County Representatives of California (RCRC), I am writing to affirm our sponsorship of your Senate Bill 1191, related to organic waste management. RCRC is an association of thirty-seven rural California counties, and the RCRC Board of Directors is comprised of elected supervisors from those member counties.

SB 1191 allows low population counties and rural jurisdictions to develop and implement alternative organic waste management programs in place of the rigid and costly Senate Bill 1383 (Lara; 2016) mandates. Additionally, SB 1191 aligns the California Department of Resources Recycling and Recovery's (CalRecycle) organic waste management statute to conform it to long-standing provisions that require CalRecycle to consider whether a jurisdiction made a "good faith" effort to implement its recycling program before issuing a compliance order or imposing penalties on that jurisdiction.

SB 1383 requires the state to reduce landfill disposal of organic waste 75 percent by 2025 and to increase edible food waste recovery 20 percent. CalRecycle is on the verge of adopting far-reaching regulations to achieve those objectives and has estimated that it will cost as much as \$40 billion over the next decade and will require adding 50-100 new organic waste recycling facilities. These requirements pose many financial and implementation challenges for local governments across the state.

The new SB 1383 regulations will impose a host of costly new requirements on local governments and will substantially drive up residential service rates – especially in low-population and rural areas that lack the population density or economies of scale often found in urban areas. Unfortunately, there is little flexibility built into the regulations to let smaller, rural jurisdictions and low population counties develop innovative approaches that will contribute to the state's organic waste reduction objectives at far lower cost. While these jurisdictions may not have the economic resources to fully implement the SB 1383 regulations, they can play a key role in developing the organic waste recycling capacity

1215 K Street, Suite 1650, Sacramento, CA 95814 | www.rcrcnet.org | 916.447.4806 | Fax: 916.448.3154

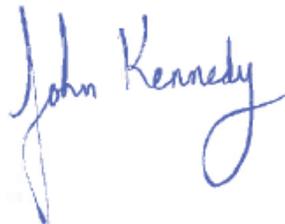
necessary to meet the overarching objectives and increasing the utilization of organic waste on working lands. SB 1191 will temporarily allow rural jurisdictions (those 19 counties with a population under 70,000 residents and the cities within those counties – just 1.5 percent of the state’s population) and low population counties (13 counties with between 70,000 and 250,000 residents) to implement alternative organic waste management programs that recover edible food waste, evaluate collaborative approaches to organic waste recycling for animal feed and compost production, and explore opportunities for siting new regional organic waste facilities and markets.

California’s ambitious solid waste diversion and recycling requirements have long required CalRecycle to consider whether a jurisdiction made a “good faith effort” to implement its solid waste diversion program before imposing penalties or a compliance order on that jurisdiction. Unfortunately, SB 1383’s placement of organic waste reduction requirements in the Public Resources Code made those existing requirements for CalRecycle to consider “good faith efforts” inapplicable to the ambitious new organics mandate. This leaves CalRecycle with little flexibility to consider a host of internal and external factors that impact a jurisdiction’s ability to implement the new regulatory requirements. SB 1191 reasonably aligns CalRecycle’s organic waste management statute with those long-standing requirements.

Finally, CalRecycle’s new SB 1383 regulations exempt high altitude elevations (over 4,500’) from food waste separation and recovery requirements to avoid bear-related public safety problems. Unfortunately, bear populations aren’t confined to areas above 4,500’ and the regulations fail to offer similar relief for those communities with nearby bear populations below that altitude. SB 1191 provides a similar exemption for residential and small commercial generators below 4,500’ in elevation where nearby bear populations pose a risk to public safety.

For these reasons, we support your SB 1191. If you should have any questions, please do not hesitate to contact me at jkennedy@rcrcnet.org or (916) 447-4806.

Sincerely,



JOHN KENNEDY
Legislative Advocate

cc: Members of the Senate Environmental Quality Committee
Genevieve Wong, Consultant, Senate Environmental Quality Committee
Scott Seekatz, Consultant, Senate Republican Caucus

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: April 7, 2020	TYPE OF AGENDA ITEM: <input checked="" type="checkbox"/> Regular <input type="checkbox"/> Timed <input type="checkbox"/> Consent
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DEPARTMENT: Public Works and Transportation
APPROVING PARTY: Tim H. Beals, Director
PHONE NUMBER: 530-289-3201

AGENDA ITEM: Resolution adopting a list of road maintenance projects to be funded by SB 1 funds for Fiscal Year 2020-2021.

SUPPORTIVE DOCUMENTS ATTACHED: Memo Resolution Agreement Other

BACKGROUND INFORMATION: Sierra County must adopt by resolution a list of all projects proposed to receive funding from the Road Maintenance and Rehabilitation Account (RMRA) which was created by SB 1. This is for the fourth year of SB 1 Funding. The proposed project list is county wide and involves mainly road maintenance efforts.

FUNDING SOURCE: RMRA (Road Maintenance and Rehabilitation Account) to Road Fund
GENERAL FUND IMPACT: No General Fund Impact
OTHER FUND:
AMOUNT: \$888,071 estimated revenue N/A

ARE ADDITIONAL PERSONNEL REQUIRED? <input type="checkbox"/> Yes, -- -- <input checked="" type="checkbox"/> No	IS THIS ITEM ALLOCATED IN THE BUDGET? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No IS A BUDGET TRANSFER REQUIRED? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
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SPACE BELOW FOR CLERK'S USE

BOARD ACTION: <input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken	<input type="checkbox"/> Set public hearing For: _____ <input type="checkbox"/> Direction to: _____ <input type="checkbox"/> Referred to: _____ <input type="checkbox"/> Continued to: _____ <input type="checkbox"/> Authorization given to: _____	Resolution 2020- _____ Agreement 2020- _____ Ordinance _____ Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus
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COMMENTS:

_____ CLERK TO THE BOARD	_____ DATE
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BOARD OF SUPERVISORS, COUNTY OF SIERRA, STATE OF CALIFORNIA

**IN THE MATTER OF ADOPTING A
LIST OF PROJECTS FOR FISCAL YEAR 2020-21
FUNDED BY SB1 THE ROAD REPAIR
AND ACCOUNTABILITY ACT OF 2017**

RESOLUTION 2020-_____

WHEREAS, Senate Bill 1 (SB 1), the Road Repair and Accountability Act of 2017 (Chapter 5, Statutes of 2017) was passed by the Legislature and Signed into law by the Governor in April 2017 to address the significant multi-modal transportation funding shortfalls statewide; and

WHEREAS, SB 1 includes accountability and transparency provisions that will ensure the residents of Sierra County are aware of the projects proposed for funding in our community and which projects have been completed each fiscal year; and

WHEREAS, the Sierra County must adopt by resolution a list of projects proposed to receive fiscal year funding from the Road Maintenance and Rehabilitation Account (RMRA), created by SB 1, which must include a description and the location of each proposed project, a proposed schedule for the project's completion, and the estimated useful life of the improvement; and

WHEREAS, Sierra County will receive and estimated \$888,071 in RMRA funding in Fiscal Year 2020-21 from SB 1; and

WHEREAS, this is the fourth year in which Sierra County is receiving SB 1 funding and it will enable the County to continue essential road maintenance and rehabilitation projects, safety improvements, repairing and replacing aging bridges, and increasing access and mobility options for the traveling public that would not have otherwise been possible without SB 1 ; and

WHEREAS, the SB 1 project list and overall investment in our local streets and roads infrastructure with a focus on basic maintenance and safety, investing in complete streets infrastructure, and using cutting-edge technology, materials and practices, will have significant positive co-benefits statewide.

NOW, THEREFORE IT IS HEREBY RESOLVED, ORDERED AND FOUND by the Board of Supervisors of the County of Sierra, State of California, as follows:

1. The foregoing recitals are true and correct.

2. The fiscal year 2020-21 list of projects planned to be funded with Road Maintenance and Rehabilitation Account revenues is attached hereto and incorporated herein as Exhibit 1 to this Resolution.

PASSED AND ADOPTED by the Sierra County Board of Supervisors, State of California this 7th day of April, 2020, by the following vote:

AYES:
NOES:
ABSENT:
ABSTAIN:

COUNTY OF SIERRA

JIM BEARD
CHAIRMAN, BOARD OF SUPERVISORS

ATTEST:

APPROVED AS TO FORM:

HEATHER FOSTER
CLERK OF THE BOARD

DAVID PRENTICE
COUNTY COUNSEL

Sierra County 2020/2021 FY RMRA project list

Agency	Program	Funding FY	Project Type	Title	Description	Location	Est Completion Pre Con	Est Completion Con	Est Useful Life Min	Est Useful Life Max
Sierra County	Local Streets and Roads Program	20/21	Road Maintenance & Rehabilitation	Countywide Road Maintenance Program	This project will include all aspects of maintaining county roadways including regarding roadway surface, reforming drainages to protect the road and storm runoff, removing vegetation to improve visual clearances and safety. Repair or replace damaged signs and guardrail. Costs included in this project are the purchase of materials and equipment to support this work and force account labor costs of the road department.	Countywide	7/1/2020	6/30/2021	1	5



Hi Lee:

We are moving forward with the Alleghany Firehouse Improvement Project. We are splitting the permit into two phases. Phase one is to build the covered walkway, establishing an ADA compliant path of travel, while addressing the long-standing drainage issue on that side of the building.

Phase 2 will be to make the bathroom ADA compliant and the meeting room more ADA compliant.

I realize that you will have to put this request on a BOS agenda. Miriam has the permit application and will be able to advise how much the fees will be once she gets started on it. Because we are using volunteer labor I had to guess the total value of the project. I used \$100,000. The materials alone are \$38,000 and if the work was done with prevailing wage labor it would probably be a lot more than \$100,000.

THANK YOU.

Rae Bell Arbogast
District Admin.

Home office 530-287-3454 (I don't have caller ID let it ring) cell 530-902-4422 (doesn't work when I am in Alleghany)

Pliocene Ridge CSD
Alleghany, Forest City and Pike City "Fire District"
Alleghany & Forest City "Streetlight District"
District Phone 530-288-0624
Website:
plioceneridge.org

Cancel

Re: Request for permit fee waiver for Alleghany Firehouse Project



On Mar 27, 2020, at 12:09 PM, Pliocene Ridge CSD <plioceneridge@gmail.com> wrote:

Hi Lee: The materials and engineering are estimated at \$38,000. The USDA is covering 75% or \$28,500 and Pliocene Ridge is putting in \$9,500 or 25%. All of the labor is being donated by those of us who are directly involved with the two fire depts. (Pike and Alleghany) and the County has committed to installing the ADA compliant drain and the bollards in the front, after the concrete work is done. That was approved awhile ago, by you and Tim and Pete Sainsbury made a site visit and went over the plans with me. Also, Sierra Metal Fab gave us about a 50% discount on the steel quote, they are going to manufacture the steel for us.

Let me know if you need anything else.

Thanks,

Rae Bell Arbogast
District Admin.

Home office 530-287-3454 (I don't have caller ID let it ring) cell 530-902-4422 (doesn't work when I am in Alleghany)

Pliocene Ridge CSD
Alleghany, Forest City and Pike City "Fire District"
Alleghany & Forest City "Streetlight District"
District Phone 530-288-0624

Website:

plioceneridge.org

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: April 7, 2020	TYPE OF AGENDA ITEM: <input checked="" type="checkbox"/> Regular <input type="checkbox"/> Timed <input type="checkbox"/> Consent
---------------------------------------	---

DEPARTMENT: Board of Supervisors
APPROVING PARTY: Heather Foster, Clerk of the Board
PHONE NUMBER: 530-289-3295

AGENDA ITEM: CONTINUED COVID-19 PUBLIC HEALTH EMERGENCY UPDATE: Report from Sierra County Public Health, County Office of Emergency Services, County Department Managers, Forest Service Representatives, and other local agencies on recent developments relating to the COVID-19 Public Health Emergency and possible direction to staff.

SUPPORTIVE DOCUMENTS ATTACHED: Memo Resolution Agreement Other

BACKGROUND INFORMATION:

FUNDING SOURCE:
GENERAL FUND IMPACT: No General Fund Impact
OTHER FUND:
AMOUNT: \$ N/A

ARE ADDITIONAL PERSONNEL REQUIRED?

 Yes, -- --
 No

IS THIS ITEM ALLOCATED IN THE BUDGET? Yes No

IS A BUDGET TRANSFER REQUIRED? Yes No

SPACE BELOW FOR CLERK'S USE

<p>BOARD ACTION:</p> <input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken	<input type="checkbox"/> Set public hearing For: _____ <input type="checkbox"/> Direction to: _____ <input type="checkbox"/> Referred to: _____ <input type="checkbox"/> Continued to: _____ <input type="checkbox"/> Authorization given to: _____	Resolution 2020- _____ Agreement 2020- _____ Ordinance _____ Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus
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COMMENTS:

CLERK TO THE BOARD _____ DATE _____

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: April 7, 2020	TYPE OF AGENDA ITEM: <input checked="" type="checkbox"/> Regular <input type="checkbox"/> Timed <input type="checkbox"/> Consent
---------------------------------------	---

DEPARTMENT: Clerk-Recorder
APPROVING PARTY: Heather Foster, Clerk-Recorder
PHONE NUMBER: 530-289-3295

AGENDA ITEM: Minutes from the County Service Area Board of Directors meeting held on September 3, 2019.

SUPPORTIVE DOCUMENTS ATTACHED: Memo Resolution Agreement Other

BACKGROUND INFORMATION:

FUNDING SOURCE:
GENERAL FUND IMPACT: No General Fund Impact
OTHER FUND:
AMOUNT: \$ N/A

ARE ADDITIONAL PERSONNEL REQUIRED?

 Yes, -- --
 No

IS THIS ITEM ALLOCATED IN THE BUDGET? Yes No

IS A BUDGET TRANSFER REQUIRED? Yes No

SPACE BELOW FOR CLERK'S USE

<p>BOARD ACTION: <input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken</p>	<p><input type="checkbox"/> Set public hearing For: _____ <input type="checkbox"/> Direction to: _____ <input type="checkbox"/> Referred to: _____ <input type="checkbox"/> Continued to: _____ <input type="checkbox"/> Authorization given to: _____</p>	<p>Resolution 2020- _____ Agreement 2020- _____ Ordinance _____ Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus</p>
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COMMENTS:

CLERK TO THE BOARD

DATE

BOARD OF DIRECTORS FOR COUNTY SERVICE AREAS (CSA)

*** M I N U T E S ***

September 3, 2019

The Sierra County Board of Directors for County Service Areas met on Tuesday, September 3, 2019 in the Board of Supervisors' Chambers, Courthouse, Downieville, CA.

Present: Lee Adams, Supervisor, District #1
Peter W. Huebner, Supervisor, District #2
Paul Roen, Chair, District #3
Jim Beard, Vice-Chair, District #4
Sharon Dryden, Supervisor, District #5

Absent: Paul Roen, Supervisor, Chair, District #3

Staff: Heather Foster, County Clerk-Recorder
Amanda Uhrhammer, Deputy County Counsel
Van Maddox, Auditor/Treasurer Tax-Collector
Tim Beals, Director of Planning and Transportation

10. COUNTY SERVICE AREA BOARD OF DIRECTORS

Meeting of the County Service Area (CSA) Board of Directors.

The County Service Area Board of Directors convened at 10:18 a.m.

10.A. Discussion/direction as needed regarding the Sierra Brooks Water System Project.

The Director of Public Works provided an update on the Sierra Brooks Water System Project including the groundbreaking ceremony scheduled for September 4, 2019 at 10:00 a.m.; contract change orders to further reduce the cost of the project; and the proposed Sierra Brooks Water System ordinance amendment.

Board questioning ensued.

No action taken.

ADJOURN

At 10:27 a.m. with no further business, Vice-Chair Beard adjourned the meeting of the County Service Area (CSA) Board of Directors.

JIM BEARD, VICE-CHAIR
BOARD OF SUPERVISORS

ATTEST:

HEATHER FOSTER
CLERK TO THE BOARD

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: April 7, 2020	TYPE OF AGENDA ITEM: <input checked="" type="checkbox"/> Regular <input type="checkbox"/> Timed <input type="checkbox"/> Consent
---------------------------------------	---

DEPARTMENT: Clerk-Recorder
APPROVING PARTY: Heather Foster, Clerk-Recorder
PHONE NUMBER: 530-289-3295

AGENDA ITEM: Minutes from the County Service Area Board of Directors meeting held on October 1, 2019.

SUPPORTIVE DOCUMENTS ATTACHED: Memo Resolution Agreement Other

BACKGROUND INFORMATION:

FUNDING SOURCE:
GENERAL FUND IMPACT: No General Fund Impact
OTHER FUND:
AMOUNT: \$ N/A

ARE ADDITIONAL PERSONNEL REQUIRED?

 Yes, -- --
 No

IS THIS ITEM ALLOCATED IN THE BUDGET? Yes No

IS A BUDGET TRANSFER REQUIRED? Yes No

SPACE BELOW FOR CLERK'S USE

<p>BOARD ACTION:</p> <input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken	<input type="checkbox"/> Set public hearing For: _____ <input type="checkbox"/> Direction to: _____ <input type="checkbox"/> Referred to: _____ <input type="checkbox"/> Continued to: _____ <input type="checkbox"/> Authorization given to: _____	Resolution 2020- _____ Agreement 2020- _____ Ordinance _____ Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus
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COMMENTS:

CLERK TO THE BOARD

DATE

BOARD OF DIRECTORS FOR COUNTY SERVICE AREAS (CSA)

* M I N U T E S *

October 1, 2019

The Sierra County Board of Directors for County Service Areas met on Tuesday, October 1, 2019 in the Board of Supervisors' Chambers, Courthouse, Downieville, CA.

Present: Lee Adams, Supervisor, District #1
Peter W. Huebner, Supervisor, District #2
Paul Roen, Chair, District #3
Jim Beard, Vice-Chair, District #4
Sharon Dryden, Supervisor, District #5

Staff: Heather Foster, County Clerk-Recorder
Amanda Uhrhammer, Deputy County Counsel
Van Maddox, Auditor/Treasurer Tax-Collector
Tim Beals, Director of Planning and Transportation

10. COUNTY SERVICE AREA BOARD OF DIRECTORS

The County Service Area Board of Directors convened at 11:37 a.m.

10.A. Approval of Contract Change Order #2 for the Sierra Brooks Water System Project making contract deletions and additions to conform to approved project budget.

Following brief discussion, the Board moved to approve the Contract Change Order #2 for the Sierra Brooks Water System Project making contract deletions and additions to conform to approved project budget.

APPROVED. Motion: Dryden/Huebner/Unanimous Roll Call Vote: 5/0

ADJOURN

At 11:38 a.m. with no further business, Chair Roen adjourned the meeting of the County Service Area (CSA) Board of Directors.

PAUL ROEN, CHAIR
BOARD OF SUPERVISORS

ATTEST:

HEATHER FOSTER
CLERK TO THE BOARD

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: April 7, 2020	TYPE OF AGENDA ITEM: <input checked="" type="checkbox"/> Regular <input type="checkbox"/> Timed <input type="checkbox"/> Consent
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DEPARTMENT: Public Works and Transportation
APPROVING PARTY: Tim H. Beals, Director
PHONE NUMBER: 530-289-3201

AGENDA ITEM: Approval of Contract Change Order #6 for the Sierra Brooks Water System Project Making Contract Deletions and Additions to Conform to Approved Project Budget.

SUPPORTIVE DOCUMENTS ATTACHED: Memo Resolution Agreement Other

BACKGROUND INFORMATION: During the excavation of the pipeline to the new tank for the Sierra Brooks Water Improvement Project extensive rock was encountered. The contract has a bid item for rock excavation of \$1,400 per CY. This original contract item included 10 CY for a total of \$14,000. The actual quantity of rock removed in the excavation of this pipeline was 500 CY, this would equate to \$700,000 if paid at the contract price. The contract specifications allow for CSA 5 to file a claim seeking an adjustment in the Contract Price. Because the amount differs materially and significantly from the estimated quantity in accordance with Agreement Article 13.03.E. Unit Price Work. We have worked with the contractor RDC to determine the actual cost of this work and a 15% mark-up per the Article 11.04 Change of Contract Price C.2.a. The agreed to cost for the rock excavation is \$487.85 per CY. The actual cost to be paid for all 500 CY is \$243,925. This price includes a credit of \$29,787.36 for the reduction in regular excavation that was not required as a result of the extensive rock encountered. RDC has also agreed to reduce the cost of the 10 CY in the original contract to the actual cost rate of \$487.85 per CY, reduced from \$1,400 per CY, for a savings of \$9,121.50. The net increase to the contract total price for the rock excavation item is \$229,925. The detailed item cost is included in RDC report attached to the CCO.

FUNDING SOURCE: Sierra Brooks Water Project
GENERAL FUND IMPACT: No General Fund Impact
OTHER FUND:
AMOUNT: \$ N/A

ARE ADDITIONAL PERSONNEL REQUIRED?

Yes, -- --
No

IS THIS ITEM ALLOCATED IN THE BUDGET? Yes No

IS A BUDGET TRANSFER REQUIRED? Yes No

SPACE BELOW FOR CLERK'S USE

<p>BOARD ACTION:</p> <input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken	<input type="checkbox"/> Set public hearing For: _____ <input type="checkbox"/> Direction to: _____ <input type="checkbox"/> Referred to: _____ <input type="checkbox"/> Continued to: _____ <input type="checkbox"/> Authorization given to: _____	Resolution 2020- _____ Agreement 2020- _____ Ordinance _____ Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus
---	---	---

Date of Issuance: 03/23/2020	Effective Date: 03/23/2020
Owner: Sierra County Service Area 4A-5A	Owner's Contract No.: CSA 2019-002
Contractor: Farr Construction dba Resource Development Co.	Contractor's Project No.:
Engineer: Keith Knibb, Sauers Engineering	Engineer's Project No.:
Project: Sierra Brooks Water Systems Improvement - Phase II	Contract Name:

The Contract is modified as follows upon execution of this Change Order:

Description: Make the following items changes in accordance with contractor proposals and engineering plans;
 Complete 24 hour constant rate pump test for well under development per proposal provided via email from Sam Melvin 2/28/2020.
 Reduce Item 6 Rock Excavation 100 (10 CY @ \$1,400 per CY) total reduction -\$14,000.
 Add Extra work for rock excavation 500 CY at \$487.85 per CY per attached proposal dated 1/10/2020 and the revision agreed to at 02/12/2020 meeting per Jeff Farr email dated 3/17/2020.

Attachments: *Sierra Brooks Water System Improvements Project – Phase II Contract Items CCO6
 Rock Excavation Proposal dated 1/10/2020
 Email from Jeff Farr dated 3/17/2020*

CHANGE IN CONTRACT PRICE	CHANGE IN CONTRACT TIMES
Original Contract Price: <u>\$ 4,380,393.00</u>	Original Contract Times: Substantial Completion: <u>August 20, 2020</u> Ready for Final Payment: <u>September 20, 2020</u> date
Decrease from previously approved Change Orders No. <u>1</u> to No. <u>5</u> : <u>\$(373,702.42)</u>	[Increase] [Decrease] from previously approved Change Orders No. <u>1</u> to No. <u>4</u> : Substantial Completion: <u>August 20, 2020</u> Ready for Final Payment: <u>September 20, 2020</u> Date
Contract Price prior to this Change Order: <u>\$4,006,690.58</u>	Contract Times prior to this Change Order: Substantial Completion: <u>August 20, 2020</u> Ready for Final Payment: <u>September 20, 2020</u> date
Increase of this Change Order: <u>\$238,745.00</u>	[Increase] [Decrease] of this Change Order: Substantial Completion: <u>August 20, 2020</u> Ready for Final Payment: <u>September 20, 2020</u> date
Contract Price incorporating this Change Order: <u>\$4,245,435.58</u>	Contract Times with all approved Change Orders: Substantial Completion: <u>August 20, 2020</u> Ready for Final Payment: <u>September 20, 2020</u> date

RECOMMENDED:

Ready for Final Payment:

ACCEPTED:

By: _____	By: _____	By: _____
Engineer Keith Knibb	Owner Tim H. Beals	Contractor Jeff Farr
Title: _____	Title _____	Title _____
Project Engineer	Director of Transportation	President
Date: _____	Date _____	Date _____

Approved by Funding Agency (if applicable)

By: _____ Date: _____

Title: _____

Sierra Brooks Water System Improvements Project - Phase 2
Contract CSA 2019-002 Items

ITEM	CONTRACT ITEM	UNIT	QTY	PRICE	AMOUNT
1	Mobilization	LS	1	\$ 200,000.00	\$ 200,000.00
2	Traffic Control	LS	1	\$ 56,000.00	\$ 56,000.00
3	Clearing and Grubbing	LS	1	\$ 38,000.00	\$ 38,000.00
4	Sheeting, Shoring, and Bracing	LS	1	\$ 43,000.00	\$ 43,000.00
5	Erosion Control BMPs	LS	1	\$ 15,300.00	\$ 15,300.00
6	Rock Excavation	CY	10	\$ 1,400.00	\$ 14,000.00
7	350,000 Gallon Welded Steel Storage Tank	LS	1	\$ 281,088.00	\$ 281,088.00
8	Interior and Exterior Tank Coating	LS	1	\$ 178,700.00	\$ 178,700.00
9	Tank Grading, Site Development, and Access Road	LS	1	\$ 205,000.00	\$ 205,000.00
10	Tank Foundation	LS	1	\$ 55,000.00	\$ 55,000.00
11	Tank Piping, Valves, Vaults, and Drainage	LS	1	\$ 115,000.00	\$ 115,000.00
12	Tank Electrical, Instrumentation, and Telemetry	LS	1	\$ 110,000.00	\$ 110,000.00
13	12" Tank Inlet/Outlet Pipeline	LF	1787	\$ 95.00	\$ 169,765.00
14	Booster Pump Station Mechanical	LS	1	\$ 96,000.00	\$ 96,000.00
15	Booster Pump Station Building	LS	1	\$ 108,000.00	\$ 108,000.00
16	Booster Pump Station Site Work	LS	1	\$ 70,000.00	\$ 70,000.00
17	Booster Pump Station Paved Access and Driveway	SF	1500	\$ 49.00	\$ 73,500.00
18	Booster Pump Station Generator and Transfer Switch	LS	1	\$ 61,000.00	\$ 61,000.00
19	Booster Pump Station Electrical, Instrumentation, and Controls	LS	1	\$ 147,400.00	\$ 147,400.00
20	Utility connection to booster pump station	LS	1	\$ 2,000.00	\$ 2,000.00
21	Well Construction Mobilization and Demobilization	LS	1	\$ 44,000.00	\$ 44,000.00
22	Install and Cement 24-inch I.D. Steel Conductor Casing	LF	50	\$ 450.00	\$ 22,500.00
23	Drill 22-inch Diameter Hole to 450 Depth	LF	400	\$ 160.00	\$ 64,000.00
24	Conduct Electric Logging	LS	1	\$ 6,500.00	\$ 6,500.00
25	Install Blank 12-inch I.D. 0.25 Wall Mild Steel Casing	LF	280	\$ 65.00	\$ 18,200.00
26	Install Mill Slot Mild Steel Casing 0.25 Wall (0.07 Slot)	LF	80	\$ 87.00	\$ 6,960.00
27	Install Well Gravel (6x9 Gradation)	LF	400	\$ 70.00	\$ 28,000.00
28	Development by Airlift-Swabbing	HR	24	\$ 550.00	\$ 13,200.00
29	Development by Pumping and Surging	HR	24	\$ 350.00	\$ 8,400.00
30	Conduct Step Test	HR	9	\$ 350.00	\$ 3,150.00
31	Well No. 3 Pump Station Mechanical	LS	1	\$ 49,000.00	\$ 49,000.00
32	Well No. 3 Pump Station Building	LS	1	\$ 114,000.00	\$ 114,000.00
33	Well No. 3 Pump Station Site Work	LS	1	\$ 50,000.00	\$ 50,000.00
34	Well No. 3 Paved Access and Driveway	SF	600	\$ 110.00	\$ 66,000.00
35	Well No. 3 Generator and Transfer Switch	LS	1	\$ 61,000.00	\$ 61,000.00
36	Well No. 3 Pump Station Electrical, Instrumentation, and Controls	LS	1	\$ 149,800.00	\$ 149,800.00

37	Utility connection to well	LS	1	\$ 2,000.00	\$ 2,000.00
38	SCADA/Telemetry System	LS	1	\$ 205,600.00	\$ 205,600.00
39	10" Pipe and Fittings	LF	2610	\$ 55.00	\$ 143,550.00
40	8" Pipe and Fittings	LF	3610	\$ 45.00	\$ 162,450.00
41	6" Pipe and Fittings	LF	1803	\$ 35.00	\$ 63,105.00
42	12" Butterfly Valves	EA	2	\$ 8,400.00	\$ 16,800.00
43	10" Butterfly Valves	EA	2	\$ 7,900.00	\$ 15,800.00
44	8" Gate Valves	EA	2	\$ 7,800.00	\$ 15,600.00
45	Fire Hydrant Assembly	EA	10	\$ 6,800.00	\$ 68,000.00
46	Single Service Assembly	EA	10	\$ 2,800.00	\$ 28,000.00
47	Double Service Assembly	EA	145	\$ 3,486.00	\$ 505,470.00
48	2" Single Service Lateral	LF	1460	\$ 29.00	\$ 42,340.00
49	Water Meters	EA	189	\$ 475.00	\$ 89,775.00
50	Connection to Existing Water Mains	EA	6	\$ 11,740.00	\$ 70,440.00
51	Surface restoration, AC	SF	9000	\$ 6.50	\$ 58,500.00
52	Surface restoration, AB	SF	31000	\$ 4.50	\$ 139,500.00
53	Air Release Valve Assembly	EA	1	\$ 5,000.00	\$ 5,000.00
54	SWPPP Preparation and Implementation	LS	1	\$ 5,000.00	\$ 5,000.00
Sum of items					\$ 4,380,393.00
CCO 1 Removal Item #17,34,35 and 45					\$ (268,500.00)
NEW Contract Price				\$ 4,111,893.00	
CCO2 Items					
17	Booster Pump Station Paved Access and Driveway add back	LS	1	\$ 43,722.00	\$ 43,722.00
34	Well No. 3 Paved Access and Driveway add back	LS	1	\$ 33,871.00	\$ 33,871.00
38	SCADA/Telemetry System DEDUCT	LS	1	\$ (101,271.00)	\$ (101,271.00)
32	Well No. 3 Pump Station Building DEDUCT	LS	1	\$ (10,200.00)	\$ (10,200.00)
15	Booster Pump Station Building DEDUCT	LS	1	\$ (9,250.00)	\$ (9,250.00)
2	Traffic Control DEDUCT	LS	1	\$ (21,040.00)	\$ (21,040.00)
45	Fire Hydrant Assembly ADD BACK	EA	10	\$ 6,800.00	\$ 68,000.00
35	Well No. 3 Generator and Transfer Switch ADD BACK	LS	1	\$ 61,000.00	\$ 61,000.00
18	Booster Pump Station Generator and Transfer Switch DEDUCT	LS	-1	\$ 61,000.00	\$ (61,000.00)
41	6" Pipe and Fittings DEDUCT	LF	-500	\$ 35.00	\$ (17,500.00)
50	Connection to Existing Water Mains DEDUCT	EA	-1	\$ 11,740.00	\$ (11,740.00)
CCO2 Sub Total					\$ (25,408.00)
New Contract Total				\$ 4,086,485.00	
CCO3 Items					
46	Single Service Assembly ADD single service to lot 78 & 81 W. Sierra Brooks	EA	2	\$ 2,800.00	\$ 5,600.00
49	Water Meters ADD lot 81 W, Sierra Brooks and 153 Rancho Cir	EA	2	\$ 475.00	\$ 950.00
47	Double Service Assembly DEDUCT double service lots 78 & 79 W. Sierra Brooks	EA	-1	\$ 3,486.00	\$ (3,486.00)

48	2" Single Service Lateral ADD 50' for lot 81	LF	50	\$ 29.00	\$ 1,450.00
32	Well No. 3 Pump Station Building DEDUCT trench Drain add Single floor drain	LS	1	\$ (1,809.00)	\$ (1,809.00)
15	Booster Pump Station Building DEDUCT trench Drain add Single floor drain	LS	1	\$ (1,809.00)	\$ (1,809.00)
	CCO3 Sub-Total			\$ 896.00	
	New Contract Total		\$ 4,087,381.00		
CCO4 Items					
22	Install and Cement 24-inch I.D. Steel Conductor Casing Reduce qty	LF	-22	\$ 450.00	\$ (9,900.00)
23	Drill 22-inch Diameter Hole to 450 Depth Reduce Per Proposal	LF	-1	\$ 5,400.00	\$ (5,400.00)
25	Install Blank 12-inch I.D. 0.25 Wall Mild Steel Casing Remove	LF	-280	\$ 65.00	\$ (18,200.00)
25	Install Blank 10inch I.D. 0.25 Wall Mild Steel Casing Now ADD	LF	150	\$ 59.00	\$ 8,850.00
26	Install Mill Slot Mild Steel Casing 0.25 Wall (0.07 Slot) Remove	LF	-80	\$ 87.00	\$ (6,960.00)
26	Install Mill Slot Mild Steel Casing 0.25 Wall (0.07 Slot) ADD	LF	270	\$ 70.00	\$ 18,900.00
CCO4 1	12" Gate Valve ADD Per Proposal	EA	1	\$ 3,701.58	\$ 3,701.58
10	Tank Foundation Reduce Per Proposal	LS	-1	\$ 19,950.00	\$ (19,950.00)
	CCO4 Sub-Total			\$ (28,958.42)	
	New Contract Total		\$ 4,058,422.58		
CCO5 Items					
46	Single Service Assembly Change from 10 +2 CCO3 new total 24	EA	12	\$ 2,800.00	\$ 33,600.00
47	Double Service Assembly Change from 145 - 1 CCO3 new total 118	EA	-26	\$ 3,486.00	\$ (90,636.00)
49	Water Meters Change from 189 +2 CCO3 new total 196	EA	5	\$ 475.00	\$ 2,375.00
	Existing Lateral Extension	FT	101	\$ 29.00	\$ 2,929.00
	CCO5 Sub-Total			\$ (51,732.00)	
	New Contract Total		\$ 4,006,690.58		
CCO6 Items					
CCO 6-1	Contant rate pump test	HR	24	\$ 367.50	\$ 8,820.00
6	Rock Excavation	CY	-10	\$ 1,400.00	\$ (14,000.00)
CCO6-2	Rock Excavation extra work	LS	500	\$ 487.85	\$ 243,925.00
	CCO6 Sub-Total			\$ 238,745.00	
	New Contract Total		\$ 4,245,435.58		

Contract total must not exceed \$4,111,893

\$ 4,111,893.00 New Total \$4,245,435.58

Over/Under Budget \$ (133,542.58)



FARR CONSTRUCTION CORPORATION dba
RESOURCE DEVELOPMENT COMPANY

January 10, 2020

Keith Knibb
Sauers Engineering, Inc.
440 Lower Grass Valley Road, Suite A
Nevada City, CA 95959

SUBJECT: Sierra Brooks Water System Improvements – Phase 2
Rock Excavation Change Order

Dear Keith,

We have received your letter dated December 23, 2019. The rock excavation required to install the 12” water line from the new tank to Well Building #3 was done so under the complete knowledge of the inspector for Sierra County, who was present when the rock was first encountered and continually throughout the rock excavation processes. The situation was brought to the inspector’s attention immediately, and work continued. Also, you received immediate notice by phone call from Sam Melvin on Monday, September 30, 2019 and again by email on October 2, 2019. At no point did RDC receive a notice to stop work or stand by, which would have required us to begin charging the County for standby time.

We agree with the field measurements made by the County’s inspector of 500 cubic yards. As you correctly stated in your letter, the excess of excavation beyond that estimated in the bid schedule remains at 490 cubic yards.

In response to the County’s claim seeking an adjustment in the Contract Price, please see the two attachments included with this letter. The first is an itemized summary for additional excavation of 490 cubic yards of rock, with a contractor’s fee of 15 percent. The second attachment is an itemized, deductive credit to the County for excavation which was to be paid as part of Bid Item 13. Also attached are the Caltrans Equipment Rates utilized.

A change of the contract price for the additional 490 cubic yards of rock could encompass these two attachments, in the grand total of two hundred thirty-nine thousand, forty-six dollars and seventy-six cents (\$239,046.76), a change of the contract unit price to \$487.85 per cubic yard.

Sincerely,


Paul Orphan



RESOURCE DEVELOPMENT COMPANY

Job No.: 19025

DATE 1/10/2020

**Sierra Brooks Phase 2 Improvements
12" Waterline Trench Rock Excavation Change Order**

EQUIPMENT					
	HOURLY RATE	QTY		HOURS	SUBTOTAL
Hitachi 200 Excavator	75.40	1.00		160.00	12,064.00
Loader	61.70	2.00		160.00	19,744.00
Work Truck(F-350)	38.38	1.00		160.00	6,140.80
Water Truck	40.65	1.00		160.00	6,504.00
Transport with End Dump Trailer	123.65	1.00		80.00	9,892.00
Sanitary Facilities	1.25	1.00		160.00	200.00
Fuel Storage Tank	1.00	1.00		160.00	160.00
John Deere 210G LC	105.70	1.00		160.00	16,912.00
Stanley Breaker 5000#	42.61	1.00		160.00	6,817.60
CAT 740B Articulating Truck	247.51	1.00		160.00	39,601.60

LABOR					
	HOURLY RATE	QTY		HOURS	SUBTOTAL
Superintendent	120.00	1.00		160.00	19,200.00
Project Manager	120.00	1.00		40.00	4,800.00
Project Engineer	120.00	1.00		160.00	19,200.00
Operator - (3)	102.89	3.00		160.00	49,387.20
Laborer- (2)	72.33	2.00		160.00	23,145.60

MATERIAL					
	MATERIAL COST	TAX			SUBTOTAL

SUBCONTRACTOR					
	DIRECT COST				SUBTOTAL
	0.00				0.00
	0.00				0.00
	0.00				0.00
	0.00				0.00

TOTALS					
	SUBTOTAL	MARK-UP %	MARK-UP		TOTAL
Equipment	118036.00	0%	0.00		\$ 118,036.00
Labor	115732.80	0%	0.00		\$ 115,732.80
Material	0.00	0%	0.00		\$ -
Subcontractor	0.00	0%	0.00		\$ -
Bonds & Insurance (3%)	0.00	0%	0.00		\$ -

INCREASE IN CONTRACT TIME

SUBTOTAL \$ 233,768.80
 CONTRACTOR'S FEE 15.0% \$ 35,065.32

Submitted By: Sam Melvin

C.O. TOTAL \$ 268,834.12



RESOURCE DEVELOPMENT COMPANY

Job No.: 19025

DATE 1/10/2020

Sierra Brooks Phase 2 Improvements
12" Waterline Trench Excavation Credit

EQUIPMENT					
	HOURLY RATE	QTY		HOURS	SUBTOTAL
Hitachi 200 Excavator	75.40	1.00		24.00	1,809.60
Loader	61.70	2.00		24.00	2,961.60
Work Truck(F-350)	29.60	1.00		24.00	710.40
Water Truck	40.65	1.00		24.00	975.60
Sanitary Facilities	1.25	1.00		24.00	30.00
CAT 740B Articulating Truck	247.51	1.00		24.00	5,940.24

LABOR					
	HOURLY RATE	QTY		HOURS	SUBTOTAL
Superintendent	120.00	1.00		24.00	2,880.00
Project Manager	120.00	1.00		6.00	720.00
Sr. Project Engineer	120.00	1.00		24.00	2,880.00
Operator - (3)	102.89	3.00		24.00	7,408.08
Laborer- (2)	72.33	2.00		24.00	3,471.84

MATERIAL					
	MATERIAL COST	TAX			SUBTOTAL

SUBCONTRACTOR					
	DIRECT COST				SUBTOTAL
	0.00				0.00
	0.00				0.00
	0.00				0.00
	0.00				0.00

TOTALS					
	SUBTOTAL	MARK-UP %	MARK-UP		TOTAL
Equipment	12427.44	0%	0.00		\$ 12,427.44
Labor	17359.92	0%	0.00		\$ 17,359.92
Material	0.00	0%	0.00		\$ -
Subcontractor	0.00	0%	0.00		\$ -
Bonds & Insurance (3%)	0.00	0%	0.00		\$ -

INCREASE IN CONTRACT TIME					
				SUBTOTAL	\$ 29,787.36
				C.O. TOTAL	\$ 29,787.36

Submitted By: Sam Melvin

State of California
California State Transportation Agency

Department of Transportation
Division of Construction

Labor Surcharge and Equipment Rental Rates

(Cost of Equipment Ownership)



Effective April 1, 2019 through March 31, 2020



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FOR DOUBLE-SIDED PRINTING

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**USER'S GUIDE
FOR LABOR SURCHARGE AND EQUIPMENT RENTAL RATES
April 1, 2019 - March 31, 2020**

Equipment Rental Rates are available on the Internet at:

<http://www.dot.ca.gov/hq/construc/equipmnt.html>

Miscellaneous Equipment Rental Rates are available on the Internet at:

<http://www.dot.ca.gov/hq/construc/misceqrr/index.htm>

The miscellaneous listing is updated daily.

Changes

Books are no longer available in hard copy and should be downloaded from the internet and printed.

Changes to the equipment rates in the April 1, 2019 book are the result of: 1) fuel costs increased; 2) interest rates increased; 3) Producers' Price Index increased; 4) no change in sales tax; and 5) no change in freight (F.O.B.) rates.

Labor Surcharge

The labor surcharge compensates the contractor for statutory payroll items stipulated by various governmental agencies. The six items included are worker's compensation, social security, Medicare, Federal unemployment insurance, State unemployment insurance, and State training taxes. The general rate is an average of the job classifications common to Caltrans projects. The labor surcharges in the April 1, 2019 book are the result of decreases in worker's compensation rates. The rates were derived from using the pure premium rate approved by the Insurance Commissioner effective January 1, 2019 and increasing it by the historical statewide average expense. In the calculation of the labor surcharge for overtime work, the worker's compensation is not included on the premium portion of overtime, as provided by worker's compensation regulations.

The surcharge percentage to be applied to the actual wages paid as provided in Section 9-1.04B [9-1.03A(1b)] of the *Standard Specifications* will be **10 percent for regular time (RT)** and **10 percent for overtime (OT)**.

Exceptions to the general rate are listed below. These classifications were chosen because of high worker's compensation insurance costs. Forward questions, regarding worker's compensation rating and proper labor surcharge usage, to the rental rate personnel in the Division of Construction.

EXCEPTIONS

<u>Type of Work Performed</u>	<u>Labor Surcharge Percent</u>	
	RT	OT
Concrete Construction - Bridge.....	10	10
Drilling	13	12
Fence & Guardrail Construction	10	10
Erection of structural metal for metal bridges, excluding sign bridge	13	12
Landscape Gardener	10	10
Mobil Crane & Hoist Service.....	13	12
Painting Steel Structures or Bridges	14	13
Pile Driving, not including drilled, cast-in-place concrete piles	16	15
Sign Erection or Repair	13	12
Wrecking & Demolition	12	11

An appropriate labor surcharge will be established by the Division of Construction for work that is determined by the resident engineer to be covered by Longshoreman and Harbor Worker's Act.

Background

By the authority described in Section 9-1.04 [9-1.03A] of the *Standard Specifications*, compensation for work paid by force account is determined to be the total of the direct equipment costs (rental rate) plus a 15 percent markup for all overhead not included as direct costs. The direct costs include fuel, oil, lubrication, supplies, small tools, necessary attachments, repairs and maintenance, depreciation, storage, cost of facilities capital, overhaul and all incidentals. The labor costs required to provide the above listed items are also included. The operator cost is not included in the equipment rate.

The calculated rate represents the cost of owning and operating the equipment, which is likely to be lower than the rate charged by a rental yard. The rental yard rate may include additional overhead and profit as well as a premium for short-term rentals. In certain situations, factors are applied to the calculated rates to provide ownership-only rates for delay situations or operating-only rates for overtime situations. The rates are calculated using factors for the direct cost items described above. The factors represent an average and are applied to all makes and models of equipment within the class. **All rates are hourly, unless stated otherwise.**

Overtime and Multiple Shifts

Certain equipment costs are fixed on an annual basis, no matter how many hours are worked. Once an estimate of the costs and hours of use is made, a rate can be determined. When more than the estimated number of hours are worked (Overtime or Multiple Shift), a duplication of payment occurs on the fixed cost portion of the equipment rate.

In order to avoid this duplication, Caltrans uses a reduced rate for the Overtime or Multiple Shift situations. The definition of Overtime or Multiple Shift periods is:

Overtime hours occur after the equipment has worked at force account, eight hours per day (or after the daily equivalent of forty hours per week for special work schedules - 4/10 or 9/80). Multiple shift hours will be paid at the same rate as overtime hours.

The hours shown on the Daily Extra Work Bill will determine when the Overtime and Multiple Shift is to be used. **When the hours worked on force account are eight or less, straight time rates will be used.** When the hours worked on force account are more than eight, Overtime and Multiple Shifts rates will be used. Saturday, Sunday or holiday work will be paid at the overtime rate except in those cases where these days are considered working days in the special provisions.

Be advised the computed overtime rates are no longer rounded and now equal the product of the straight time rate and overtime factor. The Overtime and Multiple Shift Factors are recalculated annually to reflect changes in the operating costs.

Delays

As described in Section 8-1.07C [8-1.09] of the *Standard Specifications*, the Delay Factor may be applied to the equipment rental rate. The Delay Factor may be the basis for compensation for idle equipment time on an excusable delay. The factor has been calculated to include the ownership costs without including operating costs. The Delay Factor recovers all the contractor's non-operating costs in an eight hour day. If payment is made for equipment on an excusable delay, it is limited to eight hours per day or forty hours per week maximum.

The NONOP class for non-operated items and the TRAFc class for traffic safety devices have been separated from traditional "rolling stock" equipment when calculating the Delay Factor. These classes are comprised of items whose depreciable lives are related to the number of uses per year, rather than the number of hours per year. Accordingly, the reasonable compensation for the contractor's actual loss has been estimated to equal the cost of facilities capital. **It should be recognized that these items must be out of service before the Delay Factor is applied. For example, if work behind K-rail is delayed, the K-rail should be paid straight time until it is no longer controlling traffic.**

Any use of this factor must have the prior approval of the resident engineer. The Delay Factor is recalculated annually to reflect changes in the operating costs.

Use of Daily Extra Work Bill

Identification codes and rates are intended to be used with the Department's Internet change order billing system. The class is abbreviated using a 5 digit alpha-code, the make (manufacturer), a 4 digit alpha-code, and the code a 7 digit alpha-numeric code. All three codes must be used to define the equipment when using the automated pay system. Since the majority of items are described by per hour rates, each line has an entry for hours with an allowable maximum of 24. Special items with other than hourly rates should be appropriately checked and then entered in the Regular Hours column. For example, 50 Traffic Cones used 3 days (converts to 1.5, 100-cone-days) requires 1.5 be entered in the Regular Hours column. Note that any entry in the Delay Factor column will automatically apply the Delay Factor to every equipment entry on the current report.

Whenever an identification code cannot be found or the rate for a particular date of work performed is not available, updated information should be requested from the resident engineer. It is the resident engineer's responsibility to establish rates for equipment not found in the *Labor Surcharge and Equipment Rental Rates* publication. Support for this determination is available from both District and Headquarters Construction Offices. **Rates are regularly superseded during contract periods, so avoid the use of old publications or expired rates.**

The equipment is presented with a standard configuration capable of performing work commonly encountered on Caltrans projects. Special attachments or accessories are described under the classification heading in the *Labor Surcharge and Equipment Rental Rates* publication. Attachments other than those described by these remarks will be paid only upon direction of the resident engineer.

160H	3385	\$104.41
163H	3390	\$110.26
JOHN DEERE	[DEER]	
<u>Model</u>	<u>Code</u>	<u>Rate</u>
JD-570A	3890	\$44.27
JD-570B	3892	\$51.84
JD-670	3900	\$56.78
JD-670A	3905	\$63.08
JD-670CH	3907	\$83.05
JD-770	3910	\$64.54
JD-770A, 770A-H	3915	\$76.25
JD-770B	3916	\$83.87
JD-772CH	3930	\$101.01
GALION	[GALN]	
<u>Model</u>	<u>Code</u>	<u>Rate</u>
A-400E	4940	\$61.65
T-400A	4980	\$58.92
T-500C	5150	\$68.31
T-500L	5204	\$67.24
T-500M	5210	\$70.24
KOMATSU	[KOMA]	
<u>Model</u>	<u>Code</u>	<u>Rate</u>
GD 505A-2	8980	\$64.46
GD 515A-1	8986	\$67.39
GD 605A-2	9000	\$71.08
GD 615A-1	9005	\$72.38
GD 625A-1C	9007	\$102.47
GD 655A-2	9010	\$78.80

HAMMERS, DEMOLITION & PILE	[HAMMR]
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DELAY FACTOR = 0.24 **OVERTIME FACTOR = 0.79**
 Includes attachments, accessories and power unit. Does not include carrier.

ABI	[ABI]	
<u>Model</u>	<u>Code</u>	<u>Rate</u>
HVR-60	0100	\$36.77

AMERICAN PILE DRIVING	[APE]	
EQUIPMENT		
<u>Model</u>	<u>Code</u>	<u>Rate</u>
150	0010	\$157.69
180	0050	\$215.56
200/500	0060	\$241.49
300 VIBRO	0075	\$267.43
400B VIBRO	0085	\$429.42

ALLIED STEEL & TRACTOR	[AS&T]	
<u>Model</u>	<u>Code</u>	<u>Rate</u>
800	0300	\$55.64
HYRAM 720	0310	\$6.05

HYRAM 725	0320	\$11.38
HYRAM 730	0330	\$13.86
HYRAM 740	0340	\$19.77
HYRAM 750	0350	\$21.95
HYRAM 77	0360	\$9.14
HYRAM 770	0370	\$22.29
HYRAM 780	0380	\$36.25
HYRAM 790	0390	\$47.49
HYRAM 88	0400	\$15.58

DELMAG	[DELM]	
<u>Model</u>	<u>Code</u>	<u>Rate</u>
D-12	0500	\$24.58
D-16	0510	\$35.68
D-22	0520	\$56.72
D-30	0530	\$41.83
D-30-32	0540	\$62.32
D-36-32	0560	\$81.13
D46-32	0570	\$95.65
D62-22	0580	\$156.35
D100-13	0590	\$246.68

FOSTER	[FOST]	
<u>Model</u>	<u>Code</u>	<u>Rate</u>
1700	0600	\$132.00
4030	0630	\$185.46
FNV-1800	0660	\$111.80
FNV-4150	0693	\$237.76

HYDRAULIC POWER SYS	[HPSI]	
<u>Model</u>	<u>Code</u>	<u>Rate</u>
150	0420	\$93.69
260	0430	\$148.26
300	0440	\$178.06

I.C.E.	[ICE]	
<u>Model</u>	<u>Code</u>	<u>Rate</u>
44-50	0450	\$224.66
216	0460	\$80.92
416L	0470	\$134.24
812	0480	\$210.05

KENT	[KENT]	
<u>Model</u>	<u>Code</u>	<u>Rate</u>
KHB10G	0700	\$18.69
KHB 15G	0710	\$29.61
KHB 30G	0750	\$30.43
KHB 40G	0760	\$52.64

NPK	[NPK]	
<u>Model</u>	<u>Code</u>	<u>Rate</u>
4XE	0795	\$16.53
6XA	0800	\$13.23

H-10XB	0810	\$35.90
H-12X	0815	\$37.19
H-16X	0820	\$31.99
H-20X	0840	\$36.18
H4X,HXA	0860	\$11.10
H7X	0880	\$14.35

**HYDRAULIC CRANES & EXCAVATORS, [HCECL]
CRAWLER MOUNTED**

DELAY FACTOR = 0.19 OVERTIME FACTOR = 0.83
Includes all attachments and accessories required for lifting or digging.
Pavement breaker or compactor attachments are not included.

OKADA **[OKAD]**

<u>Model</u>	<u>Code</u>	<u>Rate</u>
UB-5	0900	\$7.84
UB-8	0950	\$15.26

BANTAM **[BANT]**

<u>Model</u>	<u>Code</u>	<u>Rate</u>
C 266	0680	\$81.48
C 366	0690	\$94.47
C 744	1075	\$62.05

RAMMER **[RAMR]**

<u>Model</u>	<u>Code</u>	<u>Rate</u>
S-84	1000	\$32.05
S-86	1050	\$34.53

CASE **[CASE]**

<u>Model</u>	<u>Code</u>	<u>Rate</u>
9030B	0100B	\$95.00
9040	0110	\$94.47
9060B	0120B	\$198.29
40E E-Boom	1600	\$74.84
40E Y-Boom	1601	\$75.24
50E	1602	\$94.64
125B	1602H	\$77.15
170C	1602N	\$107.12
220B	1602R	\$137.75
880B Y-Boom	1609	\$53.29
980B	1615	\$82.68
1080	1615E	\$72.28
1080B	1615J	\$73.91
1280	1616	\$99.05
1280B	1616E	\$92.95

STANLEY **[STAN]**

<u>Model</u>	<u>Code</u>	<u>Rate</u>
MB250	1100	\$5.99
MB2900	1120	\$23.97
MB4900	1140	\$42.61
MB550	1160	\$9.47
MB800	1180	\$14.10

TELEDYNE **[TELD]**

<u>Model</u>	<u>Code</u>	<u>Rate</u>
950X	1190	\$34.90
TB1025	1195	\$38.36
TB1425X	1200	\$34.86
TB2225X	1220	\$65.16
TB425	1240	\$13.85
TB825X	1260	\$23.97

TRAMAC **[TRMC]**

<u>Model</u>	<u>Code</u>	<u>Rate</u>
BRH1100	1300	\$36.86
BRH125	1310	\$11.31
BRH250	1320	\$12.93
BRH400	1330	\$12.19
BRH620	1340	\$24.18
BRH750	1350	\$22.26
BRV950	1360	\$23.48

CATERPILLAR **[CAT]**

<u>Model</u>	<u>Code</u>	<u>Rate</u>
304 CR	0200	\$29.99
305C CR	0250	\$40.72
308E2 CR SB	0270	\$47.31
312	0300	\$49.06
312C	0300C	\$59.99
312CL	0300CL	\$60.18
314CL CR	0302CLR	\$61.16
315L	0305	\$59.46
320	0310	\$78.35
320BL	0312	\$82.68
320C	0312C	\$93.76
320CL	0312CL	\$106.48
320L	0315	\$79.80
321C LCR	0320R	\$115.86
321D LCR	0321R	\$113.41

TUNKER **[TUNK]**

<u>Model</u>	<u>Code</u>	<u>Rate</u>
40.01	1400	\$170.91
40.05	1450	\$170.91
60.05	1460	\$147.96

VULCAN **[VULC]**

<u>Model</u>	<u>Code</u>	<u>Rate</u>
1	1500	\$26.58

322L	0325	\$97.96	490	1660	\$40.83
325	0330	\$103.39	490E	1660E	\$52.09
325BL	0335	\$121.59	690A	1685	\$56.24
325L	0340	\$108.12	690B	1695	\$69.88
328D LCR	0343DR	\$139.93	690C	1697	\$64.31
330BL	0345	\$148.94	690D	1697D	\$67.46
330CL	0345CL	\$161.78	690E LC	1697ELC	\$78.06
330D L	0345DL	\$192.94	790	1698	\$81.06
330L	0350	\$136.27	790D	1698D	\$86.58
345BL	0355	\$191.53	790E LC	1698ELC	\$95.55
350L	0360	\$203.94	792	1699	\$95.99
375L	0365	\$323.31	890	1700	\$129.58
205	1617	\$33.66	890A	1705	\$118.75
211	1617E	\$49.59	892D LC	1708	\$110.43
213	1617H	\$54.60	990	1720	\$141.33
215	1618	\$55.75	992D LC	1722	\$160.53
215B LC	1619E	\$62.42	30	1725	\$19.37
215C	1619F	\$68.80	50	1730	\$30.88
215D	1619G	\$76.40	70	1735	\$28.48
225	1620	\$79.31	200LC	1745	\$89.61
225LC	1621	\$79.83	DROTT	[DROT]	
225D LC	1622	\$100.26	<u>Model</u>	<u>Code</u>	<u>Rate</u>
227	1623	\$82.90	35D E boom	1835	\$56.77
229	1625	\$107.68	35D Y boom	1845	\$57.18
231D	1630	\$123.12	40D E boom	1930	\$71.15
231DLC	1635	\$124.69	40D Y boom	1965	\$76.30
235	1640	\$127.18	50D	2005	\$92.19
235B	1642	\$141.53	FIAT-ALLIS	[F-A]	
235 Front Shovel	1645	\$137.00	<u>Model</u>	<u>Code</u>	<u>Rate</u>
235B Front Shovel	1647	\$152.65	FE 18	9000	\$51.41
235C	1648	\$161.05	FE 20 HD	9010	\$65.03
235DLC	1649	\$166.94	FE 20 LC	9020	\$61.14
245	1650	\$214.31	FE 28	9030	\$85.90
245B	1650B	\$236.21	FE 40 L	9040	\$147.83
245 Front Shovel	1652	\$226.13	GRADALL	[GRAD]	
245B Front Shovel	1652B	\$251.69	<u>Model</u>	<u>Code</u>	<u>Rate</u>
E 180	1653	\$66.58	G 660	0150	\$69.65
EL 180	1654	\$67.73	G 660B	0160	\$88.21
EL 200B	1654E	\$72.54	G 660C	0170	\$88.96
E 240	1655	\$85.68	G 880B	0210	\$95.07
EL 240	1656	\$87.16	G 880C	0215	\$91.31
E 300	1657	\$107.50	G 1000	0230	\$148.76
E 300B	1657B	\$119.30	XL4200	0260	\$95.36
EL 300	1658	\$109.41	XL5200	0280	\$116.25
EL 300B	1658B	\$121.37	HITACHI	[HIT]	
JOHN DEERE	[DEER]		<u>Model</u>	<u>Code</u>	<u>Rate</u>
<u>Model</u>	<u>Code</u>	<u>Rate</u>	UH 07LC	2630	\$67.17
225D LC	1575D	\$105.70	UH 062	2660	\$71.93
330C LC	1600C	\$151.12			
450LC	1645	\$185.30			

UH 172	2695	\$131.38	K 916	4717	\$142.99
UH 122	2750	\$87.63	SK270LC MARK IV	4720	\$111.99
EX 150	2797	\$62.15	SK400LC MARK IV	4725	\$197.84
EX 200 LC	2800	\$75.40	KOEHRING	[KOEH]	
EX 270 LC	2806	\$111.28	<u>Model</u>	<u>Code</u>	<u>Rate</u>
EX 300LC-2	2807	\$130.74	366 post 1980	4752	\$110.70
EX 300LC-3	2807A	\$134.27	466E	4990	\$127.54
EX 330LC-5	2807B	\$155.48	566	5160	\$139.22
EX 370-5	2807F	\$155.05	666, 666E	5225	\$176.15
EX 400 LC	2808	\$173.17	866, 866E	5235	\$207.10
EX 450LC-5	2808K	\$214.32	6611	6000	\$41.54
EX 550LC	2809	\$253.16	6614	6005	\$51.03
EX 700	2810	\$303.73	6612	6010	\$49.67
EX 750-5	2815	\$342.90	6620	6015	\$70.92
EX 1100	2880	\$393.82	6625	6020	\$86.39
HYUNDAI	[HYUN]		6633	6029	\$122.40
<u>Model</u>	<u>Code</u>	<u>Rate</u>	6644	6040	\$162.88
200LC	2950	\$74.95	KOMATSU	[KOMA]	
210LC-3	2952C	\$93.05	<u>Model</u>	<u>Code</u>	<u>Rate</u>
280LC	2960	\$110.90	PC 120-5	9485	\$53.48
290LC	2970	\$115.60	PC 150-1	9490	\$47.73
450LC	3010	\$174.05	PC 150-3	9495	\$58.93
INTERNATIONAL	[INTL]		PC 200 LC-2	9500	\$59.67
<u>Model</u>	<u>Code</u>	<u>Rate</u>	PC 200-5	9504	\$77.75
630	4250	\$52.89	PC 200 LC-3	9505	\$72.20
640 HD	4260	\$69.95	PC 200 LC-5	9506	\$79.02
650 HD	4280	\$79.53	PC 220 LC-2	9510	\$76.97
KATO	[KATO]		PC 220 LC-3	9515	\$89.49
<u>Model</u>	<u>Code</u>	<u>Rate</u>	PC 220 LC-5	9516	\$96.73
HD450 VII	3050	\$50.56	PC 220 LC-6	9516F	\$105.12
HD700 VII LC	3060	\$73.23	PC 220 LC-7	9516G	\$113.41
HD1250 VII LC	3080	\$122.94	PC 280 LC-3	9517	\$101.15
KOBELCO	[KOBL]		PC 300 LC-1, LC-2	9520	\$99.25
<u>Model</u>	<u>Code</u>	<u>Rate</u>	PC 300 LC-3	9525	\$118.79
SK200LC MARK III	4687	\$78.46	PC 300 LC-5	9526	\$135.35
K 903B	4688	\$36.25	PC 300 LC-6	9526A	\$146.85
K 904D	4693	\$39.47	PC 360 LC-3	9527	\$135.89
K 904E	4694	\$41.59	PC 400 LC-1	9530	\$134.96
K 904 Mark II	4694A	\$41.50	PC 400 LC-3	9535	\$158.48
K 905	4695	\$44.62	PC 400 LC-5	9536	\$178.03
K 905A LC	4697	\$48.21	PC 400 LC-6	9537	\$200.82
K 907C	4700	\$64.66	PC 600 LC-6	9539	\$280.25
K 907D	4702	\$69.57	PC 650-1	9540	\$247.42
K 907 LC	4704	\$70.32	PC 650-1 Front Shovel	9550	\$262.26
K 909A	4710	\$90.02	PC 650-3	9552	\$263.61
K 909 LC	4711	\$92.56	PC 750-6	9555	\$338.26
K 912A	4712	\$106.61	PC 1000-1	9560	\$403.17
K 914	4715	\$127.79	PC 1000 LC-1	9565	\$415.81
			PC 1100 LC-6	9575LC	\$465.23

LOADERS, RUBBER TIRE**[LDRRT]****DELAY FACTOR = 0.13 OVERTIME FACTOR = 0.88**

Includes all attachments and accessories. Clam-action buckets, 4WD and backhoes are excluded unless otherwise noted.

ALLIS-CHALMERS**[A-C]**

<u>Model</u>	<u>Code</u>	<u>Rate</u>
710C	0747	\$32.92
714B	0750	\$31.99
714C w/ backhoe	0752	\$34.57
715B w/ backhoe	0765	\$33.96
715C w/ backhoe	0767	\$34.83

CASE**[CASE]**

<u>Model</u>	<u>Code</u>	<u>Rate</u>
W-11	1365	\$31.15
W-11B	1368	\$37.84
W-18	1444	\$52.01
W-18 9213140 serial	1450	\$55.62
W-18B	1460	\$60.10
W-20	1472	\$55.74
W-20B	1480	\$56.54
W-20C	1482	\$60.91
W-30	1565	\$83.34
480B	1636	\$25.90
480B w/ backhoe	1640	\$27.22
480C	1650	\$33.55
480C w/ backhoe	1660	\$35.14
480D	1662	\$37.69
480D w/ backhoe	1664	\$39.67
480E w/ backhoe	1666	\$32.58
480E LL	1667	\$31.34
480F w/ backhoe	1668	\$33.91
480F LL	1669	\$32.11
480LL	1677	\$37.74
570L XT 4WD	1690	\$37.03
580B w/ backhoe	1705	\$34.15
580C	1710	\$27.34
580C w/ backhoe	1715	\$29.28
580D	1717	\$28.72
580D w/ backhoe	1720	\$31.26
580 Super D	1725	\$31.35
580 Super D w/ backhoe	1727	\$33.94
580 Super E	1731	\$32.15
580 Super E w/ backhoe	1735	\$34.94
580K w/ backhoe	1739	\$35.24
580K 4WD w/ backhoe	1740	\$36.34
580 Super K w/ backhoe	1742	\$38.92
580L w/ backhoe	1743	\$39.19
580 Super L 4WD w/ backhoe	1744	\$45.60

590 Turbo w/ backhoe	1745	\$47.54
590 Super L 4WD w/ backhoe	1746	\$50.02
621	1750	\$64.17
721	1752	\$78.00
821	1754	\$95.48
680E w/ backhoe	1840	\$44.65
680G w/ backhoe	1850	\$43.34
680H w/ backhoe	1852	\$46.28
680K w/ backhoe	1854	\$50.36
680L w/ backhoe	1856	\$51.11
680L 4WD w/ backhoe	1857	\$51.92
780 w/ backhoe	1864	\$57.01
780B w/ backhoe	1866	\$61.38
780C w/ backhoe	1867	\$62.53
780D w/ backhoe	1868	\$63.31
780D 4WD w/ backhoe	1869	\$64.72

CATERPILLAR**[CAT]**

<u>Model</u>	<u>Code</u>	<u>Rate</u>
416 w/ backhoe	1860	\$35.27
416 Series II w/ backhoe	1860A	\$35.51
416B 4WD w/ extend-a-hoe	1861A	\$43.86
416C 4WD w/ backhoe	1861C	\$45.54
416D w/ backhoe	1861D	\$40.60
416D 4WD w/ backhoe	1861D4	\$41.72
420D w/ backhoe	1861M	\$45.48
420D 4WD w/ backhoe	1861M4	\$46.60
420E	1861N	\$55.32
426 w/ backhoe	1862	\$39.87
426 Series II w/ backhoe	1862A	\$40.10
426C w/ backhoe	1862C	\$50.05
426C 4WD w/ backhoe	1862C4	\$51.49
428 w/ backhoe	1864	\$39.84
428 Series II w/ backhoe	1864A	\$40.08
430D	1865D	\$55.37
430E	1865E	\$58.61
436 w/ backhoe	1866	\$43.39
436 Series II w/ backhoe	1866A	\$43.59
446 w/ backhoe	1868	\$56.43
446B w/ backhoe	1868B	\$62.53
446D	1868D	\$65.13
450E	1869E	\$78.43
910	1870	\$37.66
910E	1870E	\$44.42
916	1885	\$50.31
920	1894	\$45.91
926	2065	\$58.72
926E	2067	\$63.72
928G	2070G	\$76.65
930 41K serial	2088	\$56.15
930G	2088G	\$90.86

936	2100	\$71.36	275B	2496	\$191.89
936E	2110	\$77.87	275C	2497	\$211.16
936F	2120	\$80.94	JOHN DEERE	[DEER]	
938F	2130	\$82.80	<u>Model</u>	<u>Code</u>	<u>Rate</u>
938G	2130G	\$93.49	JD-210C	2485	\$29.43
950 90A serial	2228	\$59.39	JD-210C w/ backhoe	2490	\$29.61
950 31K & 81J serial	2270	\$72.41	JD-210LE	2495	\$38.81
950B	2272	\$89.31	JD-310A w/ backhoe	2504	\$31.03
950E	2300	\$95.24	JD-310B w/ backhoe	2506	\$31.74
950F	2301	\$100.25	JD-310C w/ backhoe	2507	\$34.92
950F Series II	2303	\$104.09	JD-310D w/ backhoe	2507D	\$39.48
950G	2310	\$111.92	JD-310E w/ backhoe	2507E	\$40.43
950H	2310H	\$130.38	JD-310SE w/ backhoe	2507F	\$45.25
962G	2320G	\$122.29	310G	2507G	\$41.48
966C	2340	\$106.84	JD-315SE w/ backhoe	2507H	\$45.91
966D	2350	\$117.34	JD-410 w/ backhoe	2508	\$33.99
966E	2360	\$129.80	JD-410B w/ backhoe	2508B	\$34.71
966F	2361	\$132.72	JD-410C w/ backhoe	2508C	\$40.66
966G	2362	\$149.64	JD-410D w/ backhoe	2508D	\$48.61
966H	2362H	\$174.78	JD-410E w/ backhoe	2508E	\$50.99
966K	2362K	\$178.89	410G	2508G	\$52.10
970F	2370	\$156.29	410J 4WD	2508J	\$60.53
972G	2372G	\$166.73	410K	2508K	\$64.30
980B	2376	\$133.62	JD-444	2510	\$45.08
980C	2378	\$165.65	JD-444C	2515	\$47.14
980F	2381	\$170.93	JD-444D	2520	\$48.46
980G	2382	\$188.57	JD-444E	2521	\$52.76
980H	2382H	\$206.64	JD-500C w/ backhoe	2592	\$44.16
988 87A6868 serial	2398	\$163.03	JD-510 w/ backhoe	2620	\$40.62
988B 50W serial	2436	\$238.15	JD-510B w/ backhoe	2625	\$39.52
992B 25K serial	2460	\$291.93	JD-510C w/ backhoe	2630	\$46.49
992C	2470	\$456.21	JD-510D w/ backhoe	2630D	\$55.33
IT 12	2472	\$38.45	JD-544B	2660B	\$55.89
IT 12B	2472B	\$44.83	JD-544C	2660C	\$57.56
IT 14F	2473	\$52.06	JD-544D	2660D	\$56.43
IT 18	2474	\$49.02	JD-544E	2660E	\$62.49
IT 18B	2475	\$55.50	JD-544G	2660G	\$69.23
IT 28	2476	\$59.21	544J	2660J	\$90.93
IT 28B	2477	\$64.46	544K	2660K	\$101.52
IT 28F	2477G	\$74.80	JD-610B w/ backhoe	2690	\$45.19
IT 28G	2478	\$78.09	JD-610C w/ backhoe	2691	\$51.32
IT 38G	2480	\$89.88	JD-624E	2700	\$74.96
IT 62G	2482	\$123.61	JD-624G	2700G	\$85.27
CLARK	[CLRK]		JD-624H	2700H	\$93.28
<u>Model</u>	<u>Code</u>	<u>Rate</u>	JD-644B	2710	\$77.28
35C	2484	\$46.70	JD-644C	2715	\$80.30
45C	2486	\$54.60	JD-644D	2717	\$82.71
55C	2488	\$65.86	JD-644E	2719	\$89.86
75C	2491	\$86.20	JD-644G	2719B	\$102.75
125B	2492	\$110.39	JD-644H	2719H	\$110.16

644J	2719J	\$123.00	HOUGH	[HOUG]		
JD-710B w/ backhoe	2720	\$57.68	<u>Model</u>	<u>Code</u>	<u>Rate</u>	
JD-710C w/ backhoe	2721	\$64.17	H65C	3578	\$74.07	
JD-710D w/ backhoe	2722	\$70.38	H-90E	3884	\$129.11	
JD-710D 4WD w/ backhoe	2722D	\$72.39	H-100C	3954	\$151.34	
710G	2722G	\$74.81	INTERNATIONAL	[INTL]		
JD-744H	2723	\$150.19	<u>Model</u>	<u>Code</u>	<u>Rate</u>	
744J	2723J	\$178.14	260A	2260	\$33.79	
JD-844	2725	\$143.36	260A w/ backhoe	2270	\$35.50	
DRESSER	[DRES]		270A w/ backhoe	2280	\$39.13	
<u>Model</u>	<u>Code</u>	<u>Rate</u>	280A w/ backhoe	2285	\$48.27	
510B	2310	\$44.02	J C B	[JCB]		
510C	2310C	\$59.00	<u>Model</u>	<u>Code</u>	<u>Rate</u>	
515B	3517	\$57.12	214S w/ backhoe	4430	\$47.34	
515C	3517C	\$69.87	215S w/ backhoe	4435	\$52.07	
520B	3519	\$66.12	217S w/ backhoe	4440	\$55.50	
520C	3519C	\$83.32	3C 1550 2WD w/ hoe	4448	\$33.74	
530	3520	\$92.80	3D 1700 4WD w/ hoe	4450	\$45.20	
530C	3520C	\$101.86	1400B w/ backhoe	4455	\$38.23	
540	3530	\$128.63	1550B w/ backhoe	4457	\$43.78	
550	3540	\$128.44	1600B w/ backhoe	4458	\$50.43	
FIAT-ALLIS	[F-A]		1700B w/ backhoe	4459	\$51.54	
<u>Model</u>	<u>Code</u>	<u>Rate</u>	KAWASAKI	[KAWA]		
545B	3042	\$53.81	<u>Model</u>	<u>Code</u>	<u>Rate</u>	
745C	3046	\$105.49	60Z IV	4300	\$65.38	
FR 10	3049H	\$57.00	65Z IV	4310	\$73.09	
FR 10B	3049I	\$62.24	KSS 80Z II	4330	\$93.37	
FR 20	3050	\$120.00	80Z III	4335	\$109.22	
FR 30	3051	\$157.16	80Z IV	4340	\$111.37	
FR 20B	3051B	\$134.00	85Z IV	4345	\$139.60	
FR 35	3052	\$209.60	90Z III	4350	\$154.38	
FORD	[FORD]		90Z IV	4355	\$158.63	
<u>Model</u>	<u>Code</u>	<u>Rate</u>	95Z IV-2	4360	\$204.14	
545C	3054	\$31.76	KOMATSU	[KOMA]		
650 w/ backhoe	3056	\$34.91	<u>Model</u>	<u>Code</u>	<u>Rate</u>	
655A w/ backhoe	3056F	\$39.43	W 120-3	4520	\$108.81	
655C w/ backhoe	3056G	\$43.46	W 180-1	4525	\$154.38	
750 w/ backhoe	3057	\$45.87	WA 200-1	4536	\$61.70	
755 w/ backhoe	3061	\$49.16	WA 250-1	4537	\$84.68	
755B w/ backhoe	3063	\$55.99	WA 300-1	4538	\$80.43	
755A w/ backhoe	3065	\$54.54	WA 320-1	4539	\$99.23	
A-62	3114	\$48.02	WA 350-1	4540	\$95.25	
A-64	3116	\$58.27	WA 380-1	4541	\$118.30	
A-66	3118	\$76.09	WA 380-3	4541C	\$118.63	
FURUKAWA	[FURU]		WA 400-1	4542	\$113.45	
<u>Model</u>	<u>Code</u>	<u>Rate</u>	WA 420-3	4543C	\$136.48	
FL 320A	3120M	\$107.22	WA 450-1	4544	\$141.34	
FL 330	3120S	\$116.96				

VERMEER	[VERM]		
Model	Code	Rate	
CC-135	8350	\$84.57	
M 220	8380	\$15.55	
M 455 / M455A	8480	\$37.38	
M 475	8570	\$39.64	
M 475A	8571	\$44.71	
M 485	8580	\$43.67	
M 495	8585	\$70.17	
T 300B, T 300A	8718	\$24.23	
T 400C, T 400B, T 400A	8781	\$53.66	
T 600D, C, B, A	8842	\$77.53	
T 650	8843	\$131.15	
T 800B, T 800A, T800	8870	\$124.42	
T 800C	8871	\$135.69	
T 850	8875	\$242.11	
V 430	8950	\$27.71	
V 430A	8951	\$31.20	
V 434 / M 434	9000	\$26.72	
V 440	9015	\$29.83	
V 450	9017	\$35.58	
V 454	9020	\$30.70	
V 1550	9025	\$14.57	

TRUCK, TRUCK TRAILERS, EXCL. [TRUCK]
DUMP TRUCKS & EQPT TRAIL

DELAY FACTOR = 0.13 OVERTIME FACTOR = 0.88

Includes all attachments and accessories related to hauling, with and without trailers as needed. Includes water trucks, freight trucks and passenger vehicles, including 4wd option. Listed by Mfr's Gross Vehicle Weight in Kilograms(pounds). For tractor-trailer units, the gross vehicle weight of the cargo carrying unit or units will control. In the case of water trucks, the tank capacity expressed in kilograms (pounds) of water plus 20%, will determine the gross vehicle weight. For attachment allowance, see attachment class.

TRUCKS	[T&TT]		
OVER	TO	Code	Rate
CARS , LIGHT TRUCKS		00-06	\$26.15
3175 (7000) 5443 (12000) No small pickups		06-12	\$29.60
5443 (12000) 9072 (20000)		12-20	\$38.38
9072 (20000) 12701(28000)		20-28	\$40.65
12701 (28000) 16330 (36000)		28-36	\$46.23
16330 (36000) 21773 (48000)		36-48	\$61.92
21773 (48000) 27216 (60000)		48-60	\$68.12
27216(60000) & Over		60	\$76.67

TRUCKS, OFF-HIGHWAY [TRUOF]

DELAY FACTOR = 0.22 OVERTIME FACTOR = 0.79

Includes all attachments and accessories. Includes end dump, belly dump and earthmover types. Listed in accordance with Mfr's rated capacity in tonnes (tons). In the case of earthmover types, rated by Mfr's volumetric capacity, a factor of 1.4 tonnes per cubic meter (1-1/2 tons per cubic yard) of struck capacity shall be used.

TRUCK OFF-HIGHWAY	[TRU]		
OVER	TO	Code	Rate
9.1 (10)	13.6 (15)	10-15	\$48.30
16.3 (18)	20.0 (22)	18-22	\$86.65
20.0 (22)	24.5 (27)	22-27	\$108.06
24.5 (27)	29.0 (32)	27-32	\$123.65
29.0 (32)	36.3 (40)	32-40	\$167.58
36.3 (40)	49.9 (55)	40-55	\$247.51
49.9 (55)	60.8 (67)	55-67	\$278.19

TRUCKS, DUMP, ON-HIGHWAY [TRUON]

DELAY FACTOR = 0.19 OVERTIME FACTOR = 0.83

Includes all end dump, side dump and belly dump types; including all attachments and accessories.

TRUCK ON-HIGHWAY	[TRUN]		
Model	Code	Rate	
2 axles	2AXL	\$52.55	
3 axles	3AXL	\$69.31	
4 axles	4AXL	\$78.51	
5 axles	5AXL	\$87.29	

WELDING EQUIPMENT [WELD]

DELAY FACTOR = 0.20 OVERTIME FACTOR = 0.82

ARC WELDING MACHINES	[AWM]		
OVER	TO	Code	Rate
0	250	0-250	\$6.09
250	500	250-500	\$11.77
over	500	500	\$12.21

GAS WELDING OUTFIT	[GWO]		
Model	Code	Rate	
ALL	ALL	\$0.29	

Bryan Davey

From: Jeff Farr <jfarr@resourcedevelopmentco.com>
Sent: Tuesday, March 17, 2020 10:32 AM
To: Tim Beals
Cc: Bryan Davey; keith@sauerseng.com; porphan@resourcedevelopmentco.com; smelvin@resourcedevelopmentco.com
Subject: March's Pay Request

Hi Tim,

As you are aware, we encountered 500 cubic yards of rock excavation on the pipeline to the new tank on September 30, 2019.

RDC performed the rock excavation and pipeline installation.

At the February 12, 2020 meeting at the RDC office, attended by you, Bryan Davey and Keith Knibb we reached agreement on the adjusted unit price for rock excavation to \$487.85 per cubic yard, as outlined in the January 10 letter.

We also agreed to use the adjusted unit price for the 10 cubic yards previously billed.

This results in a net additive change order of \$229,925.00 (500 CY x \$487.85= \$243,925 - \$14,000 previously paid for 10 CY = \$229,925).

We do need to be paid for this extra work on the March Pay Request #7 (2/16/20 – 3/15/20) as this work was performed five months ago.

We have worked diligently to cooperate with Sierra County on this project, and have agreed to many deductive change orders to help the project stay under your budget.

As was stated in the meeting, with the change orders 1 through 6 to date and your contingency account, funds are available to pay for the rock excavation.

We have stated previously that we will continue to work with you to find additional cost savings, service connection changes, pipeline and pressure zone changes etc. to help you regain funds needed for the rock excavation.

Sauers Engineering was to look at the necessity of and possibility of eliminating or adjusting the new 6" waterlines and mainline connections, but we have received no update as of today.

Bottom line is we request payment this month for the rock excavation work completed five months ago and will include the amount on pay request #7 for the period of 2/16/20 to 3/15/20.

With the current situation escalating with the "Virus", cash is becoming an internal concern.

Please let me know if this is possible.

Contact me anytime to discuss.

Sincerely,

Jeff Farr

Jeff Farr
Farr Construction/Resource Development
1050 Linda Way, Sparks NV
775-356-8004 office
775-842-9174 cell

COMMENTS:

CLERK TO THE BOARD

DATE

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: April 7, 2020	TYPE OF AGENDA ITEM: <input checked="" type="checkbox"/> Regular <input type="checkbox"/> Timed <input type="checkbox"/> Consent
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DEPARTMENT: County Counsel APPROVING PARTY: David Prentice PHONE NUMBER: 559-500-1600
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AGENDA ITEM: Closed session pursuant to Government Code Section 54956.9(a) - conference with legal counsel regarding the following litigation: ARP - Loylton Cogen LLC Chapter 11 Bankruptcy - United States Bankruptcy Court Central District Case No. 8:20-bk-10535-ES.

SUPPORTIVE DOCUMENTS ATTACHED: Memo Resolution Agreement Other

BACKGROUND INFORMATION:

FUNDING SOURCE:
GENERAL FUND IMPACT: No General Fund Impact
OTHER FUND:
AMOUNT: \$ N/A

ARE ADDITIONAL PERSONNEL REQUIRED?

 Yes, -- --
 No

IS THIS ITEM ALLOCATED IN THE BUDGET? Yes No

IS A BUDGET TRANSFER REQUIRED? Yes No

SPACE BELOW FOR CLERK'S USE

<p>BOARD ACTION: <input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken</p>	<p><input type="checkbox"/> Set public hearing For: _____ <input type="checkbox"/> Direction to: _____ <input type="checkbox"/> Referred to: _____ <input type="checkbox"/> Continued to: _____ <input type="checkbox"/> Authorization given to: _____</p>	<p>Resolution 2020- _____ Agreement 2020- _____ Ordinance _____ Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus</p>
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COMMENTS:

CLERK TO THE BOARD _____
DATE

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: 7 April 2020	TYPE OF AGENDA ITEM: Regular X Timed <input type="checkbox"/> Consent
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DEPARTMENT: Board of Supervisors
APPROVING PARTY: Lee Adams, District One
PHONE NUMBER: 530-289-3506; hangman@sierracounty.ca.gov

AGENDA ITEM: Continued discussion/direction to staff regarding special election for countywide increase in the transient occupancy tax (TOT) to support fire/rescue/EMS services within local fire districts.

SUPPORTIVE DOCUMENTS ATTACHED: Memo Resolution Agreement Other

BACKGROUND INFORMATION:

FUNDING SOURCE:
GENERAL FUND IMPACT: No General Fund Impact
OTHER FUND:
AMOUNT: \$ N/A

ARE ADDITIONAL PERSONNEL REQUIRED? <input type="checkbox"/> Yes, -- -- <input type="checkbox"/> No	IS THIS ITEM ALLOCATED IN THE BUDGET? <input type="checkbox"/> Yes xNo IS A BUDGET TRANSFER REQUIRED? <input type="checkbox"/> Yes xNo
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SPACE BELOW FOR CLERK'S USE

BOARD ACTION: <input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken	<input type="checkbox"/> Set public hearing For: _____ <input type="checkbox"/> Direction to: _____ <input type="checkbox"/> Referred to: _____ <input type="checkbox"/> Continued to: _____ <input type="checkbox"/> Authorization given to: _____	Resolution 2017- _____ Agreement 2017- _____ Ordinance _____ Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus
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COMMENTS:

CLERK TO THE BOARD

DATE

Memorandum

To: Sierra County Board of Supervisors
From: Lea Salas, Administrative Director
Reference: Agenda Item
Date of memo: March 26, 2020
Date of Board Meeting: April 7, 2020

Requested Action: Amendment to Agreement 2019-057 and amendments 2019-089 and 2019-133 between Clean & Sober Recovery Services, Inc. and the County of Sierra to increase contract amount.

Mandated by:

Funding

Budgeted? Yes No

Revenue	\$65,000	Substance Abuse Prevention and Treatment (SAPT) and Behavioral Health Realignment
Expenses	\$65,000	Substance Abuse Prevention and Treatment (SAPT) and Behavioral Health Realignment
Difference	0	

Background Information: Currently Sierra County contracts with Clean & Sober Recovery Services for detoxification services and recovery home services. Right now our other contracted providers for residential recovery home services has a wait list to get clients in. Sierra County wishes to increase the contract amount by \$65,000.00 to meet the needs of the clients so that they may continue with their recovery process.

Potential Issues to consider: None

Alternatives or Impacts of disapproval: Sierra County would not be able to meet the requirements set forth by the state.

(Amendment to Agreement No. 2019-057 and Amendments 2019-089 and 2019-133)

AMENDMENT
To
AGREEMENT FOR
PROFESSIONAL SERVICES

The following is an amendment to that certain Agreement No. 2019-057 (“Agreement”) and Amendments 2019-089 and 2019-133 with an effective date of March 1, 2020, by and between the County of Sierra, a political subdivision of the State of California (“the COUNTY”) and Clean & Sober Recovery Services, Inc. (“the CONTRACTOR”).

- 1. Attachment B of the Agreement, B.1 shall be amended to include:

Recovery Home Services \$180.00 per day, per client.

- 2. Attachment B of the Agreement, B.6 shall be amended to read:

MAXIMUM CONTRACT AMOUNT. The maximum amount payable to CONTRACTOR under this Agreement shall not exceed the following:

B.1	Base Contract Fee	\$ 215,000.00
B.2	Mileage	\$ -0-
B.3	Travel Costs	\$ 500.00
B.4	Authorization Required	\$ -0-
B.5	Special Circumstances	\$ -0-
MAXIMUM CONTRACT AMOUNT		\$ 215,500.00

- 3. All other terms and conditions of the Agreement are to remain the same.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of the date set forth above.

COUNTY OF SIERRA

“CONTRACTOR”

JIM BEARD
Chairman, Board of Supervisors

JOHN D. PERRY
Clean & Sober Recovery Services, Inc.

ATTEST:

APPROVED AS TO FORM:

HEATHER FOSTER
Clerk of the Board

DAVID PRENTICE
County Counsel

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: April 7, 2020	TYPE OF AGENDA ITEM: <input type="checkbox"/> Regular <input type="checkbox"/> Timed <input checked="" type="checkbox"/> Consent
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DEPARTMENT: Behavioral Health
APPROVING PARTY: Lea Salas, Administrative Director
PHONE NUMBER: (530) 993-6746

AGENDA ITEM: Memorandum of Understanding and Data Sharing Agreement between Plumas County and Sierra County regarding the Housing Tools Contract and the No Place Like Home (NPLH) Technical Assistance (TA) Grant

SUPPORTIVE DOCUMENTS ATTACHED: Memo Resolution Agreement Other
Housing Tools Agreement

BACKGROUND INFORMATION: Please see attached Memo

FUNDING SOURCE: 0515670
GENERAL FUND IMPACT: No General Fund Impact
OTHER FUND: NPLH TA Grant
AMOUNT: \$41,100.00 N/A

ARE ADDITIONAL PERSONNEL REQUIRED?

 Yes, -- --
 No

IS THIS ITEM ALLOCATED IN THE BUDGET? Yes No

IS A BUDGET TRANSFER REQUIRED? Yes No

SPACE BELOW FOR CLERK'S USE

<p>BOARD ACTION:</p> <input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken	<input type="checkbox"/> Set public hearing For: _____ <input type="checkbox"/> Direction to: _____ <input type="checkbox"/> Referred to: _____ <input type="checkbox"/> Continued to: _____ <input type="checkbox"/> Authorization given to: _____	Resolution 2020- _____ Agreement 2020- _____ Ordinance _____ Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus
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COMMENTS:

CLERK TO THE BOARD

DATE

Memorandum

To: Sierra County Board of Supervisors
From: Lea Salas, Administrative Director
Reference: Agenda Item
Date of memo: March 27, 2020
Date of Board Meeting: April 7, 2020

Requested Action: Memorandum of Understanding and Data Sharing Agreement between Plumas County and Sierra County regarding the Housing Tools Contract and the No Place Like Home (NPLH) Technical Assistance (TA) Grant

Mandated by:

Funding

Budgeted? Yes No

Revenue	\$41,100	No Place Like Home Technical Assistance Grant
Expenses	\$41,100	No Place Like Home Technical Assistance Grant
Difference	0	

Background Information: Plumas and Sierra County both applied for and accepted the Non-Competitive NPLH funds from the CA State Department of Housing and Community Development (HCD) in order to develop permanent supportive housing for individuals and families with serious mental health conditions who are experiencing homelessness, chronic homelessness or who are at risk of chronic homelessness. Plumas County has entered into agreement with Housing Tools on behalf of both Plumas and Sierra Counties to conduct an affordable housing needs assessment for each county. Sierra County will pay half of all invoices for services provided by James Coles, dba Housing tools not to exceed \$41,100.00.

Potential Issues to consider: None

Alternatives or Impacts of disapproval:

**Memorandum of Understanding and Data Sharing Agreement
between Plumas County and Sierra County regarding
the Housing Tools Contract and the *No Place Like Home (NPLH)*
Technical Assistance (TA) Grant**

This agreement is entered into, by, and between Plumas County Behavioral Health and Sierra County Behavioral Health.

- I. **PURPOSE** - The purpose of this agreement is to set forth the terms and conditions for Plumas County Behavioral Health and Sierra County Behavioral Health in conjunction with the Housing Tools Contract and the *No Place Like Home (NPLH)* Technical Assistance (TA) Grant.
- II. **BACKGROUND AND AUTHORITY** – The purpose of the *No Place Like Home Program (NPLH)* is to provide financing for the development of permanent supportive housing for individuals living with serious mental illness who are homeless, chronically homeless, or at-risk of chronic homelessness.

No Place Like Home provides funding and tools that allow the California State Department of Housing and Community Development (HCD) to address affordability issues associated with creating housing units that are specifically set aside for persons with serious mental illness who are chronically homeless, homeless, or at-risk of being chronically homeless.

Under the program, HCD may make loans to reduce the initial cost of acquisition and/or construction or rehabilitation of housing and may set funds aside to subsidize extremely low rent levels over time.

- III. **PLUMAS COUNTY RESPONSIBILITIES** – Plumas County Behavioral Health will apply for and accept the Non-Competitive *NPLH* funds from the CA State Department of Housing and Community Development (HCD) in order to develop permanent supportive housing for individuals and families with serious mental health conditions who are experiencing homelessness, chronic homelessness or who are at risk of chronic homelessness. Plumas County will enter into agreement with Housing Tools on behalf of Plumas and Sierra Counties.
- IV. **SIERRA COUNTY RESPONSIBILITIES** – Sierra County Behavioral Health will apply for and accept the Non-Competitive *NPLH* funds from the State Department of Housing and Community Development (HCD) in order to develop permanent supportive housing for individuals and families with serious mental health conditions who are experiencing homelessness, chronic homelessness or who are at risk of chronic homelessness. Sierra County shall pay Plumas County Behavioral Health 50% of all invoices, not to exceed forty-one thousand, one hundred dollars (\$41,100.00), for services provided by James Coles, dba Housing Tools.

V. HOUSING TOOLS RESPONSIBILITIES

Phase 1, Threshold Documentation and Information on Homeless Services: Initial activities will be those necessary to plan and apply for the Non-Competitive *NPLH* funds, as well as provide needed information to each County for their Housing Element updates.

- Housing Tools will complete the required elements to meet the newly revised HCD threshold requirements for non-competitive *NPLH* funds as follows:
 - Review and research existing County data on homelessness; and current systems and resources, including those required for *NPLH* referrals, data collection, HMIS and the Coordinated Entry System, from August through October 2019;
 - Organize and facilitate meetings with the *NPLH* -required county and community stakeholder groups to solicit their input on needs, housing and services gaps, goals, strategies, activities and permanent supportive housing models from September through December 2019;
 - Write the Homeless Plan and present it to County staff, stakeholders, and each County Board of Supervisors in June 2020. The Plan will then be submitted to HCD in order to finalize meeting all of the State's threshold requirements for the eventual receipt of Non-Competitive *NPLH* funds.
- After completion of the Homeless Plan, Housing Tools will deliver to each County Planning Director and their staff a summary report of data on homeless needs, and information concerning local homelessness programs, to be used to update the Housing Element of the Plumas County and Sierra County General Plans. This deliverable is anticipated to be completed by March 2020. Housing Tools staff will be available to confer and answer questions about the information as necessary.

Phase 2, Affordable Housing Needs Assessment: Housing Tools will complete an Affordable Housing Needs Assessment for each County. The content of these assessments will be shaped by each County's needs for updating its Housing Element, so that information can be pulled from these reports for the Housing Element updates. If desired by Plumas and Sierra Counties, this assessment can also include a site feasibility study to inform the decision-making process for the *NPLH* Permanent Supportive Housing Project. Such a study is highly recommended by Housing Tools but is included as an optional task. Housing Tools will present the findings from its assessment in a written report, scheduled for completion in May 2020, that will include the following information:

- Base Housing Market Conditions: This will provide a snapshot of the economic and demographic conditions in each county that influence the housing market. This will include population growth trends, household characteristics, employment and wages, household income, and poverty statistics.
- Subsidized Rental Report: An assessment of the current supply of housing, and public funding availability for the production of publicly assisted affordable housing, including tax credit housing.

- Affordable Housing Needs Analysis: an analysis of housing needs for low, very low- and extremely low-income households, seniors, persons with disabilities, and homeless persons, including those who are homeless with mental disabilities.
- (Optional): A Site Feasibility Analysis, which will include a list of up to four viable sites for the development of Permanent Supportive Housing, a description of their characteristics, as well as benefits and limitations of each site. This will also be informed by the stakeholder input on potential models garnered in Phase 1.

Phase 3, No Place Like Home Project Application: Housing Tools will assist the County to develop a solicitation process to select a developer partner or facilitate a direct relationship with a selected developer. Our firm will then work with the County Behavioral Health Departments, the development partner, key stakeholders and the local housing Continuum of Care in order to prepare a two-county Project Application utilizing Non-Competitive *NPLH* funds, submitted no later February 15, 2021. Tasks will include:

- Assist Plumas County in developing an RFP or RFQ to select a developer partner for the *NPLH* Project Application or facilitate a direct relationship with a selected developer.
- Complete the Project Application, including the Universal Application and Supplemental Project Application.
- Complete a Supportive Services Plan to be attached to the Supplemental Project application for each county, which addresses all required *NPLH* elements, and which is developed in partnership with the Project Sponsor, supportive service providers and the property manager.

VI. **FUNDING** – *No Place Like Home* Technical Assistance Grant

Sierra County Behavioral Health shall pay Plumas County Behavioral Health 50% of all invoices for services provided by James Coles, dba Housing Tools. Plumas County Behavioral Health will provide a final invoice, no later than June 30, 2020, to Sierra County Behavioral Health for expenditures paid on its behalf not to exceed Forty-one Thousand, One Hundred Dollars (\$41,100.00).

VII. **DATA SHARING AND CONFIDENTIALITY** - Parties in this agreement must demonstrate that specific steps to ensure the data is kept secure and confidential are implemented. In addition, the Parties in this agreement shall destroy all confidential data not returned when the use authorized ends in accordance with approved methods of confidential destruction (via shredding, burning, certified or witnessed destruction, or degaussing of magnetic media).

All confidential data made available in order to carry out this Agreement, will be protected from unauthorized use and disclosure.

VIII. CONTACTS –

For Plumas County

Tony Hobson, Director
Plumas County Behavioral Health
270 County Hospital Road #109 Quincy, CA 95971
(530) 283-6307
thobson@pcbh.services

For Sierra County

Lea Salas, Administrative Director
Sierra County Behavioral Health
704 Mill Street Loyalton, CA 96118
(530) 993-6746
lsalas@sierracounty.ca.gov

IX. GENERAL PROVISIONS -

1. **AMENDMENTS.** This agreement may be amended in writing at any time by written mutual consent of the Parties.

2. **TERMINATION.**
 - a. Termination without cause. This agreement may be terminated by either Party without cause upon thirty (30) days written notice.

 - b. Termination with cause. This agreement may be terminated immediately by either Party if the terms of this agreement are violated in any manner.

 - c. Other grounds for termination. In the event that any other contract, or agreement, as being related to or necessary for the performance of this contract, terminates or expires, this agreement may be terminated upon the effective date of the termination of that MOU, informal agreement or contract, even if such termination will occur with less than thirty (30) days written notice.

[Signatures on next page]

IN WITNESS WHEREOF, this Agreement has been executed as of the date set forth below.

COUNTY OF SIERRA:

Approved as to form:

By: _____
County Counsel

Date: _____

By: _____
Lea Salas, Administrative Director

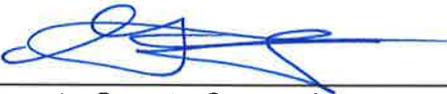
Date: _____

By: _____
Chair, Sierra County Board of Supervisors

Date: _____

COUNTY OF PLUMAS:

Approved as to form:

By:  _____
Deputy County Counsel

Date: 3/24/2020

Approved as to content:

By: _____
Tony Hobson, Director of Behavioral Health

Date: _____

By: _____
Kevin Goss, Chair
Board of Supervisors

Date: _____

Attest By: _____
Nancy DaForno, Board Clerk

Services Agreement

This Agreement is made by and between the COUNTY OF PLUMAS, a political subdivision of the State of California, by and through its **Plumas County Behavioral Health Department** (hereinafter referred to as “County”), and James Coles, dba **Housing Tools**, a sole proprietorship (hereinafter referred to as “Contractor”).

The parties agree as follows:

1. Scope of Work. Contractor shall provide the County with services as set forth in Exhibit A, attached hereto.
2. Compensation. County shall pay Contractor for services provided to County pursuant to this Agreement in the manner set forth in Exhibit B, attached hereto. The total amount paid by County to Contractor under this Agreement shall not exceed Eighty-Two Thousand, Two Hundred Dollars (\$82,200.00).

Term. The term of this agreement shall be from August 1, 2019 through February 28, 2021, unless terminated earlier as provided herein. County’s Board of Supervisors hereby ratifies, and approves for payment, services provided by Contractor to date of approval of this Agreement by the Board of Supervisors.

3. Termination. Either party may terminate this agreement by giving thirty (30) days written notice to the other party.
4. Non-Appropriation of Funds. It is mutually agreed that if, for the current fiscal year and/or any subsequent fiscal years covered under this Agreement, insufficient funds are appropriated to make the payments called for by this Agreement, this Agreement shall be of no further force or effect. In this event, the County shall have no liability to pay any further funds whatsoever to Contractor or furnish any other consideration under this Agreement and Contractor shall not be obligated to perform any further services under this Agreement. If funding for any fiscal year is reduced or deleted for the purposes of this program, the County shall have the option to either cancel this Agreement with no further liability incurring to the County or offer an amendment to Contractor to reflect the reduced amount available to the program. The parties acknowledge and agree that the limitations set forth above are required by Article XVI, section 18 of the California Constitution. Contractor acknowledges and agrees that said Article XVI, section 18 of the California Constitution supersedes any conflicting law, rule, regulation or statute.
5. Warranty and Legal Compliance. The services provided under this Agreement are non-exclusive and shall be completed promptly and competently. Contractor shall guarantee all parts and labor for a period of one year following the expiration of the term of this Agreement unless otherwise specified in Exhibit A. Contractor agrees to comply with all applicable terms of state and federal laws and regulations, all applicable grant funding conditions, and all applicable terms of the Plumas County Code and the Plumas County Purchasing and Practice Policies.

6. Amendment. This Agreement may be amended at any time by mutual agreement of the parties, expressed in writing and duly executed by both parties. No alteration of the terms of this Agreement shall be valid or binding upon either party unless made in writing and duly executed by both parties.
7. Indemnification. To the furthest extent permitted by law (including without limitation California Civil Code Sections 2782 and 2782.8, if applicable), County shall not be liable for, and Contractor shall defend and indemnify County and its officers, agents, employees, and volunteers (collectively "County Parties"), against any and all claims, deductibles, self-insured retentions, demands, liability, judgments, awards, fines, mechanics; liens or other liens, labor disputes, losses, damages, expenses, charges or costs of any kind or character, including attorney's fees and court costs (hereinafter collectively referred to as "Claims"), which arise out of or are in any way connected to the work covered by this Agreement arising either directly or indirectly from any act, error, omission or negligence of Contractor or its officers, employees, agents, contractors, licensees or servants, including, without limitation, Claims caused by the concurrent negligent act, error or omission, whether active or passive of County Parties. Contractor shall have no obligation, however, to defend or indemnify County Parties from a Claim if it is determined by a court of competent jurisdiction that such Claim was caused by the sole negligence or willful misconduct of County Parties.
8. Insurance. Contractor agrees to maintain the following insurance coverage throughout the term of this Agreement:
 - a. Commercial general liability (and professional liability, if applicable to the services provided) coverage, with minimum per occurrence limit of the greater of (i) the limit available on the policy, or (ii) one million dollars (\$1,000,000).
 - b. Automobile liability coverage (including non-owned automobiles), with minimum bodily injury limit of the greater of (i) the limit available on the policy, or (ii) two-hundred fifty thousand dollars (\$250,000) per person and five hundred thousand dollars (\$500,000) per accident, as well as a minimum property damage limit of the greater of (i) the limit available on the policy, or (ii) fifty thousand dollars (\$50,000) per accident.
 - c. Each policy of commercial general liability (and professional liability, if applicable to the services provided) coverage and automobile liability coverage (including non-owned automobiles) shall meet the following requirements:
 - i. Each policy shall be endorsed to name the County, its officers, officials, employees, representatives and agents (collectively, for the purpose of this section 9, the "County") as additional insureds. The Additional Insured endorsement shall be at least as broad as ISO Form Number CG 20 38 04 13; and

- ii. All coverage available under such policy to Contractor, as the named insured, shall also be available and applicable to the County, as the additional insured; and
 - iii. All of Contractor's available insurance proceeds in excess of the specified minimum limits shall be available to satisfy any and all claims of the County, including defense costs and damages; and
 - iv. Any insurance limitations are independent of and shall not limit the indemnification terms of this Agreement; and
 - v. Contractor's policy shall be primary insurance as respects the County, its officers, officials, employees, representatives and agents, and any insurance or self-insurance maintained by the County, its officers, officials, employees, representatives and agents shall be in excess of the Contractor's insurance and shall not contribute with it, and such policy shall contain any endorsements necessary to effectuate this provision. The primary and non-contributory endorsement shall be at least as broad as ISO Form 20 01 04 13; and
 - vi. To the extent that Contractor carries any excess insurance policy applicable to the work performed under this Agreement, such excess insurance policy shall also apply on a primary and non-contributory basis for the benefit of the County before the County's own primary insurance policy or self-insurance shall be called upon to protect it as a named insured, and such policy shall contain any endorsements necessary to effectuate this provision.
- d. Workers Compensation insurance in accordance with California state law.

If requested by County in writing, Contractor shall furnish a certificate of insurance satisfactory to County as evidence that the insurance required above is being maintained. Said certificate of insurance shall include a provision stating that the insurers will not cancel the insurance coverage without thirty (30) days' prior written notice to the County. County reserves the right to require complete, certified copies of all required insurance policies, including endorsements affecting the coverage required by these specifications at any time. Contractor shall require all subcontractors to comply with all indemnification and insurance requirements of this agreement, and Contractor shall verify subcontractor's compliance.

9. Licenses and Permits. Contractor represents and warrants to County that it or its principals have all licenses, permits, qualifications, and approvals of whatsoever nature that are legally required for Contractor to practice its profession and to perform its duties and obligations under this Agreement. Contractor represents and warrants to County that Contractor shall, at its sole cost and expense, keep in effect at all times during the term of this Agreement any licenses, permits, and approvals that are legally required for Contractor or its principals to practice its professions and to perform its duties and obligations under this Agreement.

10. Relationship of Parties. It is understood that Contractor is not acting hereunder as an employee of the County, but solely as an independent contractor. Contractor, by virtue of this Agreement, has no authority to bind, or incur any obligation on behalf of, County. Except as expressly provided in this Agreement, Contractor has no authority or responsibility to exercise any rights or power vested in County. It is understood by both Contractor and County that this Agreement shall not under any circumstances be construed or considered to create an employer-employee relationship or joint venture.
11. Assignment. Contractor may not assign, subcontract, sublet, or transfer its interest in this Agreement without the prior written consent of the County.
12. Non-discrimination. Contractor agrees not to discriminate in the provision of service under this Agreement on the basis of race, color, religion, marital status, national origin, ancestry, sex, sexual orientation, physical or mental handicap, age, or medical condition.
13. Choice of Law. The laws of the State of California shall govern this agreement.
14. Interpretation. This agreement is the result of the joint efforts of both parties and their attorneys. The agreement and each of its provisions will be interpreted fairly, simply, and not strictly for or against either party.
15. Integration. This Agreement constitutes the entire understanding between the parties respecting the subject matter contained herein and supersedes any and all prior oral or written agreements regarding such subject matter.
16. Severability. The invalidity of any provision of this Agreement, as determined by a court of competent jurisdiction, shall in no way affect the validity of any other provision hereof.
17. Headings. The headings and captions contained in this Agreement are for convenience only and shall be of no force or effect in construing and interpreting the provisions of this Agreement.
18. Waiver of Rights. No delay or failure of either party in exercising any right, and no partial or single exercise of any right, shall be deemed to constitute a waiver of that right or any other right.
19. Conflict of Interest. The parties to this Agreement have read and are aware of the provisions of Government Code section 1090 *et seq.* and section 87100 *et seq.* relating to conflicts of interest of public officers and employees. Contractor represents that it is unaware of any financial or economic interest of any public officer or employee of County relating to this Agreement. It is further understood and agreed that if such a financial interest does exist at the inception of this Agreement and is later discovered by the County, the County may immediately terminate this Agreement by giving written notice to Contractor.
20. Notice Addresses. All notices under this Agreement shall be effective only if made in writing and delivered by personal service or by mail and addressed as follows. Either party may, by written notice to the other, change its own mailing address.

County:

Behavioral Health Department
County of Plumas
270 County Hospital Road, Suite 109
Quincy, CA 95971
Attention: Tony Hobson, Director

Contractor:

Housing Tools
3400 Cottage Way, Suite A4
Sacramento, CA 95825
Attention: James Coles, Principal

21. Time of the Essence. Time is hereby expressly declared to be of the essence of this Agreement and of each and every provision thereof, and each such provision is hereby made and declared to be a material, necessary, and essential part of this Agreement.
22. Contract Execution. Each individual executing this Agreement on behalf of Contractor represents that he or she is fully authorized to execute and deliver this Agreement.
23. Retention of Records. If the maximum compensation payable under section 2 of this Agreement exceeds \$10,000, then, pursuant to California Government Code section 8546.7, the performance of any work under this Agreement is subject to the examination and audit of the State Auditor at the request of the County or as part of any audit of the County for a period of three years after final payment under the Agreement. Each party hereto shall retain all records relating to the performance and administration of this Agreement for three years after final payment hereunder, and Contractor agrees to provide such records either to the County or to the State Auditor upon the request of either the State Auditor or the County.
24. Conflicts. In the event of any conflict between the terms of this Agreement and the terms of any exhibit hereto, the terms of this Agreement shall control, and the conflicting term of the exhibit shall be given no effect. Any limitation of liability contained in an attached exhibit shall be null and void.

IN WITNESS WHEREOF, this Agreement has been executed as of the date set forth below.

CONTRACTOR:

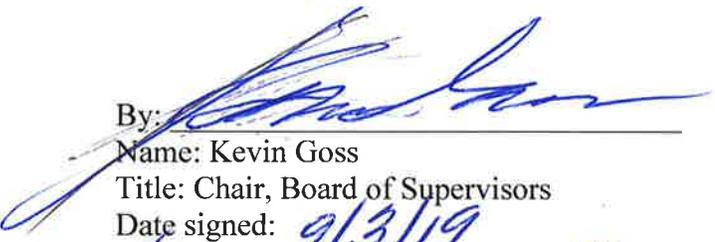
James Coles, dba Housing Tools, a sole proprietorship

By: 
Name: James Coles
Title: Principal
Date signed: 9/12/19

COUNTY:

County of Plumas, a political subdivision of the State of California

By: 
Name: Tony Hobson, Ph.D.
Title: Behavioral Health Director
Date signed: 9-5-19

By: 
Name: Kevin Goss
Title: Chair, Board of Supervisors
Date signed: 9/3/19

Attested by: 
Name: Nancy L. DaForno
Title: Clerk of the Board

APPROVED AS TO FORM:


Deputy County Counsel
Date signed: 8/21/19

EXHIBIT A – Scope of Work

Project Summary

Plumas County Behavioral Health, on behalf of Plumas and Sierra Counties, intends to apply for the Non-Competitive NPLH funds from the State Department of Housing and Community Development (HCD) in order to develop permanent supportive housing for individuals and families with serious mental health conditions who are experiencing homelessness, chronic homelessness or who are at risk of chronic homelessness. This scope of work includes:

- preparation of a two-county Non-Competitive funding request to HCD, including project modeling, financial structuring, and developer coordination, and creation of a County Homeless Plan for each county.
- preparation of an Affordable Housing Needs Assessment for each county.
- preparation of the required NPLH Supportive Services Plan for each county.
- collaboration with each county Planning director and staff to provide them with the necessary information concern local homelessness and programs to update the Housing Element of the Plumas County and the Sierra County General Plans.

Housing Tools will provide the requested services in three phases:

Phase 1, Threshold Documentation and Information on Homeless Services: Initial activities will be those necessary to plan and apply for the Non-Competitive NPLH funds, as well as provide needed information to each County for their Housing Element updates.

- Housing Tools will complete the required elements to meet the newly revised HCD threshold requirements for non-competitive NPLH funds as follows:
 - Review and research existing County data on homelessness; and current systems and resources, including those required for NPLH referrals, data collection, HMIS and the Coordinated Entry System, from August through October 2019;
 - Organize and facilitate meetings with the NPLH-required county and community stakeholder groups to solicit their input on needs, housing and services gaps, goals, strategies, activities and permanent supportive housing models from September through December 2019;
 - Write the Homeless Plan and present it to County staff, stakeholders, and each County Board of Supervisors in February 2020. The Plan will then be submitted to HCD in order to finalize meeting all of the State’s threshold requirements for the eventual receipt of Non-Competitive NPLH funds.
- After completion of the Homeless Plan, Housing Tools will deliver to each County Planning Director and their staff a summary report of data on homeless needs, and information concerning local homelessness programs, to be used to update the Housing Element of the Plumas County and Sierra County General Plans. This deliverable is anticipated to be completed by March 2020. Housing Tools staff will be available to confer and answer questions about the information as necessary.

Phase 2, Affordable Housing Needs Assessment: Housing Tools will complete an Affordable Housing Needs Assessment for each County. The content of these assessments will be shaped by each County's needs for updating its Housing Element, so that information can be pulled from these reports for the Housing Element updates. If desired by Plumas and Sierra Counties, this assessment can also include a site feasibility study to inform the decision-making process for the NPLH Permanent Supportive Housing Project. Such a study is highly recommended by our firm but is included as an optional task. Housing Tools will present the findings from its assessment in a written report, scheduled for completion in May 2020, that will include the following information:

- **Base Housing Market Conditions:** This will provide a snapshot of the economic and demographic conditions in each county that influence the housing market. This will include population growth trends, household characteristics, employment and wages, household income, and poverty statistics.
- **Subsidized Rental Report:** An assessment of the current supply of housing, and public funding availability for the production of publicly assisted affordable housing, including tax credit housing.
- **Affordable Housing Needs Analysis:** an analysis of housing needs for low, very-low and extremely-low income households, seniors, persons with disabilities, and homeless persons, including those who are homeless with mental disabilities.
- **(Optional): A Site Feasibility Analysis,** which will include a list of up to four viable sites for the development of Permanent Supportive Housing, a description of their characteristics, as well as benefits and limitations of each site. This will also be informed by the stakeholder input on potential models garnered in Phase 1.

Phase 3, No Place Like Home Project Application: Housing Tools will assist the County to develop a solicitation process to select a developer partner or facilitate a direct relationship with a selected developer. Our firm will then work with the County Behavioral Health Departments, the development partner, key stakeholders and the local housing Continuum of Care in order to prepare a two-county Project Application utilizing Non-Competitive NPLH funds, submitted no later February 15, 2021. Tasks will include:

- Assist Plumas County in developing an RFP or RFQ to select a developer partner for the NPLH Project Application or facilitate a direct relationship with a selected developer.
- Complete the Project Application, including the Universal Application and Supplemental Project Application.
- Complete a Supportive Services Plan to be attached to the Supplemental Project application for each county, which addresses all required NPLH elements, and which is developed in partnership with the Project Sponsor, supportive service providers and the property manager.

Scope of Work and Schedule:

The chart below summarizes the proposed workplan, project schedule and budget associated with each deliverable. The workplan is organized by deliverable milestones and activities or sub-tasks necessary to achieve the milestone.

Community engagement and outreach meetings will be conducted in locations determined through consultation with Behavioral Health staff. It is anticipated that meeting locations may include Quincy, Chester, Downieville and Loyalton. The proposed stakeholder and community meetings include:

- 1) For the development of the Homeless Plan, three meetings/workshops with the NPLH-required stakeholders and the greater community will be conducted as follows:

Meeting #1: Introduce the planning process for the Homeless Plan, provide an overview of No Place Like Home, Permanent Supportive Housing, Housing First, and facilitate a needs assessment and service gaps brainstorming session.

Meeting #2: Identification of goals, strategies and activities over a 10-year period to address the needs and gaps identified at the first meeting, as well as a discussion of potential Permanent Supportive Housing models.

Meeting #3: Review the Homeless Plan draft document and solicit final feedback.

All of the following NPLH-required stakeholders will be invited to these collaborative meetings:

- County representatives from Behavioral Health, Public Health, Social Services, Probation/Criminal Justice, and Housing/Planning staff
- The local homeless Continuum of Care
- Local cities/towns within the County, represented by City Managers, Councilmembers, Public Safety, as well as other County staff such as the County Administrator or their representative
- Housing and Homeless Service providers including those who provide emergency shelter, meals, crisis intervention, etc.
- Managed Medi-Cal Health Plans, community clinics (both FQHCs and Tribal Health, or other health clinics), local hospitals
- The local Public Housing Authority
- Family caregivers of those experiencing serious mental illness

The general community will also be invited to attend and share their input, including the Greenville Rancheria. Housing Tools will develop and provide community outreach materials including flyers, public service announcements and social media content for Facebook, Twitter and Instagram, as desired by the County.

- 2) A stand-alone focus group meeting with family caregivers of those experiencing serious mental illness and those who have been or are homeless will be scheduled in consultation with County staff. We have found that using an existing meeting, such as a behavioral health peer support group is an effective way to obtain this input. The Plumas Crisis Intervention and Resource Center is a likely location and collaborative partners for such a meeting.
- 3) One-on-one interviews will be conducted with NPLH-required stakeholders who are unable to attend one of the meetings.

The Budget column below includes the cost of Deliverables for both Plumas and Sierra County. For Deliverables that include a separate report or application for each county, the Budget amount is divided evenly between the two counties. Plumas and Sierra Counties will partner under a county Memorandum of Understanding (MOU).

Phase 1	
Deliverable/Activities	Timeline
Stakeholder and Community Engagement - Information on Homeless Services Needed for Homeless Plan and Housing Element Update (for each County)	
Task 1.1: Stakeholder and Community Meeting/Workshop #1: Introduction of Homeless Plan process and facilitate a needs assessment and service gaps brainstorming session.	September 2019
Task 1.2: Review and research existing County data on homelessness; researching and understanding current systems and resources, including those required for NPLH referrals, data collection, HMIS and the Coordinated Entry System;	August-October 2019
Task 1.3: Conduct follow up interviews with collaborative partners: public health, criminal justice, health providers, representatives of family caregivers for persons with serious mental illness. This will include meetings with the persons with mental illness and caregivers, and interviews with representatives from the school district, healthcare providers, County public health, and County Sheriff's Department.	September-October 2019
Task 1.4: Stakeholder and Community Meeting/Workshop #2: Present information from needs/gaps analysis, current resources and interview findings, and brainstorm goals, strategies and activities in include in the Homeless Plan.	October 2019
Task 1.5: Draft the Homeless Plan	November 2019
Task 1.6: Present Homeless Plan to Plumas and Sierra County Behavioral Health staff for comment and make revisions	December 2019-January 2020
Task 1.7: Present Homeless Plan to each County Board of Supervisors for adoption	February 2020
Task 1.8: Submit Homeless Plan to State HCD	February-March 2020

<p>Task 1.9: Provide written summary of data and information on homelessness programs to each County Planning Director for use in their Housing Element updates.</p>	<p>March 2020</p>
<p>Phase 2</p>	
<p>Affordable Housing Needs Assessments (one for each County)</p>	
<p>Task 2.1: Conduct Research on Base Housing Market Conditions, including: population growth trends, household characteristics, employment and wages, household income, poverty statistics.</p>	<p>March 2020</p>
<p>Task 2.2: Complete a Subsidized Rental Report that includes: 1) a survey of the current affordable housing supply, and 2) public funding availability for the production of publicly assisted affordable housing, including tax credit housing.</p>	<p>March 2020</p>
<p>Task 2.3: Conduct an Affordable Housing Needs Analysis focusing on low, very-low and extremely-low income households, seniors, persons with disabilities, and homeless persons, including those who are homeless with mental disabilities. This will consist of an assessment of housing costs to income, median rents, vacancy rates, and availability of housing appropriate to each population category.</p>	<p>April 2020</p>
<p>Task 2.4: Draft Report, including information necessary for Housing Element updates, revise based on County feedback, and finalize for County use.</p>	<p>May 2020</p>
<p>Optional Task 2.5: Identify potential sites for Permanent Supportive Housing for both counties: 1) Compile a list of potential sites with the following information: size, zoning, supporting infrastructure, proximity to amenities and funding viability. 2) Visit most viable sites and conduct more in-depth research including: building code impacts, topography, open spaces, property history, building conditions and ADA accessibility and community support. 3) Identify the four most viable sites for both counties combined and describe their characteristics, including benefits and limitations in a written report.</p>	<p>May-June 2020</p>
<p>Phase 3</p>	
<p>No Place Like Home Project Application (for both Counties)</p>	

<p>Task 3.1: Assist Counties in developing an RFP or RFQ to select a developer partner for the NPLH Project Application or facilitate a direct relationship with a selected developer.</p>	<p>June 2020-February 2021</p>
<p>Task 3.2: Assist Counties with completion of a two-county NPLH Project Application utilizing non-competitive funds (Universal Application and Supplemental Project Application)</p>	<p>Submit no later than February 15, 2021.</p>
<p>Task 3.3: Complete a Supportive Services Plan for each County which addresses all required NPLH elements and has been development in partnership with the Project Sponsor, supportive service providers and the property manager</p>	<p>Submit as an attachment to NPLH Project Application no later than February 15, 2021.</p>

EXHIBIT B – Fee Schedule

Deliverable/Activities	Budget
Phase I Subtotal	\$27,200
Task 1.1: Stakeholder and Community Meeting/Workshop #1: Introduction of Homeless Plan process and facilitate a needs assessment and service gaps brainstorming session.	\$1,400
Task 1.2: Review and research existing County data on homelessness; researching and understanding current systems and resources, including those required for NPLH referrals, data collection, HMIS and the Coordinated Entry System;	\$6,000
Task 1.3: Conduct follow up interviews with collaborative partners: public health, criminal justice, health providers, representatives of family caregivers for persons with serious mental illness. This will include meetings with the persons with mental illness and caregivers, and interviews with representatives from the school district, healthcare providers, County public health, County Sheriff's Department, and local Police Departments.	\$3,000
Task 1.4: Stakeholder and Community Meeting/Workshop #2: Present information from needs/gaps analysis, current resources and interview findings, and brainstorm goals, strategies and activities in include in the Homeless Plan.	\$2,600
Task 1.5: Draft the Homeless Plan	\$8,400
Task 1.6: Present Homeless Plan to Plumas and Sierra County Behavioral Health staff for comment and make revisions	\$1,400
Task 1.7: Present Homeless Plan to each County Board of Supervisors for adoption	\$1,600
Task 1.8: Submit Homeless Plan to State HCD	\$400
Task 1.9: Provide written summary of data and information on homelessness programs to each County Planning Director for use in their Housing Element updates.	\$2,400
Phase 2 Subtotal	\$40,000

Task 2.1: Conduct Research on Base Housing Market Conditions, including: population growth trends, household characteristics, employment and wages, household income, poverty statistics.	\$4,200
Task 2.2: Complete a Subsidized Rental Report that includes: 1) a survey of the current affordable housing supply, and 2) public funding availability for the production of publicly assisted affordable housing, including tax credit housing.	\$7,800
Task 2.3: Conduct an Affordable Housing Needs Analysis focusing on low, very-low and extremely-low income households, seniors, persons with disabilities, and homeless persons, including those who are homeless with mental disabilities. This will consist of an assessment of housing costs to income, median rents, vacancy rates, and availability of housing appropriate to each population category.	\$4,200
Task 2.4: Draft Report, including information necessary for Housing Element updates, revise based on County feedback, and finalize for County use.	\$3,800
Task 2.5: Identify potential sites for Permanent Supportive Housing for both counties: 1) Compile a list of potential sites with the following information: size, zoning, supporting infrastructure, proximity to amenities and funding viability. 2) Visit most viable sites and conduct more in-depth research including: building code impacts, topography, open spaces, property history, building conditions and ADA accessibility and community support. 3) Identify the four most viable sites for both counties combined and describe their characteristics, including benefits and limitations in a written report.	\$20,000
Phase 3 Subtotal	\$15,000
Task 3.1: Assist Counties in developing an RFP or RFQ to select a developer partner for the NPLH Project Application or facilitate a direct relationship with a selected developer.	\$4,500
Task 3.2: Assist Counties with completion of a two-county NPLH Project Application utilizing non-competitive funds (Universal Application and Supplemental Project Application)	\$4,500
Task 3.3: Complete a Supportive Services Plan for each County which addresses all required NPLH elements and has been development in partnership with the Project Sponsor, supportive service providers and the property manager	\$6,000
Total Budget:	\$82,200.00

Other On-Call Technical Assistance Services

Beyond this proposed budget, Contractor may provide additional on-call technical assistance services, which for the purposes of this project may include but are not limited to: financial analysis/proforma development, detailed housing site feasibility studies, and loan underwriting consultation. Such services are billed at hourly costs as follows:

Contractor Rate Schedule:

James Coles:	\$110/hour
Sherry Morgado:	\$110/hour
Cassie Miracle:	\$80/hour

Hourly costs include training, insurance and overhead (rent, utilities, supplies, etc.).
Travel is charged at the applicable IRS rate per mile, currently \$0.58 per mile
Reimbursable purchases, such as copies or equipment, are charged at cost.

Compensation

Contractor will bill monthly on a percent of completion by task basis per the scope of services and budget listed above. Additional technical assistance services will be billed on a time and materials basis.

EXHIBIT C – Confidentiality Agreement

1. For purposes of this Agreement, all personal identifying information (“Non-Public Information”) concerning a PCBH client (“Client”) that Client provides to the Contractor will be excluded from any reports or publicly shared documents as part of the Work Product. All personal identifying information regarding the Client, including, without limitation, the identity of Client, shall be deemed and treated as strictly confidential, Non-Public Information, unless and until Client specifically authorizes Contractor in writing that any such personal identifying information may be treated as public. Except as specifically required by law, Contractor may disclose Non-Public Information only with Client’s prior written consent. Contractor shall have no authority to disclose Non-Public Information except in accordance with this section. Information already in the public domain shall not be considered Non-Public Information.
2. The work product of Contractor shall mean any and all tangible products, data, reports, information recorded by whatever means, documents, written materials, and any and all other work products, or any portion thereof, including drafts, prepared, generated or provided by Contractor in connection with Contractor’s performance of the scope of work (“Work Product”).
3. Contractor shall take appropriate measures to ensure the confidentiality and protection of all Work Product containing all Non-Public Information and to prevent its advertent or unintentional disclosure or its inappropriate use by Contractor or its subcontractors, or by its or their employees or related entities. This duty shall survive the expiration or termination of this Agreement.

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: April 7, 2020	TYPE OF AGENDA ITEM: <input type="checkbox"/> Regular <input type="checkbox"/> Timed <input checked="" type="checkbox"/> Consent
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DEPARTMENT: Public Health APPROVING PARTY: Vickie Clark, Director PHONE NUMBER: (530) 993-6700

AGENDA ITEM: Resolution Authorizing the Sierra County Auditor to make certain changes to the 2019-2020 final budget

SUPPORTIVE DOCUMENTS ATTACHED: Memo Resolution Agreement Other

BACKGROUND INFORMATION: Please see attached memo

FUNDING SOURCE: 0515610
GENERAL FUND IMPACT: No General Fund Impact
OTHER FUND: COVID
AMOUNT: \$ 152,181.00 N/A

ARE ADDITIONAL PERSONNEL REQUIRED? <input type="checkbox"/> Yes, -- -- <input checked="" type="checkbox"/> No	IS THIS ITEM ALLOCATED IN THE BUDGET? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No IS A BUDGET TRANSFER REQUIRED? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
--	---

SPACE BELOW FOR CLERK'S USE

BOARD ACTION: <input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken	<input type="checkbox"/> Set public hearing For: _____ <input type="checkbox"/> Direction to: _____ <input type="checkbox"/> Referred to: _____ <input type="checkbox"/> Continued to: _____ <input type="checkbox"/> Authorization given to: _____	Resolution 2020- _____ Agreement 2020- _____ Ordinance _____ Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus
---	---	---

COMMENTS:

CLERK TO THE BOARD _____	DATE _____
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Memorandum

To: Sierra County Board of Supervisors

From:

Reference: Agenda Item

Date of memo:

Date of Board Meeting:

Requested Action: Resolution Authorizing the Sierra County Auditor to make certain changes to the 2019-2020 final budget

Mandated by: Government Code §29125

Funding

Budgeted? Yes No

Revenue	\$152,181.00	COVID
Expenses	\$152,181.00	COVID
Difference	0	

Background Information: On March 6, 2020, the President signed into law the Coronavirus Preparedness and Response Supplemental Appropriations Act, 2020 (P.L. 116-123)(Coronavirus Supplemental). This act provides funding to prevent, prepare for, and respond to Corona Virus Disease 2019 (COVID-19). Sierra County was allocated \$152,181.00. This resolution would allow for the Sierra County Auditor to make certain changes to the 2019-2020 final budget to include this funding.

Potential Issues to consider: None

Alternatives or Impacts of disapproval:

**BOARD OF SUPERVISORS
COUNTY OF SIERRA
STATE OF CALIFORNIA**

RESOLUTION NO. _____

**IN THE MATTER OF AUTHORIZING THE SIERRA COUNTY AUDITOR
TO MAKE CERTAIN CAHNGES TO THE 2019-2020 FINAL BUDGET**

WHEREAS, pursuant to Government Code §29125, the Board of Supervisors may authorize the Auditor to make budget changes;

NOW THEREFORE BE IT RESOLVED, that the Auditor is hereby authorized to adjust the 2019-2020 Final Budget for the below noted funds:

Transfer Out	8915624	\$152,181.00
Transfers In	0515610	\$152,181.00
Increase Expenditures		
	0515610	\$142,181.00
	0515610 5002	\$10,000.00

FURTHERMORE BE IT RESOLVED, the Board hereby specifically authorizes the Auditor to transfer the above funds.

ADOPTED by the Board of Supervisors of the County of Sierra, State of California on the 7th day of April, 2020.

**AYES:
NOES:
ABSENT:
ABSTAIN:**

COUNTY OF SIERRA

JIM BEARD
Chairman

ATTEST:

APPROVED AS TO FORM:

HEATHER FOSTER
Clerk of the Board

DAVID PRENTICE
County Counsel

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: April 7, 2020	TYPE OF AGENDA ITEM: <input type="checkbox"/> Regular <input type="checkbox"/> Timed <input checked="" type="checkbox"/> Consent
---------------------------------------	---

DEPARTMENT: Social Services
APPROVING PARTY: Vickie Clark, Director
PHONE NUMBER: (530) 993-6720

AGENDA ITEM: : Resolution Approving the Memorandum of Understanding between the Sacramento County Department of Human Assistance and the Sierra County Department of Human Services in regards to the Cash Assistance Program for Immigrants (CAPI) and authorizing the Director of Social Services to sign the Memorandum of Understanding.

SUPPORTIVE DOCUMENTS ATTACHED: Memo Resolution Agreement Other

BACKGROUND INFORMATION: Please see attached memo

FUNDING SOURCE:
GENERAL FUND IMPACT: No General Fund Impact
OTHER FUND:
AMOUNT: \$ N/A

ARE ADDITIONAL PERSONNEL REQUIRED?
 Yes, -- --
 No

IS THIS ITEM ALLOCATED IN THE BUDGET? Yes No
IS A BUDGET TRANSFER REQUIRED? Yes No

SPACE BELOW FOR CLERK'S USE

<p>BOARD ACTION:</p> <input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken	<input type="checkbox"/> Set public hearing For: _____ <input type="checkbox"/> Direction to: _____ <input type="checkbox"/> Referred to: _____ <input type="checkbox"/> Continued to: _____ <input type="checkbox"/> Authorization given to: _____	Resolution 2020- _____ Agreement 2020- _____ Ordinance _____ Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus
---	---	---

COMMENTS:

CLERK TO THE BOARD _____ DATE _____

Memorandum

To: Sierra County Board of Supervisors

From: Vickie Clark, Public Health and Social Services Director

Reference: Agenda Item

Date of memo: March 26, 2020

Date of Board Meeting: April 7, 2020

Requested Action: Resolution Approving the Memorandum of Understanding between the Sacramento County Department of Human Assistance and the Sierra County Department of Human Services in regards to the Cash Assistance Program for Immigrants (CAPI) and authorizing the Director of Social Services to sign the Memorandum of Understanding.

Mandated by: Public Law 104-193, AB 2779, and AB 1111

Funding

Budgeted? Yes No

Revenue		Source(s)
Expenses		Budget attached
Difference		

Background Information: The 1998 Budget Act Implementation Bill for Social Services (AB2779, Chapter 329) requires a new program, which authorizes a state funded cash assistance program for immigrants (CAPI). California Department of Social Services (CDSS) must establish and supervise a county or multi-county consortia-administered program to provide cash assistance to certain aged, blind and disabled legal immigrants who are no longer eligible for Supplemental Security Income/State Supplementary Payment (SSI/SSP) benefits solely due to the immigrant provision of Public Law 104-193 and its amendments.

The North State/Orange CAPI Consortium (NSOCC), a multi-county consortium has been established to provide the mandated payments to eligible immigrants.

Potential Issues to consider: None

Alternatives or Impacts of disapproval: Sierra County would be out of compliance.

BOARD OF SUPERVISORS, COUNTY OF SIERRA, STATE OF CALIFORNIA

RESOLUTION NO. _____

**IN THE MATTER OF
AUTHORIZING DIRECTOR OF SOCIAL SERVICES
TO SIGN MEMORANDUM OF UNDERSTANDING BETWEEN
THE SACRAMENTO DEPARTMENT OF HUMAN ASSISTANCE AND
THE SIERRA COUNTY DEPARTMENT OF HUMAN SERVICES**

WHEREAS, the federal government has enacted Public Law. 104-193, the Personal Opportunity and Work Responsibility Act of 1996 which prohibits legal immigrants receiving SSI; and

WHEREAS, the federal government has enacted the Balanced Budget Act of 1997, which amended P.L. 104-193 to allow some, but not all, legal immigrants to receive SSI; and

WHEREAS, the California Legislature enacted Bill (AB) 2779, Chapter 329 of the 1998 Budget Act Implementation Bill for Social Services, known as the Cash Assistance Program for Immigrants (CAPI), which allows legal immigrants who are ineligible for SSI due to their immigrant status to receive cash assistance payments; and AB 1111 to extend the CAPI program indefinitely; and

WHEREAS, the responsibility to administer CAPI is delegated to county welfare departments or a consortia of county welfare departments; and

WHEREAS, there has been established a consortium of county welfare departments, known as the North state/Orange CAPI Consortium, hereinafter referred to as NSOCC;

WHEREAS, the Sacramento County Department of Human Assistance, hereinafter referred to as "DHA" is Sacramento County's welfare department; and

WHEREAS, Sacramento County desires to extend certain services to Consortium Members participating in the CAPI program; and

WHEREAS, the Sacramento County Board of Supervisors authorized the Director of DHA to enter into the Agreement on behalf of Sacramento County by Resolution No. 2002-0144.

NOW THEREFORE BE IT RESOLVED, the Sierra County Board of Supervisors authorizes Vickie Clark, the Director of Sierra County Social Services or designee to sign the Memorandum of Understanding for a period commencing July 1, 2020 and ending June 30, 2025, the end date of the MOU.

ADOPTED by the Board of Supervisors of the County of Sierra, State of California on the 7th day of April, 2020, by the following vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

JIM BEARD
Chairman, Board of Supervisors

Date _____

ATTEST:

APPROVED AS TO FORM:

HEATHER FOSTER
Clerk of the Board

DAVID PRENTICE
County Counsel

**MEMORANDUM OF UNDERSTANDING
BETWEEN THE COUNTY OF SACRAMENTO DEPARTMENT OF
HUMAN ASSISTANCE AND
THE SIERRA COUNTY DEPARTMENT OF HUMAN SERVICES**

THIS MEMORANDUM OF UNDERSTANDING, hereinafter referred to as “MOU,” is made and entered into this _____ day of _____ 2020 by and between the County of Sacramento Department of Human Assistance, hereinafter referred to as “COUNTY,” and County of Sierra, a political subdivision of the State of California, hereinafter referred to as “CONSORTIUMMEMBER.”

WITNESSETH

WHEREAS, the federal and, government has enacted Public Law. 104-193, the Personal Opportunity and Work Responsibility Act of 1996 which prohibits legal immigrants from receiving SSI; and

WHEREAS, the federal and, government has enacted the Balanced Budget Act of 1997, which amended P.L. 104-193 to allow some, but not all, legal immigrants from receiving SSI; and

WHEREAS, the California Legislature enacted Assemble Bill (AB) 2779, Chapter 329 of the 1998 Budget Act Implementation Bill for Social Services, known as the Case Assistance Program for Immigrants (CAPI), which allows legal immigrants who are ineligible for SSI due to their immigrant status to receive cash assistance payments; and AB 1111 to extend the CAPI program indefinitely; and

WHEREAS, the responsibility to administer CAPI is delegated to county welfare departments or a consortia of county welfare departments; and

WHEREAS, there has been established a consortium of county welfare departments, known as the North State/Orange CAPI Consortium, hereinafter referred to as NSOCC;

WHEREAS, the Sacramento County Department of Human Assistance, is Sacramento County’s welfare department; and

WHEREAS, COUNTY desires to extend certain services to CONSORTIUM MEMBERS participating in the CAPI program; and

WHEREAS, the Sacramento County Board of Supervisors authorized the Director of DHA to enter into this MOU on behalf of COUNTY by Resolution No. 2002-0144.

WHEREAS, the parties hereto desire to enter into an MOU,

NOW THEREFORE the parties hereto mutually agree to the following:

I. BACKGROUND

The 1998 Budget Act Implementation Bill for Social Services (AB2779, Chapter 329) requires a new program, which authorizes a state funded cash assistance program for immigrants (CAPI). California

Department of Social Services (CDSS) must establish and supervise a county or multi-county consortia-administered program to provide cash assistance to certain aged, blind and disabled legal immigrants who are no longer eligible for Supplemental Security Income/State Supplementary Payment (SSI/SSP) benefits solely due to the immigrant provision of Public Law 104-193 and its amendments.

The NSOCC, a multi-county consortium has been established to provide the mandated payments to eligible immigrants.

I. PURPOSE

The purpose of the MOU is to: 1) establish and maintain an effective working relationship between COUNTY and NSOCC MEMBER COUNTY; 2) provide an efficient process to secure CAPI applications, determine eligibility, make aid payments, maintain case records, and create reports for CONSORTIUM MEMBER.

II. TERM

The term of this Memorandum of Understanding shall be July 1, 2020 and extend through June 30, 2025.

III. RESPONSIBILITIES

A. The COUNTY will be responsible for providing the following to the CONSORTIUM MEMBER:

1. Provide training for securing CAPI applications.
2. Provide needed forms for securing applications.
3. Establish a multi-language 800 line for change reporting and CAPI information.
4. Take appropriate action on calls received on the 800 line.
5. Communicate directly with the applicant to obtain additional information or verifications.
6. Determine CAPI eligibility.
7. Determine the correct aid payment amount.
8. Notify applicant and CONSORTIUM MEMBER of approval or denial of CAPI application.
9. Maintain case record on CalWIN with MEDS interface.
10. Issue CAPI payments.
11. Maintain a warrant replacement procedure.
12. Maintain fiscal records.
13. Gather and maintain caseload statistics.
14. Provide monthly reports to CONSORTIUM MEMBER.
15. Obtain form SSP 14 from applicants.
16. Notify CONSORTIUM MEMBER when SSI is approved or denied.
17. Distribute SSI retroactive payment to CONSORTIUM MEMBER and to CAPI.
18. Distribute CAPI retroactive payments to CONSORTIUM MEMBER and to CAPI recipient.
19. Write the position statement for state hearings and appeals.

B. The CONSORTIUM MEMBER will be responsible for providing the following to COUNTY:

1. Allow applicants to apply for benefits:
 - a. By telephone
 - b. In person
 - c. By mail or fax.
2. Provide a method to sign the CAPI Statement of Facts (SOC 814) in person, such as an appointment, if the CAPI applicant applied via telephone, mail or fax.

1. Provide an alternate method to sign the CAPI Statement of Facts (SOC 814) in person if the CAPI applicant cannot come into the office, such as a home visit.
2. Complete a CAPI application packet for potentially eligible applicants.
A complete application includes the:
 - a. CAPI Application Cover Sheet (CAPI 1026_34F)
 - b. SOC 814, CAPI Statement of Facts – signed in person (copy acceptable)
 - c. SOC 453, Living Arrangement and Household Expenses
 - d. SOC 455, Cash Assistance Program for Immigrants State Interim Assistance Reimbursement Authorization (for General Assistance/General Relief recipients only)
 - e. Proof of supplemental Security income application status
 - f. SC 106 Language Preference Form and Assisted Listening and Reading Identification
 - g. CAPI 1004 34F – CAPI Information Sheet
 - h. CAPI 1000 34F – Request for Special Skills Supplement
 - i. Copy of original immigration documents
 - j. Copies of verification provided by the applicant
 - k. SOC 860, Sponsor’s Statement of Income and Resources
3. Date stamp the SOC 814 on the day received.
4. Send the completed application packet to:

NSOCC
 Department of Human Assistance – N600
 10013 Folsom Blvd
 Sacramento, CA 95827

Or Fax: 916-874-2245 or Secure E-mail DHA-CAPI-APPS@saccounty.net

5. Advise the applicant of the following:
 - a. Application will be processed at the central office in Sacramento (see above)
 - b. The toll-free number is 1-800-929-8118
 - c. Missing verifications will be requested by their intake worker via mail and can be mailed to the same address above or faxed to the same fax number above.
6. Provide additional assistance to applicant including, but not limited to, copying verifications and forwarding to NSOCC, and completing the application forms.
7. Respond to NSOCC within 24 hours, via fax, when a General Assistance/General Relief reconciliation is requested by NSOCC.
8. Conduct state hearings and appeals for consortium applicants/recipients of CAPI program.

IV. FISCAL PROVISIONS

COUNTY shall provide CAPI aid payments to eligible CAPI recipients. CAPI aid payments will continue for eligible CAPI recipients as long as there are state funds available for aid payments.

V. INDEMNIFICATION

In consideration of COUNTY’s agreement to provide the services described herein, CONSORTIUM MEMBER should indemnify, defend and hold harmless COUNTY, its officers, agents, employees and representatives from and against any and all claims, losses, liabilities or damages, demands and actions including payment of reasonable attorney’s fees arising out of or resulting from the performance of this Understanding, caused in whole or part by any negligent or willful act or omission of CONSORTIUM MEMBER, its officers, agents, employees or representatives regardless of whether caused in part by a party indemnified hereunder.

I. STATUS OF CONSORTIUM MEMBER

- A. It is understood and agreed that CONSORTIUM MEMBER (including CONSORTIUM MEMBER'S employees) is an independent contractor and that no relationship of employer-employee or agency exists between the parties hereto. CONSORTIUM MEMBER and CONSORTIUM MEMBER'S assigned personnel shall not be entitled to any benefits payable to employees of COUNTY. COUNTY is not requested to make any deductions or withholdings from the compensation payable to CONSORTIUM MEMBER under the provisions of the Understanding. As an independent contractor, CONSORTIUM MEMBER hereby indemnifies, defends, and holds COUNTY, its officers, agents and employees harmless from any and all claims, losses, liabilities, or damages arising from any contention by a third party that an employer-employee or agency relationship exists by reason of this Understanding.
- B. It is further understood and agreed by the parties hereto that CONSORTIUM MEMBER in the performance of its obligation hereunder is subject to the control or direction of COUNTY merely as to the designation of tasks to be performed, and results to be accomplished by the services hereunder agreed to be rendered and performed, and not as to the means and methods for accomplishing the result.
- C. If, in the performance of this Understanding, CONSORTIUM MEMBER employs any third persons, such persons shall be entirely and exclusively under the direction, supervision and control of said CONSORTIUM MEMBER. All terms of employment CONSORTIUM MEMBER shall determine including hours, wages, work conditions, discipline, hiring and discharging, or any other terms of employment or requirements of law, and COUNTY shall have no right or authority over such persons or the terms of such employment.
- D. It is further understood and agreed that as an independent contractor and not an employee of COUNTY, neither CONSORTIUM MEMBER nor CONSORTIUM MEMBER'S assigned personnel shall have any entitlement as a county employee, right to act on behalf of COUNTY in any capacity whatsoever as agent, nor to bind COUNTY to any obligation whatsoever.
- E. It is further understood and agreed that CONSORTIUM MEMBER must issue W-2 and 941 forms for income and employment tax purposes and withhold all necessary state and federal taxes for all of CONSORTIUM MEMBER'S assigned personnel under the terms and conditions of this Understanding.

II. ALTERATION OF TERMS

It is mutually agreed that this MOU may be modified or amended upon the written mutual consent of the parties hereto.

III. TERMINATION

Either party may terminate this MOU without cause upon thirty (30) days written notice served upon all parties.

I. **NOTICES**

Any notice, demand, request, consent, or approval that either party hereto may be/or is required to give the other pursuant to this MOU shall be in writing and shall be either personally delivered or sent by mail, addressed as follows:

Notice to COUNTY shall be addressed as follows: Notice to other agency shall be addressed as follows:

DIRECTOR
Department of Human Assistance
1825 Bell Street, Suite 200
Sacramento, CA 95825

DIRECTOR
Department of Human Services
P.O. Box 1019
Loyalton, CA 90118

IN WITNESS WHEREOF, the parties hereto have executed this Memorandum of Understanding.

DEPARTMENT OF HUMAN ASSISTANCE
a political Subdivision of the State of California

DEPARTMENT OF HUMAN SERVICES
a political Subdivision of the State of California

By _____
Ann Edwards, Director
Department of Human Assistance or
Designee as per S.C.C. 2.61.012(h)

By Victoria A. Clark
Vickie Clark, Director
Department of Human Services
Sierra County

Date _____

Date 3/10/20

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: April 7, 2020	TYPE OF AGENDA ITEM: <input type="checkbox"/> Regular <input type="checkbox"/> Timed <input checked="" type="checkbox"/> Consent
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DEPARTMENT: Social Services
APPROVING PARTY: Vickie Clark, Director
PHONE NUMBER: (530) 993-6720

AGENDA ITEM: Agreement between the California Department of Social Services and the County of Sierra to provide services or activities related to the Resource Family Approval (RFA) program.

SUPPORTIVE DOCUMENTS ATTACHED: Memo Resolution Agreement Other

BACKGROUND INFORMATION: Please see attached memo

FUNDING SOURCE: 0515800

GENERAL FUND IMPACT: No General Fund Impact

OTHER FUND: 5800

AMOUNT: \$24,458.00 N/A

ARE ADDITIONAL PERSONNEL REQUIRED? <input type="checkbox"/> Yes, -- -- <input checked="" type="checkbox"/> No	IS THIS ITEM ALLOCATED IN THE BUDGET? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No IS A BUDGET TRANSFER REQUIRED? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
--	---

SPACE BELOW FOR CLERK'S USE

BOARD ACTION: <input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken	<input type="checkbox"/> Set public hearing For: _____ <input type="checkbox"/> Direction to: _____ <input type="checkbox"/> Referred to: _____ <input type="checkbox"/> Continued to: _____ <input type="checkbox"/> Authorization given to: _____	Resolution 2020- _____ Agreement 2020- _____ Ordinance _____ Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus
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COMMENTS:

CLERK TO THE BOARD

DATE

Memorandum

To: Sierra County Board of Supervisors

From: Vickie Clark, Director

Reference: Agenda Item

Date of memo: March 25, 2020

Date of Board Meeting: April 7, 2020

Requested Action: Agreement between the California Department of Social Services and the County of Sierra to provide services or activities related to the Resource Family Approval (RFA) program.

Mandated by:

Funding

Budgeted? YesX No

Revenue	\$24,458.00	RFA Allocation and Local Revenue Fund
Expenses	\$24,458.00	RFA Allocation and Local Revenue Fund
Difference	0	

Background Information: The Resource Family Approval (RFA) was created to provide a unified, family friendly, and child-centered resource family approval process to replace multiple processes for licensing foster homes, approving relatives and nonrelative extended family members as foster care providers, and approving adoptive families; establish a single set of standards for resource family approvals which allow for the safety, permanence, and well-being needs of the children who have been victims of child abuse and neglect; reduce the use of congregate care placement settings; and decrease the length time for each child to obtain permanency. Pursuant to Government Code section 30029.7, subdivision (a), the County and CDSS may enter into an agreement for CDSS to provide services or activities related to RFA. The County and CDSS have identified certain services or activities to be provided by CDSS in order to expedite the delivery of services to children and non-minor dependents who reside or may reside in an approved resource family home. The non-federal cost of the services will be deducted from the RFA allocation by CDSS. Once the total RFA allocation is exceeded, the County will use its Local Revenue Fund (LRF) for subsequent payments.

Potential Issues to consider:

Alternatives or Impacts of disapproval:

STANDARD AGREEMENT

STD 213 (Rev. 03/2019)

AGREEMENT NUMBER

19-5071

PURCHASING AUTHORITY NUMBER (If Applicable)

1. This Agreement is entered into between the Contracting Agency and the Contractor named below:

CONTRACTING AGENCY NAME

California Department of Social Services

CONTRACTOR NAME

County of Sierra

2. The term of this Agreement is:

START DATE

July 1, 2019

THROUGH END DATE

June 30, 2021

3. The maximum amount of this Agreement is:

\$24,458.00 Twenty-Four Thousand Four Hundred Fifty-Eight Dollars and 00/100

4. The parties agree to comply with the terms and conditions of the following exhibits, which are by this reference made a part of the Agreement.

EXHIBITS	TITLE	PAGES
Exhibit A	Scope of Work	22
Exhibit A - Attachment 1	General Terms and Conditions	7
Exhibit A - Attachment 2	Information Security Requirements	2
Exhibit A - Attachment 3	State of California Public Liability and Workers' Compensation Insurance	1
Exhibit A - Attachment 4	State of California Automobile Liability/Physical Damage	1
Exhibit B	Budget Detail and Payment Provisions	5

Items shown with an asterisk (), are hereby incorporated by reference and made part of this agreement as if attached hereto.*

These documents can be viewed at <https://www.dgs.ca.gov/OLS/Resources>

IN WITNESS WHEREOF, THIS AGREEMENT HAS BEEN EXECUTED BY THE PARTIES HERETO.

CONTRACTOR

CONTRACTOR NAME (if other than an individual, state whether a corporation, partnership, etc.)

County of Sierra

CONTRACTOR BUSINESS ADDRESS

P.O. Box 1019

CITY

Loyalton

STATE

CA

ZIP

96115

PRINTED NAME OF PERSON SIGNING

TITLE

CONTRACTOR AUTHORIZED SIGNATURE

DATE SIGNED

STANDARD AGREEMENT

STD 213 (Rev. 03/2019)

AGREEMENT NUMBER

19-5071

PURCHASING AUTHORITY NUMBER (If Applicable)

STATE OF CALIFORNIA

CONTRACTING AGENCY NAME

California Department of Social Services

CONTRACTING AGENCY ADDRESS

744 P Street, M.S. 9-6-747

CITY

Sacramento

STATE

CA

ZIP

95814

PRINTED NAME OF PERSON SIGNING

Alyssa Lawson

TITLE

SSM1, Contracts and Purchasing Bureau

CONTRACTING AGENCY AUTHORIZED SIGNATURE

DATE SIGNED

CALIFORNIA DEPARTMENT OF GENERAL SERVICES APPROVAL

EXEMPTION (If Applicable)

**EXHIBIT A
(Standard Agreement)**

SCOPE OF WORK

This Agreement is entered into by and between the California Department of Social Services, hereinafter referred to as the CDSS, and the County of Sierra, hereinafter referred to as the County, for the purpose of establishing the responsibilities of the CDSS and the County in the provision and receipt of certain services, including legal consultation and legal representation in administrative action appeals as described within section III of this Agreement, associated with the Resource Family Approval (RFA) program of the County child welfare services agency and the State of California, pursuant to California Welfare and Institutions Code section 16519.5 et seq. Hereinafter, the County and CDSS may be referred to collectively as the “Parties”, or individually as a “Party”. If identified below in Section VII, the CDSS and County have agreed that certain services for the family evaluation, complaint investigations or home health and safety assessments shall be provided as described in Sections IV, V and VI of this Agreement.

I. Background

The RFA program was created to provide a unified, family-friendly, and child-centered process to replace the multiple processes for licensing foster homes, approving relatives and nonrelative extended family members as foster care providers, and approving adoptive families; establish a single set of standards for approvals which allow for the safety, permanence, and well-being needs of the children who have been victims of child abuse and neglect; reduce the use of congregate care placement settings; and decrease the length of time for each child to obtain permanency. Pursuant to Government Code Section 30029.7, subdivision (a)(3), the County and CDSS may enter into an agreement for CDSS to provide services or activities related to RFA. The County and CDSS have identified certain services or activities to be provided by CDSS in order to expedite the delivery of services to children and nonminor dependents who reside or may reside in a resource family home.

II. Definitions

- A. “County” means the largest political division of the State having corporate powers, wherein the County’s powers are exercised through its board of supervisors or through agents and officers acting under the authority of the board or authority conferred by law (Govt. Code § 23000 et seq.). As used in this Agreement, the County includes agents, officers, directors, and County employees who conduct RFA activities on behalf of the County, as described in Welfare and Institutions Code section 16519.5 et seq.
- B. “Resource Family Approval” or “RFA” program means the program wherein an applicant seeks to meet the home environment assessment and permanency assessment standards of the State of California as set forth by CDSS, with an approval provided by the County or applicable Foster Family Agency. This approval is in lieu of the existing foster care license, relative or nonrelative extended family member approval, and the adoption home study.

**EXHIBIT A
(Standard Agreement)**

- C. "Respondent" means an applicant, resource family parent, or individual who has been served with a Notice of Action and is the subject of an administrative action. For matters that shall be heard by the CDSS State Hearings Division, a "Respondent" also means a "claimant," as defined in CDSS Manual of Policy and Procedures section 22-001.
- D. "Written Directives" (WDs)¹ means the written processes, standards, and requirements issued by the CDSS to implement the RFA Program. (See WDs section 3-01(a)(101).) The WDs have the same force and effect as regulations; ensure that a county uses the same standards for RFA; and ensure that a county does not implement policies or procedures that conflict with or attempt to supersede the WDs; (WDs section 2-01.)

III. Legal Consultation and Legal Representation on Appeals/State Hearings Division (SHD) and Office of Administrative Hearings (OAH)

- A. Role of the CDSS Legal Division in the Provision of Legal Consultation and Legal Representation on Appeals:
 - 1. The CDSS Legal Division shall act as the sole legal representative on behalf of the County in the provision of legal consultations and legal representation on appeals to an RFA Notice of Action. The County is the client and is the final decision maker on decisions affecting the legal rights of the County.
 - a. The Parties shall maintain confidentiality in all communications in accordance with any applicable confidentiality laws, privacy laws, and laws governing attorney-client relationships.
 - i. For the purposes of this section, the County shall ensure that the agents, directors, officers, and employees of the County who conduct RFA activities on behalf of the County, are familiar with and follow applicable laws for privacy and confidentiality, as well as protect and maintain the confidential nature of the communications created by attorney-client relationships, including, but not limited to, Evidence Code section 952 and applicable case law.
 - 2. Except as otherwise provided in this section, the CDSS Legal Division will represent the County on all appeals to an RFA Notice of Action for denial or rescission of resource family approval, denial or rescission of a criminal record exemption, or exclusion of an individual and shall appear on behalf of the County at all proceedings related to such actions that are heard by the SHD or the OAH. Nothing in this section shall preclude a County representative from being present at a RFA hearing.

¹ Version 6 of the Written Directives was used as a reference in creating this agreement. The Written Directives may be revised by CDSS during the term of this Agreement and shall be in effect from the date of revision.

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3. If the County chooses to represent itself on an appeal in an individual case, it shall not send a Statement of Facts as described in Section III(D) to the CDSS Legal Division to request representation and shall not seek legal advice or direction from the CDSS Legal Division. In those cases, the County hearing representative will receive legal advice and direction from County Counsel or their designee. The CDSS Legal Division will not provide legal representation or advice.
 4. The Parties agree that CDSS Legal Division's scope of work shall not include legal consultation or representation regarding the following:
 - a. Writs or lawsuits or similar actions filed by or against the County, except that the CDSS Legal Division may be available to consult with the County on any such actions arising out of an RFA action as described herein;
 - b. Requests for information or documents from the County such as Public Records Act requests or subpoenas issued to the County;
 - c. Placement of a dependent child or nonminor dependent;
 - d. Relative or non-relative extended family member approvals pursuant to the "Harris" case;
 - e. Child Abuse Central Index grievance hearings;
 - f. Dependency or delinquency matters;
 - g. Assistance with issuing or serving an investigatory subpoena or warrant;
 - h. Hearings or proceedings regarding jurisdictional disputes where no Notice of Action for denial or rescission of resource family approval, or denial or rescission of a criminal record exemption, has been served;
 - i. Defending the county on a Temporary Suspension Order (TSO); and
 - j. Any other matter within the authority and direction of the County Counsel.
- B. Duties of the County and the CDSS Legal Division Regarding Consultation:
1. In compliance with the WDs or regulations issued pursuant to Welfare and Institutions Code section 16519.5, the County is required to consult with legal counsel prior to service of a Notice of Action for denial or rescission of resource family approval, or denial or rescission of a criminal record exemption; and is required to consult with the CDSS Legal Division when recommending the exclusion of an individual.
 2. Pursuant to this Agreement, legal consultation for denials or rescissions for which the County seeks CDSS Legal Division representation shall be with the CDSS Legal Division, and not County Counsel.

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3. The County may request a legal consultation with the CDSS Legal Division regarding legal or evidentiary issues related to an investigation, family evaluation or other matters affecting the approval.
4. If the County seeks a TSO against a resource family's approval, in addition to consulting with the CDSS Legal Division on the matter, the County shall consult with their County Counsel prior to service of a TSO.
5. Legal consultation shall not include technical assistance regarding program requirements or procedures, RFA implementation or statewide policies; these issues shall be referred to the CDSS RFA County Liaison, RFA Policy Analyst, or RFA Inbox.
6. The County shall work with the CDSS RFA County Liaison to schedule a regular monthly legal consult meeting. If a matter is urgent, such as a situation warranting the immediate exclusion of an individual or a TSO, the County may contact their CDSS RFA County Liaison by phone, email or in-person and request an urgent consult with their assigned CDSS Legal Division consulting attorney.
7. Prior to a scheduled legal consult, the County shall obtain the evidence necessary to support the information contained in the legal consultation memo related to the County's finding, position, or action requested.
8. The County shall prepare a confidential legal consultation memo for each matter upon which legal advice is sought through a consult with the CDSS Legal Division. A copy of the RFA legal consult memo form can be obtained through the CDSS RFA county liaison. Upon request, the CDSS RFA County Liaison will provide technical assistance to the County regarding the program requirements or procedures including but not limited to family evaluations, RFA implementation, statewide policies, legal consult procedures or how to draft the Notice of Action, legal consultation memo or statement of facts. Both Parties shall maintain the confidentiality of all attorney-client communications, including the legal consult memo.
9. Using a secure or encrypted format, or a secure file transfer protocol, the County shall send a properly completed legal consult memo, the draft Notice of Action, as well as relevant attachments related to the request for consult including, but not limited to, investigations, court records or arrest reports. These documents shall be sent to the CDSS RFA County Liaison and the consulting attorney at least five (5) business days prior to the date of the regularly scheduled consult.
10. The consult meeting is an opportunity for the CDSS Legal Division consulting attorney and CDSS RFA County Liaison to discuss the information in the consult memo provided by the County with the appropriate County staff. Accordingly, the County should make its best efforts to have the assigned County RFA worker or probation officer with knowledge of the facts described in the consult memo present at the consult. If the approval worker or probation officer cannot attend in

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person, the worker or probation officer should attend by teleconference. If that is not possible, the supervisor who is familiar with the facts of the matter shall attend.

11. If a matter to be discussed at the consult involves a recommendation for an exclusion action, a family evaluation conducted by CDSS, an investigation conducted by CDSS, or dual or multiple programs (e.g., RFA and a child care license), the County shall identify and request the appropriate CDSS RFA staff, CDSS adoptions staff or CDSS Community Care Licensing Division (CCLD) staff to attend or teleconference into the consult.
 - a. The County may request the assistance of the CDSS RFA County Liaison in arranging for the necessary CDSS staff to attend.
 - b. The County and CDSS shall share evidence and information regarding related investigations, assessments, or actions as required by the WDs.
 - c. Agents of the County who conduct activities as described in Welfare and Institutions Code section 16519.5 may be present during the portion of a consultation that is applicable to a matter for which the agent acted on behalf of the County, and for which the agent's presence is needed to discuss the information in the consult memo provided by the County. The County shall ensure that the agent of the County is aware of and complies with the confidentiality of the legal consult, the legal advice provided, and the confidentiality of any information shared, as required by law.
12. The CDSS Legal Division consulting attorney shall review the legal consult memo, the draft Notice of Action and attachments and shall advise the County regarding the Notice of Action, the proper hearing forum, and any other matter related to an investigation or proposed action. If the legal consult memo or draft Notice of Action are incomplete, said attorney may return them to the County to complete them or refer the County to the CDSS RFA County Liaison for technical assistance.
13. The CDSS Legal Division consulting attorney shall document the legal advice in writing within 3 to 5 business days, or as agreed upon at the consult, and submit the documentation to the County and the CDSS RFA County Liaison. If the matter involves dual or multiple programs or an exclusion action, the CDSS Legal Division consulting attorney shall provide the relevant CCLD Regional Office staff (licensing action) or CDSS RFA County Liaison (RFA exclusion action) with a copy of the consult memo and legal advice.
14. If the advice of the CDSS Legal Division consulting attorney is to proceed with an action that affects the approval, the County should notify the child(ren)'s placement worker, as applicable.
15. If the County fails to comply with the requirements of this Section III(B), the County waives its right pursuant to this contract to have CDSS Legal Division representation on the appeal.

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C. County Duties Regarding Processing the Notice of Action and Appeal (NOA)

1. The County shall serve the NOA in accordance with Welfare and Institutions Code section 16519.6 and the WDs or regulations. The County shall ensure the file contains adequate documentation regarding service of the Notice of Action to the correct address, such as certified mail receipts, and/or a proof of service in accordance with WDs, Article 12: Due Process.
2. If the matter includes an exclusion action or CCLD action, the County shall coordinate administrative actions, including service of the Notices of Action, notice of a related licensing action by CCLD, an exclusion order, or the filing of formal pleadings, with CDSS. (WDs, Article 12.)
3. If an appeal is filed, the County is responsible to comply with the law, WDs or regulations, and internal procedures including, but not limited to, the following:
 - a. Date-stamp the appeal and envelope;
 - b. Update the appeal status in the Notice of Action database (in AARS);
 - c. For OAH cases, immediately send the acknowledgment of appeal to Respondent and begin preparing the case for the CDSS Legal Division as described in paragraph III.D;
 - d. For SHD cases, begin preparing the case to be sent to the CDSS Legal Division at the same time the appeal is forwarded to the SHD as described in paragraph III.D;
 - e. Obtain legal case number from CDSS RFA County Liaison and add number to Statement of Facts; and
 - f. Forward the appeal to SHD by uploading the NOA and appeal to SHD's Appeals Case Management System (ACMS).

D. Preparing the Case to Send to the CDSS Legal Division After Receipt of an Appeal:

1. To obtain the CDSS Legal Division's representation on an RFA appeal, the County shall prepare a Statement of Facts using the current versions of the following confidential attorney-client forms:
 - a. Form RFA-9029: Statement of Facts Summary Sheet – Resource Family
 - b. Form RFA- 9029C: Complaint and Immediate Deficiencies Log Continuation
 - c. Form RFA-9029D: RFA Statement of Facts Dividers
 - d. Form RFA-9029W: Witnesses Continuation
2. For SHD cases, the County shall prepare the Statement of Facts, a draft position statement, and copies of all approval file documents within ten (10) business days of receipt of an appeal. The documents shall be sent electronically to the CDSS Legal Division by encrypted email or Secure File Transfer (in AARS) at the same time the appeal is forwarded to SHD (WDs, Article 12). The County shall maintain the confidentiality of the attorney-client privileged Statement of Facts forms during any transmission of the forms or in any files maintained by the County. The County

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shall use the draft position statement template provided by CDSS when drafting the position statement.

3. For OAH cases that involve a TSO or immediate exclusion action, the County shall prepare the Statement of Facts forms and copies of the RFA documents and evidence identified in the RFA 9029D: RFA Statement of Facts Dividers and send to the CDSS Legal Division by encrypted email or Secure File Transfer within ten (10) business days of receipt of the appeal. Hard copies of the original documents shall also be sent by mail.
 4. For all other OAH cases, the County shall prepare and mail to the CDSS RFA County Liaison the Statement of Facts forms and originals of all relevant documents within thirty (30) days of receipt of the appeal. The CDSS RFA County Liaison will review the documents, provide any technical assistance necessary, and then forward to the CDSS Legal Division.
 5. The County shall make its best efforts to obtain certified court and law enforcement or other relevant records prior to sending the case to the CDSS Legal Division. If certified records are received after the case has been forwarded, then the County shall forward them to the CDSS Legal Division.
 6. Prior to finalizing the Statement of Facts, the County shall verify that the witness list contact information in Form RFA-9029: Witness List is current and updated, including the current placement and placement worker information for any child or nonminor dependent victim or witness.
- E. Duties of the County and CDSS Legal Division after the CDSS Legal Division Receives the Case:
1. Upon receipt of the case file, the CDSS Legal Division shall be responsible for the following:
 - a. Logging the case into the Legal Case Tracking System (LCTS) and immediately assigning the case to a CDSS Legal Division hearing attorney.
 - b. Preparing a new case memo identifying the hearing attorney and the hearing attorney's contact information and emailing it to the County staff identified on the Statement of Facts and the CDSS RFA County Liaison.
 2. The CDSS Legal Division hearing attorney will review the complete file to determine if the evidence is sufficient to go forward with the requested administrative action. If not, the County will be consulted, and the file may be closed without filing and sent back to the County for an informal resolution or to obtain more evidence.
 3. For cases to be heard at SHD, the CDSS Legal Division hearing attorney will review the draft Position Statement prepared by the County and work with the County to finalize it. Provided that the County provides the necessary and relevant information in a timely fashion, the CDSS Legal Division is responsible for filing the

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Position Statement and exhibits with SHD. The County shall be responsible for making available to Respondent all relevant documents in the County's possession in accordance with the WD's. Prior to disclosure to Respondent, the County shall withhold or redact documents that are confidential or privileged as required by law.

4. For cases to be heard at OAH, the hearing attorney will prepare, sign and file the Accusation or Statement of Issues in accordance with the County's request in the Statement of Facts case summary and serve on the Respondent(s):
 - a. A copy of the filed Accusation or Statement of Issues will be provided to the County welfare director or chief probation officer or designee.
 - b. If there are any substantive changes to the allegations at issue that were identified in the Statement of Facts case summary provided by the County, the CDSS Legal Division shall consult the County welfare director, chief probation officer, or designee for approval prior to filing the Accusation or Statement of Issues.
 - c. A CDSS Legal Division attorney may sign an amended Accusation or Statement of Issues on behalf of the County, if the amendment is approved by the welfare director, probation officer or designee. The CDSS Legal Division shall file a copy of the amended pleading with OAH, as applicable.
5. If a resolution is sought prior to hearing, the CDSS Legal Division will discuss settlement options with the County, Respondent, CCLD or CDSS Program if applicable, draft the settlement agreement, and supervise its finalization. The County shall have the final decision on whether to approve a settlement. If a Respondent seeks to withdraw the appeal or notice of defense, the CDSS Legal Division shall prepare a written withdrawal for Respondent to sign, and if the matter has been set for hearing, submit a copy to the Administrative Law Judge.
6. For OAH cases, the CDSS Legal Division will prepare and serve documents on Respondent in accordance with Government Code sections 11507.5 and 11507.6.
7. While the RFA administrative action is pending, the County shall keep the assigned CDSS Legal Division hearing attorney informed of new developments that occur prior to the hearing (e.g., new arrests or new evidence), and of any changes in the Respondent's address or other contact information. The County shall timely forward any phone calls or correspondence from Respondent, his or her authorized representative, or SHD to the CDSS Legal Division hearing attorney.
8. The County shall assist the CDSS Legal Division, if necessary, in locating witnesses, with the service of subpoenas for appearance at hearing, and with the transportation of witnesses to the hearing. The County shall notify the assigned CDSS Legal Division hearing attorney if there are concerns about the testimony of a child or similarly vulnerable witness at hearing as specified in WDs, Article 12. The County shall assist the CDSS Legal Division hearing attorney in providing information or facilitating contact with the witness's placement worker or treatment provider if a motion to protect the witness is determined to be necessary. The

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County shall provide for the use of one-way closed-circuit television or video in accordance with WDs, section 12-16 (Conduct of Hearing; Confidentiality and Procedures), as applicable.

9. The CDSS Legal Division will represent the County at the prehearing conference, settlement conference, and hearing before SHD or OAH, and prepare any necessary motions, briefs, subpoenas or other hearing documents.
 10. The County shall be responsible for the following hearing-related duties and costs, including but not limited to the following:
 - a. Reserving hearing rooms;
 - b. Interpreters;
 - c. Court reporters;
 - d. Witness and expert witness fees;
 - e. Security, if it is determined by the CDSS Legal Division hearing attorney, the county or an administrative law judge that a threat exists to the health and safety of those persons attending a hearing;
 - f. Obtaining records needed for hearing; and
 - g. Other hearing-related costs.
 11. Following the SHD or OAH hearing, a proposed decision is adopted or rejected by the CDSS Director or designee. If the decision is rejected, the CDSS shall review the record and prepare the final decision and order, in accordance with the established standard.
 12. The CDSS will serve the final decision and order on all parties, including the County.
 13. The CDSS Legal Division may represent the County in a request for reconsideration of the decision and order, a request for rehearing, or a request to set aside a default decision and order. If a conflict of interest exists, then representation by CDSS Legal Division shall be subject to the written consent of the parties and compliance with the Rules of Professional Conduct and paragraph G of this section.
 14. The CDSS Legal Division shall update the statewide data system (i.e., AARS) with the final order or resolution.
- F. Conflict Resolution:
1. If the County and the CDSS Legal Division consulting or hearing attorney disagree with how to proceed on a matter, the matter shall be resolved as follows:
 - a. The matter shall be elevated to the County RFA supervisor and the CDSS Legal Division attorney's supervisor to meet and confer to resolve the matter.

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- b. If no agreement is reached, the matter shall be elevated to the next County supervisor or manager level, and for the CDSS Legal Division, to the Assistant Chief Counsel to meet and confer to resolve the matter.
 - c. If still no agreement is reached, the matter shall be elevated to the Senior Assistant Chief Counsel and the equivalent County RFA program manager level to meet and confer to resolve the matter.
 2. The County has the final decision on how to proceed on a matter, which shall be consistent with the CDSS Legal Division attorney's ethical duties regarding the minimum standards of evidence necessary to proceed with an action and the considerations identified below in paragraph III.F.3. of this Section.
 3. The resolution discussion shall include consideration of the minimum legal requirements for an action in the applicable statutes and WDs or regulations, any risks attendant to administrative litigation including a negative outcome at hearing, any risks to the health and safety of a child or nonminor dependent that may be caused by a failure to take action, and CDSS oversight responsibilities as mandated by law.
 4. Nothing in this section shall interfere with the Parties' termination rights and the right of the CDSS Legal Division to withdraw from representation pursuant to the terms of this Agreement or applicable law.
- G. Professional Responsibility; Conflict of Interest in Representation
 1. The County acknowledges that the attorneys within the CDSS Legal Division have an ethical and legal duty to avoid a conflict of interest or the appearance of a conflict of interest when providing legal services to the County.
 2. Pursuant to the California Rules of Professional Conduct, the CDSS Legal Division's attorneys may not be permitted to represent a client when there is a conflict of interest. If applicable, the CDSS Legal Division attorney is required to take certain actions which may include, but are not limited to, withdrawal from representation for individual cases or obtaining informed written consent from each client for individual cases.
 3. The Parties acknowledge that there exists an appearance of a conflict of interest or an actual conflict of interest due to the CDSS Legal Division representing both CDSS and the County in administrative actions falling within the jurisdiction of both agencies. By the signing of this Agreement, the Parties are providing their written consent to the CDSS Legal Division's dual representation of both CDSS and the County, where applicable.
 4. In all other matters in which there exists an appearance of a conflict of interest or an actual conflict of interest, the CDSS Legal Division consulting or hearing attorney shall report the conflict to the County in writing as soon as possible after discovering the conflict. Potential conflicts of interests that may arise in RFA matters include, but are not limited to, the following:

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- a. Dual program matters involving an RFA and licensing action where the County and CDSS disagree on how to proceed;
- b. Conflicts regarding the CDSS oversight function over the County's RFA program;
- c. Conflicts due to a lawsuit pending against CDSS or the County; and
- d. A request by the County for reconsideration of a CDSS issued order.

H. Withdrawal from Representation

1. If a County fails to follow legal advice or fails to perform any of its duties as set forth in this Agreement, the CDSS Legal Division retains the right to withdraw on referred cases by sending a written notice identifying those case(s) from which it is withdrawing to the County as specified in Exhibit A, Attachment 1, page 4, Paragraph O (Notices), subparagraphs 2 (United States Mail) or 3 (Facsimile).
2. The Parties acknowledge and agree that the CDSS Legal Division must decline or terminate representation on cases as required by the California Rules of Professional Conduct.

IV. Family Evaluation

A. Provision of Family Evaluation Services

1. If identified in Section VII that the County and CDSS agree that the CDSS Adoptions Services Bureau shall provide family evaluation services on behalf of the County, in part or in full, this Section IV provides the terms and conditions of such services.
2. In conducting the family evaluation services, the CDSS Adoptions Services Bureau will adhere to the requirements specified the Welfare and Institutions Code section 16519.5 and the RFA WDs sections: Definitions, 3-01; Forms, 3-02; County Reporting Requirements, 4-03; Implementation of Resource Family Approval Program by a County, 4-05; and Family Evaluation, 6-05.

B. The CDSS Adoptions Services Bureau and County agree to coordinate efforts in the following areas:

1. Exchange of information about resource family applicants and keeping each Party informed of general progress in the family evaluations and changes that may affect the evaluation. This exchange may include, but is not limited to, any information (e.g. complaints, concerns, adverse actions) that would reflect the suitability of the prospective resource family.
2. Communication regarding the general progress of the evaluation that may affect the work provided by each Party, including potential inability to complete the evaluation, as needed.

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3. Establishing mutually agreed upon timelines for completing the family evaluation.
4. Complying with the applicable laws and the RFA WDs relevant to family evaluations.
5. Providing other appropriate and necessary coordination as needed.

C. Responsibilities of the County

1. The County will take the following actions:
 - a. Refer resource family applicants to the appropriate CDSS Adoptions Regional Office for a family evaluation.
 - b. Securely provide all necessary documents to the CDSS Adoptions Regional Office in order to conduct a family evaluation, including, but not limited to, RFA applications, health history screening results, personal letters of references, whether criminal record clearances or exemptions were granted or denied, substantiated reports of child abuse and neglect, Department of Motor Vehicles (DMV) records, and employment verifications.
 - c. Notify resource family applicants that the County may share confidential information with CDSS to conduct a family evaluation and that CDSS will perform the family evaluation for the County.

D. Responsibilities of the CDSS

1. The CDSS will take the following actions:
 - a. Assign a CDSS Adoptions Specialist with a Master's degree in Social Work who may also be a Licensed Clinical Social Worker for each family evaluation.
 - b. Conduct an evaluation of resource family applicants according to the RFA WDs section 6-05: Family Evaluation.
 - c. Conduct a separate face-to-face interview of all persons living in the home as specified in RFA WDs section 6-05(a)(2).
 - d. Request approval from the County to refer an applicant for a psychological evaluation, drug and alcohol assessment or testing, counseling, or other services during the evaluation as necessary. Associated costs of the services of the referrals shall be the responsibility of the County and paid by the County outside this Agreement to the applicable service provider.

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- e. Prepare a written family evaluation that includes an evaluation of the information obtained during a family evaluation of the resource family applicant, including a risk assessment, and recommendations that RFA be approved or denied.
- f. CDSS will provide the County with the written family evaluation report within sixty (60) days of receipt of the referral for the family evaluation, with priority for completed family evaluations for relatives with emergency placements, unless further information is needed to complete the evaluation.
- g. Ensure all records provided to CDSS by the County and all information obtained in order to conduct a family evaluation are kept confidential as specified in RFA WDs section 4-04: Confidentiality.
- h. Provide for a copy of the family evaluation file upon request of the County staff responsible for the provision of RFA services.
- i. Provide a CDSS Adoptions Specialist to testify as to the family evaluation if the results of a family evaluation are at issue during an administrative hearing.
- j. Absent pending litigation or other good cause identified by CDSS, the Adoptions Regional Office shall retain the records of the family evaluation for ninety (90) days after an evaluation is provided to the County. Thereafter, the family evaluation file shall be securely delivered to the County. The County shall retain the closed evaluation file in accordance with the retention policies of CDSS. Access to a copy of the family evaluation file shall be made available to CDSS (or its agents or representatives) upon request in the event of audit, or as required or permitted by law.
- k. For each request, the County shall provide a copy within ten (10) business days, unless the request is identified as urgent. The County shall use its best efforts to provide a copy within the period identified by CDSS for an urgent request.

E. Conflict Resolution

- 1. The County and the CDSS will use customary and available problem-solving methods and resources in efforts to resolve differences. Any disagreements or conflicts regarding resource family evaluation services provided by the Parties for a particular individual will be resolved as follows:
 - a. The primary social worker from the County and the CDSS will meet and confer to resolve differences regarding a particular family evaluation.
 - b. If the primary social workers are unable to resolve differences, the County supervisor and the CDSS supervisor and primary social workers will meet and confer to resolve differences.

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- c. If the supervisors and social workers are unable to resolve differences, the County Program Manager and the CDSS Adoptions Regional Office Manager and their respective supervisors and social workers will meet and confer to resolve differences.
- d. If the differences remain unresolved through the process specified above, the matter will be referred to the next higher level of management for each of the Parties until the matter is resolved.

F. Conflict of Interest

1. The CDSS Adoptions Regional Office staff conducting family evaluations shall be instructed to avoid a conflict of interest or the appearance of a conflict of interest when rendering services.
2. The CDSS shall direct CDSS Adoptions Specialists to RFA WDs section 4-02(g) to identify any conflict of interest. If there exists an appearance of a conflict of interest or an actual conflict of interest, the Adoptions Specialist shall report the conflict to his/her supervisor, who may transfer responsibility for the evaluation to another Adoptions Specialist.

V. Complaint Investigations

A. Agreement to Provide Complaint Investigation Services

1. The County and CDSS agree that the CDSS CCLD shall investigate on behalf of the County all complaint allegations, made against resource families, if these services are identified in Section VII; this Section V provides the terms and conditions agreed upon by the Parties for all such investigations.
2. In conducting complaint investigations, the CCLD Regional Office will adhere to the requirements specified in RFA WDs sections 3-01, 3-02, 4-03, 4-05, and 9-06A.

B. Coordination of Efforts

The CDSS and County agree to coordinate efforts in the following areas:

1. As necessary, exchange information about each resource family complaint investigation and keep each Party informed of general progress in the complaint investigation and changes that may affect the result. This exchange may include, but is not limited to, any information (e.g. concerns, post complaint events, or adverse actions) relevant to the complaint investigation.
2. As needed, communicate the general progress in the complaint investigation that may affect the work provided by each Party, including potential inability to complete the complaint investigation.

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3. Establish mutually agreed upon timelines for providing requested information or responses for actions not specified in the RFA WDs or applicable law.
4. Provide other appropriate and necessary coordination as needed.

C. Complaint Referral to the CCLD

1. After the preliminary review specified in RFA WD section 9-06A(c), the County will refer each complaint that requires an investigation to the appropriate CCLD Office within one (1) business day following receipt of the complaint as specified in RFA WD section 4-03(e).
2. The referral must be in writing and include the physical address location of the County's file for the resource family, the contact information of the custodian of the resource family's file, the contact information of the complainant, and detailed information regarding the complaint allegation.

D. Complaint Assignment

1. Upon receipt of the complaint referral, the CCLD Regional Office shall create a file and associated file complaint number in a CCLD database for each resource family complaint investigation.
2. Upon receipt of the referral of the complaint, the CCLD Regional Office will immediately assign the complaint to staff for investigation.
3. Upon assignment, the assigned CCLD Regional Office staff will contact the custodian of the resource family file and undertake a process to secure access to the resource family file or a copy of the file.
 - a. The County agrees to allow the CCLD Office staff to have access to the resource family's file or to be provided a copy, upon request. If a copy will be provided electronically, the County is responsible for securely transferring the file to the appropriate CCLD Regional Office staff.

E. File Review and Initial Complaint Investigation

1. Upon receipt of a copy of the resource family's file or access to the file, the CCLD Regional Office staff shall undertake the following:
 - a. Review the file for any conflicts of interest in order to comply with the conflict of interest provisions in RFA WDs section 9-06A(o) and (p).

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- i. If a conflict exists or appears to exist, the CCLD Regional Office staff shall immediately report the conflict to his or her supervisor, who may transfer responsibility for the complaint investigation to another staff member.
 - b. Review the resource family's file and any related licensing files.
 - c. Confirm whether any adverse action against the resource family is currently in process by CDSS or the County, or previously undertaken or concluded by either Party. If such exist, documentation regarding the adverse action shall be made available by the County or other Division of CDSS.
 - i. The additional documentation of any adverse actions shall be reviewed and made a part of the complaint investigation file.
 2. Initial Investigation Activities
 - a. The CCLD Regional Office staff will interview the complainant, if known.
 - b. Witnesses of the alleged RFA violation may be contacted by the CCLD Regional Office during the initial investigation and throughout the period the complaint investigation remains open.
 - c. Any documentation received during the complaint investigation shall be made a part of the complaint investigation file.
- F. The Initial 10-Day Visit to the Resource Family Home
1. The CCLD Regional Office staff will conduct an unannounced visit to the resource family's home within ten (10) calendar days of receipt of the complaint referral, except as specified in RFA WDs section 9-06A(j), (k), and (o).
 2. The initial 10-day visit shall be fully documented in the CCLD complaint investigation file.
- G. New Allegations

The CCLD Regional Office staff shall immediately report any new allegation(s) disclosed during an investigation to the County.

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H. RFA Deficiencies

The CCLD Regional Office staff shall report any known or potential deficiencies unrelated to the complaint to the County so the County RFA staff can take appropriate action in response.

I. Further Investigation Required

The CCLD Regional Office staff will notify the County if the complaint investigation cannot be completed within ninety (90) days after the initial 10-day visit because further investigation is required.

J. Complaint Investigation Report

1. The CCLD Regional Office staff will prepare a written complaint investigation report containing a finding for each allegation as either substantiated, inconclusive, or unfounded.
2. The CCLD Regional Office staff will forward the written complaint investigation report to the County upon completion.
3. If the County disagrees with the CCLD Regional Office complaint investigation report findings, then it shall contact the CCLD Regional Office to discuss and/or to request additional clarification.

K. Notification to Resource Family and Complainant

1. Upon receipt of the complaint investigation report, the County shall deliver a copy of the complaint investigation report to the resource family.
2. Upon request by the County, the CCLD Regional Office staff responsible for the complaint investigation report will provide technical assistance.
3. The County shall notify the complainant, if known, of the findings of the complaint investigation.

L. Follow-Up

For substantiated findings, the County RFA staff shall develop a corrective action plan for the resource family to correct identified deficiencies, or may take other action as specified in the RFA WDs. Nevertheless, if a County determines that it is not possible to correct an identified deficiency, then the County shall document the deficiency and may proceed with the necessary administrative action as specified in the RFA WDs.

**EXHIBIT A
(Standard Agreement)**

M. Cross-Reporting Investigation Results

The County shall report investigation results as specified in applicable law, RFA WDs section 4-04 and 9-06C, or as required by this Agreement.

N. Records

1. Absent threatened or pending litigation or other good cause identified by CDSS, records related to the complaint investigation shall be held by the CCLD Regional Office for the duration of this Agreement and for three (3) years following the expiration or termination of this Agreement or three (3) years following the end date of the provision of complaint investigation services, whichever first occurs. Thereafter, the records for the complaint investigations specified in this Agreement shall be delivered to the County.
2. Within ten (10) calendar days of the County's written request, the CCLD Regional Office shall provide a copy of any complaint investigation file created pursuant to this Agreement.

O. Reporting Complaints with Investigations Pending

The CCLD Regional Office shall provide to the County monthly written reports of complaint investigations open longer than ninety (90) days and subject to further investigation.

VI. Home Health and Safety Assessment

A. Provision of Home and Health Safety Assessment Services

1. If identified in Section VII that the County and CDSS agree that the CDSS Adoptions Services Bureau shall provide home health and safety assessment services on behalf of the County, in part or in full, this Section VI provides a description of the services and the responsibilities of the Parties.
2. In conducting the home health and safety assessment services as described in the WDs, Article 6, section 6-02: Home Environment Assessment, paragraph (a) (2), the CDSS Adoptions Services Bureau will adhere to the requirements specified in the Welfare and Institutions Code section 16519.5, the most recently published version of the RFA WDs, and the most recently published version of the Form RFA-03². Resource Family Home Health and Safety Assessment Checklist (hereinafter referred to as Form RFA-03). As appropriate CDSS shall refer to the WDs, to complete the Form RFA-03 and provide the required summary.

² The RFA-03 form includes applicable instructions in the WD sections 11-01 through 11-16 regarding First Aid supplies including but not limited to provisions regarding self-administering, storing and documenting.

**EXHIBIT A
(Standard Agreement)**

B. The CDSS Adoptions Services Bureau and the County agree to coordinate efforts in the following areas:

1. Exchange information about resource family applicants and keep each other informed of general progress in the home health and safety assessment and changes that may affect the assessment. This exchange may include, but is not limited to, any information (e.g., complaints, concerns, adverse actions) that would reflect the suitability of the prospective resource family applicant(s).
2. As needed communicate the general progress in the assessment that may affect the work provided by each Party, including facts or circumstances which may delay or prevent the completion of the assessment within sixty (60) days.
3. Establish mutually agreed upon timelines for completing the home health and safety assessment when such cannot be completed within sixty days. Comply with the RFA WDs relevant to home health and safety assessments.
4. Provide other appropriate and necessary coordination as needed.

C. Responsibilities of the County

1. The County will take the following actions:
 - a. Refer resource family applicants to the appropriate CDSS Adoptions Regional Office for a home health and safety assessment.
 - b. Timely provide all necessary documents to the CDSS Adoptions Regional Office, using a secure or encrypted format, or a secure file transfer protocol, so that each home health and safety assessment may be completed within sixty (60) days.
 - c. Notify resource family applicants that CDSS will perform the home health and safety assessment for the County.
 - d. Conduct the background checks and related activities as described in the RFA WDs, section 6-03A.
 - e. For items identified as incomplete in the Home, Health and Safety Assessment provided by CDSS or form RFA 03 the County shall be responsible for verifying completion prior to approval of the resource family.

D. Responsibilities of the CDSS

1. The CDSS will take the following actions:
 - a. Assign a CDSS Adoptions Specialist with a Master's degree in Social Work who may also be bilingual and/or a Licensed Clinical Social Worker for each home health and safety assessment.

**EXHIBIT A
(Standard Agreement)**

- b. Conduct a home health and safety assessment according to the RFA WDs section 6-02(a)(2): that includes all of the following: A health and safety assessment of the home and grounds, outdoor activity space and storage areas of the applicant's home using form RFA-03: Resource Family Home Health and Safety Assessment Checklist, to determine compliance with certain sections of Article 11 of the WDs and, if applicable, section 11.1-07: Additional Home and Grounds Requirements for Specialized Resource Families.
- c. Prepare a summary of the home health and safety assessment in a written format that includes an evaluation of the information obtained during a home health and safety assessment of the resource family applicant's home and property, e.g. if there are items that needed to be repaired or purchased.
- d. CDSS will provide the County with the written summary report, that also includes the completed Form RFA 03, within sixty (60) days of receipt of the referral from the County for the home health and safety assessment.
- e. Ensure all records provided to CDSS by the County and all information obtained in order to conduct a home health and safety assessment are kept confidential as specified in RFA WDs section 4-04: Confidentiality.
- f. Provide for a copy of the home health and safety assessment file upon request of the County staff responsible for the provision of RFA services.
- g. Provide a CDSS Adoptions Specialist to testify in regards to the home health and safety assessment if the results of a home health and safety assessment are at issue during an administrative hearing.
- h. Absent pending litigation or other good cause identified by CDSS, the Adoptions Regional Office shall retain the records of the home health and safety assessment for ninety (90) days after an assessment is provided to the County. Thereafter, the home health and safety assessment file shall be securely delivered to the County. The County shall retain the closed assessment file in accordance with the retention policies set forth in Article 10, section 10-05 of the Written Directives. Access to a copy of the home health and safety assessment section of the resource family file shall be made available to CDSS (or its agents or representatives) upon request in the event of a review or audit, as permitted by law, or as required by court order.

E. Conflict Resolution

1. The County and the CDSS will act in good faith to resolve differences. Any disagreements or conflicts regarding resource family home health and safety assessments and how they are performed will be resolved as follows:
 - a. The primary social worker from the County and the CDSS will meet and confer to resolve differences regarding home health and safety assessments.

**EXHIBIT A
(Standard Agreement)**

- b. If the primary social workers are unable to resolve differences, the County supervisor and the CDSS supervisor and primary social workers will meet and confer to resolve differences.
- c. If the supervisors and social workers are unable to resolve differences, the County Program Manager and the CDSS Adoptions Regional Office Manager and their respective supervisors and social workers will meet and confer to resolve differences. Requests shall be made by written communication such as email to /from the county to/from the relevant CDSS Adoptions Regional Office Manager. Response times between the parties will be no longer than seven calendar days. Communication may be in person or by telephone. Meetings will continue until the differences are resolved.
- d. If the differences remain unresolved through the process specified above, the matter will be referred to the next higher level of management for each of the Parties until the matter is resolved if appropriate.

F. Conflict of Interest

1. The CDSS Adoptions Regional Office staff conducting home health and safety assessments shall be instructed to avoid a conflict of interest or the appearance of a conflict of interest when rendering services.
2. The CDSS shall direct CDSS Adoptions Specialists to RFA WDs section 4-02(g) to identify any conflict of interest. If there exists an appearance of a conflict of interest or an actual conflict of interest, the Adoptions Specialist shall report the conflict to his/her supervisor, who may transfer responsibility for the home health and safety assessment to another Adoptions Specialist.

VII. Identification of Services

The Parties identify that in addition to the services of section III, Legal Consultation and Legal Representation on Appeals, the services described in Section IV, Section V and/or Section VI are a part of this Agreement, if checked below:

- Section IV, Family Evaluation
- Section V, Complaint Investigations
- Section VI, Home Health and Safety Assessment

In the event this Agreement expires or is terminated with open evaluations, investigations, assessments, or legal consultations or representation, CDSS may complete such services in accordance with the terms of this Agreement.

**EXHIBIT A
(Standard Agreement)**

VIII. Project Representatives for CDSS and the County

CDSS Program Representative

Name: Griselda Loza
Title: CCR Policy Analyst
Address: 744 P Street, MS 9-14-46
Sacramento, CA 95814
Phone: (916) 651-0399
Email: Griselda.Loza@dss.ca.gov

County of Sierra Representative

Name: Jamie Franceschini
Title: Contracts Analyst
Address: P.O. Box 1019
Loyalton, CA 96115
Phone: (530) 993-6770
Email: jfranceschini@sierracounty.ca.gov

Changes to the project representative information may be made by written notice to the other Party and shall not require an amendment to this Agreement.

IX. Authority to Enter into This Agreement

Each Party entering into this Agreement represents the existence of the authority to enter into this Agreement on behalf of the named Party.

GENERAL TERMS AND CONDITIONS

A. Term

The initial term of this Agreement shall commence on July 1, 2019 and shall terminate on June 30, 2021 (the “**Initial Term**”). This Agreement may be renewed by written amendment on a year-to-year basis for each one-year renewal period, upon its commencement, to constitute part of the “**Term**” for all purposes hereunder.

B. Termination

1. Termination without Cause: Each Party reserves the right to terminate this Agreement at any time and for any reason upon provision of ninety (90) days’ advance written notice to the other Party in accordance with paragraph O (Notices).
2. Termination for Cause: Each Party reserves the right to terminate the Agreement for cause. In addition, if either Party defaults under this agreement, the agreement may be terminated by the non-defaulting Party effective upon provision of forty-five (45) days advance written notice of termination provided to the defaulting Party in accordance with paragraph O (Notices).
3. Default Costs: In the event of termination of this Agreement due to a default by either Party, the non-defaulting Party shall not be liable for any costs incurred by the defaulting Party in connection with such termination.
4. Return of Materials: Upon the expiration or earlier termination of this Agreement, each Party shall return to the other Party any and all materials, equipment or documents provided by the other Party in connection with the activities governed by this Agreement within ten (10) business days of written demand therefor.

C. Ineligible for Federal Assistance

This Agreement is void or voidable if the either Party receives reliable information that the other Party has been debarred, suspended, proposed for debarment, excluded or disqualified under the non-procurement common rule, or otherwise declared ineligible from receiving Federal agreements, certain sub-agreements, and certain Federal assistance and benefits.

D. Amendments

This Agreement may be modified, amended, or supplemented only by a written amendment, signed by a Representative from each Party, who has the authority to act on behalf of their respective Party. Each Party is responsible for obtaining the necessary approval(s) before entering into any amendment.

GENERAL TERMS AND CONDITIONS

E. Time

1. Time is of the essence for the performance of the services of this Agreement. Each Party shall promptly comply with the terms of this agreement and in the performance of the activities described in Exhibit A, Sections III, IV, V, and VI. If a Party is unable to comply with a term or requirement of this Agreement, it shall promptly notify the other Party's Project Representative of the inability to comply with the particular requirement or term.
2. Each Party to this Agreement shall devote such time to the performance of the activities described in Exhibit A as may be reasonably necessary for the satisfactory performance of the obligations of this Agreement.
3. The Party failing to meet the timelines described in the services in Exhibit A, Sections III, IV, V and VI of this Agreement shall be responsible for any fees or costs imposed by the applicable law which result due to the other Party.

F. Default

Neither party shall be considered to be in default of this agreement to the extent the performance is prevented or delayed by any cause, present or future, which is beyond the reasonable control of the Party.

G. Conflict of Interest

The Parties agree to enforce the requirements of the California Government Code, Section 1090 et seq. and Sections 87100 through 87105 to prevent a public officer or employee, including a subcontractor, from participating in an activity that would constitute a conflict of interest.

H. Nondiscrimination

The Parties shall not discriminate in the employment of persons necessary to perform this Agreement on any legally impermissible basis, including on the basis of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status.

1. The Parties represent that each is aware and shall follow: a) Title VII of the Civil Rights Act of 1964, including subsequent amendments (42 U.S.C. § 2000e et seq.); b) the Age Discrimination Act of 1967 (29 U.S.C. § 621 et seq.); c) Title I of the Americans with Disabilities Act of 2008 (42 U.S.C. § 12101 et seq.); and d) the California Fair Employment and Housing Act (California Govt. Code, § 12900 et seq.), including the related regulations commencing at 2 CCR § 11006 et seq.
2. In the provision of services each Party shall be responsible for the actions of its employees, directors or officers so that employees and applicants for employment and any member of the public are free from any unlawful discrimination.

GENERAL TERMS AND CONDITIONS

3. The Parties agree to include the non-discrimination and compliance provision of this paragraph in all sub-agreements, if any, to perform services under this Agreement.

I. Change in Statutes or Regulations

If there is a change of statute or regulations, including the Written Directives (WDs), applicable to the performance of this Agreement, both Parties agree to be governed by the new provisions, unless either party gives Notice to terminate pursuant paragraph O of this Agreement or identifies through written correspondence that the changes in law require negotiation of the responsibilities or terms of the Agreement.

J. Assignment

Except as specifically authorized within the Agreement, no rights may be assigned and no duties under this Agreement may be delegated by a Party without the prior written consent of the other, and any attempted assignment or delegation without such consent shall be void. Each successor or assignee of the applicable Party to this agreement shall be held jointly and severally liable under this agreement.

K. Responsibility of Project Representatives

All matters concerning the administration of this Agreement, which are within the responsibility of the Parties shall be under the direction of, or shall be submitted to, the respective Project Representative or the party's employee specified, in writing, by the Project Representative. A Party may, in its sole discretion, change its designation of its Project Representative upon providing written notice to the other Party at least ten days prior to such change in accordance with paragraph O (Notices). The Project Representatives for the Parties are specified in the Exhibit A, Page 22, in Section VIII.

L. Waiver

1. Any waiver shall be memorialized in writing, and signed by the Project Representative of each Party. However, neither Party may waive provision or right in the Agreement that is a required act specified in the WDs.
2. The failure of either Party to enforce any right or provision of this agreement shall not be construed as a waiver by the other Party of its rights under the agreement and shall not prevent the other Party from subsequently enforcing such right or provision.

M. Cumulative Rights

The rights and remedies of the Parties herein are cumulative and are in addition to any other rights or remedies that the Parties may have at law or in equity.

N. Severability

Should any part, term, portion, or provision of this agreement be finally decided by a court of competent jurisdiction to be in conflict with any law of the United States or the State of

GENERAL TERMS AND CONDITIONS

California, or otherwise be unenforceable or ineffectual, the validity of the remaining parts, terms, portions, or provisions will be deemed severable and will not be affected thereby, provided such remaining portions or provisions can be construed in substance to constitute the Agreement which the Parties intended to enter into in the first place.

O. Notices

A notice to the other Party in the administration of this Agreement shall be given to the Party's Project Representative by regular mail, by facsimile transmission, or by email as more particularly specified in this paragraph. Any such notice will be deemed given on:

1. Personal Service: The day the notice is personally delivered to the Party's Project Representative.
2. United States Mail: Five days after the date the notice is deposited in the United States mail, addressed to a Party's Project Representative with first-class postage fully prepaid;
3. Facsimile: On the day the notice is transmitted by facsimile to the facsimile number specified as specified in Section VIII, provided that an original of such notice is deposited in the United States mail, addressed to the Party's Project Representative on the same day as the facsimile transmission is made; or

Email: On the day the notice is transmitted by email to the email address of the Party's Project Representative.

P. Compliance with Applicable Laws

The Parties shall comply with all applicable federal, state and local laws now, or hereafter, in force, and with any applicable regulations, in performing the work and providing the service specified in this agreement. This obligation includes, without limitation, the acquisition, and maintenance of any permits, licenses, or other entitlements necessary to perform the duties imposed expressly or impliedly under this Agreement.

Q. Negotiated Agreement

This Agreement was negotiated between the Parties. Neither Party is deemed to be the Party which prepared this Agreement within the meaning of California Civil Code, section 1654.

R. Independent Advice

Each Party represents that in executing this Agreement it does so with full knowledge of the rights and duties it may have with respect to the other Party. Each Party also represents that it has received independent legal advice from its attorney with respect to the matters set forth in this agreement and the rights and duties arising out of this Agreement, or that such Party willingly foregoes any such consultation.

GENERAL TERMS AND CONDITIONS

S. Information Subject to a Business Associate Agreement

The Parties agree to identify for the other Party protected health information in the records that was provided through a business associate agreement of a covered entity, as required by 42 U.S.C 1320d and its implementing regulations at 45 CFR Parts 142, 160, 162, and 164, collectively referred to as the Health Insurance Portability and Accountability Act Privacy Rule.

T. Conflicting Disclosure Laws

The Parties agree to follow the requirements of the law for the disclosure of confidential records. When in doubt as to whether a record in its possession should be disclosed or withheld, each Party agrees to contact its Legal Counsel for direction.

U. Mailing of Confidential Information

The Parties may use the United States Postal Service to deliver records containing personal or confidential information to the other provided that the record(s) are double enveloped with the interior envelope identified as confidential with the name of the recipient of the mail on the interior envelope. Additionally, each shall require that the records being delivered shall only be delivered to the addressee with an acknowledgement of receipt. The Party sending the records is responsible for obtaining a copy of the signed receipt and maintaining it.

V. Transporting Records

The Parties agree that all records containing personal or confidential information shall be transported in a secure manner. When using a third party who is not a Party to this Agreement to transport records to the other Party, the Parties each agree to notify the other before sending records to the other containing personal or confidential information, as defined in law. Notice may be provided electronically, but receipt of the message must be confirmed before commencing the transport of the records to the other Party. Additionally, except for personal delivery by a representative of the Parties a bonded courier service shall be used. The records shall be securely double-enveloped or boxed with the interior envelope or box identified as confidential and properly addressed to the intended recipient/employee. Upon delivery, the courier shall obtain a signed acknowledgement of receipt from the entity receiving the documents. The Party sending the records is responsible for obtaining a copy of the signed receipt and maintaining it.

W. Indemnification

1. Claims Arising from Acts or Omissions of the County

The County hereby agrees to defend and indemnify the CDSS, its agents, officers, and employees (hereinafter collectively referred to as the CDSS), from any claim, action or proceeding against the CDSS arising from the County's negligence in the performance of the services and activities of this Agreement, including omissions to act. At its discretion, the CDSS may participate at its own expense in the defense of any claim, action or proceeding, but such participation shall not relieve the County of

GENERAL TERMS AND CONDITIONS

any obligation imposed by this Agreement. The CDSS shall notify the County promptly of any claim, action or proceeding and cooperate fully.

2. Claims Arising from Acts or Omissions of the CDSS

The CDSS hereby agrees to defend and indemnify the County, its agents, officers, and employees (hereinafter collectively referred to as the County), from any claim, action or proceeding against the County arising from CDSS' negligence in the performance of the services and activities of this Agreement, including omissions to act. At its discretion, the County may participate at its own expense in the defense of any claim, action or proceeding, but such participation shall not relieve the CDSS of any obligation imposed by this Agreement. The CDSS shall notify the County promptly of any claim action or proceeding and cooperate fully.

X. Relationship of the Parties

The CDSS is acting as a contractor for the delivery of the services; this is not a joint venture agreement between the Parties. It is understood by both Parties that this Agreement does not create an employer-employee relationship between the Parties. Each Party agrees that it shall not enter into agreements or make representations or promises on behalf of the other Party, except as identified in Exhibit A.

Y. Bankruptcy

The Parties shall immediately notify the other in the event that either ceases conducting business in the normal manner or becomes insolvent, makes a general assignment for the benefit of creditors, suffers or permits the appointment of a receiver for its business on assets, or avails itself of, or becomes subject to, any proceeding under the Federal Bankruptcy Act or any other statute of this state relating to insolvency or protection of the rights of creditors.

Z. Insurance Requirements

The CDSS is a self-insured public entity, which possesses the ability to cover liabilities, including general, professional, motor vehicle, and workers' compensation liabilities arising from or connection with the performance of services under this agreement by CDSS, its employees, officers, or directors. Evidence of self-insurance is provided with Exhibit A, Attachment 3. Evidence of CDSS' self-insurance for liabilities, from the use of motor vehicles includes owned, non-owned, and hired vehicles used by CDSS employees in the performance of services, is provided with Exhibit A, Attachment 4.

AA. Title to Documents; Copyrights

The reports, forms and other materials produced by the CDSS pursuant to this Agreement are the property of the CDSS and shall not be subject to any copyright claimed by the County, its employees, subcontractors or agents. However, the County may use for administrative purposes completed materials developed or produced by the CDSS. Incomplete documents or projects may not be used without the prior written consent of the CDSS. Records, reports, or documents containing personal or confidential information

GENERAL TERMS AND CONDITIONS

shall not be used for any commercial purpose and shall not be copyrighted by either Party, including the employees, officers, directors, or agents of each Party.

BB. Venue

It is agreed by the Parties to this Agreement that, unless expressly waived by CDSS, any action brought to enforce provisions of this Agreement for declaratory relief shall be filed and remain in a court of competent jurisdiction in the County of Sacramento in the State of California.

CC. Controlling Law

The validity, interpretation and performance of this Agreement shall be construed under the laws of the State of California, or when applicable federal law.

DD. Entire Agreement

This Agreement is the entire Agreement of the Parties for the performance of the services described in Exhibit A. There are no understandings or agreements pertaining to this Agreement except as are expressly stated in writing in this Agreement or in any document attached hereto or incorporated by reference. It is the intention of the Parties hereto that this Agreement shall supersede any prior agreements, discussions, commitments, representations, agreements, written, or oral, between the Parties.

Information Security Requirements

I. Information Security Incidents and/or Breaches

- A. Discovery and Notification of Incidents and/or Breaches.** CDSS shall be responsible for facilitating the Incident and/or Breach response process as described in California Civil Code 1798.29(e), California Civil Code 1798.82(f), and SAM 5340, Incident Management. CDSS shall notify the CDSS Program Contract Manager and the County Information Security and/or Privacy Officer within one working day by telephone call and email upon the discovery of the Incident and/or Breach affecting the security of County Confidential, Sensitive, and/or Personal (CSP) Information if the County CSP was, or is reasonably believed to have been, acquired by an unauthorized person, or there is an intrusion, potential loss, or unauthorized use or disclosure of the County CSP is in violation of the Agreement, this provision, the law, or potential loss of the County CSP that is in violation of this Attachment 2. CDSS shall take:
1. Prompt corrective action to mitigate any risks or damages involved with the Incident and/or Breach and to protect the operating environment;
 2. Any action pertaining to such unauthorized disclosure required by applicable Federal and State laws and regulations.
- B. Isolation of System or Device.** A system or device, containing County CSP, compromised by an Incident and/or Breach involving an exploitation of a technical vulnerability, shall be promptly disconnected from CDSS' production environment with access to only individuals who are participating in the investigation, mitigation, and remediation of the Incident and/or Breach. Such system or device shall remain disconnected from the production environment until the risk from the exploited vulnerability has been adequately mitigated. The County must be contacted prior to placing the previously compromised system or device, containing County CSP, back in the production environment. The affected system or device, containing County CSP, shall not be returned to operation in the production environment until the County Information Security and/or Privacy Officer gives its approval.
- C. Investigation of Incidents and/or Breaches.** CDSS shall promptly investigate such Incidents and/or Breaches.
- D. Updates on Investigation.** CDSS shall provide regular (at least once a week) email updates on the progress of the Incident and/or Breach investigation to the CDSS Program Contract Manager and the County Information Security and/or Privacy Officer.
- E. Written Report.** CDSS shall provide a written report of the investigation to the CDSS Program Contract Manager and the County Information Security and/or Privacy Officer within fifteen (15) working days of the discovery of the Incident and/or Breach. To the extent CDSS has such information, the report shall include but not be limited to the following:

1. CDSS point of contact information;
 2. Description of what happened, including the date of the Incident and/or Breach and the date of the discovery of the Incident and/or Breach, if known;
 3. Description of the types of County CSP that were involved and the extent of the information involved in the Incident and/or Breach;
 4. A description of the unauthorized persons known or reasonably believed to have improperly used or disclosed County CSP;
 5. A description of where the County CSP is believed to have been improperly transmitted, sent, or utilized;
 6. A description of the probable causes of the improper use or disclosure;
 7. Whether Civil Code sections 1798.29 or 1798.82 or any other federal or state laws requiring individual notifications of breaches are triggered; and
 8. Full, detailed corrective action plan, including information on measures that were taken to halt and/or contain the Incident and/or Breach.
- F. Notification of Individuals.** CDSS shall notify individuals of the breach or unauthorized use or disclosure when notification is required under applicable state or federal law as determined by the County. CDSS shall pay any costs of such notifications, as well as any costs associated with the breach. The CDSS Program Contract Manager and the County Information Security and/or Privacy Officer shall promptly approve the time, manner and content of any such notifications, and such approval shall not be unreasonably withheld.



January 16, 2019

**STATE OF CALIFORNIA
PUBLIC LIABILITY AND WORKERS' COMPENSATION
INSURANCE FISCAL YEAR JULY 1, 2019 / JUNE 30, 2020**

Whom It May Concern:

In accordance with Government Code section 11007.4, the State of California has elected to be self-insured for liability exposures. Under this form of insurance, the State and its employees acting in the course and scope of their employment are insured for tort liability arising out of official State business. All claims against the State of California based on tort liability should be presented as a government claim to the Government Claims Program, P.O. Box 989052 MS 414, West Sacramento, CA 95798-9052. (Gov. Code section 900, et. seq.) Internet link: <http://www.dgs.ca.gov/orim/Programs/GovernmentClaims.aspx>.

The State of California has also elected to be insured for its motor vehicle liability exposures through the State Motor Vehicle Liability Self-Insurance Program (VELSIP). This program provides liability coverage arising out of the operations of motor vehicles used by state employees for official state business (California Vehicle Code Sections 17000 and 17001). Motor vehicle liability claims against the State of California should be presented to the Office of Risk and Insurance Management, P.O. Box 989052 MS-403, West Sacramento, CA 95798-9052, (800) 900-3634, claims@dgs.ca.gov. If your motor vehicle liability claim is not resolved within six months from the date of loss, California law requires you to file a formal claim with the Government Claims Program, P.O. Box 989052 MS 414, West Sacramento, CA 95798-9052. (Gov. Code section 900, et. seq.) Internet link: <http://www.dgs.ca.gov/orim/Programs/GovernmentClaims.aspx>.

The State of California has a Master Agreement with the State Compensation Insurance Fund regarding workers' compensation benefits for all state employees, as required by the Labor Code.

A handwritten signature in black ink, appearing to read "Lynan Graf", is written over a faint, illegible typed name.

Lynan Graf,
Associate Risk Analyst
Office of Risk and Insurance Management
Insurance Services Unit
Phone: (916) 376-5290
Fax: (916) 376-5275
Lynan.graf@dgs.ca.gov



January 16, 2019

**STATE OF CALIFORNIA AUTOMOBILE
LIABILITY / PHYSICAL DAMAGE
FISCAL YEAR JULY 1, 2019 / JUNE 30, 2020**

To Whom It May Concern:

Please accept this letter as certification that the State of California has elected to be self-insured for liability and physical damage arising out of the ownership, maintenance, and operation of land motor vehicles.

Under this program, the Office of Risk and Insurance Management administers liability claims arising out of the operation of the vehicle. Physical Damage to such vehicle may be reimbursed by the Employing State Agency in accordance with State Administrative Manual (SAM) sections 2420 and 4116.

Sincerely,

A handwritten signature in black ink that reads "Lynan Graf". The signature is written in a cursive style with a large, looping "G" at the end.

Lynan Graf
Department of General Services
Associate Risk Analyst
(916) 376-5290
Lynan.Graf@dgs.ca.gov

**EXHIBIT B
(Standard Agreement)**

BUDGET DETAIL AND PAYMENT PROVISIONS

A. Invoicing and Payment

1. The maximum amount payable under this Agreement shall not exceed \$24,458.00. Shown below are the amounts that cannot be exceeded for each of the fiscal year(s):

19/20	\$12,229.00
20/21	\$12,229.00

2. For services satisfactorily rendered, and upon receipt and approval of the invoice(s), County agrees to pay CDSS for said services in accordance with the rates specified below:

a. Invoicing for Family Evaluations

- i. If Family Evaluations were identified in Exhibit A, Section VII, as part of this Agreement, CDSS shall provide quarterly invoices in arrears for each quarter in which the Family Evaluation services were completed. The quarterly invoices shall include for each completed Family Evaluation the non-federal cost per case rate.
- ii. The CDSS shall track each Family Evaluation and invoice for the non-federal share of \$1,244 per each Family Evaluation.¹ CDSS shall not invoice for the amount of the services involving the federal funds share. The non-federal share of costs for each fiscal year will be subject to change based on the applicable federal discount rate for that year.
- iii. The County shall pay CDSS quarterly for the completed Family Evaluations. For payment the County will draw down funds from the General Fund RFA allocation. Once the total RFA allocation is exceeded, the County will use its Local Revenue Fund (LRF) for subsequent payment(s).
- vi. If it is determined by CDSS that the average family evaluation greatly exceeds the estimated hours, CDSS shall provide the documentation regarding the number of hours to the County. For any extension of this Agreement or subsequent agreement for these services the amount paid to CDSS may be increased for the next fiscal year(s).
- v. If the Exhibit A identifies that CDSS will provide only a portion of the County's Family Evaluations, the cost of the Family Evaluation shall be the same as identified in section A, paragraph 2 (a) (ii), above.

¹ The estimated cost to complete each Family Evaluation is \$1,790.00. The federal funds share is \$546.

**EXHIBIT B
(Standard Agreement)**

- b. Invoicing for Complaint Investigations
- i. If Complaint Investigations were identified in Exhibit A, Section VII, as part of this Agreement, CDSS shall provide quarterly invoices in arrears for each quarter in which the Complaint Investigations were completed. The quarterly invoices shall include, for each completed complaint investigation, the non-federal cost per case rate.
 - ii. The CDSS shall track each Complaint Investigation and invoice for the non-federal cost of \$1,146.00.² CDSS shall not invoice for the amount of the services involving the federal funds share. The non-federal share of costs for each fiscal year will be subject to change based on the applicable federal discount rate for that year.
 - iii. The County shall pay CDSS quarterly. For payment the County will draw down funds from the General Fund RFA allocation. Once the total RFA allocation is exceeded, the County will use its LRF for subsequent payment(s).
 - vi. If it is determined by CDSS that the average complaint investigation greatly exceeds the estimated hours, CDSS shall provide the documentation regarding the number of hours to the County. For any extension of this Agreement or subsequent agreement for these services the amount paid to CDSS may be increased for the next fiscal year(s).
 - v. If the Exhibit A identifies that CDSS will provide only a portion of the County's Complaint Investigations, the cost of the Complaint Investigation shall be the same as identified in this Exhibit B, section A, paragraph 2 (b)(ii), above.
- c. Invoicing for Home Health and Safety Assessments
- i. If Home Health and Safety Assessments were identified in Exhibit A, Section VII, as part of this Agreement, CDSS shall provide quarterly invoices in arrears for each quarter in which the Home Health and Safety Assessments services were completed. The quarterly invoices shall include, for each open Home Health and Safety Assessment, the non-federal cost per case rate.
 - ii. The CDSS shall track each Home Health and Safety Assessment and invoice for the non-federal share of cost of \$256 per each Home Health and Safety Assessment.³ CDSS shall not invoice for the amount of the services involving

² The estimated cost to complete each Complaint Investigation is \$1649.00. The federal funds share is \$503.00.

³ The estimated cost to complete each Home, Health and Safety Assessment is \$368.00. The federal funds share is \$112.00.

**EXHIBIT B
(Standard Agreement)**

the federal funds share. The non-federal share of costs for each fiscal will be subject to change based on the applicable federal discount rate for that year.

- iii. The County shall pay CDSS quarterly. For Payment the County will draw down funds from the General Fund RFA allocation. Once the total RFA allocation is exceeded, the County will use its Local Revenue Fund (LRF) for subsequent payment(s).
 - iv. If it is determined by CDSS that the average Home Health and Safety Assessment greatly exceeds the estimated hours, CDSS shall provide the documentation regarding the number of hours to the County. For any extension of this Agreement or subsequent agreement for these services the amount paid to CDSS may be increased for the next fiscal year(s).
 - v. If the Exhibit A identifies that CDSS will provide only a portion of the County's Home Health and Safety Assessments, the cost of the Home Health and Safety Assessments shall be the same as identified in this Exhibit B, section A, paragraph 2 (c)(ii), above.
3. The County shall be responsible for payment of the contracted services and activities provided by CDSS in accordance with rates above from the following sources and in the following order:
- * General Fund Resource Family Approval allocation (if such exists in the State Budget);
 - * the County's 2011 Realignment LRF; and
 - * other County funds.

4. Continuation of Services

In the event this Agreement expires or is terminated with open Family Evaluations, Complaint Investigations, Home Health and Safety Assessments or Legal Consultations or Legal Representation on Appeals/SHD and OAH Hearings, CDSS may complete such actions in accordance with the terms of this Agreement; submit invoices as identified in this Exhibit B, withhold a corresponding portion of the RFA Allocation to complete such activities from a current or subsequent fiscal year, and receive payment from the County from its LRF for a current or subsequent fiscal year.

5. Cost Increase

During the term of this Agreement, and as the Budget Act allows, CDSS and the County may approve increases in the service levels for each of the services provided by CDSS and increase the amount that the County shall pay CDSS from the County's General Fund RFA allocation and the LRF.

**EXHIBIT B
(Standard Agreement)**

6. The following County Fiscal Letter(s) (CFL) is incorporated by reference:
CFL 16/17-45.
7. Invoices shall include the Agreement No.19-5071 and Index Code 2570 and shall be submitted in triplicate or as otherwise requested by the County nor more frequently than quarterly in arrears to:

County of Sierra
P.O. Box 1019
Loyalton, CA 96115
Attn: Jamie Franceschini

8. Should the County receive services in excess of \$750,000 in federal assistance, Invoices shall include the CFDA number: 93.658 and the CFDA Program Title: Resource Family Approval.

Any invoices submitted without the above referenced information may be returned to CDSS for reprocessing.

9. For each invoice, the County shall route to the appropriate personnel responsible for the prompt review and payment. For disputed invoices, if any, the County shall specifically identify those services which are in dispute, for which additional information is necessary, in its subsequent correspondence with CDSS.
10. Undisputed invoices shall be paid promptly, and no later than 45 days from receipt of the original invoice. The County shall also pay for those services which are undisputed within 45 days of receipt of the original invoice.

B. State Budget Contingency Clause

1. It is mutually agreed that if the Budget Act of the current year and/or any subsequent years covered under this Agreement does not appropriate sufficient funds for the program, this Agreement shall be of no further force and effect. In this event, CDSS shall have no liability to pay any funds whatsoever to Contractor or to furnish any other considerations under this Agreement and Contractor shall not be obligated to perform any provisions of this Agreement.
2. If funding for any fiscal year is reduced or deleted by the Budget Act for purposes of this program, CDSS shall have the option to either cancel this Agreement with no liability occurring to the State, or offer an Agreement amendment to Contractor to reflect the reduced amount.

C. For Contracts with Federal Funds

1. It is mutually understood between the parties that this Agreement may have been written before ascertaining the availability of Congressional appropriation of funds, for the mutual benefit of both parties, in order to avoid program and fiscal delays which would occur if the Agreement were executed after that determination was made.
2. This Agreement is valid and enforceable only if sufficient funds are made available to the State by the United States Government for the term of this Agreement for the purposes of this program. In addition, this Agreement is subject to any additional restrictions,

EXHIBIT B
(Standard Agreement)

limitations, or conditions enacted by the Congress or any statute enacted by the Congress which may affect the provisions, terms, or funding of this Agreement in any manner.

3. It is mutually agreed that if the Congress does not appropriate sufficient funds for the program, this Agreement shall be amended to reflect any reduction in funds.
4. CDSS, at its option, may terminate this Agreement upon 30-days notice, or to amend the Agreement to reflect any reduction in Federal funds.

D. Review

Each party reserves the right to review service levels and billing procedures as they impact charges against this Agreement.



KIM JOHNSON
DIRECTOR

STATE OF CALIFORNIA—HEALTH AND HUMAN SERVICES AGENCY
DEPARTMENT OF SOCIAL SERVICES
744 P Street • Sacramento, CA 95814 • www.cdss.ca.gov



GAVIN NEWSOM
GOVERNOR

February 20, 2020

County of Sierra
P.O. Box 1019
Loyalton, CA 96115
Attn: James Franceschini

SUBJECT: AGREEMENT 19-5071

Dear Contractor:

Please complete the following checked item(s) and return to my attention at the address above, MS 9-6-747:

Please note in the enclosed Agreement that the General Terms and Conditions are available on the Internet site www.dgs.ca.gov/ols/Resources/StandardContractLanguage.aspx and may be downloaded and printed for your files. If you do not have Internet capabilities, please call me for a hard copy of the document.

Std. 213 with attached Exhibits. **Print and sign two copies of the Std. 213.** Please use blue ink.

Std. 204, Payee Data Record. No payment can be made unless this form is completed and returned.

Voluntary Statistical Data Reporting Form. The completion of this form is strictly voluntary.

Std. CCC, Contractor Certification Clauses. It is available on the above referenced Internet site. Please sign and return page one. Failure to do so will prohibit the State of California from doing business with your company. CDSS will be keeping the signed Std. CCC on file for three (3) years.

Resolution from the Board of Supervisors (or appropriate governing body) authorizing the designated official to enter into and sign this Agreement.

A copy of your insurance certification, in accordance with Exhibit E, which includes the State of California, its officers, agents, and employees as additionally insured (see attached sample).

The enclosed Agreement is signed on behalf of CDSS and is being returned to you for further processing. When approved, send one original to the CDSS Contracts and Purchasing Bureau at the above address.

The enclosed fully executed Agreement is for your records.

This Agreement cannot be considered binding on either party until approved by appropriate authorized State agencies. No services should be provided prior to approval, as the State is not obligated to make any payments on any agreement prior to final approval. Expedient handling of this Agreement is appreciated. *No alteration of these documents will be accepted without prior State approval.* If you need further information, please call me at (916) 916-657-1919.

Tammy T. Gorman

Tammy T. Gorman, Contracts Unit Manager
Contracts and Purchasing Bureau

Enclosure(s)

Memorandum

To: Sierra County Board of Supervisors

From: Vickie Clark, Director

Reference: Agenda Item

Date of memo: March 25, 2020

Date of Board Meeting: April 7, 2020

Requested Action: Approval of Interim Amendment to the Social Services General Relief Plan

Mandated by:

Funding

Budgeted? Yes No

Revenue		
Expenses		
Difference	0	

Background Information: Currently, our General Assistance/General Relief plan indicates in section 90-600:

Within a 12-month period of time, all employable individuals are allowed a maximum of 3 months of assistance. These months may be consecutive or used intermittently. The only exception to this policy is if good cause exists. A request for good cause extension of benefits must be completed and will afford the client one additional month of assistance. Good cause may be allowed for one of the following reasons:

- *Death of an immediate family member (spouse, child or parent)*
- *Temporary illness of self or immediate family member (spouse, child or parent) verified by doctor*
- *Awaiting start date for secured employment*
- *Client claims permanent disability and is transitioning to Interim Assistance*

In light of the current COVID-19 directives to limit contact, close non-essential business etc. I would like to request to amend section 90-600 of the General Assistance manual by suspending time limited services for the next 6 months, and reassess in September 2020 to determine if an extension of the suspension or reinstatement of the existing regulations is appropriate.

Potential Issues to consider:

Alternatives or Impacts of disapproval:

90-100.1

Authorization for the General Relief Program

General Relief is a program established by resolution of the Board of Supervisors in accordance with Sections 17000 – 17409 of the Welfare and Institutions Code.

This document establishes the regulation for the administration of the General Relief Program in Sierra County.

Changes to Sections 17000 – 17409 Of the Welfare and Institutions Code which mandate changes to General Relief regulations will override regulation as they are stated in this document. The Director of the Social Services Department has the authority to implement such regulation changes without prior approval of the Board of Supervisors. The Board of Supervisors will be advised of such changes no later than 30 days after the effective date of such changes.

90-100.2

Purpose of the General Relief Program

The purpose of the General Relief Program in Sierra County is to provide assistance to indigent persons who:

- Are legal residents of Sierra County
- Have needs that are not otherwise met
- Are not receiving nor are eligible for any other public assistance cash program
- Are actively seeking employment or are unemployable.

In the administration of the General Relief Program Sierra County shall attempt to:

- Preserve the dignity and self-respect of the applicant/recipient
- Assure uniform equitable treatment of all applicants/recipients. Within county resources available for the purpose, applicants/recipients shall receive sufficient aid to provide a decent and healthful living.
- Assure that only eligible persons as defined in the resolution receive aid
- Assure that every possible effort is made by recipients, with the help of Social Services Agency and other agencies to restore themselves to self sufficiency.
- Assure that all persons who receive General Relief shall repay to the County the value of such assistance if, after receiving General Relief, such persons are capable of making repayment.

90-100.3 - Format

The General Relief Program Manual contains all written regulations and procedures pertaining to the implementation of the General Relief Program in Sierra County.

Regulation sections contain the rules of the General Relief program as established by the Board of Supervisors.

Procedure sections contain information, clarification, step-by-step instructions and forms need to implement the regulations.

(a) Numbering

Regulations numbers will begin with “90” to indicate that they are related to the General Relief program. For example: 90-100, 90-200.

(b) Filing

Procedure sections shall be filed within the General Relief manual and housed at Sierra County Health and Human Services Departments located at 202 Front St., Loyalton, CA and 22 Maiden Ln., Downieville, CA.

(c) Manual Updating

The General Relief Eligibility Staff is responsible for updating the program manual as necessary.

90-200 Intake Process

Application 201

Application can be made by:

1. any individual requesting assistance
2. On behalf of on an individual if the person for who aid is requested has been declared mentally incompetent by a court of law or the person for whom aid is requested is physically unable to come into the office. In those scenarios when a representative is applying on behalf of the applicant, either a court appointed conservator is required to complete and sign all necessary documentation and be present for the face to face interview or a home visit must be completed by the ICW.

.1 Date of Application

Date of Application is defined as the date the completed application form, SAWS1, is received by the Social Services Agency. The application must be completed in person. If the applicant is unable to come into the office due to physical or mental incapacities, the date application is considered to be the date aid was requested by phone or third party.

.2 Screening

The day the request is made the ICW will conduct a screening assuring potential eligibility exists. If it appears the applicant will not meet eligibility requirements, the ICW will explain the regulation and give the applicant the opportunity to withdraw their request or continue on with the application process.

.3 Withdrawal

An applicant has the right to withdraw his/her application for General Relief at any time prior to the approval or denial of the application. Withdrawal must be a written document signed and dated by the applicant.

Interview 202

Interviewing timeframes

Once a request is received by the Social Services Agency, a screening will be conducted on the day of request and an interview will be scheduled within 5 days. The applicant will be allowed to reschedule the interview one time per application. If the applicant cannot make the second appointment, the application process will start over beginning with completion of a new SAWS 1 and re-establishment of date of application as defined above.

Mandatory Intake Forms 203

In order for an intake interview to be considered complete, the following documents are required to be completed by the applicant and on file in the case.

1. SAWS 1, Application for Cash Aid, Food Stamps, MediCal and/or County Medical Services Program
2. Household Composition Inquiry Sheet
3. GR 1, General Relief Rights and Responsibilities
4. GR 2, General Relief Statement of Facts
5. GR 3, General Relief Services Identification Questionnaire
6. GR 4, Sierra County Payment and Security Agreement
7. Voter Registration Questionnaire

Required Verification 204

It is the applicant's responsibility to provide all verifications necessary to support eligibility. It is the responsibility of Health and Human Services staff to assist applicants in obtaining verifications unavailable to them. If reasonable attempts have been made and verifications are unattainable an applicant's sworn statement under penalty of perjury will be acceptable on a case by case basis at the discretion of the eligibility supervisor.

Eligibility Factors 90-300

Household Composition 300

Applicants are required to list all household members and their relationship to that person on the Household Composition Inquiry Sheet. Household members will be assessed by the eligibility staff to determine if they should be included, excluded or ineligible household members.

.1 Mandatory Inclusions

Household members may be required to be a part of the assistance unit, their needs, income and resources are counted. Approval of benefits is equally contingent upon their cooperation with General Relief requirements. Examples of mandatory inclusions are:

- A spouse of the applicant
- A person, other than a spouse, with whom one cohabits, and holds themselves out to the community as a couple
- A minor child of the applicant
- A sponsor of a sponsored alien. An alien is not considered to be sponsored if:
 - The individual entered the U.S. more than three years ago
 - Was sponsored by an organization or institution
 - Is a refugee or Cuban-Haitian entrant

.2 Ineligible Persons

Ineligible persons are members of the assistance unit whose income and resources are counted but needs are not factored into the benefit amount. Examples of Ineligible Persons are:

- Students enrolled in an Institution of higher education (adopted from the Food Stamp manual regulation 63-406.3)
- Individuals sanctioned from the General Relief program or discontinued for failure to co-operate with the program requirements
- Individuals in a period of ineligibility due to the disposal of property from any other government assistance program.
- Individuals on active duty in the Armed Forces
- Individuals who would be eligible to any federally funded cash aid program. This excludes Interim Assistance cases while pending approval from the Social
- Individuals ineligible to the CalWorks program due to sanctions, non cooperation of program requirements, or are serving any other disqualification penalty such as IPV or administrative disqualification agreement.
- Ineligible aliens
- Individuals who quit his/her job without good cause within the last ninety days.
- Fleeing felons
- Timed out CalWorks adults whose child is still living in the home

.3 Excluded Persons

Excluded persons are members of the assistance unit whose income and sole property are not counted and their needs are not considered. Examples of excluded household members are:

- SSI/SSP recipients
- Roommates

Residency 302

A resident is defined as person who has been present and living in Sierra County at the time of application and has been physically living in Sierra County for at least 30 consecutive days and is not considered a resident of any other state or county.

Eligibility Requirement

All members of the assistance household must be residents of Sierra County in order to be eligible for General Relief benefits.

Acceptable Verification

A sworn statement under penalty of perjury stating the applicant has resided in Sierra County for a minimum of 30 days and a home visit by either the integrated case worker or fraud investigator will be required.

Written documentation to establish residency is also required. Acceptable documentation includes but is not limited to a rent receipt, or utility bill in the applicant's name, pay stub with applicant's address on it, identification with applicant's address etc.

Mailing Address

If the household has not established a valid mailing address in Sierra County, they may use General Delivery, however are expected to check for mail on a weekly basis. If mail is returned by the Post Office for non delivery, residency will again be in question and appropriate follow up action by the ICW will be required. This will consist of contact to the client both through mail and any available telephone number and verification that they are still residing at the reported address. If contact is still not possible, the case will be discontinued for "whereabouts unknown".

Age Requirement 303

In order to be eligible to the General Relief program, the applicant applying must be 18 years of age or older, an emancipated minor or a parent who is not eligible for Federal TANF because the primary earner does not meet the deprivation requirements of the TANF program.

Identity 304

Eligibility Requirement

All aided household members must provide documentation verifying their identity prior to receiving benefits.

Acceptable verification

Any government issued document or application that provides name, date of birth, issuing agency and photo. This includes, State drivers license/identification card, passport, armed forces identification. If verification is not available, benefits will be denied.

Social Security Number 305

Eligibility Requirement

All applicants must provide a valid/verifiable social security number. If no social security number is available, the applicant must provide verification that they have applied for and are cooperating with Social security administration in securing a valid social security number.

Acceptable Verifications

Original social security card, IEVS validation of social security number or correspondence from social security that the application has been received and is processing the request. If an application for a social security number has been submitted, the ICW is required to follow up every two weeks until the number is received.

Citizenship 306

Eligibility Requirement

In order to be eligible to General Relief benefits, United States citizenship or legal permanent residency must be verified.

Acceptable verifications

Documents supporting citizenship or residency status include certified birth certificate, hospital records, naturalization documents from INS, or permanent residency card.

Resources 307

Eligibility Requirements

The maximum allowable property limit of net non exempt resources is \$500. Liquid resources will be counted as income in the month of application provided that it was not income received in the month application. This means that through budgeting, the household will be expected to utilize their available liquid resources as a means of support.

Personal Property

Examples of personal property are liquid resources, motor vehicles, campers, trailers, boats, farm equipment, livestock, etc.

Liquid Resources

Liquid Resources are considered to be cash on hand or personal property which is easily converted to cash. Examples of this include: Cash on hand, money in a checking or savings account (less the minimum amount needed to keep the account open), savings certificates, stocks, bonds, IRAs and Keogh plans.

Vehicles

If the household is using the vehicle as a home it will be considered exempt regardless of its value, or licensing status, one vehicle used for work, job search or transportation of disabled individual will be exempt. Kelley Blue Book low value minus encumbrances will be used to determine the value of all other vehicles.

Real Property

Real property is defined as land and improvements. It includes immovable property attached to the land. It may be property owned exclusively by the applicant or property owned jointly. Oil, mining and mineral rights are also counted as real property.

Excluded real property consists of the applicant's primary residence, property held in a legal trust not likely to cease during the certification period, and trust held property that no household member has the power to revoke or change the name of the beneficiary during the certification period. The separate and community share of real property of the spouse of an applicant/recipient who has entered into a legal separation. The real property in which a general relief applicant/recipient has no ownership interest and which is considered in an SSI/SSP or AFDC resource valuation.

Treatment of Income 308

Income is any monetary benefit which is received by and/or currently available to the General Relief Assistance household. Monthly income is that income which is received in the month regardless of the period of time over which it accrues. Monies received or anticipated to be received during the budget period are considered currently available income.

Countable Income

Sources of countable income include, but are not limited to:

- Money from work or business activities
- Money derived from ownership of real property
- Liquid resources in the month of application
- Pension/retirement funds
- Interest or dividends
- Unemployment benefits
- Disability benefits
- Veteran's benefits
- Social Security benefits
- Lump sums in the month of receipt
- Loans, gifts and contributions
- In-kind Income

Liquid Resources in the month of application

In the month of application, any money available in an account (less the amount needed to keep the account open) will be considered unearned income available to the household unless the money was also earned by the household in the application month and already used in the budget. This is to assure that the assistance unit is making every effort to remain self sufficient prior to receiving assistance from Sierra County.

Excluded Income

The following types of income are to be excluded when determining household eligibility:

- Irregular income in the certification period which is received too infrequently to be reasonably anticipated, but not to exceed \$50.
- The income of SSI/SSP and AFDC recipients living with the General Relief household
- Food stamp benefits
- Retroactive corrective assistance payments in the month of receipt.

Lump Sum Payments

A lump sum payment is any income which is received by the applicant or recipient assistance household which is not recurring regular income in regard to amount and/or source. Examples of lump sum payments would include, but are not limited to:

- OASDI payments for past months
- Other social insurance awards
- Insurance settlements
- Income tax refunds
- Earned income credit payments
- Retirement benefits
- Winnings
- Legal settlements
- Inheritance

Applicants

Lump sums payments received by an applicant is considered property if lump sum is received prior to the General Relief application, and considered income in the month received and property thereafter if the lump sum is received after the General Relief application but prior to approval of the case.

Recipients

Lump sum payments received by a recipient is considered income in the month of receipt and shall make the household ineligible for the length of time the income will support at the rate of the maximum General Relief payment for the household size per month.

Net earned income is the amount of earned income remaining after allowable deductions have been subtracted from the total gross earned income of the assistance household. The General Relief programs allows for the following deductions:

Earned income deduction

When determining financial eligibility and benefits levels, the applicant/recipient shall have \$90 deducted from any earned income in the month of receipt.

Self employment expenses

Verifiable self employment expenses will be deducted off the gross sales for the month in which the expense applied. Allowable self employment expenses include:

- Fuel for equipment
- Cost of materials and goods

Benefit Issuance 400

Once all eligibility factors have been met, and the applicant has been determined eligible to General Relief Assistance payments, benefit levels and issuance shall be assessed, and continuing eligibility factors will be implemented.

Beginning Date of Aid

Beginning Date of Aid is determined by the date aid requested either by personal contact to the eligibility department or the date the SAWS1 was received by a Sierra County Health and Human Office.

In the month of application, the benefit amount will be prorated from the beginning date of aid. All recipients are required to provide a monthly eligibility report to determine eligibility for the upcoming month in a retrospective budgeting process, as defined in section 90-404. All subsequent months of eligibility will be issued for the entire month if eligible on the first of that month, the recipient will be eligible to the entire month regardless of unanticipated changes that may occur.

Benefit Levels

Maximum General Relief benefit levels shall be based on the current Temporary Assistance to Needy Families (TANF) Maximum Aid Payment (MAP) levels in the State of California Welfare and Institutions Code, Chapter 2, commencing with Section 11200.

The maximum General Relief benefit level shall be adjusted automatically to reflect all increases, decreases, or reductions in the Maximum Aid Payment (MAP) schedule of the TANF program. The Effective date of any such automatic adjustment to the maximum General Relief benefit levels shall correspond to the effective date of the change to the TANF program, or as soon as it administratively possible to make the change.

Method of Payment 401

Effective 07/01/2012 vendor payments made on behalf of the assistance unit will no longer be available, benefits will be issued directly to the assistance unit in a single payment to the head of household or authorized representative.

Table of Assistance Standards 402
Effective 07/01/2011

AU Size	Maximum Benefit Level
1	300
2	490
3	608
4	725
5	825
6	926
7	1016
8	1109
9	1198
10	1286

Determining Benefit 403

In order to determine the monthly benefit amount a household is eligible to receive. The Eligibility worker shall complete a GR – 8 budget form for the month of application and continuing benefit determination.

In order to determine the prorated benefit level based on the beginning date of aid for application, the eligibility worker will refer to the Reciprocal Table for Prorating benefits below:

Day of the month	28-Day Month	29-Day Month	30-Day Month	31-Day Month	Day of the month
1	1.0000	1.0000	1.0000	1.0000	1
2	.9643	.9655.	.9667	.9677	2
3	.9286	.9310	.9333	.9355	3
4	.8929	.8966	.9	.9032	4
5	.8571	.8621	.8667	.8710	5
6	.8214	.8276	.8333	.8387	6
7	.7857	.793	.8	.8065	7
8	.75	.7586	.7667	.7742	8
9	.7143	.7241	.7333	.7419	9
10	.6786	.6897	.7	.7097	10
11	.6429	.6552	.6667	.6774	11
12	.6071	.6207	.6333	.6452	12
13	.5714	.5862	.6	.6129	13
14	.5357	.5517	.5667	.5806	14
15	.5	.5172	.5333	.5484	15
16	.4643	.4813	.5	.5169	16
17	.4286	.4462	.4667	.4835	17
18	.3929	.4113	.4333	.4516	18
19	.3571	.3763	.4	.4194	19
20	.3214	.3418	.3667	.3874	20
21	.2857	.3073	.3333	.3548	21
22	.25	.2729	.3	.3226	22
23	.2143	.2384	.2667	.2903	23
24	.1786	.2049	.2333	.2581	24
25	.1429	.1714	.2	.2258	25
26	.1071	.1379	.1667	.1935	26
27	.0714	.1034	.1333	.1613	27
28	.0357	.0690	.1	.1290	28
29	-----	.0345	.0667	.0968	29
30	-----	-----	.0333	.0645	30
31	-----	-----	-----	.0323	31

Budgeting Prospective/Retrospective 404

Prospective Budgeting: In month of application, and the month proceeding application, prospective budgeting rules will apply when determining eligibility and benefit levels. Prospective budgeting means the computation of the households General Relief payment based on the household's income and circumstances which are reasonably anticipated to occur in that month.

Retrospective Budgeting means the computation of a household's eligibility and benefit allotment based on the household's income and circumstances which existed in the budget month.

Budget Month

Budget month in a retrospective budgeting system means the month from which income and circumstances from a household are used to calculate the household's allotment for the corresponding issuance month.

Retrospective Budgeting Example

Report Month	Budget Month	Issuance Month
An on going General Assistance Recipient has changes to their income, property or household circumstances.	The recipient provides a monthly report to the Social Services office explaining the changes that occurred in the report month. The Social Services staff examines the changes and how they affect eligibility.	The changes that occurred in the report month are reflected in the issuance month.

Reduced Income Supplement Payment (RISP) 405

If income that was retrospectively budgeted is not received in the payment as anticipated the client may request a Reduced Income Supplement Payment or RISP.

When processing eligibility to a RISP, the worker shall determine based on a prospective budget, if the client is eligible to additional assistance. If it is determined that a supplement is due to the client, the worker will issue payment for the difference between what client received and what the grant amount should be.

Continuing Eligibility 90-500

Reporting Requirements 501

All General Relief participants are required to report any change to income, property or household status within ten days, and to also complete a monthly reporting form (GR7).

GR7

The GR7 allows the client to report all changes to their circumstances that would affect eligibility in a retrospective manner. Clients are required to report all changes from the report month on this form attach proof and return it by the 5th of the budget month. If the report is not received or is received incomplete, benefits for the issuance month will be discontinued. An incomplete report is one where the client has not answered all questions, provided necessary verification, or has not signed or dated the bottom portion of the form. If a GR7 is not received by adverse action day, in order for benefits to be restored, the client must provide a completed form with all verifications by the first of the following month (issuance month).

Cooperation agreement 502

During the intake process, the eligibility worker and client will establish a cooperation agreement. Sierra County requires this cooperation agreement be entered into before any benefits are issued and must be complied with by the client in order to continue receiving benefits through out the certification period.

.1 Interim Assistance Clients

A client will enter into the Interim Assistance category of General Relief when they claim they are disabled and that disability expected to last more than 30 days. If the client is not already pursuing benefits they will be expected to apply as part of the application process for General Relief. While the client is pending disability, their cooperation agreement will be to continue pursuing their disability benefits and assuring that all necessary measures are taken to satisfy the Social Security Administration. This includes:

- Keeping doctors appointments
- Keeping appointments with Social Security Administration
- Pursuing all other benefits the client is potentially eligible to such as state disability, worker's compensation etc.

If it determined by the Social Security Administration that the client is not disabled by their definition the client will then be expected to either appeal the decision, (and cooperate in the appeal process), or recertify their General Relief and follow the work requirements listed in Section 90-501.2.

.2 Employable Individuals

During the intake process, if a client is not claiming a disability they will be considered an employable individual and must enter into a job readiness/job search agreement. In most case scenario this will require the client to complete necessary job readiness functions and job searches in order to prepare themselves for employment. Job readiness/job search functions include:

- Master application completion
- Resume building
- Cooperation with local employment service organizations
- Applying for available jobs
- Accepting an job offers

The formula for which work individuals must participate a week is calculated by taking their grant amount and dividing it by federal minimum wage, result is how many hours of participation that must cooperate.

Program Compliance 503

At the time of the intake interview, the applicant is advised of all of their rights and responsibilities including their participation requirement while on assistance. If the client refuses to cooperate with any of the expected requirements without good cause, benefits will be discontinued for failure to cooperate.

Time Limits 600

Within a 12 month period of time, all employable individuals are allowed a maximum of 3 months of assistance. These months may be consecutive or used intermittently. The only exception to this policy is if good cause exists. A request for good cause extension of benefits must be completed and will afford the client one additional month of assistance. Good cause may be allowed for one of the following reasons:

- Death of an immediate family member (spouse, child or parent)
- Temporary illness of self or immediate family member (spouse, child or parent) verified by doctor
- Awaiting start date for secured employment
- Client claims permanent disability and is transitioning to Interim Assistance

Certification Period 601

Employable individuals will be limited to their 6 month time limit with no need to recertify. Ongoing interim assistance cases will need to recertify their eligibility every six months.

Discontinuance of Benefits 90-700

An adverse action is defined as any negative action taken on a case. This would include discontinuance and reduction of benefit amounts.

In order to take an adverse action on a General Relief case, adequate and timely notice must be given to the client.

Adequate notice is considered the details of the action and must include the reason for the action, the effective date of the change, and appeals information.

Timely notice must be given to clients when taking an adverse action on a case. Timely notice is no later than 10 days prior to the effective date of the change.

Repayment of Benefits

When able, all persons in receipt of General Relief is required to repay benefits received while on assistance. This includes all payments made to the client and all vendor payments.

Employable Individuals

When an employable individual is discontinued from assistance, a reasonable repayment agreement will be set up. Along with the discontinuance letter, the client will also be notified of benefits paid out to them or on their behalf as well as a repayment contract.

The client will be given the opportunity to set up their repayment contract at a minimum collection of 5% of total amount owed a month. Each month, the client will be notified of their balance until the balance is repaid in total.

Unemployable Individual

When a client is determined unemployable and begins receiving Interim Assistance benefits. The client is required to sign a SSP 14 (Authorization of Reimbursement of Interim Assistance) as a condition of eligibility. This will ensure repayment of benefits issued to or on behalf of the client will be reimbursed out of any lump sum benefits the client is entitled to from the Social Security Administration.

Any monies that exceed the amount of the lump sum payment will be expunged and no longer the owed from the client. Any client determined eligible to Social Security benefits after General Assistance is discontinued will no longer be held responsible for repayment.

Appeals Process 90-800

Administrative Review 801

An administrative review is the process an applicant/recipient may use to question any action taken on his/her General Relief Application or continuing benefits. The review/appeal assures conformity with program regulations.

The Director or Assistant Director of Social Services Department designates the Eligibility Supervisor or an alternate person as the Administrative Review Officer.

An applicant/recipient who has had benefits denied, discontinued or reduced may request an administrative review. Any action taken which affects an applicant/recipient's benefits is subject to review.

Anyone wishing to request an administrative review must make a written or oral statement with Sierra County within 90days after the date of the intended action.

Aid Paid Pending 802

Upon request, aid paid pending will be issued to the client until a final decision can be made. Aid Paid Pending will consist of the benefit level the household was receiving prior to the proposed action. If aid paid pending is appropriate, it is to authorized no later than the fifth day after the appeal request. If other changes occur while the household is receiving aid paid pending, appropriate action is to be taken on the case, irrespective of the aid paid pending status.

The outcome of the administrative review determines the next steps in the appeals process.

If the County action is determine to be correct, the claimant will be referred to the Sierra County Uniform Appeals Procedures Ordinance 769.

If the County's action is determined to be incorrect, the case will be returned to the Eligibility Worker for corrective action with 10 working days of the eligibility worker being notified of the results of the review.

The County's action is determined to be correct based on a change in law or county regulation; the household will be notified that there is no eligibility for an appeal.

Results of the review indicate that a conditional withdrawal would be appropriate, A completed conditional withdrawal form will be obtained from the client and the case will be returned to the Eligibility Worker for compliance.

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: April 7, 2020	TYPE OF AGENDA ITEM: <input type="checkbox"/> Regular <input type="checkbox"/> Timed <input checked="" type="checkbox"/> Consent
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DEPARTMENT: Planning Department
APPROVING PARTY: Tim H. Beals, Director
PHONE NUMBER: 530-289-3251

AGENDA ITEM: Resolution approving Tolling Agreement with AT&T which allows for an extension of processing time for a Conditional Use Permit Application, Sierra County Planning Department File no. 1669.

SUPPORTIVE DOCUMENTS ATTACHED: Memo Resolution Agreement Other

BACKGROUND INFORMATION: If approved, the attached agreement would further extend the mutually-agreed deadline by which the county must complete its processing of an entitlement application and have the Planning Commission render its decision, from January 16, 2020 to June 30, 2020. The former Tolling Agreement (no. 2019-137) was approved by the Board of Supervisors on November 19, 2019. Known as the FCC "Shot-Clock Deadline," for proposed cell towers federal law prescribes a time period of 150 days from the date of a filed land use application plus the number of days for the applicant to respond to a timely notice of an incomplete application. Because of statutory, regulatory, and common law requirements pursuant to the California Environmental Quality Act (CEQA), the Planning Department will need additional time to review this particular application.

FUNDING SOURCE: Applicant
GENERAL FUND IMPACT: No General Fund Impact
OTHER FUND:
AMOUNT: \$ N/A

ARE ADDITIONAL PERSONNEL REQUIRED?

Yes, -- --
 No

IS THIS ITEM ALLOCATED IN THE BUDGET? Yes No

IS A BUDGET TRANSFER REQUIRED? Yes No

SPACE BELOW FOR CLERK'S USE

<p>BOARD ACTION:</p> <p><input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken</p>	<p><input type="checkbox"/> Set public hearing For: _____</p> <p><input type="checkbox"/> Direction to: _____</p> <p><input type="checkbox"/> Referred to: _____</p> <p><input type="checkbox"/> Continued to: _____</p> <p><input type="checkbox"/> Authorization given to: _____</p>	<p>Resolution 2020- _____ Agreement 2020- _____ Ordinance _____</p> <p>Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus</p>
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COMMENTS:

CLERK TO THE BOARD

DATE

BOARD OF SUPERVISORS, COUNTY OF SIERRA, STATE OF CALIFORNIA

**IN THE MATTER OF APPROVING AGREEMENT
WITH AT&T FOR EXTENSION OF
FCC SHOT-CLOCK DEADLINE ON
PLANNING APPLICATION FILE NO. 1669**

RESOLUTION NO. 2020-_____

WHEREAS, a tolling agreement with AT&T, identified as Sierra County Agreement 2019-137, was approved on November 19, 2019 with specific regard to Planning Application File No. 1669 for a new Cingular Wireless PCS, LLC dba ATT Wireless in the Downieville area; and,

WHEREAS, an extension of the FCC Shot-Clock Deadline of from January 16, 2020 to June 30, 2020 is needed in order complete the application processing.

NOW THEREFORE BE IT RESOLVED THAT a Tolling Agreement with AT&T which allows for an extension of processing time for a Conditional Use Permit Application, Sierra County Planning Department File no. 1669 is hereby approved.

BE IT FURTHER RESOLVED that Tim H. Beals, Director of Planning, is hereby authorized to sign the referenced agreement on behalf of Sierra County.

ADOPTED by the Board of Supervisors of the County of Sierra on the 7th day of April, 2020 by the following vote:

AYES:
NOES:
ABSENT:
ABSTAIN:

COUNTY OF SIERRA

JAMES BEARD, CHAIRMAN
BOARD OF SUPERVISORS

ATTEST:

APPROVED AS TO FORM:

HEATHER FOSTER
CLERK OF THE BOARD

DAVID PRENTICE
COUNTY COUNSEL



MICHAEL G. GUIBORD
Director, Construction &
Engineering

AT&T Mobility Services LLC
5001 Executive Parkway
Room 4W850T
San Ramon, CA 94583

(925) 277-6300 Phone
mg8329@att.com

March 30, 2020

VIA E-MAIL ONLY

Brandon Pangman (bpangman@sierracounty.ca.gov)
Assistant Director
Sierra County Planning & Building Department
101 Courthouse Square PO Box 530
Downieville, CA 95936

Re: Tolling Agreement on AT&T Application – 160 Galloway Street
AT&T Site No. CVL02130 – APN: 002-150-010 – Conditional Use Permit
Application, File No. 1669

Dear Mr. Pangman:

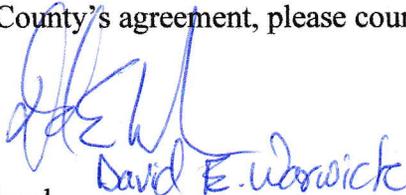
With respect to the above-referenced application (“Application”), the federal Telecommunications Act of 1996, 47 U.S.C. § 332(c)(7)(B)(ii), requires the County to take action “within a reasonable period of time.” Under the orders issued by the Federal Communications Commission interpreting and implementing this timing requirement, the reasonable period of time to act may be extended by mutual agreement between the County and AT&T Mobility (“AT&T”).

AT&T understands that additional time may be needed for the County to complete analysis of certain studies related to the Application and to conduct a hearing on the Application. In an effort to work cooperatively with the County, AT&T and the County agree to toll the applicable reasonable period of time for the County to review and take final action on the application as set forth in this letter. When countersigned, this letter will confirm agreement between the County and AT&T to toll the applicable reasonable period of time under the Federal Telecommunications Act to and including June 30, 2020.

If the County has not taken final action on the Application by the deadline hereunder, this agreement shall not be construed as an admission by the County that such failure to act is unreasonable or unlawful, nor shall it be construed to waive or otherwise impair the rights of AT&T with respect to any such claim, including AT&T’s right to file an “unreasonable delay” claim under 47 U.S.C. § 332(c)(7)(B) within 30 days following the deadline hereunder.

To confirm the County’s agreement, please countersign below and return this letter to me. Thank you.

Sincerely,



David E. Warwick

for Michael G. Guibord

Agreed:

Sierra County, California

By: _____

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: April 7, 2020	TYPE OF AGENDA ITEM: <input type="checkbox"/> Regular <input type="checkbox"/> Timed <input checked="" type="checkbox"/> Consent
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DEPARTMENT: Public Works and Transportation
APPROVING PARTY: Tim H. Beals, Director
PHONE NUMBER: 530-289-3201

AGENDA ITEM: Resolution approving Lease Option Renewal, Fifth Amendment with Pacific Bell for use of property at Sierra City Community Park.

SUPPORTIVE DOCUMENTS ATTACHED: Memo Resolution Agreement Other

BACKGROUND INFORMATION: Please reference attached option for lease renewal.

FUNDING SOURCE: Revenue

GENERAL FUND IMPACT: No General Fund Impact

OTHER FUND:

AMOUNT: \$5065.20 N/A

ARE ADDITIONAL PERSONNEL REQUIRED?

Yes, -- --
 No

IS THIS ITEM ALLOCATED IN THE BUDGET? Yes No

IS A BUDGET TRANSFER REQUIRED? Yes No

SPACE BELOW FOR CLERK'S USE

<p>BOARD ACTION:</p> <input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken	<input type="checkbox"/> Set public hearing For: _____ <input type="checkbox"/> Direction to: _____ <input type="checkbox"/> Referred to: _____ <input type="checkbox"/> Continued to: _____ <input type="checkbox"/> Authorization given to: _____	Resolution 2020- _____ Agreement 2020- _____ Ordinance _____ Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus
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COMMENTS:

CLERK TO THE BOARD

DATE

BOARD OF SUPERVISORS, COUNTY OF SIERRA, STATE OF CALIFORNIA

**IN THE MATTER OF AUTHORIZING
AND APPROVING OF FIFTH
MODIFICATION TO PACIFIC BELL LEASE**

RESOLUTION 2020-_____

Be It Resolved that the Fourth Modification to lease for the Pacific Bell Land Lease at the Sierra City Park authorizing Sierra County to take responsibility for water service and to charge Lessee a flat rate.

The Director of Transportation is hereby authorized to execute the renewal document on behalf of the County.

ADOPTED by the Board of Supervisors of the County of Sierra on the 7th day of April, 2020 by the following vote:

AYES:
NOES:
ABSENT:
ABSTAIN:

COUNTY OF SIERRA

JAMES BEARD
CHAIRMAN, BOARD OF SUPERVISORS

ATTEST:

APPROVED AS TO FORM:

HEATHER FOSTER
CLERK OF THE BOARD

DAVID PRENTICE
COUNTY COUNSEL

FIFTH MODIFICATION TO LEASE

This Fifth Modification to Lease (“Modification”) is entered into as of May 1, 2020, by and between the County of Sierra; hereinafter called “Lessor”, and Pacific Bell Telephone Company, a California corporation, hereinafter called “Lessee”, with respect to the following facts:

RECITALS

A. Lessor, as successor in interest to Lorraine C. Brown and Lessee are parties to that certain Lease dated as of May 11, 1970, as amended by that certain First Modification of Lease dated December 26, 1978, and that certain Second Modification to Lease dated March 21, 1990 and that Third Modification to Lease dated January 24, 2000 (as amended and modified, the “Lease”) covering certain premises located in the City of Sierra City, County of Sierra, State of California and commonly known as 15 Busch St. Capitalized terms used but not defined herein shall have the meanings given them in the Lease.

B. The parties now desire to modify the Lease to make certain changes to the Lease all as set forth below.

NOW, THEREFORE, in consideration of the mutual promises contained herein and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Lessor and Lessee hereby agree as follows:

1. **Rent.** Section 3(a) of the Lease is hereby revised to add the following:

Lessee shall pay rent to Lessor for the leased premises at the rental rate of five thousand sixty-five and twenty cents (5,065.20) per year, payable yearly in advance, subject to proportionate refund of advance-paid rent if this Lease should be terminated before the expiration of any year for which rent shall have been paid.

- Lessee will pay an annual cost of nine hundred ninety-seven and eight cents (997.08) for water service to Lessor, thereby increasing the rent payment from four thousand sixty-eight dollars and twelve cents (\$4,068.12) to five thousand sixty-five dollars and twenty cents (\$5,068.20) per year. Lessor has the ability to annually adjust, prospectively, this amount whether by increase or decrease, in accordance with any service water rate change.
2. **Authorization.** Each of the persons executing this Modification represents and warrants that he/she is duly authorized to execute and deliver these documents respectively on Lessor's and Lessee's behalf; and that Lessor and Lessee will respectively be bound thereby upon the full execution and delivery of the documents.
 3. **Miscellaneous.** Except as specifically amended herein, the Lease shall remain in full force and effect. This Modification may be executed in counterparts, each of which, taken together, shall constitute one fully executed original.

IN WITNESS WHEREOF, the parties have executed this Fifth Modification to Lease as of the date set forth above.

LESSOR:
County Of Sierra

LESSEE:
Pacific Bell Telephone Company,
a California corporation

By: _____
Tim H. Beals
Director of Transportation

By: _____
Kay La Roche
Its: Area Manager, Corporate Real Estate



Kay La Roche
5001 Executive Parkway
Room 4W000O
San Ramon, CA 94583

VIA UPS AND EMAIL

OPTION EXTENSION EXERCISE NOTICE

March 16, 2020

Bryan Davey
Sierra County Department of Transportation
101 Courthouse Square
Downieville, CA 95936

Re: 15 Busch Street, Sierra City, CA 96125 (the "Premises")

Dear Mr. Davey:

Reference is made to that certain Lease and Indenture dated May 11, 1970, as amended by those certain Lease Renewal Letters dated December 20, 1978, March 21, 1990, and March 23, 2010, and that certain Fourth Modification to Lease dated October 1, 2013 (collectively, the "Lease"), by and between County of Sierra, as Lessor, and Pacific Bell Telephone Company, a California corporation, as Lessee, covering the above referenced Premises.

As you know, the Lease expires on April 30, 2020. Pursuant to Section 2 (b) of the Lease, Lessee hereby exercises its option to extend the Lease for an additional ten (10) years commencing on May 1, 2020, to and including April 30, 2030 ("Fifth Extended Term"). All terms and conditions under the Lease will remain the same where applicable during the Fifth Extended Term, except the annual rental rate. In accordance with Section 2 (c) of the Lease, the annual rental rate shall be Four Thousand Sixty-Eight and 12/100 Dollars (\$4,068.12) per year during the entire Fifth Extended Term based on the PPI (Producer Price Indexes) adjustment between September 2009 through September 2019. In addition, Lessee shall pay an annual flat rate for water service in the amount of Nine Hundred Ninety-Seven and 08/100 Dollars (\$997.08), which shall be paid together with the annual rent payment referenced above.

Please note that this letter shall also serve as notification of Lessee's change of notice addresses. Lessee's new notice addresses shall be as follows:

Lessee Address for Notices:

AT&T Services, Inc.
CRE Lease Administration
Room 1B201, Contract Number: PC00/10001085
One AT&T Way
Bedminster, NJ 07921
Telephone (908) 234-3309

With a concurrent copy to:

AT&T Services, Inc.
Attn: Portfolio Management – Sierra City, CA
5001 Executive Parkway, Room 4W0000
San Ramon, CA 94583
Telephone: (925) 277-6714

And with a concurrent copy to:

AT&T Services, Inc.
AVP Senior Legal Counsel – Real Estate
208 S. Akard Street, Room 3137
Dallas, TX 75202

Please acknowledge your receipt of, and agreement with, this letter by executing one copy and returning it to the attention of:

Kay La Roche
5001 Executive Parkway
Room 4W0000
San Ramon, CA 94583

If you have any questions, please feel free to contact me at 925-277-6714 or email me at jr7575@att.com.

Sincerely,



Kay La Roche
Portfolio Manager
AT&T Corporate Real Estate

Acknowledged and Agreed by:

SIERRA COUNTY

BY: _____ DATE: _____
Duly Authorized Representative

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: April 7, 2019	TYPE OF AGENDA ITEM: <input type="checkbox"/> Regular <input type="checkbox"/> Timed <input checked="" type="checkbox"/> Consent
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DEPARTMENT: Auditor
APPROVING PARTY: Van A. Maddox
PHONE NUMBER: 530-289-3286

AGENDA ITEM: Cash Audit report for the Quarter Ending 6/30/2019

SUPPORTIVE DOCUMENTS ATTACHED: Memo Resolution Agreement Other report

BACKGROUND INFORMATION: Routine reporting required by the govt. code.

FUNDING SOURCE:
GENERAL FUND IMPACT: No General Fund Impact
OTHER FUND:
AMOUNT: \$ N/A

ARE ADDITIONAL PERSONNEL REQUIRED?

 Yes, -- --
 No

IS THIS ITEM ALLOCATED IN THE BUDGET? Yes No

IS A BUDGET TRANSFER REQUIRED? Yes No

SPACE BELOW FOR CLERK'S USE

<p>BOARD ACTION:</p> <input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken	<input type="checkbox"/> Set public hearing For: _____ <input type="checkbox"/> Direction to: _____ <input type="checkbox"/> Referred to: _____ <input type="checkbox"/> Continued to: _____ <input type="checkbox"/> Authorization given to: _____	Resolution 2020- _____ Agreement 2020- _____ Ordinance _____ Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus
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COMMENTS:

CLERK TO THE BOARD

DATE

AUDITOR'S QUARTERLY CASH RECONCILIATION

This report to the Board of Supervisors verifies that the Auditor has counted the cash in the treasury and

JUNE. 30, 2019

RECONCILIATION TO POOLED CASH BALANCE		TREASURER'S Pooled Cash 000
1000	CASH FUND BALANCE	27,372,479.99
	Treasure's BV adjustment	3,032.72
	OUTSTANDING CHECKS	171,508.42
	CR VARIANCE	3,282.00
	CAMP INTEREST TIMING VARIANCE	<u>4,706.87</u>
TOTAL CASH FUND BALANCES		<u>27,555,010.00</u>
VARIANCE BETWEEN TREASURER'S AND AUDITOR'S CASH		

VERIFICATION OF CASH COUNT		
CASH	U.S. CURRENCY & COIN	346.68
	PNP VARIANCE	(1,216.87)
	PERS VARIANCE	
	NSF CHECK VARIANCE	
	EBT VARIANCE	7,212.00
	DEPOSIT IN TRANSIT	
ACTIVE BANK ACCOUNTS		
	WELLS FARGO EBT ACCOUNT	
	WELLS FARGO DEPOSIT ACCOUNT	
	WELLS FARGO CHECKING ACCOUNT	1,081,568.40
	PLUMAS BANK SOCIAL SERVICES ACCOUNT	
	WELLS FARGO ACH SWEEP ACCT	
INVESTMENTS		
	LOCAL AGENCY INVESTMENT FUND	2,507,452.40
	CALIFORNIA ASSET MANAGEMENT PROGRAM	2,107,998.51
	CALLED INVESTMENT	
	ALL OTHER INVESTMENTS - LONG TERM	<u>21,851,648.88</u>
	TOTAL CASH COUNT	<u>27,555,010.00</u>

VARIANCE BETWEEN FUND BALANCE AND CASH COUNT

0.00

Prepared By: /s/Caleb J. Nelson
Chief Deputy Auditor

Date: 7/3/2019

COUNTY OF SIERRA
Expanded General Ledger
From 6/30/2019 Through 6/30/2019

Account Code	Debit	Credit	Session ID	Effective Date
1000	27,372,479.99		Opening Balance	
1000		37,796.37	JV005877	6/30/2019
1000		46,391.86	JV005877	6/30/2019
1000		108,335.94	JV005877	6/30/2019
1000		74,047.88	JV005877	6/30/2019
1000	266,572.05		JV005877	6/30/2019
1000		106,436.00	JV006182	6/30/2019
1000	106,436.00		JV006182	6/30/2019
1000		9,163.00	JV006182	6/30/2019
1000	9,163.00		JV006182	6/30/2019
1000		7,330.00	JV006182	6/30/2019
1000	7,330.00		JV006182	6/30/2019
1000		24,000.00	JV006182	6/30/2019
1000	24,000.00		JV006182	6/30/2019
1000	35,743.75		JV006327	6/30/2019
1000		35,743.75	JV006327	6/30/2019
1000		272.85	JV006329	6/30/2019
1000		160.69	JV006329	6/30/2019
1000	433.54		JV006329	6/30/2019
1000		3,078.60	JV006329	6/30/2019
1000	3,078.60		JV006329	6/30/2019
1000		1,602.70	JV006329	6/30/2019
1000	1,602.70		JV006329	6/30/2019
1000		416.00	JV006330	6/30/2019
1000		143.71	JV006330	6/30/2019
1000	559.71		JV006330	6/30/2019
1000		1,588.41	JV006330	6/30/2019
1000		915.22	JV006330	6/30/2019
1000	2,503.63		JV006330	6/30/2019
1000		858.46	JV006330	6/30/2019
1000		177.76	JV006330	6/30/2019
1000	1,036.22		JV006330	6/30/2019
1000		374.41	JV006330	6/30/2019
1000		230.70	JV006330	6/30/2019
1000	605.11		JV006330	6/30/2019
1000		1,142.15	JV006330	6/30/2019
1000		117.24	JV006330	6/30/2019
1000	1,259.39		JV006330	6/30/2019
1000		916.58	JV006330	6/30/2019
1000	916.58		JV006330	6/30/2019
1000		1,975.67	JV006330	6/30/2019
1000		493.91	JV006330	6/30/2019
1000	2,469.58		JV006330	6/30/2019
1000		321.65	JV006330	6/30/2019
1000		1,171.44	JV006330	6/30/2019
1000	1,493.09		JV006330	6/30/2019
1000		98.32	JV006330	6/30/2019
1000		1,675.40	JV006330	6/30/2019
1000	1,773.72		JV006330	6/30/2019
1000		1,559.41	JV006330	6/30/2019
1000		1,309.23	JV006330	6/30/2019
1000	2,868.64		JV006330	6/30/2019
1000	3,270.02		JV006344	6/30/2019
1000	9.91		JV006344	6/30/2019
1000	21.48		JV006344	6/30/2019
1000	14.42		JV006344	6/30/2019
1000	6.46		JV006344	6/30/2019

COUNTY OF SIERRA
Expanded General Ledger
From 6/30/2019 Through 6/30/2019

Account Code	Debit	Credit	Session ID	Effective Date
1000	14.72		JV006344	6/30/2019
1000	106.01		JV006344	6/30/2019
1000	4.28		JV006344	6/30/2019
1000	35.10		JV006344	6/30/2019
1000	4.36		JV006344	6/30/2019
1000	7.39		JV006344	6/30/2019
1000	8.26		JV006344	6/30/2019
1000	33.86		JV006344	6/30/2019
1000	48.82		JV006344	6/30/2019
1000	33.48		JV006344	6/30/2019
1000	94.88		JV006344	6/30/2019
1000	137.93		JV006344	6/30/2019
1000	103.69		JV006344	6/30/2019
1000	22.60		JV006344	6/30/2019
1000	1,480.64		JV006344	6/30/2019
1000	55.93		JV006344	6/30/2019
1000	13.51		JV006344	6/30/2019
1000	40.98		JV006344	6/30/2019
1000	573.46		JV006344	6/30/2019
1000	150.71		JV006344	6/30/2019
1000	1.51		JV006344	6/30/2019
1000	3,721.86		JV006344	6/30/2019
1000		10,016.27	JV006344	6/30/2019
1000		27,526.62	JV006351	6/30/2019
1000		9,317.48	JV006351	6/30/2019
1000		28,344.17	JV006351	6/30/2019
1000		96,014.92	JV006351	6/30/2019
1000	161,203.19		JV006351	6/30/2019
1000		16,984.39	JV006352	6/30/2019
1000	16,984.39		JV006352	6/30/2019
1000		60,000.00	JV006353	6/30/2019
1000		25,000.00	JV006353	6/30/2019
1000	85,000.00		JV006353	6/30/2019
1000		12,000.00	JV006355	6/30/2019
1000	12,000.00		JV006355	6/30/2019
1000		3,000.00	JV006357	6/30/2019
1000	3,000.00		JV006357	6/30/2019
1000	19,632.73		JV006358	6/30/2019
1000	59.49		JV006358	6/30/2019
1000	128.95		JV006358	6/30/2019
1000	86.58		JV006358	6/30/2019
1000	38.78		JV006358	6/30/2019
1000	88.38		JV006358	6/30/2019
1000	636.44		JV006358	6/30/2019
1000	25.72		JV006358	6/30/2019
1000	210.72		JV006358	6/30/2019
1000	26.16		JV006358	6/30/2019
1000	44.37		JV006358	6/30/2019
1000	49.58		JV006358	6/30/2019
1000	203.27		JV006358	6/30/2019
1000	293.13		JV006358	6/30/2019
1000	200.87		JV006358	6/30/2019
1000	569.64		JV006358	6/30/2019
1000	828.09		JV006358	6/30/2019
1000	622.54		JV006358	6/30/2019
1000	135.67		JV006358	6/30/2019
1000	8,889.47		JV006358	6/30/2019

COUNTY OF SIERRA
Expanded General Ledger
From 6/30/2019 Through 6/30/2019

Account Code	Debit	Credit	Session ID	Effective Date
1000	335.82		JV006358	6/30/2019
1000	81.12		JV006358	6/30/2019
1000	246.03		JV006358	6/30/2019
1000	3,442.95		JV006358	6/30/2019
1000	510.28		JV006358	6/30/2019
1000	1,078.63		JV006358	6/30/2019
1000	576.80		JV006358	6/30/2019
1000	2,657.51		JV006358	6/30/2019
1000		41,699.72	JV006358	6/30/2019
1000	1,548.83		JV006359	6/30/2019
1000	4.69		JV006359	6/30/2019
1000	10.17		JV006359	6/30/2019
1000	6.83		JV006359	6/30/2019
1000	3.06		JV006359	6/30/2019
1000	6.97		JV006359	6/30/2019
1000	50.21		JV006359	6/30/2019
1000	2.03		JV006359	6/30/2019
1000	16.62		JV006359	6/30/2019
1000	2.06		JV006359	6/30/2019
1000	3.50		JV006359	6/30/2019
1000	3.91		JV006359	6/30/2019
1000	16.04		JV006359	6/30/2019
1000	23.12		JV006359	6/30/2019
1000	15.85		JV006359	6/30/2019
1000	44.94		JV006359	6/30/2019
1000	65.33		JV006359	6/30/2019
1000	49.11		JV006359	6/30/2019
1000	10.70		JV006359	6/30/2019
1000	701.28		JV006359	6/30/2019
1000	26.49		JV006359	6/30/2019
1000	6.40		JV006359	6/30/2019
1000	19.41		JV006359	6/30/2019
1000	271.61		JV006359	6/30/2019
1000	572.82		JV006359	6/30/2019
1000	36.19		JV006359	6/30/2019
1000	345.91		JV006359	6/30/2019
1000	121.47		JV006359	6/30/2019
1000		3,985.55	JV006359	6/30/2019
1000		70,635.57	JV006360	6/30/2019
1000	70,635.57		JV006360	6/30/2019
1000		10,370.60	JV006365	6/30/2019
1000	5,082.50		JV006365	6/30/2019
1000	10.80		JV006365	6/30/2019
1000	3.85		JV006365	6/30/2019
1000	86.25		JV006365	6/30/2019
1000	276.00		JV006365	6/30/2019
1000	1.00		JV006365	6/30/2019
1000	490.00		JV006365	6/30/2019
1000	4,350.00		JV006365	6/30/2019
1000	24.20		JV006365	6/30/2019
1000	46.00		JV006365	6/30/2019
	<u>884,740.60</u>	<u>884,740.60</u>	Transaction Total	
	<u>27,372,479.99</u>	<u>0.00</u>		
	<u>884,740.60</u>	<u>884,740.60</u>		

COUNTY OF SIERRA
 Posted General Ledger Transactions
 JV006366 - TREAS BV ADJ (JUNE 2019)

<u>Fund Code</u>	<u>SubDept Code</u>	<u>Account...</u>	<u>Debit</u>	<u>Credit</u>	<u>Effective ...</u>	<u>Transaction Description</u>
8850000		2005	3,032.72		6/30/2019	TREAS BV ADJ (JUNE 2019)
8850000	9999	4201		3,032.72	6/30/2019	TREAS BV ADJ (JUNE 2019)
8850000		1000	3,032.72		7/2/2019	TREAS BV ADJ (JUNE 2019)
8850000		2005		3,032.72	7/2/2019	TREAS BV ADJ (JUNE 2019)
	Total JV006366 - TREAS BV ADJ (JUNE 2019)		6,065.44	6,065.44		
Report Total			6,065.44	6,065.44		

Outstanding checks as of 6-30-2019	\$129,675.52
Outstanding checks cashed after 7-1-2019	\$41,832.90
	<u>\$171,508.42</u>

COUNTY OF SIERRA
Checks/Vouchers - CN OUTSTANDING CHECKS

Check Number	Check Date	Payee	Cleared...	Check Amount	ID
114411	5/12/2017	AQUILAR, ELEODORO	OS	25.00	10146
115307	8/4/2017	THAO, SAI	OS	80.00	8471
115307	6/12/2019	THAO, SAI	OS	(80.00)	8471
115342	8/11/2017	GUEVARA, JONDA CHE...	OS	19.25	10202
115342	6/4/2019	GUEVARA, JONDA CHE...	OS	(19.25)	10202
115560	9/7/2017	FRANCO, SHAWN ANT...	OS	10.00	10228
115578	9/7/2017	MITCHELL, NELSON E...	OS	17.23	10227
115988	10/13/2017	MITCHELL, NELSON E...	OS	13.17	10227
116305	11/17/2017	DEPARTMENT OF HEA...	OS	2,931.00	9509
116939	1/26/2018	DURRETT, CHARLES	OS	51.04	10328
116939	6/12/2019	DURRETT, CHARLES	OS	(51.04)	10328
116941	1/26/2018	ELDRIDGE, SEAN OR R...	OS	22.33	10329
117084	2/9/2018	NEWMONT USA LIMITED	OS	204.89	7629
117116	2/9/2018	THAO, SAI	OS	80.00	8471
117116	6/12/2019	THAO, SAI	OS	(80.00)	8471
117191	2/23/2018	GILDED DRIFTER INN	OS	55.00	10346
117368	3/9/2018	THAO, SAI	OS	80.00	8471
117368	6/12/2019	THAO, SAI	OS	(80.00)	8471
117403	3/16/2018	DILTZ, LINDA	OS	16.34	7796
117459	3/16/2018	STROHBIN, LESTER O...	OS	20.10	9546
117482	3/23/2018	DILTZ, LINDA	OS	21.12	7796
117640	4/6/2018	THAO, SAI	OS	80.00	8471
117640	6/12/2019	THAO, SAI	OS	(80.00)	8471
117833	5/4/2018	ESTATE OF CHRIS HAY...	OS	500.00	10388
117876	5/4/2018	THAO, SAI	OS	80.00	8471
117876	6/12/2019	THAO, SAI	OS	(80.00)	8471
118091	5/25/2018	LINDBERG, CHRISTINE	OS	20.00	9510
118177	6/8/2018	HOLBROOK, KENNETH	OS	25.23	10099
118273	6/15/2018	DILTZ, LINDA	OS	8.63	7796
118492	7/6/2018	GOLDMAN, BRIAN	OS	100.00	10428
119145	9/7/2018	ESPE, DARRIN	OS	54.20	10452
119155	9/7/2018	KALYN MANAGEMENT, ...	OS	1,000.00	10466
119271	9/14/2018	TROEDSON, BRUCE	OS	26.90	3117
119571	10/12/2018	JEAN BENSON TRANSP...	OS	51.30	5307
119617	10/12/2018	THOMPSON, MICHAEL ...	OS	15.00	10490
119869	11/9/2018	WALKER, JENESSA	OS	9.00	8210
119870	11/9/2018	WEST, DOUGLAS	OS	17.00	10513
120597	1/25/2019	ST ANNE MINING CO	OS	121.96	3014
120810	2/15/2019	VALCALDA BROTHERS,...	OS	104.70	10593
120815	2/15/2019	WRIGHT, IAN OR WRI...	OS	44.74	10595
120834	2/25/2019	MORENO, DONNA	OS	10.00	10584
120907	3/8/2019	DODSON, CRAIG D OR...	OS	57.92	10570
121181	3/22/2019	CASTELLANOS GONZA...	OS	155.00	10309
121280	4/5/2019	CASTELLANOS GONZA...	OS	80.00	10309
121330	4/5/2019	PARRISH, DOROTHY	OS	94.38	10642
121332	4/5/2019	PIPITONE, JOSEPH	OS	10.08	10643
121384	4/12/2019	CASTELLANOS GONZA...	OS	97.00	10309
121488	4/19/2019	LOYALTON, CITY OF	OS	59,974.55	10148
121488	6/19/2019	LOYALTON, CITY OF	OS	(59,974.55)	10148
121555	5/3/2019	ADAMS, LEE	OS	257.64	0034
121616	5/3/2019	REUGEBRINK, ANNE	OS	12.41	7567
121651	5/10/2019	BARNES, MORGAN	OS	161.33	10040
121698	5/10/2019	LOS DOS HERMANOS	OS	150.00	10144
121700	5/10/2019	MARSH, LAURIE	OS	108.72	3221
121754	5/17/2019	DEBERG, MICHELLE	OS	102.99	3641
121769	5/17/2019	FILIPPINI, ZACK	OS	100.00	7425
121772	5/17/2019	GUNN, LINDA D	OS	1,744.47	10679

COUNTY OF SIERRA
Checks/Vouchers - CN OUTSTANDING CHECKS

Check Number	Check Date	Payee	Cleared...	Check Amount	ID
121789	5/17/2019	LIBERTY UTILITIES	OS	73.75	0723
121792	5/17/2019	MARSH, LAURIE	OS	116.00	3221
121847	5/24/2019	BELLI, LAURIE	OS	79.52	10436
121883	5/24/2019	MCCOLLUM, JEFFREY ...	OS	96.40	7776
121916	5/31/2019	CALPINE IMP ASSOC	OS	1,000.00	0177
121924	5/31/2019	FOREST CITY HISTORI...	OS	53.70	10129
121933	5/31/2019	REICH, JIM	OS	26.88	4493
121935	5/31/2019	SAVAGE, ALAN Z	OS	13.98	9240
121936	5/31/2019	SHIPLEY, MIKE	OS	13.50	10689
121938	5/31/2019	SIERRA CO EMPLOYEE...	OS	60.00	0709
121941	5/31/2019	SIERRA PACIFIC INDU...	OS	71.52	T1176
121970	6/7/2019	CRAWFORD,KAMERON	OS	163.00	10078
121984	6/7/2019	HOLT AG SOLUTIONS	OS	1,034.24	2881
121984	6/7/2019	HOLT AG SOLUTIONS	OS	(1,034.24)	2881
121994	6/7/2019	LOYALTON BOOSTER C...	OS	5,400.00	T0368
121997	6/7/2019	MCCOLLUM, JEFFREY ...	OS	65.60	7776
122027	6/7/2019	SIERRAVILLE PUD	OS	205.00	6373
122028	6/7/2019	SMITH, TRACY	OS	60.00	T0617
122041	6/14/2019	ALLEGHANY CITYWAT...	OS	40.00	0045
122046	6/14/2019	BOSWORTH, JEFF	OS	118.32	7391
122050	6/14/2019	CA STATE REGISTRAR	OS	93.40	0161
122060	6/14/2019	DAVIES, ROBERT	OS	253.64	10702
122061	6/14/2019	DEBERG, MICHELLE	OS	83.98	3641
122076	6/14/2019	FUTURE FORD	OS	81,696.66	3248
122110	6/14/2019	SALAS, EDWARD	OS	300.00	5392
122144	6/21/2019	ARBOGAST, RAE BELL	OS	600.00	3708
122169	6/21/2019	JOY ENGINEERING	OS	8,008.85	4671
122190	6/21/2019	SAUERS ENGINEERING...	OS	20,059.47	1881
122201	6/21/2019	WESTERN ENVIROMEN...	OS	138.20	9736
122204	6/21/2019	DINES, JOSEPH	OS	750.00	2994
122205	6/24/2019	BURINGTON, ROBERT	OS	500.00	3004
122206	6/25/2019	STATE TREASURERS O...	OS	115.00	6843
302236	1/24/2019	Guild Mortgage Company	OS	842.37	10558

Report Total

129,675.52

COUNTY OF SIERRA

Checks/Vouchers - cn outstanding checks by day
1000 - CASH

<u>Check Number</u>	<u>Check Date</u>	<u>Payee</u>	<u>Source</u>	<u>Cleared D...</u>	<u>Cleared...</u>	<u>Check Amount</u>
121996	6/7/2019	MAXEY, MARK	Vendor	7/1/2019	CL	1,202.40
122069	6/14/2019	ENGINEERED FIRE SY...	Vendor	7/1/2019	CL	150.00
122115	6/14/2019	SIERRA COUNTY CHIL...	Vendor	7/1/2019	CL	9,628.00
122178	6/21/2019	MOUNTAIN MESSENGER	Vendor	7/1/2019	CL	102.50
122184	6/21/2019	PLIOCENE RIDGE CSD	Vendor	7/1/2019	CL	750.00
122192	6/21/2019	SIERRA COUNTY CHIL...	Vendor	7/1/2019	CL	30,000.00
				Total 1000 - CASH		41,832.90
Report Total						41,832.90

COUNTY OF SIERRA
Unposted General Ledger Transactions
CR013859 - Court

<u>Fund Code</u>	<u>Account...</u>	<u>Debit</u>	<u>Credit</u>
8191011	4701		205.00
8191011	4701		435.00
8250000	4151		3.00
8250000	4151		2,440.00
8251001	4151		10.00
Total CR013859 - Court		0.00	3,093.00
			\$189.00
			\$3,282.00

COUNTY OF SIERRA
Unposted General Ledger Transactions
CR013860 - Court

<u>Fund Code</u>	<u>Account...</u>	<u>Debit</u>	<u>Credit</u>
8191011	4701		47.62
8250000	4151		25.00
8250000	4151		<u>116.38</u>
Total CR013860 - Court		0.00	189.00



Account Statement - Transaction Summary

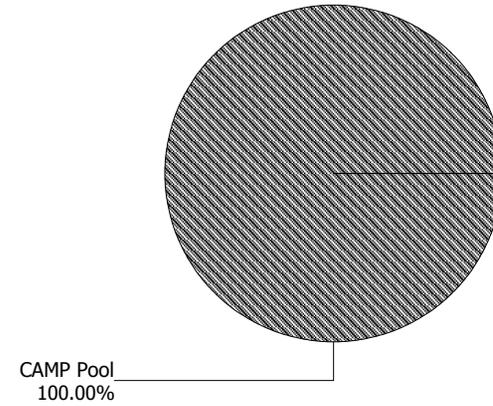
For the Month Ending **June 30, 2019**

SIERRA COUNTY - SIERRA COUNTY - [REDACTED]

CAMP Pool	
Opening Market Value	2,303,291.64
Purchases	504,706.87
Redemptions	(700,000.00)
Unsettled Trades	0.00
Change in Value	0.00
Closing Market Value	\$2,107,998.51
Cash Dividends and Income	4,706.87

Asset Summary	June 30, 2019	May 31, 2019
CAMP Pool	2,107,998.51	2,303,291.64
Total	\$2,107,998.51	\$2,303,291.64

Asset Allocation	
CAMP Pool	100.00%





Account Statement

For the Month Ending **June 30, 2019**

SIERRA COUNTY - SIERRA COUNTY - [REDACTED]

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
Opening Balance					2,303,291.64
06/21/19	06/21/19	Purchase - Incoming Wires	1.00	500,000.00	2,803,291.64
06/24/19	06/24/19	Redemption - Outgoing Wires	1.00	(700,000.00)	2,103,291.64
06/28/19	07/01/19	Accrual Income Div Reinvestment - Distributions	1.00	4,706.87	2,107,998.51

Closing Balance **2,107,998.51**

	Month of June	Fiscal YTD February-June
Opening Balance	2,303,291.64	1,731,894.73
Purchases	504,706.87	4,001,103.78
Redemptions (Excl. Checks)	(700,000.00)	(3,625,000.00)
Check Disbursements	0.00	0.00
Closing Balance	2,107,998.51	2,107,998.51
Cash Dividends and Income	4,706.87	26,103.78

Closing Balance	2,107,998.51
Average Monthly Balance	2,307,095.66
Monthly Distribution Yield	2.48%

June 2019

Date	Description	DR	CR	Balance
4/1/19	Balance forward			1,262.73 ✓
4/3/19	currency to Bank	1,000 ⁰⁰		262.73
4/5/19	CR 013760		404 ⁰⁰	666.73 ✓
4/6/19	CR 013762		19.25	685.98
	CR 013763		120. ⁰⁰	805.98
4/7/19	CR 013765		10 ⁻	815.98
	CR 013766		10 ⁻	825.98
	CR 013764		42 ⁻	867.98
	currency to Bank	600 ⁰⁰		267.98
	currency exc	780 ⁰⁰		187.98
	currency from BK		80 ⁻	267.98 ✓
6/10/19	CR 013771		29 ⁰⁰	286.98
6/11/19	CR 013783		84 ⁰⁰	380.98
	CR 013781		45 ⁰⁰	425.98
	CR 013782		220 ⁰⁰	645.98 ✓
6/12/19	CR 013787		2 ⁰⁰	647.98
	CR 013792		49 ⁰⁰	696.98
	CR 013791		308 ⁰⁰	1004.98
6/13/19	currency to BK	800 ⁰⁰		204.98 ✓
6/14/19	CR 013800		5 ⁰⁰	209.98
	CR 013898		220 ⁰⁰	429.98 ✓
6/17/19	CR 013808		14 ⁰⁰	443.98 ✓
6/18/19	CR 013811		1.00	444.98
	CR 013812		3.00	447.98 ✓
6/20/19	CR 013817		13.00	460.98 ✓
6/21/19	CR 013822		118.00	578.98
	CR 013830		52.00	630.98

June 2019

Date	Description	DR	CR	Balance
6/21/19	Balance fwd			630.98 ✓
6/24/19	CR013832		26.75	657.73 ✓
6/25/19	Sheriff Void			657.73
6/26/19	CR013847		31.00	688.73 ✓
6/27/19	CR013852		115.00	803.73
	CR013854		190.00	993.73 ✓
	CR013859		208.00	1201.73
	CR013863		34.95	1236.68
	Currency to bank	950.00		286.68 ✓
	CR 017864		60.00	<u>346.68</u> ✓

6/3



Payment Report

User: No Filter Product: No Filter Channel: No Filter Group By: channel Start Date: 05/29/2019 Start Time: 12:00 AM
End Date: 05/29/2019 End Time: 11:59 PM Partner: Sierra County (CA) Office: No Filter

Text Size: [Small](#) [Medium](#) [Large](#)

3 records found matching your search criteria.

Channel: WEB

Pay Id	Method	Type	Status	Date	Account	Product	Name	Pmt Amt
59228228	Credit or Debit Card - Visa	Purchase	Approved - Comp	05/29/2019 02:44 PM	[REDACTED]	Secured Taxes	Donna Lynn Moreno	\$758.36
59228347	Credit or Debit Card - Visa	Purchase	Approved - Comp	05/29/2019 02:47 PM	[REDACTED]	Secured Taxes	Donna Lynn Moreno	\$458.51
59230115	Credit or Debit Card - Visa	Purchase	Approved - Comp	05/29/2019 03:38 PM	[REDACTED]	Court Filing Fees	KATHY FEE	\$68.20

Total

Channel Summary	Count	Receipt	Payment	Customer Paid Fee	Partner Paid Fee	Transfer
Credit Card Payments	3	\$1,323.92	\$1,285.07	\$38.85	\$0.00	\$1,285.07
eCheck Payments	0	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Refunds	0	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total	3	\$1,323.92	\$1,285.07	\$38.85	\$0.00	\$1,285.07

Report Totals

Total

Summary	Count	Receipt	Payment	Customer Paid Fee	Partner Paid Fee	Transfer
Credit Card Payments	3	\$1,323.92	\$1,285.07	\$38.85	\$0.00	\$1,285.07
eCheck Payments	0	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Refunds	0	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total	3	\$1,323.92	\$1,285.07	\$38.85	\$0.00	\$1,285.07

Deposit Summary

Expected Deposit on 06/03/2019

Agency Account #	Originator	Payment Method	Deposit Amount
Sierra County (CA) XXXX4963	PNP	All	\$1,285.07

PNP Total: \$1,285.07

Total: \$1,285.07

Grand Total PNP: \$1,285.07

Grand Total: **\$1,285.07**

© 2019 Point & Pay, LLC.

-68.20

\$1,216.87

Donna Moreno

822-7510-9999-4701

CR 013871



Main Payroll Benefit EBT Production Reconciliation Report

Sierra

Run Date: JUN-26-19 12:40 AM

Date: 06/24/2019

Overall Totals 13 **\$7,212.00**

EBT Submission Summary

30 - CW-All Other Families (Fed)

Availability Date	Transactions	Issued Amount
07/01/2019	4	\$2,445.00
07/02/2019	2	\$1,021.00
Aid Code Totals	6	\$3,466.00

33 - CW-Zero Parent (Fed)

Availability Date	Transactions	Issued Amount
07/02/2019	2	\$1,089.00
07/03/2019	1	\$838.00
Aid Code Totals	3	\$1,927.00

35 - CW-Two Parent (Fed)

Availability Date	Transactions	Issued Amount
07/03/2019	1	\$1,014.00
Aid Code Totals	1	\$1,014.00

90 - GA General Relief Independent Living-CNTY

Availability Date	Transactions	Issued Amount
07/02/2019	2	\$471.00
Aid Code Totals	2	\$471.00

K1 - CW-Safety Net/Felon/WTW Sanct-Non-Two Parent		
Availability Date	Transactions	Issued Amount
07/03/2019	1	\$334.00
Aid Code Totals	1	\$334.00

Analyzed Business Checking - PF

Account number: [REDACTED] ■ June 1, 2019 - June 30, 2019 ■ Page 1 of 5



COUNTY OF SIERRA
OPERATING ACCOUNT
PO BOX 376
DOWNIEVILLE CA 95936-0376

Questions?

Call your Customer Service Officer or Client Services
1-800-AT WELLS (1-800-289-3557)
5:00 AM TO 6:00 PM Pacific Time Monday - Friday

Online: wellsfargo.com

Write: Wells Fargo Bank, N.A. (114)
P.O. Box 6995
Portland, OR 97228-6995

Account summary

Analyzed Business Checking - PF

Account number	Beginning balance	Total credits	Total debits	Ending balance
[REDACTED]	\$860,402.37	\$2,934,452.59	-\$2,713,286.56	\$1,081,568.40

Credits

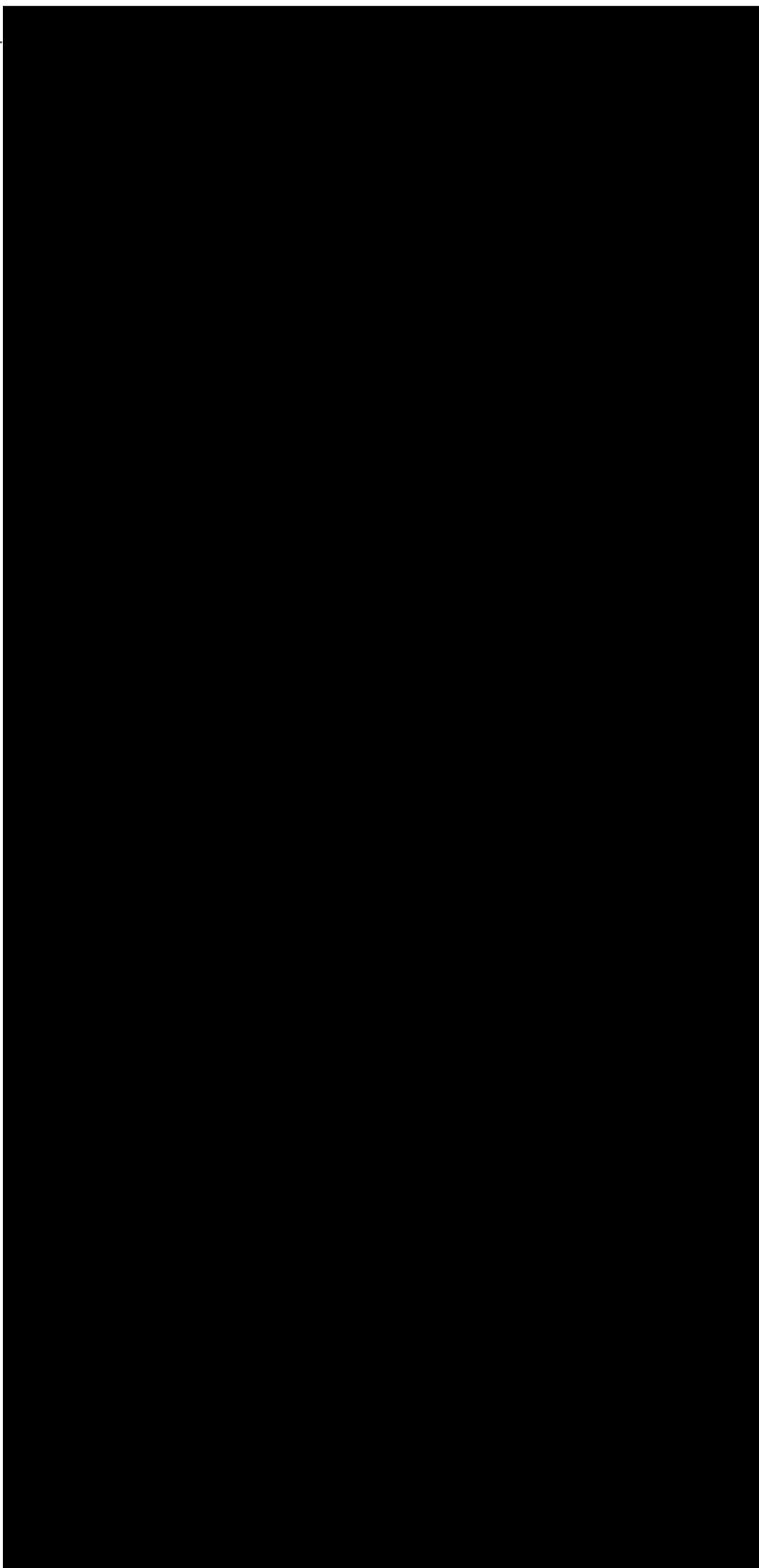
Deposits

Effective date	Posted date	Amount	Transaction detail
	06/03	2,174.28	Deposit
	06/03	1,000.00	Deposit
	06/04	200.00	Deposit
	06/05	768.90	Deposit
	06/06	505.00	Deposit
	06/07	600.00	Deposit
	06/07	50.00	Deposit
	06/12	100.00	Deposit
	06/13	800.00	Deposit
	06/13	288.00	Deposit
	06/17	404.82	Deposit
	06/21	1,307.00	Deposit
	06/24	275.72	Deposit
	06/26	594.00	Deposit
	06/28	1,500.94	Deposit
	06/28	950.00	Deposit
	06/28	50.00	Deposit
		\$11,568.66	Total deposits



Electronic deposits/bank credits

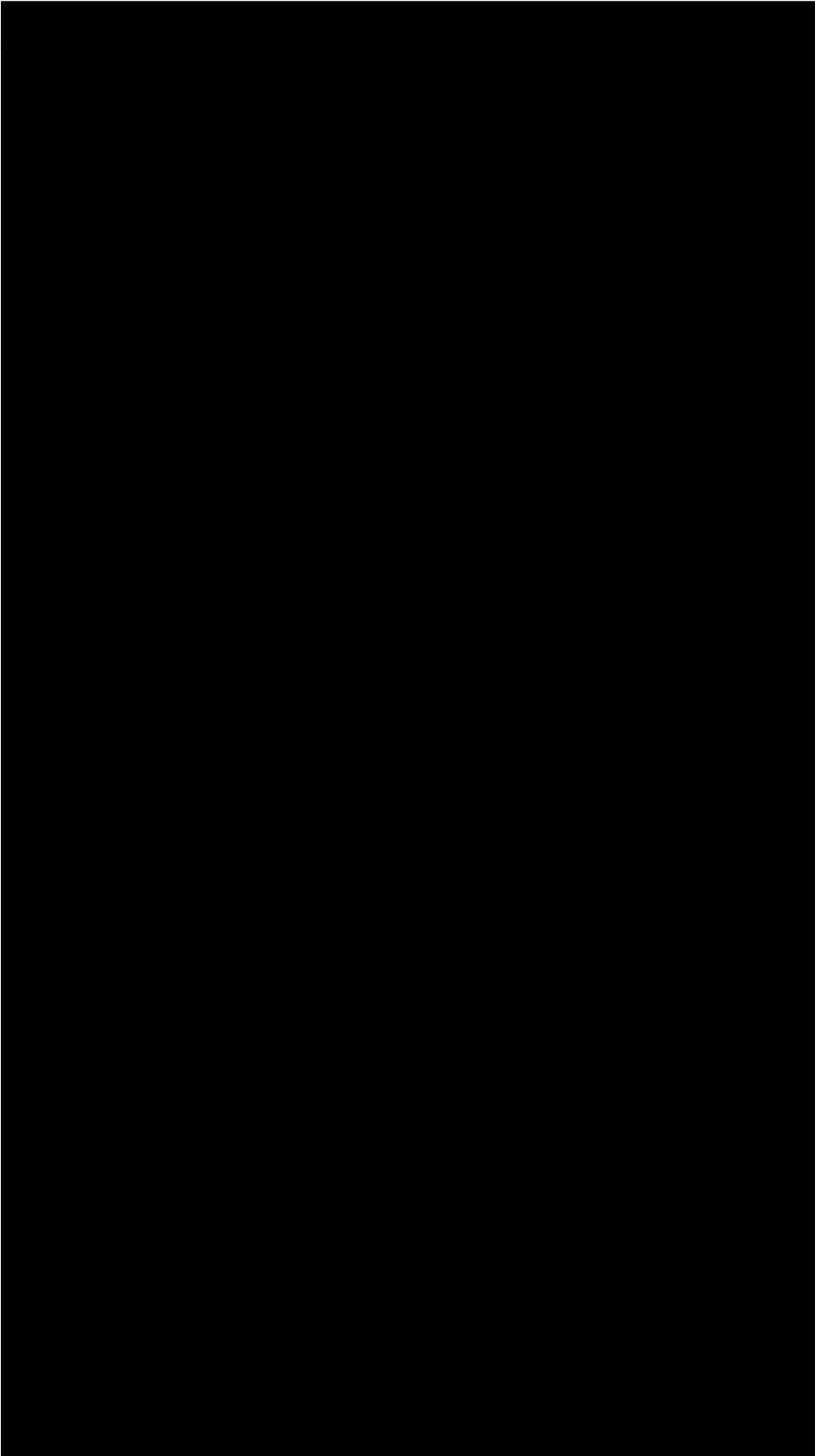
<i>Effective date</i>	<i>Posted date</i>	<i>Amount</i>
	06/03	402.50
	06/03	4,218.75
	06/03	66,660.52
	06/04	1,888.45
	06/04	1,929.54
	06/05	123,540.51
	06/05	5,628.61
	06/05	739.11
	06/05	449.60
	06/06	2,943.75
	06/06	723.00
	06/06	531.00
	06/07	3,000.00
	06/07	16,024.33
	06/07	377.07
	06/10	315.00
	06/10	21,687.50
	06/10	13,319.12
	06/10	2,932.71
	06/10	1,081.94
	06/11	1,295.00
	06/11	170.00
	06/12	51,199.60
	06/12	851.61
	06/12	126.00
	06/12	69.36
	06/13	1,562.50
	06/13	422.75
	06/13	914.14
	06/14	94,209.17
	06/14	38,478.07
	06/14	2,200.00
	06/14	8,498.13
	06/17	402.38
	06/17	2,450.00
	06/17	2,200.20
	06/18	142,867.65
	06/18	1,717.18
	06/18	217.70





Electronic deposits/bank credits (continued)

<i>Effective date</i>	<i>Posted date</i>	<i>Amount</i>
	06/19	65,874.56
	06/19	55.00
	06/20	252,187.50
	06/20	9,194.93
	06/20	998.87
	06/20	220,837.00
	06/20	96.00
	06/21	74,664.57
	06/21	13,413.82
	06/24	14,010.85
	06/24	3,497.26
	06/24	69.31
	06/24	700,000.00
	06/24	63,363.27
	06/24	395.29
	06/25	110,839.00
	06/25	21,437.92
	06/25	9,170.32
	06/25	631.41
	06/25	25,605.73
	06/25	117.00
	06/26	20,650.64
	06/26	581.00
	06/27	76,520.12
	06/27	47,698.02
	06/27	26,380.20
	06/27	19,393.28
	06/27	15,956.11
	06/27	9,175.31
	06/27	5,811.13
	06/27	5,783.81
	06/27	2,412.74
	06/27	15.60
	06/27	102,960.10
	06/27	232.88
	06/28	239,127.00
	06/28	80,024.68
	06/28	33,793.14
	06/28	15,584.05
	06/28	9,863.63
	06/28	4,502.43





Electronic deposits/bank credits (continued)

<i>Effective date</i>	<i>Posted date</i>	<i>Amount</i>
	06/28	900.00
	06/28	814.00
		\$2,922,883.93
		\$2,934,452.59

Debits

Electronic debits/bank debits

<i>Effective date</i>	<i>Posted date</i>	<i>Amount</i>
	06/03	152,995.48
	06/04	7,469.04
	06/05	7,706.12
	06/06	200,000.00
	06/06	10,749.23
	06/07	66,496.11
	06/10	74,512.45
	06/11	144,675.99
	06/11	73,180.13
	06/11	37,008.34
	06/12	55,768.94
	06/13	12,359.80
	06/14	18,663.31
	06/17	41,942.20
	06/18	37,525.60
	06/19	12,540.23
	06/20	151,792.70
	06/21	500,000.00
	06/21	391,067.56
	06/24	38,391.63
	06/25	98,697.01
	06/26	350,704.90
	06/26	119,059.17
	06/26	22,411.77
	06/27	31,259.18
	06/28	27,716.35



Electronic debits/bank debits (continued)

<i>Effective date</i>	<i>Posted date</i>	<i>Amount</i>
	06/28	14,025.28
	06/28	14,568.04
		\$2,713,286.56
		\$2,713,286.56



Daily ledger balance summary

<i>Date</i>	<i>Balance</i>	<i>Date</i>	<i>Balance</i>	<i>Date</i>	<i>Balance</i>
05/31	860,402.37	06/11	360,765.67	06/20	929,396.01
06/03	781,862.94	06/12	357,343.30	06/21	127,713.84
06/04	778,411.89	06/13	348,970.89	06/24	870,933.91
06/05	901,832.50	06/14	473,692.95	06/25	940,038.28
06/06	695,786.02	06/17	437,208.15	06/26	469,688.08
06/07	649,341.31	06/18	544,485.08	06/27	750,768.20
06/10	614,165.13	06/19	597,874.41	06/28	1,081,568.40
Average daily ledger balance		\$636,576.15			

Local Agency Investment Fund
P.O. Box 942809
Sacramento, CA 94209-0001
(916) 653-3001

www.treasurer.ca.gov/pmia-laif/laif.asp
July 01, 2019

SIERRA COUNTY

TREASURER
P.O. BOX 376
DOWNIEVILLE, CA 95936-0376

PMIA Average Monthly Yields

Account Number:



Tran Type Definitions

June 2019 Statement

Effective Date	Transaction Date	Tran Type	Confirm Number	Authorized Caller	Amount
6/6/2019	6/6/2019	RD	1607943	JENNY VARN	200,000.00

Account Summary

Total Deposit:	200,000.00	Beginning Balance:	2,307,452.40
Total Withdrawal:	0.00	Ending Balance:	2,507,452.40



Account Statement - Transaction Summary

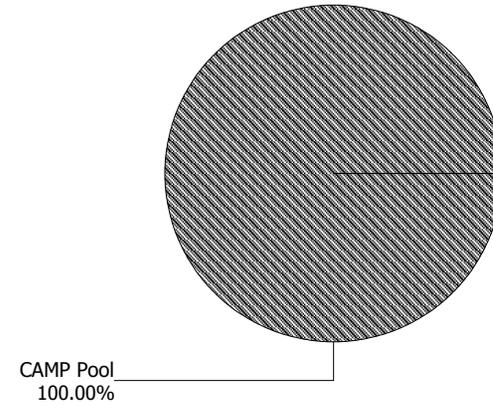
For the Month Ending **June 30, 2019**

SIERRA COUNTY - SIERRA COUNTY - [REDACTED]

CAMP Pool	
Opening Market Value	2,303,291.64
Purchases	504,706.87
Redemptions	(700,000.00)
Unsettled Trades	0.00
Change in Value	0.00
Closing Market Value	\$2,107,998.51
Cash Dividends and Income	4,706.87

Asset Summary	June 30, 2019	May 31, 2019
CAMP Pool	2,107,998.51	2,303,291.64
Total	\$2,107,998.51	\$2,303,291.64

Asset Allocation	
CAMP Pool	100.00%





Account Statement

For the Month Ending **June 30, 2019**

SIERRA COUNTY - SIERRA COUNTY - [REDACTED]

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
Opening Balance					2,303,291.64
06/21/19	06/21/19	Purchase - Incoming Wires	1.00	500,000.00	2,803,291.64
06/24/19	06/24/19	Redemption - Outgoing Wires	1.00	(700,000.00)	2,103,291.64
06/28/19	07/01/19	Accrual Income Div Reinvestment - Distributions	1.00	4,706.87	2,107,998.51

Closing Balance **2,107,998.51**

	Month of June	Fiscal YTD February-June
Opening Balance	2,303,291.64	1,731,894.73
Purchases	504,706.87	4,001,103.78
Redemptions (Excl. Checks)	(700,000.00)	(3,625,000.00)
Check Disbursements	0.00	0.00
Closing Balance	2,107,998.51	2,107,998.51
Cash Dividends and Income	4,706.87	26,103.78

Closing Balance	2,107,998.51
Average Monthly Balance	2,307,095.66
Monthly Distribution Yield	2.48%

Sierra County Investment Portfolio as of 06/30/2019

CUSIP	Security Description	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Purchase YTM 360	Purchase YTM 365	Days to Maturity	Maturity Date
CORPORATE NOTES										
	American Honda Finance 2.250% 08/15/2019	03/10/2016	200,000.00	199,861.00	200,098.15	2.250	1.847%	1.847%	46	08/15/19
	Cisco Systems 2.450% 06/15/2020	12/12/2016	200,000.00	200,513.60	200,553.21	2.450	2.156%	2.156%	351	06/15/20
	John Deere Capital Corp 1.250% 10/09/2019	12/12/2016	200,000.00	199,486.60	199,677.63	1.250	1.832%	1.832%	101	10/09/19
	Qualcomm Inc 2.250% 05/20/2020	12/12/2016	225,000.00	224,926.65	225,026.20	2.250	2.235%	2.235%	325	05/20/20
	Visa Inc 2.200% 12/14/2020	12/12/2016	200,000.00	200,313.80	200,231.75	2.200	2.118%	2.118%	533	12/14/20
	Apple Inc 2.500% 02/09/2022	11/01/2017	250,000.00	253,004.25	251,664.60	2.500	2.235%	2.236%	955	02/09/22
	Bank of NY Mellon Corp 2.600% 02/07/2022	11/01/2017	250,000.00	252,522.25	251,419.73	2.600	2.373%	2.374%	953	02/07/22
	HSBC USA Inc 2.750% 08/07/2020	02/01/2018	250,000.00	251,260.75	250,315.60	2.750	2.632%	2.632%	404	08/07/20
	IBM Corp 2.250% 02/19/2021	11/01/2017	200,000.00	199,966.00	200,600.50	2.250	2.062%	2.062%	600	02/19/21
	JP Morgan Chase 2.400% 06/07/2021	02/01/2018	250,000.00	250,674.50	248,255.74	2.400	2.772%	2.772%	708	06/07/21
	Oracle Corp 1.900% 09/15/2021	11/01/2017	250,000.00	248,734.50	248,585.32	1.900	2.164%	2.163%	808	09/15/21
	PNC Bank 2.550% 12/09/2021	11/01/2017	250,000.00	252,077.25	251,192.62	2.550	2.348%	2.348%	893	12/09/21
	Praxair 2.450% 02/15/2022	02/01/2018	250,000.00	252,065.50	248,009.80	2.450	2.766%	2.765%	961	02/15/22
	State Street Bank 1.950% 05/19/2021	02/01/2018	200,000.00	199,478.80	197,349.08	1.950	2.676%	2.675%	689	05/19/21
	American Honda Finance 1.650% 07/12/2021	07/10/2018	200,000.00	197,838.20	194,008.43	1.650	3.185%	3.180%	743	07/12/21
	Blackrock Inc 3.375% 06/01/2022	07/10/2018	250,000.00	258,739.00	251,549.42	3.375	3.150%	3.151%	1,067	06/01/22
	John Deere Capital Corp 2.650% 01/06/2022	07/10/2018	200,000.00	202,147.80	197,522.58	2.650	3.166%	3.165%	921	01/06/22
	General Dynamics Corp 3.000% 05/11/2021	07/10/2018	250,000.00	254,048.00	249,664.28	3.000	3.074%	3.073%	681	05/11/21
	Paccar Financial Corp 2.800% 03/01/2021	07/10/2018	150,000.00	151,377.00	149,411.26	2.800	3.042%	3.042%	610	03/01/21
	Charles Schwab Corp 3.225% 09/01/2022	07/10/2018	250,000.00	256,466.25	249,745.18	3.225	3.258%	3.258%	1,159	09/01/22
	Bank of America Corp 2.625% 04/19/2021	01/04/2019	250,000.00	251,558.25	247,120.26	2.625	3.288%	3.287%	659	04/19/21
	Berkshire Hathaway 2.750% 03/15/2023	01/04/2019	250,000.00	254,039.00	245,657.09	2.750	3.251%	3.250%	1,354	03/15/23
	Exxon Mobil Corp 2.726% 03/01/2023	01/04/2019	250,000.00	255,319.50	246,561.67	2.726	3.126%	3.125%	1,340	03/01/23
	Toyota Motor Credit Corp 2.700% 01/11/2023	01/04/2019	250,000.00	254,014.50	244,266.91	2.700	3.395%	3.394%	1,291	01/11/23
	Wal-Mart Stores 2.550% 04/11/2023	01/04/2019	250,000.00	253,606.00	244,845.01	2.550	3.132%	3.132%	1,381	04/11/23
	Chubb INA Holdings Inc 2.300% 11/03/2020	03/14/2019	250,000.00	250,107.00	248,463.05	2.300	2.770%	2.769%	492	11/03/20
	Chevron Corp 2.355% 12/05/2022	04/30/2019	250,000.00	251,167.00	247,820.14	2.355	2.622%	2.622%	1,254	12/05/22
	US Bank NA 2.850% 01/23/2023	04/30/2019	300,000.00	305,446.80	301,162.33	2.850	2.735%	2.735%	1,303	01/23/23
	UPS 2.350% 05/16/2022	04/30/2019	250,000.00	251,507.25	248,350.20	2.350	2.589%	2.589%	1,051	05/16/22
Subtotal and Average			6,775,000.00	6,832,267.00	6,739,127.74		2.702%	2.702%	841	
FEDERAL AGENCIES										
	FHLMC 1.250% 10/02/2019	01/21/2016	500,000.00	498,787.50	499,920.54	1.250	1.308%	1.308%	94	10/02/19
	FHLB 1.875% 03/13/2020	03/10/2016	400,000.00	399,378.00	401,266.14	1.875	1.416%	1.419%	257	03/13/20
	FHLMC 1.400% 08/22/2019	03/10/2016	400,000.00	399,511.60	400,079.22	1.400	1.258%	1.258%	53	08/22/19
	FNMA 1.750% 11/26/2019	03/10/2016	400,000.00	399,415.60	400,681.83	1.750	1.325%	1.325%	149	11/26/19
	FHLB 1.375% 09/13/2019	10/12/2016	375,000.00	374,366.63	375,188.05	1.375	1.124%	1.124%	75	09/13/19
	FNMA 1.250% 05/06/2021	10/12/2016	350,000.00	346,404.10	349,128.63	1.250	1.387%	1.387%	676	05/06/21
	FNMA 0.875% 08/02/2019	12/12/2016	425,000.00	424,484.90	424,809.88	0.875	1.361%	1.361%	33	08/02/19
	FFCB 1.550% 04/13/2020	04/12/2017	500,000.00	498,264.00	500,103.91	1.550	1.522%	1.522%	288	04/13/20
	FNMA 1.875% 04/05/2022	04/12/2017	500,000.00	500,896.00	499,760.69	1.875	1.892%	1.892%	1,010	04/05/22
	FHLB 1.250% 12/13/2019	02/01/2018	250,000.00	248,954.75	248,984.33	1.250	2.153%	2.153%	166	12/13/19
	FNMA 2.000% 01/05/2022	11/01/2017	500,000.00	502,623.00	500,323.25	2.000	1.973%	1.973%	920	01/05/22
	FHLB 2.750% 06/10/2022	07/10/2018	500,000.00	513,183.50	498,724.00	2.750	2.841%	2.841%	1,076	06/10/22
	FHLMC 1.875% 11/17/2020	07/10/2018	400,000.00	399,874.00	395,679.84	1.875	2.678%	2.677%	506	11/17/20
	FHLB 2.875% 12/10/2021	01/04/2019	500,000.00	512,826.50	503,437.84	2.875	2.583%	2.583%	894	12/10/21
	FHLB 3.000% 12/09/2022	01/04/2019	250,000.00	259,924.25	253,160.09	3.000	2.613%	2.613%	1,258	12/09/22
	FHLB 2.750% 03/10/2023	03/14/2019	500,000.00	514,588.50	504,720.79	2.750	2.480%	2.480%	1,349	03/10/23
	FNMA 2.375% 01/19/2023	03/14/2019	500,000.00	509,827.50	498,217.80	2.375	2.480%	2.480%	1,299	01/19/23
	FHLB 2.500% 03/11/2022	04/30/2019	600,000.00	610,899.00	603,034.08	2.500	2.305%	2.305%	985	03/11/22
	FFCB 2.330% 10/18/2022	04/30/2019	500,000.00	507,705.50	500,438.53	2.330	2.302%	2.302%	1,206	10/18/22
Subtotal and Average			8,350,000.00	8,421,914.83	8,357,659.44		1.967%	1.967%	681	
US TREASURY										
	US Treasury 0.875% 07/31/2019	01/21/2016	400,000.00	399,545.60	399,895.91	0.875	1.175%	1.175%	31	07/31/19
	US Treasury 1.000% 08/31/2019	01/21/2016	400,000.00	399,149.60	399,862.24	1.000	1.201%	1.201%	62	08/31/19
	US Treasury 1.250% 01/31/2020	03/10/2016	400,000.00	398,031.20	399,967.17	1.250	1.264%	1.264%	215	01/31/20
	US Treasury 1.125% 12/31/2019	10/12/2016	350,000.00	348,304.60	350,066.47	1.125	1.087%	1.087%	184	12/31/19
	US Treasury 1.125% 04/30/2020	10/12/2016	350,000.00	347,429.60	349,926.23	1.125	1.150%	1.150%	305	04/30/20
	US Treasury 1.375% 08/31/2020	12/12/2016	425,000.00	422,376.90	423,655.92	1.375	1.651%	1.649%	428	08/31/20
	US Treasury 1.625% 07/31/2020	12/12/2016	425,000.00	423,589.00	424,980.56	1.625	1.629%	1.629%	397	07/31/20
	US Treasury 1.125% 08/31/2021	04/12/2017	500,000.00	493,359.50	493,788.13	1.125	1.713%	1.712%	793	08/31/21
	US Treasury 1.375% 01/31/2021	04/12/2017	500,000.00	496,484.50	498,077.21	1.375	1.622%	1.621%	581	01/31/21
	US Treasury 2.000% 09/30/2020	02/01/2018	500,000.00	500,703.00	498,237.00	2.000	2.287%	2.286%	458	09/30/20
	US Treasury 1.750% 03/31/2022	07/10/2018	400,000.00	400,250.00	389,884.63	1.750	2.711%	2.710%	1,005	03/31/22
	US Treasury 1.000% 11/30/2019	01/04/2019	500,000.00	497,676.00	496,738.04	1.000	2.575%	2.575%	153	11/30/19
	US Treasury 1.875% 08/31/2022	03/14/2019	350,000.00	351,572.20	344,066.54	1.875	2.435%	2.434%	1,158	08/31/22
	US Treasury 2.000% 11/30/2022	04/30/2019	500,000.00	504,511.50	495,803.85	2.000	2.256%	2.256%	1,249	11/30/22
Subtotal and Average			6,000,000.00	5,982,983.20	5,964,949.90		1.796%	1.796%	509	
FOREIGN CORPORATE										
	Toronto Dominion Bank 2.125% 07/02/2019	02/12/2018	300,000.00	300,000.00	299,998.47	2.125	2.194%	2.194%	2	07/02/19
Subtotal and Average			300,000.00	300,000.00	299,998.47		2.194%	2.194%	2	
COMMERCIAL PAPER										
	Rabobank Nederland NV NY 2.720% 10/04/2019	01/07/2019	500,000.00	489,913.33	489,913.33	2.720	2.749%	2.749%	96	10/04/19
Subtotal and Average			500,000.00	489,913.33	489,913.33		2.749%	2.749%	96	
TOTAL AND AVERAGE			21,925,000.00	22,027,078.36	21,851,648.88		2.168%	2.168%	661	

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: May 7, 2019	TYPE OF AGENDA ITEM: <input type="checkbox"/> Regular <input type="checkbox"/> Timed <input checked="" type="checkbox"/> Consent
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DEPARTMENT: AUDITOR / TREASURER APPROVING PARTY: VAN MADDOX PHONE NUMBER: 530-289-3273

AGENDA ITEM: Treasurer's investment report and statement of liquidity for the period Jan. 1 2019 through Mar. 31 2019

SUPPORTIVE DOCUMENTS ATTACHED: Memo Resolution Agreement Other
TREASURER REPORTS

BACKGROUND INFORMATION: Routine reporting required by the Govt. code. Your questions are encouraged and I would appreciate hearing those questions prior to the meeting so that I can be prepared to answer them at that time.

FUNDING SOURCE:
GENERAL FUND IMPACT: No General Fund Impact
OTHER FUND:
AMOUNT: \$ N/A

ARE ADDITIONAL PERSONNEL REQUIRED?

 Yes, -- --
 No

IS THIS ITEM ALLOCATED IN THE BUDGET? Yes No

IS A BUDGET TRANSFER REQUIRED? Yes No

SPACE BELOW FOR CLERK'S USE

<p>BOARD ACTION:</p> <input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken	<input type="checkbox"/> Set public hearing For: _____ <input type="checkbox"/> Direction to: _____ <input type="checkbox"/> Referred to: _____ <input type="checkbox"/> Continued to: _____ <input type="checkbox"/> Authorization given to: _____	Resolution 2019- _____ Agreement 2019- _____ Ordinance _____ Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus
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COMMENTS:

CLERK TO THE BOARD

DATE

SIERRA COUNTY TREASURER

MONTHLY REPORT OF INVESTMENTS AND LIQUIDITY

March 31, 2019

INVESTMENT PORTFOLIO SUMMARY

Description	Par Value	Market Value	Book Value	Yield to maturity		Average Weighted Days to Maturity	Percentage of Assets by Security Type
				360 day basis	365 day basis		
Corporate Bonds	5,975,000.00	5,969,287.95	5,938,592.55	2.71%	2.71%	882	23.1%
Federal Agency Coupon Securities	7,500,000.00	7,490,582.43	7,504,429.92	1.89%	1.89%	689	29.2%
Treasury Coupon Securities	6,000,000.00	5,933,268.73	5,962,991.94	1.78%	1.78%	494	23.2%
Negotiable CDs	500,000.00	500,018.79	500,018.79	2.72%	2.72%	29	1.9%
Foreign Corporate	300,000.00	299,619.00	299,859.25	2.30%	2.30%	93	1.2%
Commercial Paper	1,000,000.00	985,466.66	985,466.66	2.76%	2.76%	111	3.8%
Total Long-Term Investment Portfolio	21,275,000.00	21,178,243.56	21,191,359.11	2.154%	2.153%	638	82.5%
Local Agency Investment Fund	1,841,354.32	1,841,354.32	1,841,354.32		2.436%	1	7.2%
California Assets Management Program (CAMP)	2,641,989.66	2,641,989.66	2,641,989.66		2.610%	1	10.3%
Total Long-Term and Liquidity Investment Portfolio	25,758,343.98	25,661,587.54	25,674,703.09		2.220%	N/A	100.0%
Sierra County Deposit Account: Wells Fargo Bank	740,785.42	740,785.42	740,785.42				
Cash on Hand	255.91	255.91	255.91				
Total Cash and Equivalents	741,041.33	741,041.33	741,041.33				
GRAND TOTAL IN TREASURY	26,499,385.31	26,402,628.87	26,415,744.42		2.158%		

The composition of the investment portfolio complies with the requirements of the Sierra County Investment Policy.

The Sierra County Treasury has the cash flow necessary to meet the expenditure needs of the treasury pool for the next six months.

Dated: 4/12/2019

/s/ Caleb J. Nelson
Caleb J Nelson

Sierra County Investment Portfolio as of 03/31/2019

CUSIP	Security Description	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Purchase YTM 360	Purchase YTM 365	Days to Maturity	Maturity Date
CORPORATE NOTES										
	American Honda Finance 2.250% 08/15/2019	03/10/2016	200,000.00	199,694.20	200,296.63	2.250	1.850%	1.850%	137	08/15/19
	Cisco Systems 2.450% 06/15/2020	12/12/2016	200,000.00	199,681.40	200,697.04	2.450	2.154%	2.155%	442	06/15/20
	John Deere Capital Corp 1.250% 10/09/2019	12/12/2016	200,000.00	198,516.80	199,384.27	1.250	1.848%	1.841%	192	10/09/19
	Qualcomm Inc 2.250% 05/20/2020	12/12/2016	225,000.00	224,051.40	225,033.56	2.250	2.236%	2.236%	416	05/20/20
	Visa Inc 2.200% 12/14/2020	12/12/2016	200,000.00	199,120.00	200,271.39	2.200	2.118%	2.118%	624	12/14/20
	Apple Inc 2.500% 02/09/2022	11/01/2017	250,000.00	249,694.25	251,823.38	2.500	2.235%	2.235%	1,046	02/09/22
	Bank of NY Mellon Corp 2.600% 02/07/2022	11/01/2017	250,000.00	249,856.50	251,555.44	2.600	2.373%	2.373%	1,044	02/07/22
	HSBC USA Inc 2.750% 08/07/2020	02/01/2018	250,000.00	250,240.00	250,386.87	2.750	2.632%	2.632%	495	08/07/20
	IBM Corp 2.250% 02/19/2021	11/01/2017	200,000.00	198,313.60	200,691.72	2.250	2.062%	2.062%	691	02/19/21
	JP Morgan Chase 2.400% 06/07/2021	02/01/2018	250,000.00	248,156.75	248,031.23	2.400	2.773%	2.773%	799	06/07/21
	Oracle Corp 1.900% 09/15/2021	11/01/2017	250,000.00	245,888.75	248,425.79	1.900	2.165%	2.164%	899	09/15/21
	PNC Bank 2.550% 12/09/2021	11/01/2017	250,000.00	248,422.00	251,314.29	2.550	2.346%	2.347%	984	12/09/21
	Praxair 2.450% 02/15/2022	02/01/2018	250,000.00	249,961.00	247,821.14	2.450	2.767%	2.766%	1,052	02/15/22
	State Street Bank 1.950% 05/19/2021	02/01/2018	200,000.00	197,351.40	196,998.44	1.950	2.678%	2.676%	780	05/19/21
	American Honda Finance 1.650% 07/12/2021	07/10/2018	200,000.00	195,443.80	193,273.62	1.650	3.188%	3.186%	834	07/12/21
	Blackrock Inc 3.375% 06/01/2022	07/10/2018	250,000.00	256,366.50	251,681.69	3.375	3.149%	3.149%	1,158	06/01/22
	John Deere Capital Corp 2.650% 01/06/2022	07/10/2018	200,000.00	199,913.80	197,277.53	2.650	3.167%	3.166%	1,012	01/06/22
	General Dynamics Corp 3.000% 05/11/2021	07/10/2018	250,000.00	252,258.00	249,619.35	3.000	3.074%	3.074%	772	05/11/21
	Paccar Financial Corp 2.800% 03/01/2021	07/10/2018	150,000.00	150,487.80	149,323.28	2.800	3.043%	3.043%	701	03/01/21
	Charles Schwab Corp 3.225% 09/01/2022	07/10/2018	250,000.00	254,487.00	249,725.15	3.225	3.259%	3.259%	1,250	09/01/22
	Bank of America Corp 2.625% 04/19/2021	01/04/2019	250,000.00	249,377.00	246,722.00	2.625	3.292%	3.290%	750	04/19/21
	Berkshire Hathaway 2.750% 03/15/2023	01/04/2019	250,000.00	251,492.00	245,365.00	2.750	3.253%	3.253%	1,445	03/15/23
	Exxon Mobil Corp 2.726% 03/01/2023	01/04/2019	250,000.00	251,708.00	246,327.99	2.726	3.127%	3.127%	1,431	03/01/23
	Toyota Motor Credit Corp 2.700% 01/11/2023	01/04/2019	250,000.00	250,459.50	243,862.48	2.700	3.397%	3.396%	1,382	01/11/23
	Wal-Mart Stores 2.550% 04/11/2023	01/04/2019	250,000.00	249,578.50	244,505.08	2.550	3.135%	3.134%	1,472	04/11/23
	Chubb INA Holdings Inc 2.300% 11/03/2020	03/14/2019	250,000.00	248,759.00	248,178.19	2.300	2.771%	2.770%	583	11/03/20
Subtotal and Average			5,975,000.00	5,969,278.95	5,938,592.55		2.710%	2.709%	882	
FEDERAL AGENCIES										
	FHLMC 1.250% 10/02/2019	01/21/2016	500,000.00	496,965.00	499,842.79	1.250	1.313%	1.312%	185	10/02/19
	FHLB 1.875% 03/13/2020	03/10/2016	400,000.00	397,807.20	401,716.21	1.875	1.418%	1.419%	348	03/13/20
	FHLMC 1.400% 08/22/2019	03/10/2016	400,000.00	398,400.80	400,217.86	1.400	1.261%	1.261%	144	08/22/19
	FNMA 1.750% 11/26/2019	03/10/2016	400,000.00	398,119.60	401,101.06	1.750	1.324%	1.327%	240	11/26/19
	FNMA 1.750% 06/20/2019	05/17/2016	250,000.00	249,601.00	250,370.19	1.750	1.078%	1.078%	81	06/20/19
	FHLB 1.375% 09/13/2019	10/12/2016	375,000.00	373,171.13	375,419.30	1.375	1.125%	1.125%	166	09/13/19
	FNMA 1.250% 05/06/2021	10/12/2016	350,000.00	342,444.55	349,011.16	1.250	1.387%	1.387%	767	05/06/21
	FNMA 0.875% 08/02/2019	12/12/2016	425,000.00	422,752.60	424,269.24	0.875	1.377%	1.377%	124	08/02/19
	FFCB 1.550% 04/13/2020	04/12/2017	500,000.00	495,525.50	500,136.86	1.550	1.523%	1.523%	379	04/13/20
	FNMA 1.875% 04/05/2022	04/12/2017	500,000.00	494,508.00	499,739.11	1.875	1.893%	1.893%	1,101	04/05/22
	FHLB 1.250% 12/13/2019	02/01/2018	250,000.00	248,017.25	248,424.18	1.250	2.161%	2.156%	257	12/13/19
	FNMA 2.000% 01/05/2022	11/01/2017	500,000.00	496,121.50	500,355.26	2.000	1.973%	1.973%	1,011	01/05/22
	FHLB 2.750% 06/10/2022	07/10/2018	500,000.00	506,841.00	498,615.99	2.750	2.841%	2.840%	1,167	06/10/22
	FHLMC 1.875% 11/17/2020	07/10/2018	400,000.00	396,952.80	394,901.35	1.875	2.680%	2.677%	597	11/17/20
	FHLB 2.875% 12/10/2021	01/04/2019	500,000.00	506,816.50	503,788.17	2.875	2.581%	2.581%	985	12/10/21
	FHLB 3.000% 12/09/2022	01/04/2019	250,000.00	256,375.50	253,388.87	3.000	2.612%	2.612%	1,349	12/09/22
	FHLB 2.750% 03/10/2023	03/14/2019	500,000.00	508,816.00	505,039.47	2.750	2.480%	2.480%	1,440	03/10/23
	FNMA 2.375% 01/19/2023	03/14/2019	500,000.00	501,346.50	498,092.85	2.375	2.480%	2.480%	1,390	01/19/23
Subtotal and Average			7,500,000.00	7,490,582.43	7,504,429.92		1.889%	1.889%	689	
US TREASURY										
	US Treasury 0.875% 07/31/2019	01/21/2016	400,000.00	397,859.20	399,580.15	0.875	1.186%	1.186%	122	07/31/19
	US Treasury 1.000% 08/31/2019	01/21/2016	400,000.00	397,546.80	399,656.74	1.000	1.206%	1.206%	153	08/31/19
	US Treasury 1.250% 01/31/2020	03/10/2016	400,000.00	396,109.20	399,953.20	1.250	1.264%	1.264%	306	01/31/20
	US Treasury 1.125% 12/31/2019	10/12/2016	350,000.00	346,623.20	350,099.53	1.125	1.086%	1.086%	275	12/31/19
	US Treasury 1.125% 04/30/2020	10/12/2016	350,000.00	345,269.40	349,904.15	1.125	1.150%	1.150%	396	04/30/20
	US Treasury 1.375% 08/31/2020	12/12/2016	425,000.00	419,023.65	423,369.47	1.375	1.650%	1.650%	519	08/31/20
	US Treasury 1.625% 07/31/2020	12/12/2016	425,000.00	420,733.43	424,976.10	1.625	1.629%	1.629%	488	07/31/20
	US Treasury 1.125% 08/31/2021	04/12/2017	500,000.00	486,679.50	493,074.39	1.125	1.713%	1.713%	884	08/31/21
	US Treasury 1.375% 01/31/2021	04/12/2017	500,000.00	491,562.50	497,775.53	1.375	1.622%	1.621%	672	01/31/21
	US Treasury 2.000% 09/30/2020	02/01/2018	500,000.00	497,363.50	497,885.94	2.000	2.288%	2.288%	549	09/30/20
	US Treasury 1.250% 05/31/2019	02/01/2018	500,000.00	498,998.50	499,363.91	1.250	2.003%	2.003%	61	05/31/19
	US Treasury 1.750% 03/31/2022	07/10/2018	400,000.00	394,343.60	388,967.80	1.750	2.713%	2.713%	1,096	03/31/22
	US Treasury 1.000% 11/30/2019	01/04/2019	500,000.00	495,312.50	494,785.16	1.000	2.594%	2.583%	244	11/30/19
	US Treasury 1.875% 08/31/2022	03/14/2019	350,000.00	345,843.75	343,599.87	1.875	2.436%	2.436%	1,249	08/31/22
Subtotal and Average			6,000,000.00	5,933,268.73	5,962,991.94		1.778%	1.777%	494	
NEGOTIABLE CDs										
	Bank of Montreal Chicago 2.800% 04/29/2019	01/04/2019	500,000.00	500,018.79	500,018.79	2.800	2.721%	2.721%	29	04/29/19
Subtotal and Average			500,000.00	500,018.79	500,018.79		2.721%	2.721%	29	
FOREIGN CORPORATE										
	Toronto Dominion Bank 2.125% 07/02/2019	02/12/2018	300,000.00	299,619.00	299,859.25	2.125	2.297%	2.297%	93	07/02/19
Subtotal and Average			300,000.00	299,619.00	299,859.25		2.297%	2.297%	93	
COMMERCIAL PAPER										
	Rabobank Nederland NV NY 2.720% 10/04/2019	01/07/2019	500,000.00	489,913.33	489,913.33	2.720	2.782%	2.782%	187	10/04/19
	MUFG Bank Ltd/NY 2.760% 05/06/2019	01/04/2019	500,000.00	495,553.33	495,553.33	2.760	2.728%	2.728%	36	05/06/19
Subtotal and Average			1,000,000.00	985,466.66	985,466.66		2.755%	2.755%	111	
TOTAL AND AVERAGE			21,275,000.00	21,178,234.56	21,191,359.11		2.154%	2.153%	638	

Local Agency Investment Fund
P.O. Box 942809
Sacramento, CA 94209-0001
(916) 653-3001

www.treasurer.ca.gov/pmia-laif/laif.asp
April 02, 2019

SIERRA COUNTY

TREASURER
P.O. BOX 376
DOWNIEVILLE, CA 95936-0376

PMIA Average Monthly Yields

Account Number:



Tran Type Definitions

March 2019 Statement

Effective Date	Transaction Date	Tran Type	Confirm Number	Authorized Caller	Amount
3/15/2019	3/14/2019	RW	1600110	JENNY VARN	-960,000.00
3/28/2019	3/28/2019	RD	1600975	JENNY VARN	250,000.00

Account Summary

Total Deposit:	250,000.00	Beginning Balance:	2,551,354.32
Total Withdrawal:	-960,000.00	Ending Balance:	1,841,354.32



California State Treasurer
Fiona Ma, CPA



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[Home](#) ->> [PMIA](#) ->> PMIA Average Monthly Effective Yields



POOLED MONEY INVESTMENT ACCOUNT

→ PMIA Average Monthly Effective Yields

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1977	5.770	5.660	5.660	5.650	5.760	5.850	5.930	6.050	6.090	6.090	6.610	6.730
1978	6.920	7.050	7.140	7.270	7.386	7.569	7.652	7.821	7.871	8.110	8.286	8.769
1979	8.777	8.904	8.820	9.082	9.046	9.224	9.202	9.528	9.259	9.814	10.223	10.218
1980	10.980	11.251	11.490	11.480	12.017	11.798	10.206	9.870	9.945	10.056	10.426	10.961
1981	10.987	11.686	11.130	11.475	12.179	11.442	12.346	12.844	12.059	12.397	11.887	11.484
1982	11.683	12.044	11.835	11.773	12.270	11.994	12.235	11.909	11.151	11.111	10.704	10.401
1983	10.251	9.887	9.688	9.868	9.527	9.600	9.879	10.076	10.202	10.182	10.164	10.227
1984	10.312	10.280	10.382	10.594	10.843	11.119	11.355	11.557	11.597	11.681	11.474	11.024
1985	10.579	10.289	10.118	10.025	10.180	9.743	9.656	9.417	9.572	9.482	9.488	9.371
1986	9.252	9.090	8.958	8.621	8.369	8.225	8.141	7.844	7.512	7.586	7.432	7.439
1987	7.365	7.157	7.205	7.044	7.294	7.289	7.464	7.562	7.712	7.825	8.121	8.071
1988	8.078	8.050	7.945	7.940	7.815	7.929	8.089	8.245	8.341	8.397	8.467	8.563
1989	8.698	8.770	8.870	8.992	9.227	9.204	9.056	8.833	8.801	8.771	8.685	8.645
1990	8.571	8.538	8.506	8.497	8.531	8.538	8.517	8.382	8.333	8.321	8.269	8.279
1991	8.164	8.002	7.775	7.666	7.374	7.169	7.098	7.072	6.859	6.719	6.591	6.318
1992	6.122	5.863	5.680	5.692	5.379	5.323	5.235	4.958	4.760	4.730	4.659	4.647
1993	4.678	4.649	4.624	4.605	4.427	4.554	4.438	4.472	4.430	4.380	4.365	4.384
1994	4.359	4.176	4.248	4.333	4.434	4.623	4.823	4.989	5.106	5.243	5.380	5.528
1995	5.612	5.779	5.934	5.960	6.008	5.997	5.972	5.910	5.832	5.784	5.805	5.748
1996	5.698	5.643	5.557	5.538	5.502	5.548	5.587	5.566	5.601	5.601	5.599	5.574
1997	5.583	5.575	5.580	5.612	5.634	5.667	5.679	5.690	5.707	5.705	5.715	5.744
1998	5.742	5.720	5.680	5.672	5.673	5.671	5.652	5.652	5.639	5.557	5.492	5.374
1999	5.265	5.210	5.136	5.119	5.086	5.095	5.178	5.225	5.274	5.391	5.484	5.639
2000	5.760	5.824	5.851	6.014	6.190	6.349	6.443	6.505	6.502	6.517	6.538	6.535
2001	6.372	6.169	5.976	5.760	5.328	4.958	4.635	4.502	4.288	3.785	3.526	3.261
2002	3.068	2.967	2.861	2.845	2.740	2.687	2.714	2.594	2.604	2.487	2.301	2.201
2003	2.103	1.945	1.904	1.858	1.769	1.697	1.653	1.632	1.635	1.596	1.572	1.545
2004	1.528	1.440	1.474	1.445	1.426	1.469	1.604	1.672	1.771	1.890	2.003	2.134
2005	2.264	2.368	2.542	2.724	2.856	2.967	3.083	3.179	3.324	3.458	3.636	3.808
2006	3.955	4.043	4.142	4.305	4.563	4.700	4.849	4.946	5.023	5.098	5.125	5.129

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2007	5.156	5.181	5.214	5.222	5.248	5.250	5.255	5.253	5.231	5.137	4.962	4.801
2008	4.620	4.161	3.777	3.400	3.072	2.894	2.787	2.779	2.774	2.709	2.568	2.353
2009	2.046	1.869	1.822	1.607	1.530	1.377	1.035	0.925	0.750	0.646	0.611	0.569
2010	0.558	0.577	0.547	0.588	0.560	0.528	0.531	0.513	0.500	0.480	0.454	0.462
2011	0.538	0.512	0.500	0.588	0.413	0.448	0.381	0.408	0.378	0.385	0.401	0.382
2012	0.385	0.389	0.383	0.367	0.363	0.358	0.363	0.377	0.348	0.340	0.324	0.326
2013	0.300	0.286	0.285	0.264	0.245	0.244	0.267	0.271	0.257	0.266	0.263	0.264
2014	0.244	0.236	0.236	0.233	0.228	0.228	0.244	0.260	0.246	0.261	0.261	0.267
2015	0.262	0.266	0.278	0.283	0.290	0.299	0.320	0.330	0.337	0.357	0.374	0.400
2016	0.446	0.467	0.506	0.525	0.552	0.576	0.588	0.614	0.634	0.654	0.678	0.719
2017	0.751	0.777	0.821	0.884	0.925	0.978	1.051	1.084	1.111	1.143	1.172	1.239
2018	1.350	1.412	1.524	1.661	1.755	1.854	1.944	1.998	2.063	2.144	2.208	2.291
2019	2.355	2.392	2.436									



Account Statement - Transaction Summary

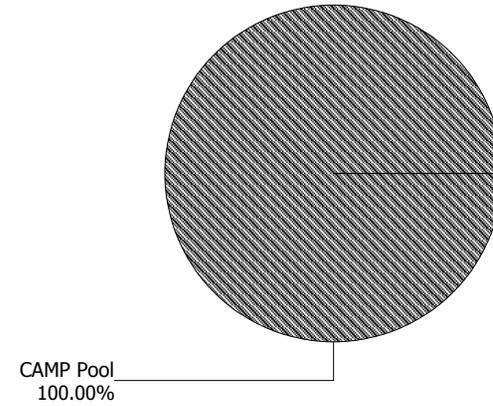
For the Month Ending **March 31, 2019**

SIERRA COUNTY - SIERRA COUNTY [REDACTED]

CAMP Pool	
Opening Market Value	2,886,043.42
Purchases	5,946.24
Redemptions	(250,000.00)
Unsettled Trades	0.00
Change in Value	0.00
Closing Market Value	\$2,641,989.66
Cash Dividends and Income	5,946.24

Asset Summary	March 31, 2019	February 28, 2019
CAMP Pool	2,641,989.66	2,886,043.42
Total	\$2,641,989.66	\$2,886,043.42

Asset Allocation	
CAMP Pool	100.00%





Account Statement

For the Month Ending **March 31, 2019**

SIERRA COUNTY - SIERRA COUNTY [REDACTED]

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
Opening Balance					2,886,043.42
03/07/19	03/07/19	Redemption - Outgoing Wires	1.00	(250,000.00)	2,636,043.42
03/29/19	04/01/19	Accrual Income Div Reinvestment - Distributions	1.00	5,946.24	2,641,989.66
Closing Balance					2,641,989.66

	Month of March	Fiscal YTD February-March	
Opening Balance	2,886,043.42	1,731,894.73	Closing Balance 2,641,989.66
Purchases	5,946.24	1,360,094.93	Average Monthly Balance 2,685,005.96
Redemptions (Excl. Checks)	(250,000.00)	(450,000.00)	Monthly Distribution Yield 2.61%
Check Disbursements	0.00	0.00	
Closing Balance	2,641,989.66	2,641,989.66	
Cash Dividends and Income	5,946.24	10,094.93	

Bank Reconciliation 3-31-2019

Balance per the bank 687,538.83

Deposits in transit

#6928 48,122.14

#6929 1,399.38

#6930 3,725.07

53,246.59

BALANCE PER THE BOOKS

740,785.42

Analyzed Business Checking - PF

Account number: [REDACTED] ■ March 1, 2019 - March 31, 2019 ■ Page 1 of 5



COUNTY OF SIERRA
OPERATING ACCOUNT
PO BOX 376
DOWNIEVILLE CA 95936-0376

Questions?

Call your Customer Service Officer or Client Services
1-800-AT WELLS (1-800-289-3557)
5:00 AM TO 6:00 PM Pacific Time Monday - Friday

Online: wellsfargo.com

Write: Wells Fargo Bank, N.A. (114)
P.O. Box 6995
Portland, OR 97228-6995

Account summary

Analyzed Business Checking - PF

Account number	Beginning balance	Total credits	Total debits	Ending balance
[REDACTED]	\$1,195,607.64	\$3,981,740.76	-\$4,489,809.57	\$687,538.83

Credits

Deposits

Effective date	Posted date	Amount	Transaction detail
	03/04	67,807.08	Deposit
	03/04	2,666.19	Deposit
	03/04	923.65	Deposit
	03/04	467.00	Deposit
	03/04	380.00	Deposit
	03/05	220.00	Deposit
	03/06	2,243.00	Deposit
	03/08	70,659.13	Deposit
	03/08	8,072.58	Deposit
	03/11	36,959.75	Deposit
	03/11	10,387.54	Deposit
	03/11	277.18	Deposit
	03/12	103,880.46	Deposit
	03/12	50.00	Deposit
	03/14	53,803.91	Deposit
	03/14	41,547.26	Deposit
	03/14	1,602.00	Deposit
	03/15	11,284.52	Deposit
	03/15	3,674.10	Deposit
	03/15	675.00	Deposit
	03/18	2,772.94	Deposit
	03/18	1,253.91	Deposit
	03/19	33,707.40	Deposit



Deposits (continued)

<i>Effective date</i>	<i>Posted date</i>	<i>Amount</i>	<i>Transaction detail</i>
	03/19	1,870.10	Deposit
	03/20	54,667.91	Deposit
	03/20	288.51	Deposit
	03/20	46.00	Deposit
	03/21	941.00	Deposit
	03/22	53,501.96	Deposit
	03/22	889.50	Deposit
	03/22	50.00	Deposit
	03/22	46.00	Deposit
	03/25	160,486.01	Deposit
	03/25	7,509.21	Deposit
	03/25	1,548.10	Deposit
	03/26	7,231.25	Deposit
	03/26	1,038.35	Deposit
	03/27	34,377.51	Deposit
	03/27	8,788.57	Deposit
	03/27	900.00	Deposit
	03/27	50.00	Deposit
	03/28	38,726.33	Deposit
	03/28	18,268.80	Deposit
	03/28	1,166.69	Deposit
	03/29	269.17	Deposit
		\$847,975.57	Total deposits

Electronic deposits/bank credits

<i>Effective date</i>	<i>Posted date</i>	<i>Amount</i>	[REDACTED]
	03/01	9,538.75	[REDACTED]
	03/01	900.00	[REDACTED]
	03/01	743.57	[REDACTED]
	03/04	71,103.42	[REDACTED]
	03/04	402.50	[REDACTED]
	03/04	315.00	[REDACTED]
	03/04	334.58	[REDACTED]
	03/05	256.00	[REDACTED]
	03/06	756.15	[REDACTED]
	03/06	434.63	[REDACTED]
	03/06	150.00	[REDACTED]
	03/07	250,000.00	[REDACTED]
	03/07	257.04	[REDACTED]
	03/08	201,875.00	[REDACTED]
	03/08	999.00	[REDACTED]
	03/11	402.38	[REDACTED]



Electronic deposits/bank credits (continued)

Effective date	Posted date	Amount	[REDACTED]
	03/11	107,378.00	[REDACTED]
	03/11	606.00	[REDACTED]
	03/12	149.00	[REDACTED]
	03/13	6,328.13	[REDACTED]
	03/13	118,926.91	[REDACTED]
	03/13	1,452.59	[REDACTED]
	03/13	130.00	[REDACTED]
	03/14	248.88	[REDACTED]
	03/14	52.00	[REDACTED]
	03/15	46,318.83	[REDACTED]
	03/15	444,649.83	[REDACTED]
	03/15	960,000.00	[REDACTED]
	03/15	6.10	[REDACTED]
	03/18	100.00	[REDACTED]
	03/19	40.00	[REDACTED]
	03/20	54,665.17	[REDACTED]
	03/20	23,003.67	[REDACTED]
	03/20	614.23	[REDACTED]
	03/20	520.99	[REDACTED]
	03/20	404.00	[REDACTED]
	03/20	50.00	[REDACTED]
	03/21	51,948.00	[REDACTED]
	03/21	926.94	[REDACTED]
	03/22	68,370.25	[REDACTED]
	03/22	810.70	[REDACTED]
	03/25	8,048.78	[REDACTED]
	03/25	3,966.86	[REDACTED]
	03/25	201,833.40	[REDACTED]
	03/26	16,381.84	[REDACTED]
	03/26	7,007.53	[REDACTED]
	03/26	482.49	[REDACTED]
	03/26	187.00	[REDACTED]
	03/27	234,339.00	[REDACTED]
	03/27	45,963.94	[REDACTED]
	03/27	26,380.20	[REDACTED]
	03/27	21,041.69	[REDACTED]
	03/27	8,953.29	[REDACTED]
	03/27	6,602.29	[REDACTED]
	03/27	5,922.21	[REDACTED]
	03/27	1,843.70	[REDACTED]



Electronic deposits/bank credits (continued)

Effective date	Posted date	Amount	[REDACTED]
	03/27	15.20	[REDACTED]
	03/27	0.17	[REDACTED]
	03/27	0.10	[REDACTED]
	03/27	6,055.23	[REDACTED]
	03/27	129.00	[REDACTED]
	03/27	31.55	[REDACTED]
	03/28	58,473.05	[REDACTED]
	03/28	14,801.89	[REDACTED]
	03/28	2,122.09	[REDACTED]
	03/28	529.94	[REDACTED]
	03/29	34,377.69	[REDACTED]
	03/29	2,106.82	[REDACTED]
		\$3,133,765.19	[REDACTED]
		\$3,981,740.76	[REDACTED]

Debits

Electronic debits/bank debits

Effective date	Posted date	Amount	[REDACTED]
	03/01	5,000.00	[REDACTED]
	03/01	919,907.49	[REDACTED]
	03/04	114,383.04	[REDACTED]
	03/05	3,223.46	[REDACTED]
	03/05	31,876.74	[REDACTED]
	03/06	39,461.51	[REDACTED]
	03/07	480.98	[REDACTED]
	03/08	1,480.54	[REDACTED]
	03/11	148,824.86	[REDACTED]
	03/11	89,602.34	[REDACTED]
	03/11	91,754.64	[REDACTED]
	03/12	185,135.37	[REDACTED]
	03/13	12,735.03	[REDACTED]
	03/14	5,044.35	[REDACTED]
	03/15	27,785.84	[REDACTED]
	03/18	1,599,664.66	[REDACTED]
	03/18	105,326.00	[REDACTED]
	03/19	0.51	[REDACTED]
	03/19	22,555.80	[REDACTED]



Electronic debits/bank debits (continued)

Effective date	Posted date	Amount	[REDACTED]
	03/20	128,219.67	[REDACTED]
	03/21	37,322.06	[REDACTED]
	03/22	49,855.25	[REDACTED]
	03/22	41,994.11	[REDACTED]
	03/22	21,901.19	[REDACTED]
	03/25	32,797.31	[REDACTED]
	03/26	34,026.52	[REDACTED]
	03/27	305,712.82	[REDACTED]
	03/27	115,281.29	[REDACTED]
	03/27	24,444.87	[REDACTED]
	03/28	250,000.00	[REDACTED]
	03/28	827.89	[REDACTED]
	03/28	27,681.60	[REDACTED]
	03/29	15,501.83	[REDACTED]
		\$4,489,809.57	[REDACTED]
		\$4,489,809.57	Total debits

Daily ledger balance summary

Date	Balance	Date	Balance	Date	Balance
02/28	1,195,607.64	03/12	516,071.25	03/21	495,938.16
03/01	281,882.47	03/13	630,173.85	03/22	505,856.02
03/04	311,898.85	03/14	722,383.55	03/25	856,451.07
03/05	277,274.65	03/15	2,161,206.09	03/26	854,753.01
03/06	241,396.92	03/18	460,342.28	03/27	810,707.68
03/07	491,172.98	03/19	473,403.47	03/28	666,286.98
03/08	771,298.15	03/20	479,444.28	03/29	687,538.83
03/11	597,127.16				
Average daily ledger balance		\$713,166.80			

Wells Fargo Bank, N.A.



DATE **3-29-19**
DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL
INCLUDE ADDING MACHINE TAPE LISTING.

CURRENCY COUNT	
X 100	
X 50	
X 20	
X 10	
X 5	
X 2	
X 1	
TOTAL CURRENCY	

		DOLLARS	CENTS
TOTAL CURRENCY			
TOTAL COIN			
TOTAL FROM OTHER SIDE			
1			
2			
3			
4			
5			
6			
7			
8			
9			
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12			
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15			
16			
17			
18			
19			
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21			
22			
23			
24			
25			
26			
TOTAL DEPOSIT		\$ 3125	07

FOR ACKNOWLEDGEMENT DEPOSIT ONLY. USE A VOIDED CHECK.

PLEASE ENTER TOTAL HERE

3920354
DS1 6-D-BK

#6929

SIERRA COUNTY TREASURER TAX COLLECTOR
P.O. BOX 376
DOWNEVILLE, CA 95936

D17 DP
21

\$

3725 07

3920354
DS1 6-D-BK

Wells Fargo Bank, N.A.



DATE **3-29-19**
DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL
INCLUDE ADDING MACHINE TAPE LISTING.

CURRENCY COUNT	
X 100	
X 50	
X 20	
X 10	
X 5	
X 2	
X 1	
TOTAL CURRENCY	

		DOLLARS	CENTS
TOTAL CURRENCY			
TOTAL COIN			
TOTAL FROM OTHER SIDE			
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25			
26			
TOTAL DEPOSIT		\$ 1399	38

FOR ACKNOWLEDGEMENT DEPOSIT ONLY. USE A VOIDED CHECK.

PLEASE ENTER TOTAL HERE

#6929

SIERRA COUNTY TREASURER TAX COLLECTOR
P.O. BOX 376
DOWNEVILLE, CA 95936

D17 DP
3

\$

1399 38

Wells Fargo Bank, N.A.



DATE **3-29-19**
DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL
INCLUDE ADDING MACHINE TAPE LISTING.

CURRENCY COUNT	
X 100	
X 50	
X 20	
X 10	
X 5	
X 2	
X 1	
TOTAL CURRENCY	

		DOLLARS	CENTS
TOTAL CURRENCY			
TOTAL COIN			
TOTAL FROM OTHER SIDE			
1			
2			
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15			
16			
17			
18			
19			
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22			
23			
24			
25			
26			
TOTAL DEPOSIT		\$ 48,122	14

FOR ACKNOWLEDGEMENT DEPOSIT ONLY. USE A VOIDED CHECK.

PLEASE ENTER TOTAL HERE

3920354
DS1 6-D-BK

#6928

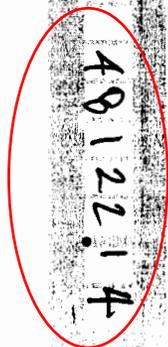
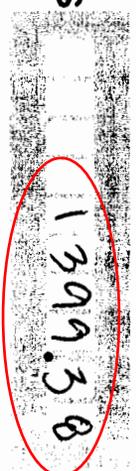
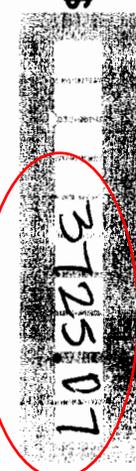
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SIERRA COUNTY TREASURER TAX COLLECTOR
P.O. BOX 376
DOWNEVILLE, CA 95936

DP 316

\$

48122 14



March

2019

Page 2

Date	Description	DR	CR	Balance
	Balance Forward			852.41
3-26-19	CR013435		144.00	996.41
	CR013438		8.00	1004.41
	CR013440		46.00	1050.41
	CR013444		92.00	1142.41 ✓
	Currency to bank	900.00		242.41 ✓
3-29-19	CR013456		4.50	246.91 ✓
	CR013462		9.00	<u>255.91</u>

March 2019

Date	Description	DR	CR	Balance
3-1-19	Balance fwd			266.33
	CR013352		375.00	641.33
3-1-19	Currency to bank	-380.00		261.33 ✓
	CV00255	51.59		209.74 ✓
3-8-19	CR013365		2100.00	309.74
3-11-19	CR013372		65.00	374.74
	CR013374		144.00	518.74 ✓
3-12-19	CR013380		74.00	592.74
	CR013381		40.00	632.74
	CR013379		52.00	684.74
	CR013382		135.00	819.74 ✓
3-13-19	CR013383		8.00	827.74 ✓
3-15-19	CR013390		139.00	966.74
	CR013392		54.00	1020.82
	CR013394		1.00	1021.82 ✓
	Cash to bank	675.00		346.82 ✓
3-19-19	CR013405		22.65	369.47
	CR013409		47.94	417.41 ✓
	AP121050 <i>Hanson</i>	46.00		371.41
3-20-19	CR013406		41.00	412.41
	CR013408		40.00	452.41
	CR013412		50.00	502.41
	CR013414		20.00	522.41
	CR013415		331.00	853.41 ✓
3-22-19	AP121044 <i>Gonzalez</i>	46.00		807.41
	CR013422		45.00	852.41 ✓



County of Sierra - Account # [REDACTED]

MONTHLY ACCOUNT STATEMENT

MARCH 1, 2019 THROUGH MARCH 31, 2019

Chandler Team:

For questions about your account, please call (800) 317-4747,
or contact operations@chandlerasset.com

Custodian

Union Bank N.A.
Tina Guzman
(619) 230-3547

CHANDLER ASSET MANAGEMENT
chandlerasset.com

Information contained herein is confidential. We urge you to compare this statement to the one you receive from your qualified custodian. Please see Important Disclosures.



PORTFOLIO CHARACTERISTICS

Average Modified Duration	1.67
Average Coupon	1.97%
Average Purchase YTM	2.16%
Average Market YTM	2.48%
Average S&P/Moody Rating	AA/Aa1
Average Final Maturity	1.75 yrs
Average Life	1.73 yrs

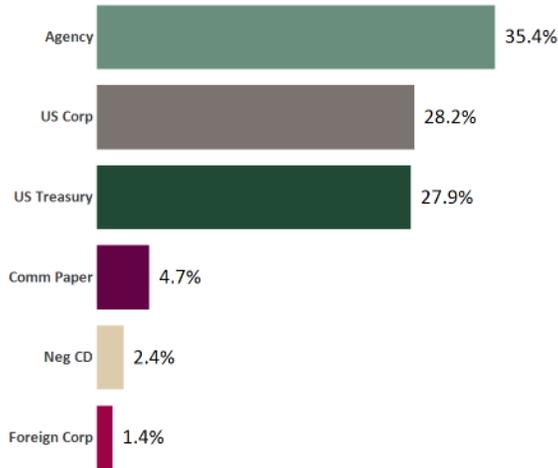
ACCOUNT SUMMARY

	Beg. Values as of 2/28/19	End Values as of 3/31/19
Market Value	20,322,523	21,178,235
Accrued Interest	97,177	98,287
Total Market Value	20,419,700	21,276,522
Income Earned	35,153	36,982
Cont/WD		726,940
Par	20,510,000	21,275,000
Book Value	20,428,614	21,191,359
Cost Value	20,417,804	21,166,770

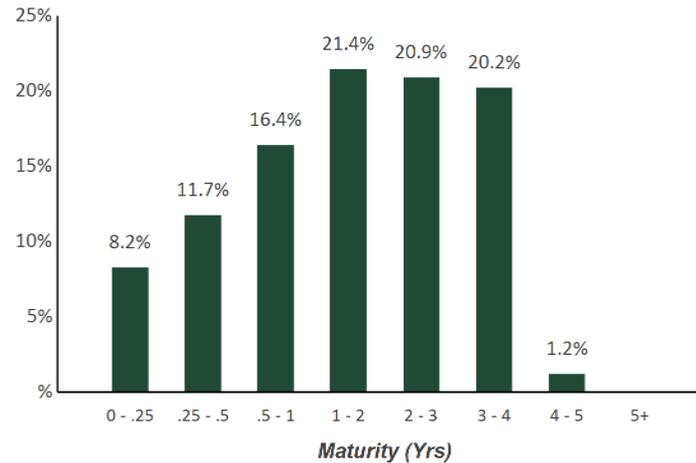
TOP ISSUERS

Government of United States	27.9%
Federal National Mortgage Assoc	13.7%
Federal Home Loan Bank	13.2%
Federal Home Loan Mortgage Corp	6.1%
Bank of Montreal Chicago	2.4%
Federal Farm Credit Bank	2.3%
MUFG Bank Ltd/NY	2.3%
Rabobank Nederland NV NY	2.3%
Total	70.4%

SECTOR ALLOCATION



MATURITY DISTRIBUTION



CREDIT QUALITY (S&P)



PERFORMANCE REVIEW

TOTAL RATE OF RETURN	1M	3M	YTD	1YR	Annualized				
					2YRS	3YRS	5YRS	10YRS	2/28/2015
County of Sierra	0.62%	1.28%	1.28%	3.01%	1.62%	1.25%	N/A	N/A	1.26%
ICE BAML 1-3 Yr US Treasury/Agency Index	0.61%	0.98%	0.98%	2.72%	1.38%	1.00%	N/A	N/A	1.02%

Statement of Compliance

As of March 31, 2019



County of Sierra

Assets managed by Chandler Asset Management are in full compliance with state law and with the investment policy

Category	Standard	Comment
Treasury Issues	No limitations	Complies
U.S. Agencies	25% max per Agency/GSE issuer	Complies
Supranational	"AA" rating category or better by a NRSRO; 30% maximum; 10% max per issuer; USD denominated senior unsecured unsubordinated obligations issued or unconditionally guaranteed by IBRD, IFC, or IADB	Complies
Municipal Securities	"A" rating category or better by a NRSRO; 30% maximum; 5% max per issuer; Obligations of the County, State of California, or any of the 49 states in addition to California, including bonds payable solely out of the revenues from a revenue-producing property owned, controlled, or operated by a state or by a department, board, agency, or authority of any of the other 49 states in addition to California.	Complies
Corporate Medium Term Notes (MTNs)	"A" rating category or better by a NRSRO; 30% maximum; 5% max per issuer; Issued by corporations organized and operating within the U.S. or by depository institutions licensed by the U.S. or any state and operating within the U.S.	Complies
Negotiable CDs (NCDs)	No rating required if amount of the NCD is covered by FDIC insured limit; If above FDIC insured limit, requires "A-1" short-term rated or better by a NRSRO or "A" long-term issuer rating category or better by a NRSRO; 30% maximum (combined with CDARS); 5% max per issuer	Complies
FDIC insured Time Deposits/ Certificates of Deposit	Amount per institution limited to the max covered under FDIC; 20% maximum (combination of FDIC insured and collateralized TDs/ CDs)	Complies
Collateralized Time Deposits/ Certificates of Deposit	20% maximum (combination of FDIC insured and collateralized TDs/ CDs)	Complies
Asset Backed/ Mortgage Backed/ Collateralized Mortgage Obligation	"AA" rating category or better by a NRSRO; "A" long-term debt rating category or higher by a NRSRO; 20% maximum; 5% max per issuer on Asset-Backed or Commercial Mortgage security; There is no issuer limitation on any Mortgage security where the issuer is the US Treasury or a Federal Agency/GSE	Complies
Banker's Acceptances	"A-1" short-term rated issuer or better by a NRSRO or "A" long-term debt rating category or better by a NRSRO; 40% maximum; 5% max per issuer; 180 days max maturity	Complies
Commercial Paper	"A-1" rated or better by a NRSRO; and "A" long-term debt issuer rating category or better by a NRSRO; 25% maximum; 5% max per issuer; 270 days max maturity; Issuer is a corporation organized and operating in the U.S. with assets > \$500 million; County may not purchase more than 10% of outstanding commercial paper of any single issuer.	Complies
Mutual Fund & Money Market Mutual Funds	Highest rating or "AAA" rated by two NRSROs; SEC registered adviser with AUM >\$500 million and experience > 5 years; 20% maximum in Mutual Funds and Money Market Mutual Funds; 10% max per one Mutual Fund; 20% max in Money Market Mutual Funds.	Complies
Local Agency Investment Fund (LAIF)	Maximum amount permitted by LAIF; Not used by investment adviser	Complies
Local Government Investment Pools	California Asset Management Program; Investment Trust of California; Not used by investment adviser	Complies
Repurchase Agreements	1 year max maturity; 102% collateralized; Not used by investment adviser	Complies
Prohibited Securities	Inverse floaters; Ranges notes, Mortgage-derived, Interest-only strips; Zero interest accrual securities; Futures and Options; Purchasing/ Selling securities on margin; Reverse Repurchase Agreements; Securities lending; Foreign currency denominated securities	Complies
Callable Securities	20% maximum (does not include "make whole call" securities)	Complies
Max Per Issuer	No more than 5% in any single issuer unless otherwise specified in the policy	Complies
Maximum Maturity	5 years maximum maturity	Complies



Reconciliation Summary

As of March 31, 2019



BOOK VALUE RECONCILIATION		
BEGINNING BOOK VALUE		\$20,428,613.78
<u>Acquisition</u>		
+ Security Purchases	\$1,594,830.70	
+ Money Market Fund Purchases	\$0.00	
+ Money Market Contributions	\$0.00	
+ Security Contributions	\$0.00	
+ Security Transfers	\$0.00	
Total Acquisitions		\$1,594,830.70
<u>Dispositions</u>		
- Security Sales	\$0.00	
- Money Market Fund Sales	\$0.00	
- MMF Withdrawals	\$0.00	
- Security Withdrawals	\$0.00	
- Security Transfers	\$0.00	
- Other Dispositions	\$0.00	
- Maturities	\$635,000.00	
- Calls	\$200,000.00	
- Principal Paydowns	\$0.00	
Total Dispositions		\$835,000.00
<u>Amortization/Accretion</u>		
+/- Net Accretion	\$2,980.45	
		\$2,980.45
<u>Gain/Loss on Dispositions</u>		
+/- Realized Gain/Loss	(\$65.82)	
		(\$65.82)
ENDING BOOK VALUE		\$21,191,359.11



Account [REDACTED]

As of March 31, 2019

CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
AGENCY									
	FNMA Note 1.75% Due 6/20/2019	250,000.00	05/17/2016 1.06%	255,215.00 250,370.19	99.84 2.47%	249,601.00 1,227.43	1.18% (769.19)	Aaa / AA+ AAA	0.22 0.22
	FNMA Note 0.875% Due 8/2/2019	425,000.00	12/12/2016 1.40%	419,296.50 424,269.24	99.47 2.46%	422,752.60 609.46	1.99% (1,516.64)	Aaa / AA+ AAA	0.34 0.33
	FHLMC Callable Note 1X 8/22/2014 1.4% Due 8/22/2019	400,000.00	03/10/2016 1.26%	401,912.00 400,217.86	99.60 2.43%	398,400.80 606.67	1.88% (1,817.06)	Aaa / AA+ AAA	0.39 0.39
	FHLB Note 1.375% Due 9/13/2019	375,000.00	10/12/2016 1.12%	377,696.25 375,419.30	99.51 2.47%	373,171.13 257.81	1.76% (2,248.17)	Aaa / AA+ NR	0.45 0.45
	FHLMC Note 1.25% Due 10/2/2019	500,000.00	01/21/2016 1.31%	498,850.00 499,842.79	99.39 2.47%	496,965.00 3,107.64	2.35% (2,877.79)	Aaa / AA+ AAA	0.51 0.49
	FNMA Note 1.75% Due 11/26/2019	400,000.00	03/10/2016 1.32%	406,224.00 401,101.06	99.53 2.48%	398,119.60 2,430.56	1.88% (2,981.46)	Aaa / AA+ AAA	0.66 0.64
	FHLB Note 1.25% Due 12/13/2019	250,000.00	02/01/2018 2.17%	245,845.00 248,424.18	99.21 2.40%	248,017.25 937.50	1.17% (406.93)	Aaa / AA+ AAA	0.70 0.69
	FHLB Note 1.875% Due 3/13/2020	400,000.00	03/10/2016 1.41%	407,216.00 401,716.21	99.45 2.46%	397,807.20 375.00	1.87% (3,909.01)	Aaa / AA+ NR	0.95 0.93
	FFCB Note 1.55% Due 4/13/2020	500,000.00	04/12/2017 1.52%	500,395.00 500,136.86	99.11 2.43%	495,525.50 3,616.67	2.35% (4,611.36)	Aaa / AA+ AAA	1.04 1.01
	FHLMC Note 1.875% Due 11/17/2020	400,000.00	07/10/2018 2.69%	392,660.00 394,901.35	99.24 2.35%	396,952.80 2,791.67	1.88% 2,051.45	Aaa / AA+ AAA	1.64 1.58
	FNMA Note 1.25% Due 5/6/2021	350,000.00	10/12/2016 1.39%	347,854.50 349,011.16	97.84 2.31%	342,444.55 1,762.15	1.62% (6,566.61)	Aaa / AA+ AAA	2.10 2.04
	FHLB Note 2.875% Due 12/10/2021	500,000.00	01/04/2019 2.58%	504,100.00 503,788.17	101.36 2.35%	506,816.50 4,432.29	2.40% 3,028.33	Aaa / AA+ NR	2.70 2.56
	FNMA Note 2% Due 1/5/2022	500,000.00	11/01/2017 1.97%	500,535.00 500,355.26	99.22 2.29%	496,121.50 2,388.89	2.34% (4,233.76)	Aaa / AA+ AAA	2.77 2.66
	FNMA Note 1.875% Due 4/5/2022	500,000.00	04/12/2017 1.89%	499,570.00 499,739.11	98.90 2.25%	494,508.00 4,583.33	2.35% (5,231.11)	Aaa / AA+ AAA	3.02 2.88
	FHLB Note 2.75% Due 6/10/2022	500,000.00	07/10/2018 2.84%	498,305.00 498,615.99	101.37 2.30%	506,841.00 4,239.58	2.40% 8,225.01	Aaa / AA+ NR	3.20 3.02
	FHLB Note 3% Due 12/9/2022	250,000.00	01/04/2019 2.61%	253,592.50 253,388.87	102.55 2.27%	256,375.50 3,020.83	1.22% 2,986.63	Aaa / AA+ AAA	3.70 3.44

Holdings Report

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CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
AGENCY									
	FNMA Note 2.375% Due 1/19/2023	500,000.00	03/14/2019 2.48%	498,075.00 498,092.85	100.27 2.30%	501,346.50 2,375.00	2.37% 3,253.65	Aaa / AA+ AAA	3.81 3.60
	FHLB Note 2.75% Due 3/10/2023	500,000.00	03/14/2019 2.48%	505,085.00 505,039.47	101.76 2.28%	508,816.00 802.08	2.40% 3,776.53	Aaa / AA+ NR	3.95 3.72
Total Agency		7,500,000.00	1.89%	7,512,426.75 7,504,429.92	2.37%	7,490,582.43 39,564.56	35.39% (13,847.49)	Aaa / AA+ AAA	1.90 1.81
COMMERCIAL PAPER									
	MUFG Bank Ltd/NY Discount CP 2.76% Due 5/6/2019	500,000.00	01/04/2019 2.82%	495,553.33 495,553.33	99.11 2.82%	495,553.33 3,105.00	2.34% 0.00	P-1 / A-1 NR	0.10 0.10
	Rabobank Nederland NV NY Discount CP 2.72% Due 10/4/2019	500,000.00	01/07/2019 2.80%	489,913.33 489,913.33	97.98 2.80%	489,913.33 3,060.00	2.32% 0.00	P-1 / A-1 NR	0.51 0.51
Total Commercial Paper		1,000,000.00	2.81%	985,466.66 985,466.66	2.81%	985,466.66 6,165.00	4.66% 0.00	P-1 / A-1 NR	0.30 0.30
FOREIGN CORPORATE									
	Toronto Dominion Bank Note 2.125% Due 7/2/2019	300,000.00	02/12/2018 2.31%	299,232.00 299,859.25	99.87 2.62%	299,619.00 1,576.04	1.42% (240.25)	Aa1 / AA- AA-	0.25 0.25
Total Foreign Corporate		300,000.00	2.31%	299,232.00 299,859.25	2.62%	299,619.00 1,576.04	1.42% (240.25)	Aa1 / AA- AA-	0.25 0.25
NEGOTIABLE CD									
	Bank of Montreal Chicago Yankee CD 2.8% Due 4/29/2019	500,000.00	01/04/2019 2.75%	500,073.14 500,018.79	100.00 2.75%	500,018.79 3,383.33	2.37% 0.00	P-1 / A-1 F-1+	0.08 0.08
Total Negotiable CD		500,000.00	2.75%	500,073.14 500,018.79	2.75%	500,018.79 3,383.33	2.37% 0.00	P-1 / A-1 F-1+	0.08 0.08
US CORPORATE									
	American Honda Finance Note 2.25% Due 8/15/2019	200,000.00	03/10/2016 1.84%	202,722.00 200,296.63	99.85 2.66%	199,694.20 575.00	0.94% (602.43)	A2 / A NR	0.38 0.37

Holdings Report

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CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
US CORPORATE									
	John Deere Capital Corp Note 1.25% Due 10/9/2019	200,000.00	12/12/2016 1.86%	196,686.00 199,384.27	99.26 2.69%	198,516.80 1,194.44	0.94% (867.47)	A2 / A A	0.53 0.51
	Qualcomm Inc Note 2.25% Due 5/20/2020	225,000.00	12/12/2016 2.24%	225,101.25 225,033.56	99.58 2.63%	224,051.40 1,842.19	1.06% (982.16)	A2 / A- NR	1.14 1.10
	Cisco Systems Note 2.45% Due 6/15/2020	200,000.00	12/12/2016 2.15%	202,020.00 200,697.04	99.84 2.58%	199,681.40 1,442.78	0.95% (1,015.64)	A1 / AA- NR	1.21 1.17
	HSBC USA Inc Note 2.75% Due 8/7/2020	250,000.00	02/01/2018 2.63%	250,715.00 250,386.87	100.10 2.68%	250,240.00 1,031.25	1.18% (146.87)	A2 / A AA-	1.36 1.31
	Chubb INA Holdings Inc Callable Note Cont 10/3/2020 2.3% Due 11/3/2020	250,000.00	03/14/2019 2.77%	248,137.50 248,178.19	99.50 2.62%	248,759.00 2,363.89	1.18% 580.81	A3 / A A	1.60 1.53
	Visa Inc Note 2.2% Due 12/14/2020	200,000.00	12/12/2016 2.12%	200,636.00 200,271.39	99.56 2.46%	199,120.00 1,307.78	0.94% (1,151.39)	A1 / AA- NR	1.71 1.65
	IBM Corp Note 2.25% Due 2/19/2021	200,000.00	11/01/2017 2.06%	201,204.00 200,691.72	99.16 2.71%	198,313.60 525.00	0.93% (2,378.12)	A1 / A A	1.89 1.83
	Paccar Financial Corp Note 2.8% Due 3/1/2021	150,000.00	07/10/2018 3.05%	149,070.00 149,323.28	100.33 2.62%	150,487.80 350.00	0.71% 1,164.52	A1 / A+ NR	1.92 1.85
	Bank of America Corp Note 2.625% Due 4/19/2021	250,000.00	01/04/2019 3.29%	246,367.50 246,722.00	99.75 2.75%	249,377.00 2,953.13	1.19% 2,655.00	A2 / A- A+	2.05 1.96
	General Dynamics Corp Note 3% Due 5/11/2021	250,000.00	07/10/2018 3.07%	249,490.00 249,619.35	100.90 2.56%	252,258.00 2,916.67	1.20% 2,638.65	A2 / A+ NR	2.12 2.01
	State Street Bank Note 1.95% Due 5/19/2021	200,000.00	02/01/2018 2.69%	195,384.00 196,998.44	98.68 2.59%	197,351.40 1,430.00	0.93% 352.96	A1 / A AA-	2.14 2.06
	JP Morgan Chase Callable Note Cont 5/7/2021 2.4% Due 6/7/2021	250,000.00	02/01/2018 2.78%	246,997.50 248,031.23	99.26 2.75%	248,156.75 1,900.00	1.18% 125.52	A2 / A- AA-	2.19 2.10
	American Honda Finance Note 1.65% Due 7/12/2021	200,000.00	07/10/2018 3.21%	191,158.00 193,273.62	97.72 2.69%	195,443.80 724.17	0.92% 2,170.18	A2 / A NR	2.28 2.21
	Oracle Corp Callable Note Cont 8/01/21 1.9% Due 9/15/2021	250,000.00	11/01/2017 2.17%	247,530.00 248,425.79	98.36 2.59%	245,888.75 211.11	1.16% (2,537.04)	A1 / AA- A	2.46 2.38
	PNC Bank Callable Note Cont 11/09/2021 2.55% Due 12/9/2021	250,000.00	11/01/2017 2.34%	251,997.50 251,314.29	99.37 2.79%	248,422.00 1,983.33	1.18% (2,892.29)	A2 / A A+	2.70 2.56

Holdings Report

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CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
US CORPORATE									
	John Deere Capital Corp Note 2.65% Due 1/6/2022	200,000.00	07/10/2018 3.17%	196,572.00 197,277.53	99.96 2.67%	199,913.80 1,251.39	0.95% 2,636.27	A2 / A A	2.77 2.63
	Bank of NY Mellon Corp Callable Note Cont 1/7/2022 2.6% Due 2/7/2022	250,000.00	11/01/2017 2.37%	252,317.50 251,555.44	99.94 2.62%	249,856.50 975.00	1.18% (1,698.94)	A1 / A AA-	2.86 2.65
	Apple Inc Callable Cont 1/9/2022 2.5% Due 2/9/2022	250,000.00	11/01/2017 2.23%	252,715.00 251,823.38	99.88 2.54%	249,694.25 902.78	1.18% (2,129.13)	Aa1 / AA+ NR	2.87 2.66
	Praxair Callable Note Cont 11/15/2021 2.45% Due 2/15/2022	250,000.00	02/01/2018 2.77%	246,952.50 247,821.14	99.98 2.45%	249,961.00 782.64	1.18% 2,139.86	A2 / A NR	2.88 2.75
	Blackrock Inc Note 3.375% Due 6/1/2022	250,000.00	07/10/2018 3.15%	252,062.50 251,681.69	102.55 2.53%	256,366.50 2,812.50	1.22% 4,684.81	Aa3 / AA- NR	3.17 2.96
	Charles Schwab Corp Note 3.225% Due 9/1/2022	250,000.00	07/10/2018 3.26%	249,667.50 249,725.15	101.79 2.67%	254,487.00 671.88	1.20% 4,761.85	A2 / A A	3.42 3.21
	Toyota Motor Credit Corp Note 2.7% Due 1/11/2023	250,000.00	01/04/2019 3.40%	243,502.50 243,862.48	100.18 2.65%	250,459.50 1,500.00	1.18% 6,597.02	Aa3 / AA- A+	3.79 3.55
	Exxon Mobil Corp Callable Note Cont 1/1/2023 2.726% Due 3/1/2023	250,000.00	01/04/2019 3.13%	246,120.00 246,327.99	100.68 2.53%	251,708.00 567.92	1.19% 5,380.01	Aaa / AA+ NR	3.92 3.54
	Berkshire Hathaway Callable Note Cont 1/15/2023 2.75% Due 3/15/2023	250,000.00	01/04/2019 3.25%	245,105.00 245,365.00	100.60 2.58%	251,492.00 305.56	1.18% 6,127.00	Aa2 / AA A+	3.96 3.58
	Wal-Mart Stores Callable Note Cont 1/11/2023 2.55% Due 4/11/2023	250,000.00	01/04/2019 3.14%	244,202.50 244,505.08	99.83 2.59%	249,578.50 3,010.42	1.19% 5,073.42	Aa2 / AA AA	4.03 3.76
Total US Corporate		5,975,000.00	2.71%	5,934,433.25 5,938,592.55	2.62%	5,969,278.95 35,530.83	28.22% 30,686.40	A1 / A+ A+	2.43 2.29
US TREASURY									
	US Treasury Note 1.25% Due 5/31/2019	500,000.00	02/01/2018 2.04%	494,921.88 499,363.91	99.80 2.44%	498,998.50 2,094.78	2.36% (365.41)	Aaa / AA+ AAA	0.17 0.17
	US Treasury Note 0.875% Due 7/31/2019	400,000.00	01/21/2016 1.20%	395,548.22 399,580.15	99.46 2.47%	397,859.20 580.11	1.87% (1,720.95)	Aaa / AA+ AAA	0.33 0.33
	US Treasury Note 1% Due 8/31/2019	400,000.00	01/21/2016 1.21%	397,032.59 399,656.74	99.39 2.49%	397,546.80 347.83	1.87% (2,109.94)	Aaa / AA+ AAA	0.42 0.41

Holdings Report

As of March 31, 2019



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
US TREASURY									
	US Treasury Note 1% Due 11/30/2019	500,000.00	01/04/2019 2.59%	493,046.88 494,785.16	99.06 2.42%	495,312.50 1,675.82	2.34% 527.34	Aaa / AA+ AAA	0.67 0.66
	US Treasury Note 1.125% Due 12/31/2019	350,000.00	10/12/2016 1.09%	350,425.00 350,099.53	99.04 2.43%	346,623.20 989.81	1.63% (3,476.33)	Aaa / AA+ AAA	0.75 0.74
	US Treasury Note 1.25% Due 1/31/2020	400,000.00	03/10/2016 1.26%	399,782.59 399,953.20	99.03 2.43%	396,109.20 828.73	1.87% (3,844.00)	Aaa / AA+ AAA	0.84 0.82
	US Treasury Note 1.125% Due 4/30/2020	350,000.00	10/12/2016 1.15%	349,686.72 349,904.15	98.65 2.40%	345,269.40 1,651.56	1.63% (4,634.75)	Aaa / AA+ AAA	1.08 1.06
	US Treasury Note 1.625% Due 7/31/2020	425,000.00	12/12/2016 1.63%	424,935.02 424,976.10	99.00 2.39%	420,733.43 1,144.68	1.98% (4,242.67)	Aaa / AA+ AAA	1.34 1.31
	US Treasury Note 1.375% Due 8/31/2020	425,000.00	12/12/2016 1.66%	420,734.82 423,369.47	98.59 2.39%	419,023.65 508.15	1.97% (4,345.82)	Aaa / AA+ AAA	1.42 1.39
	US Treasury Note 2% Due 9/30/2020	500,000.00	02/01/2018 2.29%	496,269.53 497,885.94	99.47 2.36%	497,363.50 27.32	2.34% (522.44)	Aaa / AA+ AAA	1.50 1.47
	US Treasury Note 1.375% Due 1/31/2021	500,000.00	04/12/2017 1.63%	495,411.83 497,775.53	98.31 2.32%	491,562.50 1,139.50	2.32% (6,213.03)	Aaa / AA+ AAA	1.84 1.80
	US Treasury Note 1.125% Due 8/31/2021	500,000.00	04/12/2017 1.72%	487,482.14 493,074.39	97.34 2.26%	486,679.50 489.13	2.29% (6,394.89)	Aaa / AA+ AAA	2.42 2.36
	US Treasury Note 1.75% Due 3/31/2022	400,000.00	07/10/2018 2.72%	386,328.13 388,967.80	98.59 2.24%	394,343.60 19.13	1.85% 5,375.80	Aaa / AA+ AAA	3.00 2.90
	US Treasury Note 1.875% Due 8/31/2022	350,000.00	03/14/2019 2.44%	343,533.20 343,599.87	98.81 2.24%	345,843.75 570.65	1.63% 2,243.88	Aaa / AA+ AAA	3.42 3.28
Total US Treasury		6,000,000.00	1.78%	5,935,138.55 5,962,991.94	2.38%	5,933,268.73 12,067.20	27.94% (29,723.21)	Aaa / AA+ AAA	1.35 1.32
TOTAL PORTFOLIO		21,275,000.00	2.16%	21,166,770.35 21,191,359.11	2.48%	21,178,234.56 98,286.96	100.00% (13,124.55)	Aa1 / AA AAA	1.75 1.67
TOTAL MARKET VALUE PLUS ACCRUED						21,276,521.52			



Account [REDACTED]

As of March 31, 2019

Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
ACQUISITIONS										
Purchase	03/19/2019	[REDACTED]	250,000.00	Chubb INA Holdings Inc Callable Note Cont 10/3/2020 2.3% Due 11/3/2020	99.255	2.77%	248,137.50	2,172.22	250,309.72	0.00
Purchase	03/19/2019	[REDACTED]	500,000.00	FHLB Note 2.75% Due 3/10/2023	101.017	2.48%	505,085.00	343.75	505,428.75	0.00
Purchase	03/19/2019	[REDACTED]	500,000.00	FNMA Note 2.375% Due 1/19/2023	99.615	2.48%	498,075.00	1,979.17	500,054.17	0.00
Purchase	03/19/2019	[REDACTED]	350,000.00	US Treasury Note 1.875% Due 8/31/2022	98.152	2.44%	343,533.20	338.82	343,872.02	0.00
Subtotal			1,600,000.00				1,594,830.70	4,833.96	1,599,664.66	0.00
TOTAL ACQUISITIONS			1,600,000.00				1,594,830.70	4,833.96	1,599,664.66	0.00

DISPOSITIONS										
Call	03/25/2019	[REDACTED]	200,000.00	US Bancorp Callable Note Cont 3/25/2019 2.2% Due 4/25/2019	100.000	2.20%	200,000.00	1,833.33	201,833.33	-65.82
Subtotal			200,000.00				200,000.00	1,833.33	201,833.33	-65.82
Maturity	03/08/2019	[REDACTED]	200,000.00	FHLB Note 1.875% Due 3/8/2019	100.000		200,000.00	0.00	200,000.00	0.00
Maturity	03/15/2019	[REDACTED]	200,000.00	Berkshire Hathaway Note 1.7% Due 3/15/2019	100.000		200,000.00	0.00	200,000.00	0.00
Maturity	03/15/2019	[REDACTED]	235,000.00	Exxon Mobil Corp Callable Note Cont 2/15/2019 1.819% Due 3/15/2019	100.000		235,000.00	0.00	235,000.00	0.00
Subtotal			635,000.00				635,000.00	0.00	635,000.00	0.00
TOTAL DISPOSITIONS			835,000.00				835,000.00	1,833.33	836,833.33	-65.82

OTHER TRANSACTIONS										
Interest	03/01/2019	[REDACTED]	250,000.00	Exxon Mobil Corp Callable Note Cont 1/1/2023 2.726% Due 3/1/2023	0.000		3,407.50	0.00	3,407.50	0.00



Account [REDACTED]

As of March 31, 2019

Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
OTHER TRANSACTIONS										
Interest	03/01/2019	[REDACTED]	150,000.00	Paccar Financial Corp Note 2.8% Due 3/1/2021	0.000		2,100.00	0.00	2,100.00	0.00
Interest	03/01/2019	[REDACTED]	250,000.00	Charles Schwab Corp Note 3.225% Due 9/1/2022	0.000		4,031.25	0.00	4,031.25	0.00
Interest	03/08/2019	[REDACTED]	200,000.00	FHLB Note 1.875% Due 3/8/2019	0.000		1,875.00	0.00	1,875.00	0.00
Interest	03/13/2019	[REDACTED]	400,000.00	FHLB Note 1.875% Due 3/13/2020	0.000		3,750.00	0.00	3,750.00	0.00
Interest	03/13/2019	[REDACTED]	375,000.00	FHLB Note 1.375% Due 9/13/2019	0.000		2,578.13	0.00	2,578.13	0.00
Interest	03/15/2019	[REDACTED]	200,000.00	Berkshire Hathaway Note 1.7% Due 3/15/2019	0.000		1,700.00	0.00	1,700.00	0.00
Interest	03/15/2019	[REDACTED]	250,000.00	Berkshire Hathaway Callable Note Cont 1/15/2023 2.75% Due 3/15/2023	0.000		3,437.50	0.00	3,437.50	0.00
Interest	03/15/2019	[REDACTED]	235,000.00	Exxon Mobil Corp Callable Note Cont 2/15/2019 1.819% Due 3/15/2019	0.000		2,137.33	0.00	2,137.33	0.00
Interest	03/15/2019	[REDACTED]	250,000.00	Oracle Corp Callable Note Cont 8/01/21 1.9% Due 9/15/2021	0.000		2,375.00	0.00	2,375.00	0.00
Interest	03/31/2019	[REDACTED]	400,000.00	US Treasury Note 1.75% Due 3/31/2022	0.000		3,500.00	0.00	3,500.00	0.00
Interest	03/31/2019	[REDACTED]	500,000.00	US Treasury Note 2% Due 9/30/2020	0.000		5,000.00	0.00	5,000.00	0.00
Subtotal			3,460,000.00				35,891.71	0.00	35,891.71	0.00
TOTAL OTHER TRANSACTIONS			3,460,000.00				35,891.71	0.00	35,891.71	0.00

Income Earned

As of March 31, 2019



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
FIXED INCOME						
	Chubb INA Holdings Inc Callable Note Cont 10/3/2020 2.3% Due 11/03/2020	03/14/2019 03/19/2019 250,000.00	0.00 248,137.50 0.00 248,178.19	0.00 (2,172.22) 2,363.89 191.67	40.69 0.00 40.69 232.36	232.36
	American Honda Finance Note 2.25% Due 08/15/2019	03/10/2016 03/15/2016 200,000.00	200,364.24 0.00 0.00 200,296.63	200.00 0.00 575.00 375.00	0.00 67.61 (67.61) 307.39	307.39
	American Honda Finance Note 1.65% Due 07/12/2021	07/10/2018 07/13/2018 200,000.00	193,023.30 0.00 0.00 193,273.62	449.17 0.00 724.17 275.00	250.32 0.00 250.32 525.32	525.32
	Apple Inc Callable Cont 1/9/2022 2.5% Due 02/09/2022	11/01/2017 11/06/2017 250,000.00	251,877.47 0.00 0.00 251,823.38	381.94 0.00 902.78 520.84	0.00 54.09 (54.09) 466.75	466.75
	Bank of America Corp Note 2.625% Due 04/19/2021	01/04/2019 01/10/2019 250,000.00	246,586.33 0.00 0.00 246,722.00	2,406.25 0.00 2,953.13 546.88	135.67 0.00 135.67 682.55	682.55
	Bank of NY Mellon Corp Callable Note Cont 1/7/2022 2.6% Due 02/07/2022	11/01/2017 11/06/2017 250,000.00	251,601.67 0.00 0.00 251,555.44	433.33 0.00 975.00 541.67	0.00 46.23 (46.23) 495.44	495.44
	Berkshire Hathaway Note Due 03/15/2019	03/10/2016 03/15/2016 0.00	200,009.33 0.00 200,000.00 0.00	1,567.78 1,700.00 0.00 132.22	0.00 9.33 (9.33) 122.89	122.89
	Berkshire Hathaway Callable Note Cont 1/15/2023 2.75% Due 03/15/2023	01/04/2019 01/10/2019 250,000.00	245,265.49 0.00 0.00 245,365.00	3,170.14 3,437.50 305.56 572.92	99.51 0.00 99.51 672.43	672.43
	Blackrock Inc Note 3.375% Due 06/01/2022	07/10/2018 07/13/2018 250,000.00	251,726.74 0.00 0.00 251,681.69	2,109.38 0.00 2,812.50 703.12	0.00 45.05 (45.05) 658.07	658.07

Income Earned

As of March 31, 2019



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
	Cisco Systems Note 2.45% Due 06/15/2020	12/12/2016 12/15/2016 200,000.00	200,746.04 0.00 0.00 200,697.04	1,034.44 0.00 1,442.78 408.34	0.00 49.00 (49.00) 359.34	359.34
	John Deere Capital Corp Note 1.25% Due 10/09/2019	12/12/2016 12/15/2016 200,000.00	199,284.33 0.00 0.00 199,384.27	986.11 0.00 1,194.44 208.33	99.94 0.00 99.94 308.27	308.27
	John Deere Capital Corp Note 2.65% Due 01/06/2022	07/10/2018 07/13/2018 200,000.00	197,194.05 0.00 0.00 197,277.53	809.72 0.00 1,251.39 441.67	83.48 0.00 83.48 525.15	525.15
	Exxon Mobil Corp Callable Note Cont 2/15/2019 Due 03/15/2019	04/19/2016 04/21/2016 0.00	235,048.91 0.00 235,000.00 0.00	1,971.09 2,137.33 0.00 166.24	0.00 48.91 (48.91) 117.33	117.33
	Exxon Mobil Corp Callable Note Cont 1/1/2023 2.726% Due 03/01/2023	01/04/2019 01/10/2019 250,000.00	246,248.39 0.00 0.00 246,327.99	3,407.50 3,407.50 567.92 567.92	79.60 0.00 79.60 647.52	647.52
	FHLB Note 2.875% Due 12/10/2021	01/04/2019 01/10/2019 500,000.00	503,907.51 0.00 0.00 503,788.17	3,234.38 0.00 4,432.29 1,197.91	0.00 119.34 (119.34) 1,078.57	1,078.57
	FHLB Note 2.75% Due 03/10/2023	03/14/2019 03/19/2019 500,000.00	0.00 505,085.00 0.00 505,039.47	0.00 (343.75) 802.08 458.33	0.00 45.53 (45.53) 412.80	412.80
	FHLB Note 2.75% Due 06/10/2022	07/10/2018 07/13/2018 500,000.00	498,579.19 0.00 0.00 498,615.99	3,093.75 0.00 4,239.58 1,145.83	36.80 0.00 36.80 1,182.63	1,182.63
	FHLB Note 3% Due 12/09/2022	01/04/2019 01/10/2019 250,000.00	253,466.80 0.00 0.00 253,388.87	2,395.83 0.00 3,020.83 625.00	0.00 77.93 (77.93) 547.07	547.07

Income Earned

As of March 31, 2019



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
	FHLB Note 1.875% Due 03/13/2020	03/10/2016 03/15/2016 400,000.00	401,869.53 0.00 0.00 401,716.21	3,500.00 3,750.00 375.00 625.00	0.00 153.32 (153.32) 471.68	471.68
	FHLB Note Due 03/08/2019	03/10/2016 03/15/2016 0.00	200,025.90 0.00 200,000.00 0.00	1,802.08 1,875.00 0.00 72.92	0.00 25.90 (25.90) 47.02	47.02
	FHLB Note 1.375% Due 09/13/2019	10/12/2016 10/17/2016 375,000.00	375,498.08 0.00 0.00 375,419.30	2,406.25 2,578.13 257.81 429.69	0.00 78.78 (78.78) 350.91	350.91
	FHLB Note 1.25% Due 12/13/2019	02/01/2018 02/06/2018 250,000.00	248,233.36 0.00 0.00 248,424.18	677.08 0.00 937.50 260.42	190.82 0.00 190.82 451.24	451.24
	FFCB Note 1.55% Due 04/13/2020	04/12/2017 04/18/2017 500,000.00	500,148.08 0.00 0.00 500,136.86	2,970.83 0.00 3,616.67 645.84	0.00 11.22 (11.22) 634.62	634.62
	FHLMC Callable Note 1X 8/22/2014 1.4% Due 08/22/2019	03/10/2016 03/15/2016 400,000.00	400,265.09 0.00 0.00 400,217.86	140.00 0.00 606.67 466.67	0.00 47.23 (47.23) 419.44	419.44
	FNMA Note 1.25% Due 05/06/2021	10/12/2016 10/17/2016 350,000.00	348,971.14 0.00 0.00 349,011.16	1,397.57 0.00 1,762.15 364.58	40.02 0.00 40.02 404.60	404.60
	FNMA Note 0.875% Due 08/02/2019	12/12/2016 12/15/2016 425,000.00	424,085.06 0.00 0.00 424,269.24	299.57 0.00 609.46 309.89	184.18 0.00 184.18 494.07	494.07
	FNMA Note 2% Due 01/05/2022	11/01/2017 11/06/2017 500,000.00	500,366.16 0.00 0.00 500,355.26	1,555.56 0.00 2,388.89 833.33	0.00 10.90 (10.90) 822.43	822.43

Income Earned

As of March 31, 2019



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
	FNMA Note 1.875% Due 04/05/2022	04/12/2017 04/18/2017 500,000.00	499,731.75 0.00 0.00 499,739.11	3,802.08 0.00 4,583.33 781.25	7.36 0.00 7.36 788.61	788.61
	FNMA Note 2.375% Due 01/19/2023	03/14/2019 03/19/2019 500,000.00	0.00 498,075.00 0.00 498,092.85	0.00 (1,979.17) 2,375.00 395.83	17.85 0.00 17.85 413.68	413.68
	FNMA Note 1.75% Due 06/20/2019	05/17/2016 05/19/2016 250,000.00	250,513.63 0.00 0.00 250,370.19	862.85 0.00 1,227.43 364.58	0.00 143.44 (143.44) 221.14	221.14
	FNMA Note 1.75% Due 11/26/2019	03/10/2016 03/15/2016 400,000.00	401,243.88 0.00 0.00 401,101.06	1,847.22 0.00 2,430.56 583.34	0.00 142.82 (142.82) 440.52	440.52
	FHLMC Note 1.25% Due 10/02/2019	01/21/2016 01/25/2016 500,000.00	499,816.31 0.00 0.00 499,842.79	2,586.81 0.00 3,107.64 520.83	26.48 0.00 26.48 547.31	547.31
	FHLMC Note 1.875% Due 11/17/2020	07/10/2018 07/13/2018 400,000.00	394,636.15 0.00 0.00 394,901.35	2,166.67 0.00 2,791.67 625.00	265.20 0.00 265.20 890.20	890.20
	General Dynamics Corp Note 3% Due 05/11/2021	07/10/2018 07/13/2018 250,000.00	249,604.05 0.00 0.00 249,619.35	2,291.67 0.00 2,916.67 625.00	15.30 0.00 15.30 640.30	640.30
	HSBC USA Inc Note 2.75% Due 08/07/2020	02/01/2018 02/06/2018 250,000.00	250,411.14 0.00 0.00 250,386.87	458.33 0.00 1,031.25 572.92	0.00 24.27 (24.27) 548.65	548.65
	IBM Corp Note 2.25% Due 02/19/2021	11/01/2017 11/06/2017 200,000.00	200,722.80 0.00 0.00 200,691.72	150.00 0.00 525.00 375.00	0.00 31.08 (31.08) 343.92	343.92

Income Earned

As of March 31, 2019



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
	JP Morgan Chase Callable Note Cont 5/7/2021 2.4% Due 06/07/2021	02/01/2018 02/06/2018 250,000.00	247,954.75 0.00 0.00 248,031.23	1,400.00 0.00 1,900.00 500.00	76.48 0.00 76.48 576.48	576.48
	Oracle Corp Callable Note Cont 8/01/21 1.9% Due 09/15/2021	11/01/2017 11/06/2017 250,000.00	248,371.45 0.00 0.00 248,425.79	2,190.28 2,375.00 211.11 395.83	54.34 0.00 54.34 450.17	450.17
	PNC Bank Callable Note Cont 11/09/2021 2.55% Due 12/09/2021	11/01/2017 11/06/2017 250,000.00	251,355.73 0.00 0.00 251,314.29	1,452.08 0.00 1,983.33 531.25	0.00 41.44 (41.44) 489.81	489.81
	Paccar Financial Corp Note 2.8% Due 03/01/2021	07/10/2018 07/13/2018 150,000.00	149,293.32 0.00 0.00 149,323.28	2,100.00 2,100.00 350.00 350.00	29.96 0.00 29.96 379.96	379.96
	Praxair Callable Note Cont 11/15/2021 2.45% Due 02/15/2022	02/01/2018 02/06/2018 250,000.00	247,756.87 0.00 0.00 247,821.14	272.22 0.00 782.64 510.42	64.27 0.00 64.27 574.69	574.69
	Qualcomm Inc Note 2.25% Due 05/20/2020	12/12/2016 12/15/2016 225,000.00	225,036.07 0.00 0.00 225,033.56	1,420.31 0.00 1,842.19 421.88	0.00 2.51 (2.51) 419.37	419.37
	Charles Schwab Corp Note 3.225% Due 09/01/2022	07/10/2018 07/13/2018 250,000.00	249,718.33 0.00 0.00 249,725.15	4,031.25 4,031.25 671.88 671.88	6.82 0.00 6.82 678.70	678.70
	State Street Bank Note 1.95% Due 05/19/2021	02/01/2018 02/06/2018 200,000.00	196,879.00 0.00 0.00 196,998.44	1,105.00 0.00 1,430.00 325.00	119.44 0.00 119.44 444.44	444.44
	Toronto Dominion Bank Note 2.125% Due 07/02/2019	02/12/2018 02/15/2018 300,000.00	299,811.82 0.00 0.00 299,859.25	1,044.79 0.00 1,576.04 531.25	47.43 0.00 47.43 578.68	578.68

Income Earned

As of March 31, 2019



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
	Toyota Motor Credit Corp Note 2.7% Due 01/11/2023	01/04/2019 01/10/2019 250,000.00	243,724.71 0.00 0.00 243,862.48	937.50 0.00 1,500.00 562.50	137.77 0.00 137.77 700.27	700.27
	US Bancorp Callable Note Cont 3/25/2019 Due 04/25/2019	03/10/2016 03/15/2016 0.00	200,116.78 0.00 200,065.82 0.00	1,540.00 1,833.33 0.00 293.33	0.00 50.96 (50.96) 242.37	242.37
	US Treasury Note 1.125% Due 08/31/2021	04/12/2017 04/18/2017 500,000.00	492,831.25 0.00 0.00 493,074.39	15.29 0.00 489.13 473.84	243.14 0.00 243.14 716.98	716.98
	US Treasury Note 1.25% Due 01/31/2020	03/10/2016 03/15/2016 400,000.00	399,948.45 0.00 0.00 399,953.20	400.55 0.00 828.73 428.18	4.75 0.00 4.75 432.93	432.93
	US Treasury Note 1.75% Due 03/31/2022	07/10/2018 07/13/2018 400,000.00	388,655.47 0.00 0.00 388,967.80	2,923.08 3,500.00 19.13 596.05	312.33 0.00 312.33 908.38	908.38
	US Treasury Note 1.875% Due 08/31/2022	03/14/2019 03/19/2019 350,000.00	0.00 343,533.20 0.00 343,599.87	0.00 (338.82) 570.65 231.83	66.67 0.00 66.67 298.50	298.50
	US Treasury Note 1.375% Due 08/31/2020	12/12/2016 12/15/2016 425,000.00	423,271.89 0.00 0.00 423,369.47	15.88 0.00 508.15 492.27	97.58 0.00 97.58 589.85	589.85
	US Treasury Note 1.375% Due 01/31/2021	04/12/2017 04/18/2017 500,000.00	497,672.76 0.00 0.00 497,775.53	550.76 0.00 1,139.50 588.74	102.77 0.00 102.77 691.51	691.51
	US Treasury Note 0.875% Due 07/31/2019	01/21/2016 01/25/2016 400,000.00	399,472.59 0.00 0.00 399,580.15	280.39 0.00 580.11 299.72	107.56 0.00 107.56 407.28	407.28

Income Earned

As of March 31, 2019



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
	US Treasury Note 1% Due 08/31/2019	01/21/2016 01/25/2016 400,000.00	399,586.73 0.00 0.00 399,656.74	10.87 0.00 347.83 336.96	70.01 0.00 70.01 406.97	406.97
	US Treasury Note 1% Due 11/30/2019	01/04/2019 01/10/2019 500,000.00	494,119.89 0.00 0.00 494,785.16	1,250.00 0.00 1,675.82 425.82	665.27 0.00 665.27 1,091.09	1,091.09
	US Treasury Note 1.125% Due 12/31/2019	10/12/2016 10/17/2016 350,000.00	350,110.79 0.00 0.00 350,099.53	652.62 0.00 989.81 337.19	0.00 11.26 (11.26) 325.93	325.93
	US Treasury Note 1.125% Due 04/30/2020	10/12/2016 10/17/2016 350,000.00	349,896.62 0.00 0.00 349,904.15	1,323.44 0.00 1,651.56 328.12	7.53 0.00 7.53 335.65	335.65
	US Treasury Note 2% Due 09/30/2020	02/01/2018 02/06/2018 500,000.00	497,766.35 0.00 0.00 497,885.94	4,175.82 5,000.00 27.32 851.50	119.59 0.00 119.59 971.09	971.09
	US Treasury Note 1.625% Due 07/31/2020	12/12/2016 12/15/2016 425,000.00	424,974.58 0.00 0.00 424,976.10	553.26 0.00 1,144.68 591.42	1.52 0.00 1.52 592.94	592.94
	US Treasury Note 1.25% Due 05/31/2019	02/01/2018 02/06/2018 500,000.00	499,035.26 0.00 0.00 499,363.91	1,562.50 0.00 2,094.78 532.28	328.65 0.00 328.65 860.93	860.93
	Visa Inc Note 2.2% Due 12/14/2020	12/12/2016 12/15/2016 200,000.00	200,284.89 0.00 0.00 200,271.39	941.11 0.00 1,307.78 366.67	0.00 13.50 (13.50) 353.17	353.17
	Wal-Mart Stores Callable Note Cont 1/11/2023 2.55% Due 04/11/2023	01/04/2019 01/10/2019 250,000.00	244,389.28 0.00 0.00 244,505.08	2,479.17 0.00 3,010.42 531.25	115.80 0.00 115.80 647.05	647.05

Income Earned

As of March 31, 2019



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
			18,943,107.53	91,193.55	4,352.90	
			1,594,830.70	32,891.08	1,351.65	
			835,065.82	88,738.63	3,001.25	
Total Fixed Income		19,775,000.00	19,705,873.66	30,436.16	33,437.41	33,437.41

CASH & EQUIVALENT						
	Bank of Montreal Chicago	01/04/2019	500,039.59	2,177.78	0.00	1,184.75
	Yankee CD	01/10/2019	0.00	0.00	20.80	
	2.8% Due 04/29/2019	500,000.00	0.00	3,383.33	(20.80)	
			500,018.79	1,205.55	1,184.75	
	Rabobank Nederland NV NY	01/07/2019	489,913.33	1,888.89	0.00	1,171.11
	Discount CP	01/10/2019	0.00	0.00	0.00	
	2.72% Due 10/04/2019	500,000.00	0.00	3,060.00	0.00	
			489,913.33	1,171.11	1,171.11	
	MUFG Bank Ltd/NY	01/04/2019	495,553.33	1,916.67	0.00	1,188.33
	Discount CP	01/10/2019	0.00	0.00	0.00	
	2.76% Due 05/06/2019	500,000.00	0.00	3,105.00	0.00	
			495,553.33	1,188.33	1,188.33	
			1,485,506.25	5,983.34	0.00	
			0.00	0.00	20.80	
			0.00	9,548.33	(20.80)	
Total Cash & Equivalent		1,500,000.00	1,485,485.45	3,564.99	3,544.19	3,544.19

			20,428,613.78	97,176.89	4,352.90	
			1,594,830.70	32,891.08	1,372.45	
			835,065.82	98,286.96	2,980.45	
TOTAL PORTFOLIO		21,275,000.00	21,191,359.11	34,001.15	36,981.60	36,981.60

Cash Flow Report

As of March 31, 2019



Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
04/02/2019	Interest	[REDACTED]	500,000.00	FHLMC Note 1.25% Due 10/2/2019	0.00	3,125.00	3,125.00
04/05/2019	Interest	[REDACTED]	500,000.00	FNMA Note 1.875% Due 4/5/2022	0.00	4,687.50	4,687.50
04/09/2019	Interest	[REDACTED]	200,000.00	John Deere Capital Corp Note 1.25% Due 10/9/2019	0.00	1,250.00	1,250.00
04/11/2019	Interest	[REDACTED]	250,000.00	Wal-Mart Stores Callable Note Cont 1/11/2023 2.55% Due 4/11/2023	0.00	3,187.50	3,187.50
04/13/2019	Interest	[REDACTED]	500,000.00	FFCB Note 1.55% Due 4/13/2020	0.00	3,875.00	3,875.00
04/19/2019	Interest	[REDACTED]	250,000.00	Bank of America Corp Note 2.625% Due 4/19/2021	0.00	3,281.25	3,281.25
04/29/2019	Maturity	[REDACTED]	500,000.00	Bank of Montreal Chicago Yankee CD 2.8% Due 4/29/2019	500,000.00	4,472.22	504,472.22
04/30/2019	Interest	[REDACTED]	350,000.00	US Treasury Note 1.125% Due 4/30/2020	0.00	1,968.75	1,968.75
APR 2019					500,000.00	25,847.22	525,847.22
05/03/2019	Interest	[REDACTED]	250,000.00	Chubb INA Holdings Inc Callable Note Cont 10/3/2020 2.3% Due 11/3/2020	0.00	2,875.00	2,875.00
05/06/2019	Interest	[REDACTED]	350,000.00	FNMA Note 1.25% Due 5/6/2021	0.00	2,187.50	2,187.50
05/06/2019	Maturity	[REDACTED]	500,000.00	MUFG Bank Ltd/NY Discount CP 2.76% Due 5/6/2019	495,553.33	4,446.67	500,000.00
05/11/2019	Interest	[REDACTED]	250,000.00	General Dynamics Corp Note 3% Due 5/11/2021	0.00	3,750.00	3,750.00
05/17/2019	Interest	[REDACTED]	400,000.00	FHLMC Note 1.875% Due 11/17/2020	0.00	3,750.00	3,750.00
05/19/2019	Interest	[REDACTED]	200,000.00	State Street Bank Note 1.95% Due 5/19/2021	0.00	1,950.00	1,950.00
05/20/2019	Interest	[REDACTED]	225,000.00	Qualcomm Inc Note 2.25% Due 5/20/2020	0.00	2,531.25	2,531.25
05/26/2019	Interest	[REDACTED]	400,000.00	FNMA Note 1.75% Due 11/26/2019	0.00	3,500.00	3,500.00

Cash Flow Report

As of March 31, 2019



Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
05/31/2019	Interest	[REDACTED]	500,000.00	US Treasury Note 1% Due 11/30/2019	0.00	2,500.00	2,500.00
05/31/2019	Maturity	[REDACTED]	500,000.00	US Treasury Note 1.25% Due 5/31/2019	500,000.00	3,125.00	503,125.00
MAY 2019					995,553.33	30,615.42	1,026,168.75
06/01/2019	Interest	[REDACTED]	250,000.00	Blackrock Inc Note 3.375% Due 6/1/2022	0.00	4,218.75	4,218.75
06/07/2019	Interest	[REDACTED]	250,000.00	JP Morgan Chase Callable Note Cont 5/7/2021 2.4% Due 6/7/2021	0.00	3,000.00	3,000.00
06/09/2019	Interest	[REDACTED]	250,000.00	PNC Bank Callable Note Cont 11/09/2021 2.55% Due 12/9/2021	0.00	3,187.50	3,187.50
06/09/2019	Interest	[REDACTED]	250,000.00	FHLB Note 3% Due 12/9/2022	0.00	4,437.50	4,437.50
06/10/2019	Interest	[REDACTED]	500,000.00	FHLB Note 2.75% Due 6/10/2022	0.00	6,875.00	6,875.00
06/10/2019	Interest	[REDACTED]	500,000.00	FHLB Note 2.875% Due 12/10/2021	0.00	7,187.50	7,187.50
06/13/2019	Interest	[REDACTED]	250,000.00	FHLB Note 1.25% Due 12/13/2019	0.00	1,562.50	1,562.50
06/14/2019	Interest	[REDACTED]	200,000.00	Visa Inc Note 2.2% Due 12/14/2020	0.00	2,200.00	2,200.00
06/15/2019	Interest	[REDACTED]	200,000.00	Cisco Systems Note 2.45% Due 6/15/2020	0.00	2,450.00	2,450.00
06/20/2019	Maturity	[REDACTED]	250,000.00	FNMA Note 1.75% Due 6/20/2019	250,000.00	2,187.50	252,187.50
06/30/2019	Interest	[REDACTED]	350,000.00	US Treasury Note 1.125% Due 12/31/2019	0.00	1,968.75	1,968.75
JUN 2019					250,000.00	39,275.00	289,275.00
07/02/2019	Maturity	[REDACTED]	300,000.00	Toronto Dominion Bank Note 2.125% Due 7/2/2019	300,000.00	3,187.50	303,187.50
07/05/2019	Interest	[REDACTED]	500,000.00	FNMA Note 2% Due 1/5/2022	0.00	5,000.00	5,000.00
07/06/2019	Interest	[REDACTED]	200,000.00	John Deere Capital Corp Note 2.65% Due 1/6/2022	0.00	2,650.00	2,650.00

Cash Flow Report

As of March 31, 2019



Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
07/11/2019	Interest	[REDACTED]	250,000.00	Toyota Motor Credit Corp Note 2.7% Due 1/11/2023	0.00	3,375.00	3,375.00
07/12/2019	Interest	[REDACTED]	200,000.00	American Honda Finance Note 1.65% Due 7/12/2021	0.00	1,650.00	1,650.00
07/19/2019	Interest	[REDACTED]	500,000.00	FNMA Note 2.375% Due 1/19/2023	0.00	5,937.50	5,937.50
07/31/2019	Interest	[REDACTED]	400,000.00	US Treasury Note 1.25% Due 1/31/2020	0.00	2,500.00	2,500.00
07/31/2019	Interest	[REDACTED]	500,000.00	US Treasury Note 1.375% Due 1/31/2021	0.00	3,437.50	3,437.50
07/31/2019	Interest	[REDACTED]	425,000.00	US Treasury Note 1.625% Due 7/31/2020	0.00	3,453.13	3,453.13
07/31/2019	Maturity	[REDACTED]	400,000.00	US Treasury Note 0.875% Due 7/31/2019	400,000.00	1,750.00	401,750.00
JUL 2019					700,000.00	32,940.63	732,940.63
08/02/2019	Maturity	[REDACTED]	425,000.00	FNMA Note 0.875% Due 8/2/2019	425,000.00	1,859.38	426,859.38
08/07/2019	Interest	[REDACTED]	250,000.00	Bank of NY Mellon Corp Callable Note Cont 1/7/2022 2.6% Due 2/7/2022	0.00	3,250.00	3,250.00
08/07/2019	Interest	[REDACTED]	250,000.00	HSBC USA Inc Note 2.75% Due 8/7/2020	0.00	3,437.50	3,437.50
08/09/2019	Interest	[REDACTED]	250,000.00	Apple Inc Callable Cont 1/9/2022 2.5% Due 2/9/2022	0.00	3,125.00	3,125.00
08/15/2019	Interest	[REDACTED]	250,000.00	Praxair Callable Note Cont 11/15/2021 2.45% Due 2/15/2022	0.00	3,062.50	3,062.50
08/15/2019	Maturity	[REDACTED]	200,000.00	American Honda Finance Note 2.25% Due 8/15/2019	200,000.00	2,250.00	202,250.00
08/19/2019	Interest	[REDACTED]	200,000.00	IBM Corp Note 2.25% Due 2/19/2021	0.00	2,250.00	2,250.00
08/22/2019	Maturity	[REDACTED]	400,000.00	FHLMC Callable Note 1X 8/22/2014 1.4% Due 8/22/2019	400,000.00	2,800.00	402,800.00
08/31/2019	Interest	[REDACTED]	350,000.00	US Treasury Note 1.875% Due 8/31/2022	0.00	3,281.25	3,281.25

Cash Flow Report

As of March 31, 2019



Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
08/31/2019	Interest		500,000.00	US Treasury Note 1.125% Due 8/31/2021	0.00	2,812.50	2,812.50
08/31/2019	Interest		425,000.00	US Treasury Note 1.375% Due 8/31/2020	0.00	2,921.88	2,921.88
08/31/2019	Maturity		400,000.00	US Treasury Note 1% Due 8/31/2019	400,000.00	2,000.00	402,000.00
AUG 2019					1,425,000.00	33,050.01	1,458,050.01
09/01/2019	Interest		250,000.00	Charles Schwab Corp Note 3.225% Due 9/1/2022	0.00	4,031.25	4,031.25
09/01/2019	Interest		250,000.00	Exxon Mobil Corp Callable Note Cont 1/1/2023 2.726% Due 3/1/2023	0.00	3,407.50	3,407.50
09/01/2019	Interest		150,000.00	Paccar Financial Corp Note 2.8% Due 3/1/2021	0.00	2,100.00	2,100.00
09/10/2019	Interest		500,000.00	FHLB Note 2.75% Due 3/10/2023	0.00	6,875.00	6,875.00
09/13/2019	Interest		400,000.00	FHLB Note 1.875% Due 3/13/2020	0.00	3,750.00	3,750.00
09/13/2019	Maturity		375,000.00	FHLB Note 1.375% Due 9/13/2019	375,000.00	2,578.13	377,578.13
09/15/2019	Interest		250,000.00	Oracle Corp Callable Note Cont 8/01/21 1.9% Due 9/15/2021	0.00	2,375.00	2,375.00
09/15/2019	Interest		250,000.00	Berkshire Hathaway Callable Note Cont 1/15/2023 2.75% Due 3/15/2023	0.00	3,437.50	3,437.50
09/30/2019	Interest		400,000.00	US Treasury Note 1.75% Due 3/31/2022	0.00	3,500.00	3,500.00
09/30/2019	Interest		500,000.00	US Treasury Note 2% Due 9/30/2020	0.00	5,000.00	5,000.00
SEP 2019					375,000.00	37,054.38	412,054.38
10/02/2019	Maturity		500,000.00	FHLMC Note 1.25% Due 10/2/2019	500,000.00	3,125.00	503,125.00
10/04/2019	Maturity		500,000.00	Rabobank Nederland NV NY Discount CP 2.72% Due 10/4/2019	489,913.33	10,086.67	500,000.00
10/05/2019	Interest		500,000.00	FNMA Note 1.875% Due 4/5/2022	0.00	4,687.50	4,687.50

Cash Flow Report

As of March 31, 2019



Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
10/09/2019	Maturity		200,000.00	John Deere Capital Corp Note 1.25% Due 10/9/2019	200,000.00	1,250.00	201,250.00
10/11/2019	Interest		250,000.00	Wal-Mart Stores Callable Note Cont 1/11/2023 2.55% Due 4/11/2023	0.00	3,187.50	3,187.50
10/13/2019	Interest		500,000.00	FFCB Note 1.55% Due 4/13/2020	0.00	3,875.00	3,875.00
10/19/2019	Interest		250,000.00	Bank of America Corp Note 2.625% Due 4/19/2021	0.00	3,281.25	3,281.25
10/31/2019	Interest		350,000.00	US Treasury Note 1.125% Due 4/30/2020	0.00	1,968.75	1,968.75
OCT 2019					1,189,913.33	31,461.67	1,221,375.00
11/03/2019	Interest		250,000.00	Chubb INA Holdings Inc Callable Note Cont 10/3/2020 2.3% Due 11/3/2020	0.00	2,875.00	2,875.00
11/06/2019	Interest		350,000.00	FNMA Note 1.25% Due 5/6/2021	0.00	2,187.50	2,187.50
11/11/2019	Interest		250,000.00	General Dynamics Corp Note 3% Due 5/11/2021	0.00	3,750.00	3,750.00
11/17/2019	Interest		400,000.00	FHLMC Note 1.875% Due 11/17/2020	0.00	3,750.00	3,750.00
11/19/2019	Interest		200,000.00	State Street Bank Note 1.95% Due 5/19/2021	0.00	1,950.00	1,950.00
11/20/2019	Interest		225,000.00	Qualcomm Inc Note 2.25% Due 5/20/2020	0.00	2,531.25	2,531.25
11/26/2019	Maturity		400,000.00	FNMA Note 1.75% Due 11/26/2019	400,000.00	3,500.00	403,500.00
11/30/2019	Maturity		500,000.00	US Treasury Note 1% Due 11/30/2019	500,000.00	2,500.00	502,500.00
NOV 2019					900,000.00	23,043.75	923,043.75
12/01/2019	Interest		250,000.00	Blackrock Inc Note 3.375% Due 6/1/2022	0.00	4,218.75	4,218.75
12/07/2019	Interest		250,000.00	JP Morgan Chase Callable Note Cont 5/7/2021 2.4% Due 6/7/2021	0.00	3,000.00	3,000.00

Cash Flow Report

As of March 31, 2019



Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
12/09/2019	Interest	[REDACTED]	250,000.00	PNC Bank Callable Note Cont 11/09/2021 2.55% Due 12/9/2021	0.00	3,187.50	3,187.50
12/09/2019	Interest	[REDACTED]	250,000.00	FHLB Note 3% Due 12/9/2022	0.00	3,750.00	3,750.00
12/10/2019	Interest	[REDACTED]	500,000.00	FHLB Note 2.75% Due 6/10/2022	0.00	6,875.00	6,875.00
12/10/2019	Interest	[REDACTED]	500,000.00	FHLB Note 2.875% Due 12/10/2021	0.00	7,187.50	7,187.50
12/13/2019	Maturity	[REDACTED]	250,000.00	FHLB Note 1.25% Due 12/13/2019	250,000.00	1,562.50	251,562.50
12/14/2019	Interest	[REDACTED]	200,000.00	Visa Inc Note 2.2% Due 12/14/2020	0.00	2,200.00	2,200.00
12/15/2019	Interest	[REDACTED]	200,000.00	Cisco Systems Note 2.45% Due 6/15/2020	0.00	2,450.00	2,450.00
12/31/2019	Maturity	[REDACTED]	350,000.00	US Treasury Note 1.125% Due 12/31/2019	350,000.00	1,968.75	351,968.75
DEC 2019					600,000.00	36,400.00	636,400.00
01/05/2020	Interest	[REDACTED]	500,000.00	FNMA Note 2% Due 1/5/2022	0.00	5,000.00	5,000.00
01/06/2020	Interest	[REDACTED]	200,000.00	John Deere Capital Corp Note 2.65% Due 1/6/2022	0.00	2,650.00	2,650.00
01/11/2020	Interest	[REDACTED]	250,000.00	Toyota Motor Credit Corp Note 2.7% Due 1/11/2023	0.00	3,375.00	3,375.00
01/12/2020	Interest	[REDACTED]	200,000.00	American Honda Finance Note 1.65% Due 7/12/2021	0.00	1,650.00	1,650.00
01/19/2020	Interest	[REDACTED]	500,000.00	FNMA Note 2.375% Due 1/19/2023	0.00	5,937.50	5,937.50
01/31/2020	Interest	[REDACTED]	500,000.00	US Treasury Note 1.375% Due 1/31/2021	0.00	3,437.50	3,437.50
01/31/2020	Interest	[REDACTED]	425,000.00	US Treasury Note 1.625% Due 7/31/2020	0.00	3,453.13	3,453.13
01/31/2020	Maturity	[REDACTED]	400,000.00	US Treasury Note 1.25% Due 1/31/2020	400,000.00	2,500.00	402,500.00
JAN 2020					400,000.00	28,003.13	428,003.13

Cash Flow Report

As of March 31, 2019



Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
02/07/2020	Interest		250,000.00	Bank of NY Mellon Corp Callable Note Cont 1/7/2022 2.6% Due 2/7/2022	0.00	3,250.00	3,250.00
02/07/2020	Interest		250,000.00	HSBC USA Inc Note 2.75% Due 8/7/2020	0.00	3,437.50	3,437.50
02/09/2020	Interest		250,000.00	Apple Inc Callable Cont 1/9/2022 2.5% Due 2/9/2022	0.00	3,125.00	3,125.00
02/15/2020	Interest		250,000.00	Praxair Callable Note Cont 11/15/2021 2.45% Due 2/15/2022	0.00	3,062.50	3,062.50
02/19/2020	Interest		200,000.00	IBM Corp Note 2.25% Due 2/19/2021	0.00	2,250.00	2,250.00
02/29/2020	Interest		500,000.00	US Treasury Note 1.125% Due 8/31/2021	0.00	2,812.50	2,812.50
02/29/2020	Interest		425,000.00	US Treasury Note 1.375% Due 8/31/2020	0.00	2,921.88	2,921.88
02/29/2020	Interest		350,000.00	US Treasury Note 1.875% Due 8/31/2022	0.00	3,281.25	3,281.25
FEB 2020					0.00	24,140.63	24,140.63
03/01/2020	Interest		250,000.00	Charles Schwab Corp Note 3.225% Due 9/1/2022	0.00	4,031.25	4,031.25
03/01/2020	Interest		250,000.00	Exxon Mobil Corp Callable Note Cont 1/1/2023 2.726% Due 3/1/2023	0.00	3,407.50	3,407.50
03/01/2020	Interest		150,000.00	Paccar Financial Corp Note 2.8% Due 3/1/2021	0.00	2,100.00	2,100.00
03/10/2020	Interest		500,000.00	FHLB Note 2.75% Due 3/10/2023	0.00	6,875.00	6,875.00
03/13/2020	Maturity		400,000.00	FHLB Note 1.875% Due 3/13/2020	400,000.00	3,750.00	403,750.00
03/15/2020	Interest		250,000.00	Oracle Corp Callable Note Cont 8/01/21 1.9% Due 9/15/2021	0.00	2,375.00	2,375.00
03/15/2020	Interest		250,000.00	Berkshire Hathaway Callable Note Cont 1/15/2023 2.75% Due 3/15/2023	0.00	3,437.50	3,437.50
MAR 2020					400,000.00	25,976.25	425,976.25
TOTAL					7,735,466.66	367,808.09	8,103,274.75



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Custody: Your qualified custodian bank maintains control of all assets reflected in this statement and we urge you to compare this statement to the one you receive from your qualified custodian. Chandler does not have any authority to withdraw or deposit funds from/to the custodian account.

Valuation: Prices are provided by IDC, an independent pricing source. In the event IDC does not provide a price or if the price provided is not reflective of fair market value, Chandler will obtain pricing from an alternative approved third party pricing source in accordance with our written valuation policy and procedures. Our valuation procedures are also disclosed in Item 5 of our Form ADV Part 2A.

Performance: Performance results are presented gross-of-advisory fees and represent the client's Total Return. The deduction of advisory fees lowers performance results. These results include the reinvestment of dividends and other earnings. Past performance may not be indicative of future results. Therefore, clients should not assume that future performance of any specific investment or investment strategy will be profitable or equal to past performance levels. All investment strategies have the potential for profit or loss. Economic factors, market conditions or changes in investment strategies, contributions or withdrawals may materially alter the performance and results of your portfolio.

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Ratings: Ratings information have been provided by Moody's, S&P and Fitch through data feeds we believe to be reliable as of the date of this statement, however we cannot guarantee its accuracy.

Security level ratings for U.S. Agency issued mortgage-backed securities ("MBS") reflect the issuer rating because the securities themselves are not rated. The issuing U.S. Agency guarantees the full and timely payment of both principal and interest and carries a AA+/Aaa/AAA by S&P, Moody's and Fitch respectively.



Benchmark Index	Disclosure
ICE BAML 1-3 Yr US Treasury/Agency Index	The ICE BAML 1-3 Year US Treasury & Agency Index tracks the performance of US dollar denominated US Treasury and nonsubordinated US agency debt issued in the US domestic market. Qualifying securities must have an investment grade rating (based on an average of Moody's, S&P and Fitch). Qualifying securities must have at least one year remaining term to final maturity and less than three years remaining term to final maturity, at least 18 months to maturity at time of issuance, a fixed coupon schedule and a minimum amount outstanding of \$1 billion for sovereigns and \$250 million for agencies. (Index: G1A0. Please visit www.mlindex.ml.com for more information)

COUNTY OF SIERRA
 Posted General Ledger Transactions
 JV006196 - TREAS BV ADJ (MAR 2019)

<u>Fund Code</u>	<u>SubDept Code</u>	<u>Account...</u>	<u>Debit</u>	<u>Credit</u>	<u>Effective ...</u>	<u>Transaction Description</u>
8850000		2005	3,115.55		3/31/2019	TREAS BV ADJ (MAR 2019)
8850000	9999	4201		3,115.55	3/31/2019	TREAS BV ADJ (MAR 2019)
8850000		1000	3,115.55		4/4/2019	TREAS BV ADJ (MAR 2019)
8850000		2005		3,115.55	4/4/2019	TREAS BV ADJ (MAR 2019)
	Total JV006196 - TREAS BV ADJ (MAR 2019)		6,231.10	6,231.10		
Report Total			6,231.10	6,231.10		

SIERRA COUNTY TREASURER

MONTHLY REPORT OF INVESTMENTS AND LIQUIDITY

February 28, 2019

INVESTMENT PORTFOLIO SUMMARY

Description	Par Value	Market Value	Book Value	Yield to maturity		Average Weighted Days to Maturity	Percentage of Assets by Security Type
				360 day basis	365 day basis		
Corporate Bonds	6,360,000.00	6,314,320.01	6,324,595.46	2.59%	2.59%	836	24.5%
Federal Agency Coupon Securities	6,700,000.00	6,653,934.06	6,701,357.62	1.78%	1.78%	591	25.9%
Treasury Coupon Securities	5,650,000.00	5,569,304.90	5,617,342.63	1.74%	1.74%	479	21.7%
Negotiable CDs	500,000.00	500,039.59	500,039.59	2.73%	2.73%	60	1.9%
Foreign Corporate	300,000.00	299,457.90	299,811.82	2.30%	2.30%	124	1.2%
Commercial Paper	1,000,000.00	985,466.66	985,466.66	2.78%	2.78%	142	3.8%
Total Long-Term Investment Portfolio	20,510,000.00	20,322,523.12	20,428,613.78	2.100%	2.099%	594	79.0%
Local Agency Investment Fund	2,551,354.32	2,551,354.32	2,551,354.32		2.392%	1	9.9%
California Assets Management Program (CAMP)	2,886,043.42	2,886,043.42	2,886,043.42		2.640%	1	11.2%
Total Long-Term and Liquidity Investment Portfolio	25,947,397.74	25,759,920.86	25,866,011.52		2.188%	N/A	100.0%
Sierra County Deposit Account: Wells Fargo Bank	1,185,583.15	1,185,583.15	1,185,583.15				
Cash on Hand	266.33	266.33	266.33				
Total Cash and Equivalent	1,185,849.48	1,185,849.48	1,185,849.48				
GRAND TOTAL IN TREASURY	27,133,247.22	26,945,770.34	27,051,861.00		2.092%		

The composition of the investment portfolio complies with the requirements of the Sierra County Investment Policy.

The Sierra County Treasury has the cash flow necessary to meet the expenditure needs of the treasury pool for the next six months.

Dated: 4/12/2019

/s/ Caleb J. Nelson

Caleb J Nelson

Sierra County Investment Portfolio as of 02/28/2019

CUSIP	Security Description	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Purchase YTM 360	Purchase YTM 365	Days to Maturity	Maturity Date
CORPORATE NOTES										
██████████	American Honda Finance 2.250% 08/15/2019	03/10/2016	200,000.00	199,572.20	200,364.24	2.250	1.853%	1.853%	168	08/15/19
██████████	Berkshire Hathaway 1.700% 03/15/2019	03/10/2016	200,000.00	199,952.00	200,009.33	1.700	1.575%	1.575%	15	03/15/19
██████████	US Bancorp 2.200% 04/25/2019	03/10/2016	200,000.00	199,914.80	200,116.78	2.200	1.806%	1.806%	56	04/25/19
██████████	Exxon Mobil Corp 1.819% 03/15/2019	04/19/2016	235,000.00	234,949.48	235,048.91	1.819	1.306%	1.306%	15	03/15/19
██████████	Cisco Systems 2.450% 06/15/2020	12/12/2016	200,000.00	199,398.80	200,746.04	2.450	2.154%	2.155%	473	06/15/20
██████████	John Deere Capital Corp 1.250% 10/09/2019	12/12/2016	200,000.00	198,357.00	199,284.33	1.250	1.846%	1.841%	223	10/09/19
██████████	Qualcomm Inc 2.250% 05/20/2020	12/12/2016	225,000.00	223,076.03	225,036.07	2.250	2.235%	2.235%	447	05/20/20
██████████	Visa Inc 2.200% 12/14/2020	12/12/2016	200,000.00	198,187.40	200,284.89	2.200	2.118%	2.118%	655	12/14/20
██████████	Apple Inc 2.500% 02/09/2022	11/01/2017	250,000.00	248,392.00	251,877.47	2.500	2.235%	2.235%	1,077	02/09/22
██████████	Bank of NY Mellon Corp 2.600% 02/07/2022	11/01/2017	250,000.00	248,161.75	251,601.67	2.600	2.373%	2.373%	1,075	02/07/22
██████████	HSBC USA Inc 2.750% 08/07/2020	02/01/2018	250,000.00	249,381.75	250,411.14	2.750	2.632%	2.632%	526	08/07/20
██████████	IBM Corp 2.250% 02/19/2021	11/01/2017	200,000.00	197,346.60	200,722.80	2.250	2.062%	2.062%	722	02/19/21
██████████	JP Morgan Chase 2.400% 06/07/2021	02/01/2018	250,000.00	246,495.00	247,954.75	2.400	2.774%	2.773%	830	06/07/21
██████████	Oracle Corp 1.900% 09/15/2021	11/01/2017	250,000.00	244,240.00	248,371.45	1.900	2.165%	2.164%	930	09/15/21
██████████	PNC Bank 2.550% 12/09/2021	11/01/2017	250,000.00	246,501.50	251,355.73	2.550	2.346%	2.347%	1,015	12/09/21
██████████	Praxair 2.450% 02/15/2022	02/01/2018	250,000.00	246,253.25	247,756.87	2.450	2.767%	2.767%	1,083	02/15/22
██████████	State Street Bank 1.950% 05/19/2021	02/01/2018	200,000.00	195,798.20	196,879.00	1.950	2.678%	2.677%	811	05/19/21
██████████	American Honda Finance 1.650% 07/12/2021	07/10/2018	200,000.00	193,946.60	193,023.30	1.650	3.190%	3.189%	865	07/12/21
██████████	Blackrock Inc 3.375% 06/01/2022	07/10/2018	250,000.00	253,372.75	251,726.74	3.375	3.149%	3.149%	1,189	06/01/22
██████████	John Deere Capital Corp 2.650% 01/06/2022	07/10/2018	200,000.00	198,495.00	197,194.05	2.650	3.167%	3.167%	1,043	01/06/22
██████████	General Dynamics Corp 3.000% 05/11/2021	07/10/2018	250,000.00	250,961.50	249,604.05	3.000	3.074%	3.074%	803	05/11/21
██████████	Paccar Financial Corp 2.800% 03/01/2021	07/10/2018	150,000.00	149,241.90	149,293.32	2.800	3.045%	3.044%	732	03/01/21
██████████	Charles Schwab Corp 3.225% 09/01/2022	07/10/2018	250,000.00	251,339.00	249,718.33	3.225	3.259%	3.259%	1,281	09/01/22
██████████	Bank of America Corp 2.625% 04/19/2021	01/04/2019	250,000.00	248,370.00	246,586.33	2.625	3.292%	3.290%	781	04/19/21
██████████	Berkshire Hathaway 2.750% 03/15/2023	01/04/2019	250,000.00	249,403.25	245,265.49	2.750	3.254%	3.253%	1,476	03/15/23
██████████	Exxon Mobil Corp 2.726% 03/01/2023	01/04/2019	250,000.00	248,806.50	246,248.39	2.726	3.128%	3.127%	1,462	03/01/23
██████████	Toyota Motor Credit Corp 2.700% 01/11/2023	01/04/2019	250,000.00	246,597.50	243,724.71	2.700	3.398%	3.397%	1,413	01/11/23
██████████	Wal-Mart Stores 2.550% 04/11/2023	01/04/2019	250,000.00	247,808.25	244,389.28	2.550	3.136%	3.135%	1,503	04/11/23
Subtotal and Average			6,360,000.00	6,314,320.01	6,324,595.46		2.591%	2.590%	836	
FEDERAL AGENCIES										
██████████	FHLMC 1.250% 10/02/2019	01/21/2016	500,000.00	496,302.50	499,816.31	1.250	1.313%	1.312%	216	10/02/19
██████████	FHLB 1.875% 03/13/2020	03/10/2016	400,000.00	397,255.60	401,869.53	1.875	1.418%	1.420%	379	03/13/20
██████████	FHLB 1.875% 03/08/2019	03/10/2016	200,000.00	199,993.40	200,025.90	1.875	1.277%	1.277%	8	03/08/19
██████████	FHLMC 1.400% 08/22/2019	03/10/2016	400,000.00	397,920.80	400,265.09	1.400	1.262%	1.262%	175	08/22/19
██████████	FNMA 1.750% 11/26/2019	03/10/2016	400,000.00	397,719.60	401,243.88	1.750	1.325%	1.327%	271	11/26/19
██████████	FNMA 1.750% 06/20/2019	05/17/2016	250,000.00	249,420.50	250,513.63	1.750	1.076%	1.076%	112	06/20/19
██████████	FHLB 1.375% 09/13/2019	10/12/2016	375,000.00	372,716.63	375,498.08	1.375	1.124%	1.127%	197	09/13/19
██████████	FNMA 1.250% 05/06/2021	10/12/2016	350,000.00	340,650.10	348,971.14	1.250	1.387%	1.387%	798	05/06/21
██████████	FNMA 0.875% 08/02/2019	12/12/2016	425,000.00	422,084.93	424,085.06	0.875	1.380%	1.380%	155	08/02/19
██████████	FFCB 1.550% 04/13/2020	04/12/2017	500,000.00	494,387.00	500,148.08	1.550	1.523%	1.523%	410	04/13/20
██████████	FNMA 1.875% 04/05/2022	04/12/2017	500,000.00	490,177.00	499,731.75	1.875	1.893%	1.893%	1,132	04/05/22
██████████	FHLB 1.250% 12/13/2019	02/01/2018	250,000.00	247,452.75	248,233.36	1.250	2.160%	2.157%	288	12/13/19
██████████	FNMA 2.000% 01/05/2022	11/01/2017	500,000.00	492,730.00	500,366.16	2.000	1.973%	1.973%	1,042	01/05/22
██████████	FHLB 2.750% 06/10/2022	07/10/2018	500,000.00	501,950.00	498,579.19	2.750	2.841%	2.841%	1,198	06/10/22
██████████	FHLMC 1.875% 11/17/2020	07/10/2018	400,000.00	395,360.00	394,636.15	1.875	2.680%	2.678%	628	11/17/20
██████████	FHLB 2.875% 12/10/2021	01/04/2019	500,000.00	503,752.00	503,907.51	2.875	2.581%	2.581%	1,016	12/10/21
██████████	FHLB 3.000% 12/09/2022	01/04/2019	250,000.00	254,061.25	253,466.80	3.000	2.611%	2.611%	1,380	12/09/22
Subtotal and Average			6,700,000.00	6,653,934.06	6,701,357.62		1.783%	1.783%	591	
US TREASURY										
██████████	US Treasury 0.875% 07/31/2019	01/21/2016	400,000.00	397,359.20	399,472.59	0.875	1.188%	1.188%	153	07/31/19
██████████	US Treasury 1.000% 08/31/2019	01/21/2016	400,000.00	396,937.60	399,586.73	1.000	1.208%	1.208%	184	08/31/19
██████████	US Treasury 1.250% 01/31/2020	03/10/2016	400,000.00	395,296.80	399,948.45	1.250	1.264%	1.264%	337	01/31/20
██████████	US Treasury 1.125% 12/31/2019	10/12/2016	350,000.00	345,912.00	350,110.79	1.125	1.086%	1.087%	306	12/31/19
██████████	US Treasury 1.125% 04/30/2020	10/12/2016	350,000.00	344,326.15	349,896.62	1.125	1.150%	1.150%	427	04/30/20
██████████	US Treasury 1.375% 08/31/2020	12/12/2016	425,000.00	417,695.10	423,271.89	1.375	1.651%	1.651%	550	08/31/20
██████████	US Treasury 1.625% 07/31/2020	12/12/2016	425,000.00	419,504.75	424,974.58	1.625	1.629%	1.629%	519	07/31/20
██████████	US Treasury 1.125% 08/31/2021	04/12/2017	500,000.00	483,359.50	492,831.25	1.125	1.713%	1.713%	915	08/31/21
██████████	US Treasury 1.375% 01/31/2021	04/12/2017	500,000.00	489,140.50	497,672.76	1.375	1.622%	1.622%	703	01/31/21
██████████	US Treasury 2.000% 09/30/2020	02/01/2018	500,000.00	495,761.50	497,766.35	2.000	2.289%	2.288%	580	09/30/20
██████████	US Treasury 1.250% 05/31/2019	02/01/2018	500,000.00	498,457.00	499,035.26	1.250	2.011%	2.011%	92	05/31/19
██████████	US Treasury 1.750% 03/31/2022	07/10/2018	400,000.00	391,296.80	388,655.47	1.750	2.716%	2.714%	1,127	03/31/22
██████████	US Treasury 1.000% 11/30/2019	01/04/2019	500,000.00	494,258.00	494,119.89	1.000	2.592%	2.585%	275	11/30/19
Subtotal and Average			5,650,000.00	5,569,304.90	5,617,342.63		1.739%	1.738%	479	
NEGOTIABLE CDs										
██████████	Bank of Montreal Chicago 2.800% 04/29/2019	01/04/2019	500,000.00	500,039.59	500,039.59	2.800	2.726%	2.726%	60	04/29/19
Subtotal and Average			500,000.00	500,039.59	500,039.59		2.726%	2.726%	60	
FOREIGN CORPORATE										
██████████	Toronto Dominion Bank 2.125% 07/02/2019	02/12/2018	300,000.00	299,457.90	299,811.82	2.125	2.302%	2.302%	124	07/02/19
Subtotal and Average			300,000.00	299,457.90	299,811.82		2.302%	2.302%	124	
COMMERCIAL PAPER										
██████████	Rabobank Nederland NV NY 2.720% 10/04/2019	01/07/2019	500,000.00	489,913.33	489,913.33	2.720	2.791%	2.791%	218	10/04/19
██████████	MUFG Bank Ltd/NY 2.760% 05/06/2019	01/04/2019	500,000.00	495,553.33	495,553.33	2.760	2.771%	2.771%	67	05/06/19
Subtotal and Average			1,000,000.00	985,466.66	985,466.66		2.781%	2.781%	142	
TOTAL AND AVERAGE			20,510,000.00	20,322,523.12	20,428,613.78		2.100%	2.099%	594	

Local Agency Investment Fund
P.O. Box 942809
Sacramento, CA 94209-0001
(916) 653-3001

www.treasurer.ca.gov/pmia-laif/laif.asp
March 01, 2019

SIERRA COUNTY

TREASURER
P.O. BOX 376
DOWNIEVILLE, CA 95936-0376

PMIA Average Monthly Yields

Account Number:



Tran Type Definitions

February 2019 Statement

Effective Date	Transaction Date	Tran Type	Confirm Number	Authorized Caller	Amount
2/14/2019	2/14/2019	RW	1598330	JENNY VARN	-250,000.00

Account Summary

Total Deposit:	0.00	Beginning Balance:	2,801,354.32
Total Withdrawal:	-250,000.00	Ending Balance:	2,551,354.32



California State Treasurer
Fiona Ma, CPA



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[Home](#) ->> [PMIA](#) ->> PMIA Average Monthly Effective Yields



POOLED MONEY INVESTMENT ACCOUNT

→ PMIA Average Monthly Effective Yields

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1977	5.770	5.660	5.660	5.650	5.760	5.850	5.930	6.050	6.090	6.090	6.610	6.730
1978	6.920	7.050	7.140	7.270	7.386	7.569	7.652	7.821	7.871	8.110	8.286	8.769
1979	8.777	8.904	8.820	9.082	9.046	9.224	9.202	9.528	9.259	9.814	10.223	10.218
1980	10.980	11.251	11.490	11.480	12.017	11.798	10.206	9.870	9.945	10.056	10.426	10.961
1981	10.987	11.686	11.130	11.475	12.179	11.442	12.346	12.844	12.059	12.397	11.887	11.484
1982	11.683	12.044	11.835	11.773	12.270	11.994	12.235	11.909	11.151	11.111	10.704	10.401
1983	10.251	9.887	9.688	9.868	9.527	9.600	9.879	10.076	10.202	10.182	10.164	10.227
1984	10.312	10.280	10.382	10.594	10.843	11.119	11.355	11.557	11.597	11.681	11.474	11.024
1985	10.579	10.289	10.118	10.025	10.180	9.743	9.656	9.417	9.572	9.482	9.488	9.371
1986	9.252	9.090	8.958	8.621	8.369	8.225	8.141	7.844	7.512	7.586	7.432	7.439
1987	7.365	7.157	7.205	7.044	7.294	7.289	7.464	7.562	7.712	7.825	8.121	8.071
1988	8.078	8.050	7.945	7.940	7.815	7.929	8.089	8.245	8.341	8.397	8.467	8.563
1989	8.698	8.770	8.870	8.992	9.227	9.204	9.056	8.833	8.801	8.771	8.685	8.645
1990	8.571	8.538	8.506	8.497	8.531	8.538	8.517	8.382	8.333	8.321	8.269	8.279
1991	8.164	8.002	7.775	7.666	7.374	7.169	7.098	7.072	6.859	6.719	6.591	6.318
1992	6.122	5.863	5.680	5.692	5.379	5.323	5.235	4.958	4.760	4.730	4.659	4.647
1993	4.678	4.649	4.624	4.605	4.427	4.554	4.438	4.472	4.430	4.380	4.365	4.384
1994	4.359	4.176	4.248	4.333	4.434	4.623	4.823	4.989	5.106	5.243	5.380	5.528
1995	5.612	5.779	5.934	5.960	6.008	5.997	5.972	5.910	5.832	5.784	5.805	5.748
1996	5.698	5.643	5.557	5.538	5.502	5.548	5.587	5.566	5.601	5.601	5.599	5.574
1997	5.583	5.575	5.580	5.612	5.634	5.667	5.679	5.690	5.707	5.705	5.715	5.744
1998	5.742	5.720	5.680	5.672	5.673	5.671	5.652	5.652	5.639	5.557	5.492	5.374
1999	5.265	5.210	5.136	5.119	5.086	5.095	5.178	5.225	5.274	5.391	5.484	5.639
2000	5.760	5.824	5.851	6.014	6.190	6.349	6.443	6.505	6.502	6.517	6.538	6.535
2001	6.372	6.169	5.976	5.760	5.328	4.958	4.635	4.502	4.288	3.785	3.526	3.261
2002	3.068	2.967	2.861	2.845	2.740	2.687	2.714	2.594	2.604	2.487	2.301	2.201
2003	2.103	1.945	1.904	1.858	1.769	1.697	1.653	1.632	1.635	1.596	1.572	1.545
2004	1.528	1.440	1.474	1.445	1.426	1.469	1.604	1.672	1.771	1.890	2.003	2.134
2005	2.264	2.368	2.542	2.724	2.856	2.967	3.083	3.179	3.324	3.458	3.636	3.808
2006	3.955	4.043	4.142	4.305	4.563	4.700	4.849	4.946	5.023	5.098	5.125	5.129

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2007	5.156	5.181	5.214	5.222	5.248	5.250	5.255	5.253	5.231	5.137	4.962	4.801
2008	4.620	4.161	3.777	3.400	3.072	2.894	2.787	2.779	2.774	2.709	2.568	2.353
2009	2.046	1.869	1.822	1.607	1.530	1.377	1.035	0.925	0.750	0.646	0.611	0.569
2010	0.558	0.577	0.547	0.588	0.560	0.528	0.531	0.513	0.500	0.480	0.454	0.462
2011	0.538	0.512	0.500	0.588	0.413	0.448	0.381	0.408	0.378	0.385	0.401	0.382
2012	0.385	0.389	0.383	0.367	0.363	0.358	0.363	0.377	0.348	0.340	0.324	0.326
2013	0.300	0.286	0.285	0.264	0.245	0.244	0.267	0.271	0.257	0.266	0.263	0.264
2014	0.244	0.236	0.236	0.233	0.228	0.228	0.244	0.260	0.246	0.261	0.261	0.267
2015	0.262	0.266	0.278	0.283	0.290	0.299	0.320	0.330	0.337	0.357	0.374	0.400
2016	0.446	0.467	0.506	0.525	0.552	0.576	0.588	0.614	0.634	0.654	0.678	0.719
2017	0.751	0.777	0.821	0.884	0.925	0.978	1.051	1.084	1.111	1.143	1.172	1.239
2018	1.350	1.412	1.524	1.661	1.755	1.854	1.944	1.998	2.063	2.144	2.208	2.291
2019	2.355	2.392	2.436									



Account Statement - Transaction Summary

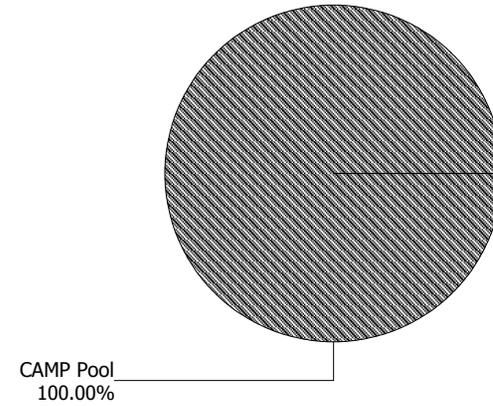
For the Month Ending **February 28, 2019**

SIERRA COUNTY - SIERRA COUNTY - [REDACTED]

CAMP Pool	
Opening Market Value	1,731,894.73
Purchases	1,354,148.69
Redemptions	(200,000.00)
Unsettled Trades	0.00
Change in Value	0.00
Closing Market Value	\$2,886,043.42
Cash Dividends and Income	4,148.69

Asset Summary	February 28, 2019	January 31, 2019
CAMP Pool	2,886,043.42	1,731,894.73
Total	\$2,886,043.42	\$1,731,894.73

Asset Allocation	
CAMP Pool	100.00%





Account Statement

For the Month Ending **February 28, 2019**

SIERRA COUNTY - SIERRA COUNTY - [REDACTED]

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
Opening Balance					1,731,894.73
02/06/19	02/06/19	Purchase - Incoming Wires	1.00	500,000.00	2,231,894.73
02/12/19	02/12/19	Redemption - Outgoing Wires	1.00	(200,000.00)	2,031,894.73
02/28/19	02/28/19	Purchase - Incoming Wires	1.00	850,000.00	2,881,894.73
02/28/19	03/01/19	Accrual Income Div Reinvestment - Distributions	1.00	4,148.69	2,886,043.42
Closing Balance					2,886,043.42

	Month of February	Fiscal YTD February-February		
Opening Balance	1,731,894.73	1,731,894.73	Closing Balance	2,886,043.42
Purchases	1,354,148.69	1,354,148.69	Average Monthly Balance	2,051,685.75
Redemptions (Excl. Checks)	(200,000.00)	(200,000.00)	Monthly Distribution Yield	2.64%
Check Disbursements	0.00	0.00		
Closing Balance	2,886,043.42	2,886,043.42		
Cash Dividends and Income	4,148.69	4,148.69		

Bank Reconciliation 2-28-2019

Balance per the bank		1,195,607.64
Deposits in transit		
#6893	923.65	
#6894	2,666.19	
STATE OF CA DEPOSIT	(13,614.33)	
		<u>(10,024.49)</u>
BALANCE PER THE BOOKS		1,185,583.15

Analyzed Business Checking - PF

Account number: [REDACTED] ■ February 1, 2019 - February 28, 2019 ■ Page 1 of 5



COUNTY OF SIERRA
PO BOX 376
DOWNIEVILLE CA 95936-0376

Questions?

Call your Customer Service Officer or Client Services
1-800-AT WELLS (1-800-289-3557)
5:00 AM TO 6:00 PM Pacific Time Monday - Friday

Online: wellsfargo.com

Write: Wells Fargo Bank, N.A. (114)
P.O. Box 6995
Portland, OR 97228-6995

Account summary

Analyzed Business Checking - PF

Account number	Beginning balance	Total credits	Total debits	Ending balance
[REDACTED]	\$1,745,775.23	\$3,066,583.29	-\$3,616,750.88	\$1,195,607.64

Credits

Deposits

Effective date	Posted date	Amount	Transaction detail
	02/01	37,982.00	Deposit
	02/01	5,189.90	Deposit
	02/01	280.78	Deposit
	02/04	34,528.81	Deposit
	02/05	48,912.24	Deposit
	02/05	200.00	Deposit
	02/06	19,244.83	Deposit
	02/06	6,199.00	Deposit
	02/07	167,539.98	Deposit
	02/07	50.00	Deposit
	02/08	789.00	Deposit
	02/08	593.00	Deposit
	02/12	3,443.56	Deposit
	02/13	6,934.17	Deposit
	02/15	29,479.67	Deposit
	02/15	2,153.54	Deposit
	02/15	1,833.18	Deposit
	02/15	535.00	Deposit
	02/15	48.00	Deposit
	02/19	233,525.58	Deposit
	02/19	28,589.56	Deposit
	02/19	106.25	Deposit
	02/20	4,273.08	Deposit



Deposits (continued)

<i>Effective date</i>	<i>Posted date</i>	<i>Amount</i>	<i>Transaction detail</i>
	02/20	1,366.80	Deposit
	02/21	2,698.83	Deposit
	02/21	50.00	Deposit
	02/22	79,151.02	Deposit
	02/22	1,200.00	Deposit
	02/25	230,830.70	Deposit
	02/27	17,784.01	Deposit
	02/27	1,230.00	Deposit
	02/27	644.00	Deposit
	02/28	14,232.69	Deposit
	02/28	11,860.98	Deposit
	02/28	6.54	Deposit
		\$993,486.70	Total deposits

Electronic deposits/bank credits

<i>Effective date</i>	<i>Posted date</i>	<i>Amount</i>	[REDACTED]
	02/01	100,550.00	[REDACTED]
	02/01	22.00	[REDACTED]
	02/04	1,530.00	[REDACTED]
	02/04	1,859.38	[REDACTED]
	02/05	6,492.24	[REDACTED]
	02/06	2,045.35	[REDACTED]
	02/07	6,687.50	[REDACTED]
	02/07	150.00	[REDACTED]
	02/08	180.00	[REDACTED]
	02/11	3,125.00	[REDACTED]
	02/11	20,976.00	[REDACTED]
	02/11	60.56	[REDACTED]
	02/12	200,000.00	[REDACTED]
	02/12	435.00	[REDACTED]
	02/13	930.00	[REDACTED]
	02/13	346.00	[REDACTED]
	02/14	250,000.00	[REDACTED]
	02/14	593.00	[REDACTED]
	02/15	279,505.90	[REDACTED]
	02/15	5,312.50	[REDACTED]
	02/19	2,250.00	[REDACTED]
	02/19	1,840.61	[REDACTED]



Electronic deposits/bank credits (continued)

Effective date	Posted date	Amount	[REDACTED]
	02/19	536.00	[REDACTED]
	02/20	73,994.61	[REDACTED]
	02/20	31,137.68	[REDACTED]
	02/20	831.42	[REDACTED]
	02/20	705.21	[REDACTED]
	02/20	3,463.79	[REDACTED]
	02/20	1,814.98	[REDACTED]
	02/21	60,962.73	[REDACTED]
	02/21	1,285.96	[REDACTED]
	02/22	92,064.93	[REDACTED]
	02/22	2,800.00	[REDACTED]
			[REDACTED]
	02/22	135.00	[REDACTED]
	02/25	13,793.30	[REDACTED]
	02/25	1,849.66	[REDACTED]
	02/25	236,938.75	[REDACTED]
			[REDACTED]
	02/25	424.00	[REDACTED]
	02/26	7,522.00	[REDACTED]
	02/26	12,263.00	[REDACTED]
			[REDACTED]
	02/26	5,343.00	[REDACTED]
			[REDACTED]
	02/26	3,459.00	[REDACTED]
			[REDACTED]
	02/26	206.00	[REDACTED]
	02/27	121,521.41	[REDACTED]
	02/27	66,171.24	[REDACTED]
	02/27	32,044.29	[REDACTED]
	02/27	31,052.65	[REDACTED]
	02/27	26,380.20	[REDACTED]
	02/27	13,614.33	[REDACTED]
	02/27	7,681.81	[REDACTED]
	02/27	7,146.88	[REDACTED]
	02/27	3,831.66	[REDACTED]
	02/27	23.38	[REDACTED]
	02/27	250.00	[REDACTED]
	02/28	234,339.00	[REDACTED]
	02/28	34,045.50	[REDACTED]
	02/28	14,563.37	[REDACTED]
	02/28	1,002.74	[REDACTED]
	02/28	34,402.64	[REDACTED]
			[REDACTED]



Electronic deposits/bank credits (continued)

Effective date	Posted date	Amount	[REDACTED]
	02/28	7,734.38	[REDACTED]
	02/28	869.05	[REDACTED]
		\$2,073,096.59	[REDACTED]
		\$3,066,583.29	[REDACTED]

Debits

Electronic debits/bank debits

Effective date	Posted date	Amount	[REDACTED]
	02/01	5,000.00	[REDACTED]
	02/01	698,176.60	[REDACTED]
	02/04	154,295.11	[REDACTED]
	02/05	150,578.48	[REDACTED]
	02/05	27,971.08	[REDACTED]
	02/06	500,000.00	[REDACTED]
	02/06	28,208.15	[REDACTED]
	02/07	7,415.21	[REDACTED]
	02/08	18,766.48	[REDACTED]
	02/11	20,996.12	[REDACTED]
	02/12	42,415.57	[REDACTED]
	02/12	280,945.31	[REDACTED]
	02/13	38,563.63	[REDACTED]
	02/14	17,304.43	[REDACTED]
	02/15	69,487.17	[REDACTED]
	02/15	28,802.83	[REDACTED]
	02/19	44,146.30	[REDACTED]
	02/20	127,363.79	[REDACTED]
	02/21	22,766.47	[REDACTED]
	02/22	21,468.75	[REDACTED]
	02/25	319,217.62	[REDACTED]
	02/25	116,719.78	[REDACTED]
	02/25	10,908.75	[REDACTED]
	02/26	12,925.04	[REDACTED]
	02/27	259.90	[REDACTED]



Electronic debits/bank debits (continued)

<i>Effective date</i>	<i>Posted date</i>	<i>Amount</i>	<i>Transaction detail</i>
	02/28	850,000.00	WT Fed#04361 US Bank, NA /Ftr/Bnf=California Asset Management Program Srf# Gw00000022950076 Trn#190228126655 Rfb# 6001-000
	02/28	2,048.31	ZBA Funding Account Transfer to 290063825
		\$3,616,750.88	Total electronic debits/bank debits
		\$3,616,750.88	Total debits

Daily ledger balance summary

<i>Date</i>	<i>Balance</i>	<i>Date</i>	<i>Balance</i>	<i>Date</i>	<i>Balance</i>
01/31	1,745,775.23	02/11	599,555.57	02/21	1,158,742.68
02/01	1,186,623.31	02/12	480,073.25	02/22	1,312,624.88
02/04	1,070,246.39	02/13	449,719.79	02/25	1,349,615.14
02/05	947,301.31	02/14	683,008.36	02/26	1,365,483.10
02/06	446,582.34	02/15	903,586.15	02/27	1,694,599.06
02/07	613,594.61	02/19	1,126,287.85	02/28	1,195,607.64
02/08	596,390.13	02/20	1,116,511.63		
Average daily ledger balance		\$971,363.86			



Basic Banking

WFB
MAIN
2/28/19

Account Details - Main Account [REDACTED]

Welcome **KERI MANNING**
Group **All Accounts**
Date Printed **1-Mar-2019, 08:13 AM PT**

Balances

Opening Day Balance 1,195,607.64 USD As of 03/01/2019
Available Balance 1,195,343.21 USD
Interest Earned This Period 0.00 USD
Interest Paid Year to Date 0.00 USD

Account Activity - All Transactions; Current/Previous Day

Date	Description	Amount USD
03/01/2019	[REDACTED] (Pending)	743.57
03/01/2019	[REDACTED]	900.00
02/28/2019	[REDACTED]	(2,048.31)
02/28/2019	[REDACTED]	(850,000.00)
02/28/2019	[REDACTED]	869.05
02/28/2019	[REDACTED]	7,734.38
02/28/2019	[REDACTED]	34,402.64
02/28/2019	[REDACTED]	1,002.74
02/28/2019	[REDACTED]	14,563.37
02/28/2019	[REDACTED]	34,045.50
02/28/2019	[REDACTED]	234,339.00
02/28/2019	[REDACTED]	6.54
02/28/2019	[REDACTED]	11,860.98
02/28/2019	[REDACTED]	14,232.69



Wells Fargo Bank, N.A.

DATE 2-28-19

DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL
INCLUDE ADDING MACHINE TAPE LISTING.

3920354
DS1 6-D-BK

#10894

CURRENCY COUNT	
X 100	
X 50	
X 20	
X 10	
X 5	
X 2	
X 1	
TOTAL CURRENCY	

SIERRA COUNTY TREASURER TAX COLLECTOR

P.O. BOX 376
DOWNIEVILLE, CA 95936

	DOLLARS	CENTS
TOTAL CURRENCY		
TOTAL COIN		
TOTAL FROM OTHER SIDE		
1		
2		
3		
4		
5		
6		
7		
8		
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24		
25		
26		

TOTAL DEPOSIT

TOTAL \$2666 19

PLEASE ENTER TOTAL HERE

\$ 2666.19

DIT
DIT



Wells Fargo Bank, N.A.

DATE 2-28-19

DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL
INCLUDE ADDING MACHINE TAPE LISTING.

3920354
DS1 6-D-BK

#10893

CURRENCY COUNT	
X 100	
X 50	
X 20	
X 10	
X 5	
X 2	
X 1	
TOTAL CURRENCY	

SIERRA COUNTY TREASURER TAX COLLECTOR

P.O. BOX 376
DOWNIEVILLE, CA 95936

	DOLLARS	CENTS
TOTAL CURRENCY		
TOTAL COIN		
TOTAL FROM OTHER SIDE		
1		
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26		

TOTAL DEPOSIT

TOTAL \$ 923 65

PLEASE ENTER TOTAL HERE

\$ 923.65

DIT
DIT



STATE OF CALIFORNIA

DIRECT DEPOSIT NUMBER
99606436

DIRECT DEPOSIT ADVICE

The amount printed on the face of this advice was transmitted to an account at bank 121042882 from the **APS PAYMENT FUND**

02 | 27 | 19

606436
SIERRA COUNTY TREASURER
PO BOX 376
DOWNEVILLE CA 95936-0376

DOLLARS	CENTS
\$***13614	.33

NOT NEGOTIABLE

244

PAYEE IDENTIFICATION
NUMBER(S)

When changing accounts or financial institutions, notify your retirement system or agency accounting office immediately. Do not close your old account until you have received your first payment in your new account.



BETTY T. YEE
CALIFORNIA STATE CONTROLLER

PAYMENT FOR HALF PERCENT SALES TAX FOR PUBLIC SAFETY - GOVERNMENT CODE 30053
FOR PROPERTY TAX POSTPONEMENT (PTP) PAYMENTS,
CALL 800-952-5661, FOR ALL OTHER PAYMENTS,
PLEASE VISIT [HTTP://WWW.SCO.CA.GOV/ARD_CURRENT_PAYMENTS.HTML](http://www.sco.ca.gov/ARD_CURRENT_PAYMENTS.HTML).

February 2019

Date	Description	DR	CR	Balance
2-1-19	Balance fwd			679.45
	CV00254 Asbby	116.17		563.28
2-5-19	CR013261		62.00	625.28
2-6-19	CR013265		4.00	629.28
	CR013268		100.00	729.28
	CR013273		4.00	733.28
2-13-19	CR013287		54.00	787.28
	CR013286		.50	787.78
2-14-19	CR013289		2.00	789.78
	CR013275		82.55	872.33
2-15-19	Currency to bank	535.00		337.33
2-20-19	CR013306		89.00	426.33
2-22-19	CR013313		1032.00	1458.33
	Currency to bank	1200.00		258.33 ✓
2-28-19	drawer count			258.33 ✓
2-28-19	CR013346		8.00	266.33 ✓



County of Sierra - Account [REDACTED]

MONTHLY ACCOUNT STATEMENT

FEBRUARY 1, 2019 THROUGH FEBRUARY 28, 2019

Chandler Team:

For questions about your account, please call (800) 317-4747,
or contact operations@chandlerasset.com

Custodian

Union Bank N.A.
Tina Guzman
(619) 230-3547

CHANDLER ASSET MANAGEMENT
chandlerasset.com

Information contained herein is confidential. We urge you to compare this statement to the one you receive from your qualified custodian. Please see Important Disclosures.



PORTFOLIO CHARACTERISTICS

Average Modified Duration	1.56
Average Coupon	1.94%
Average Purchase YTM	2.10%
Average Market YTM	2.64%
Average S&P/Moody Rating	AA/Aa1
Average Final Maturity	1.63 yrs
Average Life	1.61 yrs

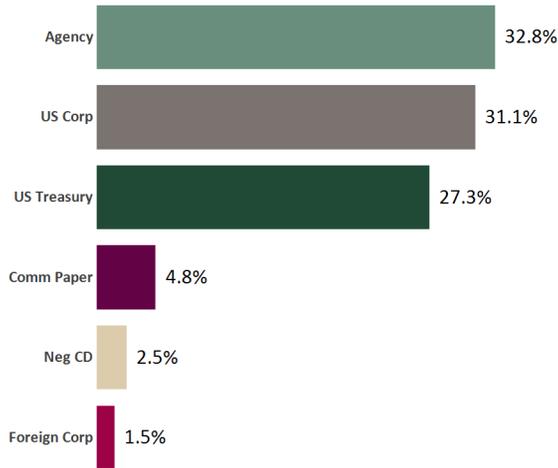
ACCOUNT SUMMARY

	Beg. Values as of 1/31/19	End Values as of 2/28/19
Market Value	20,556,833	20,322,523
Accrued Interest	96,151	97,177
Total Market Value	20,652,983	20,419,700
Income Earned	34,913	35,153
Cont/WD		-266,708
Par	20,745,000	20,510,000
Book Value	20,661,195	20,428,614
Cost Value	20,654,698	20,417,804

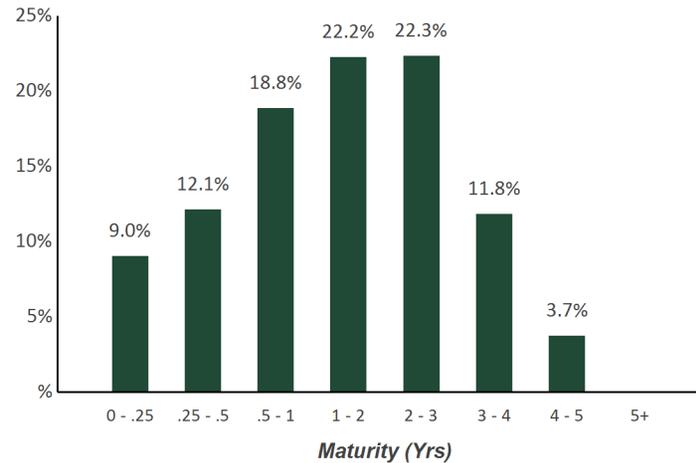
TOP ISSUERS

Government of United States	27.3%
Federal Home Loan Bank	12.2%
Federal National Mortgage Assoc	11.8%
Federal Home Loan Mortgage Corp	6.3%
Bank of Montreal Chicago	2.5%
MUFG Bank Ltd/NY	2.4%
Federal Farm Credit Bank	2.4%
Rabobank Nederland NV NY	2.4%
Total	67.4%

SECTOR ALLOCATION



MATURITY DISTRIBUTION



CREDIT QUALITY (S&P)



PERFORMANCE REVIEW

TOTAL RATE OF RETURN	1M	3M	YTD	1YR	Annualized				
					2YRS	3YRS	5YRS	10YRS	2/28/2015
County of Sierra	0.16%	1.32%	0.66%	2.55%	1.32%	1.14%	N/A	N/A	1.13%
ICE BAML 1-3 Yr US Treasury/Agency Index	0.10%	1.16%	0.37%	2.30%	1.09%	0.86%	N/A	N/A	0.89%

Statement of Compliance

As of February 28, 2019



County of Sierra

Assets managed by Chandler Asset Management are in full compliance with state law and with the investment policy

Category	Standard	Comment
Treasury Issues	No limitations	Complies
U.S. Agencies	25% max per Agency/GSE issuer	Complies
Supranational	"AA" rating category or better by a NRSRO; 30% maximum; 10% max per issuer; USD denominated senior unsecured unsubordinated obligations issued or unconditionally guaranteed by IBRD, IFC, or IADB	Complies
Municipal Securities	"A" rating category or better by a NRSRO; 30% maximum; 5% max per issuer; Obligations of the County, State of California, or any of the 49 states in addition to California, including bonds payable solely out of the revenues from a revenue-producing property owned, controlled, or operated by a state or by a department, board, agency, or authority of any of the other 49 states in addition to California.	Complies
Corporate Medium Term Notes (MTNs)	"A" rating category or better by a NRSRO; 30% maximum; 5% max per issuer; Issued by corporations organized and operating within the U.S. or by depository institutions licensed by the U.S. or any state and operating within the U.S.	Complies*
Negotiable CDs (NCDs)	No rating required if amount of the NCD is covered by FDIC insured limit; If above FDIC insured limit, requires "A-1" short-term rated or better by a NRSRO or "A" long-term issuer rating category or better by a NRSRO; 30% maximum (combined with CDARS); 5% max per issuer	Complies
FDIC insured Time Deposits/ Certificates of Deposit	Amount per institution limited to the max covered under FDIC; 20% maximum (combination of FDIC insured and collateralized TDs/ CDs)	Complies
Collateralized Time Deposits/ Certificates of Deposit	20% maximum (combination of FDIC insured and collateralized TDs/ CDs)	Complies
Asset Backed/ Mortgage Backed/ Collateralized Mortgage Obligation	"AA" rating category or better by a NRSRO; "A" long-term debt rating category or higher by a NRSRO; 20% maximum; 5% max per issuer on Asset-Backed or Commercial Mortgage security; There is no issuer limitation on any Mortgage security where the issuer is the US Treasury or a Federal Agency/GSE	Complies
Banker's Acceptances	"A-1" short-term rated issuer or better by a NRSRO or "A" long-term debt rating category or better by a NRSRO; 40% maximum; 5% max per issuer; 180 days max maturity	Complies
Commercial Paper	"A-1" rated or better by a NRSRO; and "A" long-term debt issuer rating category or better by a NRSRO; 25% maximum; 5% max per issuer; 270 days max maturity; Issuer is a corporation organized and operating in the U.S. with assets > \$500 million; County may not purchase more than 10% of outstanding commercial paper of any single issuer.	Complies
Mutual Fund & Money Market Mutual Funds	Highest rating or "AAA" rated by two NRSROs; SEC registered adviser with AUM >\$500 million and experience > 5 years; 20% maximum in Mutual Funds and Money Market Mutual Funds; 10% max per one Mutual Fund; 20% max in Money Market Mutual Funds.	Complies
Local Agency Investment Fund (LAIF)	Maximum amount permitted by LAIF; Not used by investment adviser	Complies
Local Government Investment Pools	California Asset Management Program; Investment Trust of California; Not used by investment adviser	Complies
Repurchase Agreements	1 year max maturity; 102% collateralized; Not used by investment adviser	Complies
Prohibited Securities	Inverse floaters; Ranges notes, Mortgage-derived, Interest-only strips; Zero interest accrual securities; Futures and Options; Purchasing/ Selling securities on margin; Reverse Repurchase Agreements; Securities lending; Foreign currency denominated securities	Complies
Callable Securities	20% maximum (does not include "make whole call" securities)	Complies
Max Per Issuer	No more than 5% in any single issuer unless otherwise specified in the policy	Complies
Maximum Maturity	5 years maximum maturity	Complies

*Corporate exposure is 31.3%; confirmed with County that they have assets managed internally and the concentration is in compliance with the portfolio in aggregate.



BOOK VALUE RECONCILIATION		
BEGINNING BOOK VALUE		\$20,661,195.05
<u>Acquisition</u>		
+ Security Purchases	\$0.00	
+ Money Market Fund Purchases	\$0.00	
+ Money Market Contributions	\$0.00	
+ Security Contributions	\$0.00	
+ Security Transfers	\$0.00	
Total Acquisitions		\$0.00
<u>Dispositions</u>		
- Security Sales	\$0.00	
- Money Market Fund Sales	\$0.00	
- MMF Withdrawals	\$0.00	
- Security Withdrawals	\$0.00	
- Security Transfers	\$0.00	
- Other Dispositions	\$0.00	
- Maturities	\$235,000.00	
- Calls	\$0.00	
- Principal Paydowns	\$0.00	
Total Dispositions		\$235,000.00
<u>Amortization/Accretion</u>		
+/- Net Accretion	\$2,418.73	
		\$2,418.73
<u>Gain/Loss on Dispositions</u>		
+/- Realized Gain/Loss	\$0.00	
		\$0.00
ENDING BOOK VALUE		\$20,428,613.78

Holdings Report

As of February 28, 2019



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
AGENCY									
[REDACTED]	FHLB Note 1.875% Due 3/8/2019	200,000.00	03/10/2016 1.19%	204,026.00 200,025.90	100.00 1.98%	199,993.40 1,802.08	0.99% (32.50)	Aaa / AA+ AAA	0.02 0.03
[REDACTED]	FNMA Note 1.75% Due 6/20/2019	250,000.00	05/17/2016 1.06%	255,215.00 250,513.63	99.77 2.49%	249,420.50 862.85	1.23% (1,093.13)	Aaa / AA+ AAA	0.31 0.31
[REDACTED]	FNMA Note 0.875% Due 8/2/2019	425,000.00	12/12/2016 1.40%	419,296.50 424,085.06	99.31 2.49%	422,084.93 299.57	2.07% (2,000.13)	Aaa / AA+ AAA	0.42 0.42
[REDACTED]	FHLMC Callable Note 1X 8/22/2014 1.4% Due 8/22/2019	400,000.00	03/10/2016 1.26%	401,912.00 400,265.09	99.48 2.49%	397,920.80 140.00	1.95% (2,344.29)	Aaa / AA+ AAA	0.48 0.48
[REDACTED]	FHLB Note 1.375% Due 9/13/2019	375,000.00	10/12/2016 1.12%	377,696.25 375,498.08	99.39 2.51%	372,716.63 2,406.25	1.84% (2,781.45)	Aaa / AA+ NR	0.54 0.53
[REDACTED]	FHLMC Note 1.25% Due 10/2/2019	500,000.00	01/21/2016 1.31%	498,850.00 499,816.31	99.26 2.51%	496,302.50 2,586.81	2.44% (3,513.81)	Aaa / AA+ AAA	0.59 0.58
[REDACTED]	FNMA Note 1.75% Due 11/26/2019	400,000.00	03/10/2016 1.32%	406,224.00 401,243.88	99.43 2.53%	397,719.60 1,847.22	1.96% (3,524.28)	Aaa / AA+ AAA	0.74 0.73
[REDACTED]	FHLB Note 1.25% Due 12/13/2019	250,000.00	02/01/2018 2.17%	245,845.00 248,233.36	98.98 2.56%	247,452.75 677.08	1.22% (780.61)	Aaa / AA+ AAA	0.79 0.78
[REDACTED]	FHLB Note 1.875% Due 3/13/2020	400,000.00	03/10/2016 1.41%	407,216.00 401,869.53	99.31 2.55%	397,255.60 3,500.00	1.96% (4,613.93)	Aaa / AA+ NR	1.04 1.01
[REDACTED]	FFCB Note 1.55% Due 4/13/2020	500,000.00	04/12/2017 1.52%	500,395.00 500,148.08	98.88 2.57%	494,387.00 2,970.83	2.44% (5,761.08)	Aaa / AA+ AAA	1.12 1.10
[REDACTED]	FHLMC Note 1.875% Due 11/17/2020	400,000.00	07/10/2018 2.69%	392,660.00 394,636.15	98.84 2.57%	395,360.00 2,166.67	1.95% 723.85	Aaa / AA+ AAA	1.72 1.67
[REDACTED]	FNMA Note 1.25% Due 5/6/2021	350,000.00	10/12/2016 1.39%	347,854.50 348,971.14	97.33 2.51%	340,650.10 1,397.57	1.68% (8,321.04)	Aaa / AA+ AAA	2.19 2.13
[REDACTED]	FHLB Note 2.875% Due 12/10/2021	500,000.00	01/04/2019 2.58%	504,100.00 503,907.51	100.75 2.59%	503,752.00 3,234.38	2.48% (155.51)	Aaa / AA+ NR	2.78 2.64
[REDACTED]	FNMA Note 2% Due 1/5/2022	500,000.00	11/01/2017 1.97%	500,535.00 500,366.16	98.55 2.53%	492,730.00 1,555.56	2.42% (7,636.16)	Aaa / AA+ AAA	2.85 2.74
[REDACTED]	FNMA Note 1.875% Due 4/5/2022	500,000.00	04/12/2017 1.89%	499,570.00 499,731.75	98.04 2.54%	490,177.00 3,802.08	2.42% (9,554.75)	Aaa / AA+ AAA	3.10 2.97
[REDACTED]	FHLB Note 2.75% Due 6/10/2022	500,000.00	07/10/2018 2.84%	498,305.00 498,579.19	100.39 2.62%	501,950.00 3,093.75	2.47% 3,370.81	Aaa / AA+ NR	3.28 3.10

Holdings Report

As of February 28, 2019



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
AGENCY									
[REDACTED]	FHLB Note 3% Due 12/9/2022	250,000.00	01/04/2019 2.61%	253,592.50 253,466.80	101.62 2.55%	254,061.25 2,395.83	1.26% 594.45	Aaa / AA+ AAA	3.78 3.53
[REDACTED]		6,700,000.00	1.78%	6,713,292.75 6,701,357.62	2.52%	6,653,934.06 34,738.53	32.76% (47,423.56)	Aaa / AA+ AAA	1.62 1.56
PAPER									
[REDACTED]	MUFG Bank Ltd/NY Discount CP 2.76% Due 5/6/2019	500,000.00	01/04/2019 2.82%	495,553.33 495,553.33	99.11 2.82%	495,553.33 1,916.67	2.44% 0.00	P-1 / A-1 NR	0.18 0.18
[REDACTED]	Rabobank Nederland NV NY Discount CP 2.72% Due 10/4/2019	500,000.00	01/07/2019 2.80%	489,913.33 489,913.33	97.98 2.80%	489,913.33 1,888.89	2.41% 0.00	P-1 / A-1 NR	0.60 0.59
[REDACTED]	Paper	1,000,000.00	2.81%	985,466.66 985,466.66	2.81%	985,466.66 3,805.56	4.84% 0.00	P-1 / A-1 NR	0.39 0.38
CD									
[REDACTED]	Toronto Dominion Bank Note 2.125% Due 7/2/2019	300,000.00	02/12/2018 2.31%	299,232.00 299,811.82	99.82 2.65%	299,457.90 1,044.79	1.47% (353.92)	Aa1 / AA- AA-	0.34 0.34
[REDACTED]	Corporate	300,000.00	2.31%	299,232.00 299,811.82	2.65%	299,457.90 1,044.79	1.47% (353.92)	Aa1 / AA- AA-	0.34 0.34
[REDACTED]	Bank of Montreal Chicago Yankee CD 2.8% Due 4/29/2019	500,000.00	01/04/2019 2.75%	500,073.14 500,039.59	100.01 2.75%	500,039.59 2,177.78	2.46% 0.00	P-1 / A-1 F-1+	0.16 0.16
Total Negotiable CD		500,000.00	2.75%	500,073.14 500,039.59	2.75%	500,039.59 2,177.78	2.46% 0.00	P-1 / A-1 F-1+	0.16 0.16

Holdings Report

As of February 28, 2019



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
US CORPORATE									
[REDACTED]	Berkshire Hathaway Note 1.7% Due 3/15/2019	200,000.00	03/10/2016 1.57%	200,730.00 200,009.33	99.98 2.19%	199,952.00 1,567.78	0.99% (57.33)	Aa2 / AA A+	0.04 0.05
[REDACTED]	Exxon Mobil Corp Callable Note Cont 2/15/2019 1.819% Due 3/15/2019	235,000.00	04/19/2016 1.26%	238,696.55 235,048.91	99.98 2.26%	234,949.48 1,971.09	1.16% (99.43)	Aaa / AA+ NR	0.04 0.05
[REDACTED]	US Bancorp Callable Note Cont 3/25/2019 2.2% Due 4/25/2019	200,000.00	03/10/2016 1.80%	202,412.00 200,116.78	99.96 2.45%	199,914.80 1,540.00	0.99% (201.98)	A1 / A+ AA-	0.15 0.16
[REDACTED]	American Honda Finance Note 2.25% Due 8/15/2019	200,000.00	03/10/2016 1.84%	202,722.00 200,364.24	99.79 2.71%	199,572.20 200.00	0.98% (792.04)	A2 / A NR	0.46 0.46
[REDACTED]	John Deere Capital Corp Note 1.25% Due 10/9/2019	200,000.00	12/12/2016 1.86%	196,686.00 199,284.33	99.18 2.61%	198,357.00 986.11	0.98% (927.33)	A2 / A A	0.61 0.60
[REDACTED]	Qualcomm Inc Note 2.25% Due 5/20/2020	225,000.00	12/12/2016 2.24%	225,101.25 225,036.07	99.14 2.96%	223,076.03 1,420.31	1.10% (1,960.04)	A2 / A- NR	1.22 1.19
[REDACTED]	Cisco Systems Note 2.45% Due 6/15/2020	200,000.00	12/12/2016 2.15%	202,020.00 200,746.04	99.70 2.69%	199,398.80 1,034.44	0.98% (1,347.24)	A1 / AA- NR	1.30 1.26
[REDACTED]	HSBC USA Inc Note 2.75% Due 8/7/2020	250,000.00	02/01/2018 2.63%	250,715.00 250,411.14	99.75 2.93%	249,381.75 458.33	1.22% (1,029.39)	A2 / A AA-	1.44 1.40
[REDACTED]	Visa Inc Note 2.2% Due 12/14/2020	200,000.00	12/12/2016 2.12%	200,636.00 200,284.89	99.09 2.72%	198,187.40 941.11	0.98% (2,097.49)	A1 / AA- NR	1.79 1.74
[REDACTED]	IBM Corp Note 2.25% Due 2/19/2021	200,000.00	11/01/2017 2.06%	201,204.00 200,722.80	98.67 2.95%	197,346.60 150.00	0.97% (3,376.20)	A1 / A A	1.98 1.91
[REDACTED]	Paccar Financial Corp Note 2.8% Due 3/1/2021	150,000.00	07/10/2018 3.05%	149,070.00 149,293.32	99.49 3.06%	149,241.90 2,100.00	0.74% (51.42)	A1 / A+ NR	2.01 1.91
[REDACTED]	Bank of America Corp Note 2.625% Due 4/19/2021	250,000.00	01/04/2019 3.29%	246,367.50 246,586.33	99.35 2.94%	248,370.00 2,406.25	1.23% 1,783.67	A3 / A- A+	2.14 2.05
[REDACTED]	General Dynamics Corp Note 3% Due 5/11/2021	250,000.00	07/10/2018 3.07%	249,490.00 249,604.05	100.38 2.82%	250,961.50 2,291.67	1.24% 1,357.45	A2 / A+ NR	2.20 2.10
[REDACTED]	State Street Bank Note 1.95% Due 5/19/2021	200,000.00	02/01/2018 2.69%	195,384.00 196,879.00	97.90 2.93%	195,798.20 1,105.00	0.96% (1,080.80)	A1 / A AA-	2.22 2.14
[REDACTED]	JP Morgan Chase Callable Note Cont 5/7/2021 2.4% Due 6/7/2021	250,000.00	02/01/2018 2.78%	246,997.50 247,954.75	98.60 3.04%	246,495.00 1,400.00	1.21% (1,459.75)	A2 / A- AA-	2.27 2.18

Holdings Report

As of February 28, 2019



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
US CORPORATE									
[REDACTED]	American Honda Finance Note 1.65% Due 7/12/2021	200,000.00	07/10/2018 3.21%	191,158.00 193,023.30	96.97 2.98%	193,946.60 449.17	0.95% 923.30	A2 / A NR	2.37 2.30
[REDACTED]	Oracle Corp Callable Note Cont 8/01/21 1.9% Due 9/15/2021	250,000.00	11/01/2017 2.17%	247,530.00 248,371.45	97.70 2.84%	244,240.00 2,190.28	1.21% (4,131.45)	A1 / AA- A	2.55 2.44
[REDACTED]	PNC Bank Callable Note Cont 11/09/2021 2.55% Due 12/9/2021	250,000.00	11/01/2017 2.34%	251,997.50 251,355.73	98.60 3.08%	246,501.50 1,452.08	1.21% (4,854.23)	A2 / A A+	2.78 2.65
[REDACTED]	John Deere Capital Corp Note 2.65% Due 1/6/2022	200,000.00	07/10/2018 3.17%	196,572.00 197,194.05	99.25 2.93%	198,495.00 809.72	0.98% 1,300.95	A2 / A A	2.86 2.72
[REDACTED]	Bank of NY Mellon Corp Callable Note Cont 1/7/2022 2.6% Due 2/7/2022	250,000.00	11/01/2017 2.37%	252,317.50 251,601.67	99.26 2.86%	248,161.75 433.33	1.22% (3,439.92)	A1 / A AA-	2.95 2.81
[REDACTED]	Apple Inc Callable Cont 1/9/2022 2.5% Due 2/9/2022	250,000.00	11/01/2017 2.23%	252,715.00 251,877.47	99.36 2.73%	248,392.00 381.94	1.22% (3,485.47)	Aa1 / AA+ NR	2.95 2.82
[REDACTED]	Praxair Callable Note Cont 11/15/2021 2.45% Due 2/15/2022	250,000.00	02/01/2018 2.77%	246,952.50 247,756.87	98.50 2.98%	246,253.25 272.22	1.21% (1,503.62)	A2 / A NR	2.97 2.83
[REDACTED]	Blackrock Inc Note 3.375% Due 6/1/2022	250,000.00	07/10/2018 3.15%	252,062.50 251,726.74	101.35 2.94%	253,372.75 2,109.38	1.25% 1,646.01	Aa3 / AA- NR	3.26 3.04
[REDACTED]	Charles Schwab Corp Note 3.225% Due 9/1/2022	250,000.00	07/10/2018 3.26%	249,667.50 249,718.33	100.54 3.06%	251,339.00 4,031.25	1.25% 1,620.67	A2 / A A	3.51 3.24
[REDACTED]	Toyota Motor Credit Corp Note 2.7% Due 1/11/2023	250,000.00	01/04/2019 3.40%	243,502.50 243,724.71	98.64 3.08%	246,597.50 937.50	1.21% 2,872.79	Aa3 / AA- A+	3.87 3.63
[REDACTED]	Exxon Mobil Corp Callable Note Cont 1/1/2023 2.726% Due 3/1/2023	250,000.00	01/04/2019 3.13%	246,120.00 246,248.39	99.52 2.85%	248,806.50 3,407.50	1.24% 2,558.11	Aaa / AA+ NR	4.01 3.72
[REDACTED]	Berkshire Hathaway Callable Note Cont 1/15/2023 2.75% Due 3/15/2023	250,000.00	01/04/2019 3.25%	245,105.00 245,265.49	99.76 2.81%	249,403.25 3,170.14	1.24% 4,137.76	Aa2 / AA A+	4.04 3.76
[REDACTED]	Wal-Mart Stores Callable Note Cont 1/11/2023 2.55% Due 4/11/2023	250,000.00	01/04/2019 3.14%	244,202.50 244,389.28	99.12 2.78%	247,808.25 2,479.17	1.23% 3,418.97	Aa2 / AA AA	4.12 3.84
Total US Corporate		6,360,000.00	2.59%	6,328,134.30 6,324,595.46	2.83%	6,314,320.01 41,695.77	31.13% (10,275.45)	A1 / A+ A+	2.30 2.18

Holdings Report

As of February 28, 2019



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
US TREASURY									
[REDACTED]	US Treasury Note 1.25% Due 5/31/2019	500,000.00	02/01/2018 2.04%	494,921.88 499,035.26	99.69 2.47%	498,457.00 1,562.50	2.45% (578.26)	Aaa / AA+ AAA	0.25 0.25
[REDACTED]	US Treasury Note 0.875% Due 7/31/2019	400,000.00	01/21/2016 1.20%	395,548.22 399,472.59	99.34 2.45%	397,359.20 280.39	1.95% (2,113.39)	Aaa / AA+ AAA	0.42 0.42
[REDACTED]	US Treasury Note 1% Due 8/31/2019	400,000.00	01/21/2016 1.21%	397,032.59 399,586.73	99.23 2.55%	396,937.60 10.87	1.94% (2,649.13)	Aaa / AA+ AAA	0.50 0.49
[REDACTED]	US Treasury Note 1% Due 11/30/2019	500,000.00	01/04/2019 2.59%	493,046.88 494,119.89	98.85 2.55%	494,258.00 1,250.00	2.43% 138.11	Aaa / AA+ AAA	0.75 0.74
[REDACTED]	US Treasury Note 1.125% Due 12/31/2019	350,000.00	10/12/2016 1.09%	350,425.00 350,110.79	98.83 2.54%	345,912.00 652.62	1.70% (4,198.79)	Aaa / AA+ AAA	0.84 0.82
[REDACTED]	US Treasury Note 1.25% Due 1/31/2020	400,000.00	03/10/2016 1.26%	399,782.59 399,948.45	98.82 2.55%	395,296.80 400.55	1.94% (4,651.65)	Aaa / AA+ AAA	0.92 0.91
[REDACTED]	US Treasury Note 1.125% Due 4/30/2020	350,000.00	10/12/2016 1.15%	349,686.72 349,896.62	98.38 2.54%	344,326.15 1,323.44	1.69% (5,570.47)	Aaa / AA+ AAA	1.17 1.14
[REDACTED]	US Treasury Note 1.625% Due 7/31/2020	425,000.00	12/12/2016 1.63%	424,935.02 424,974.58	98.71 2.56%	419,504.75 553.26	2.06% (5,469.83)	Aaa / AA+ AAA	1.42 1.39
[REDACTED]	US Treasury Note 1.375% Due 8/31/2020	425,000.00	12/12/2016 1.66%	420,734.82 423,271.89	98.28 2.55%	417,695.10 15.88	2.05% (5,576.79)	Aaa / AA+ AAA	1.51 1.47
[REDACTED]	US Treasury Note 2% Due 9/30/2020	500,000.00	02/01/2018 2.29%	496,269.53 497,766.35	99.15 2.55%	495,761.50 4,175.82	2.45% (2,004.85)	Aaa / AA+ AAA	1.59 1.54
[REDACTED]	US Treasury Note 1.375% Due 1/31/2021	500,000.00	04/12/2017 1.63%	495,411.83 497,672.76	97.83 2.54%	489,140.50 550.76	2.40% (8,532.26)	Aaa / AA+ AAA	1.93 1.88
[REDACTED]	US Treasury Note 1.125% Due 8/31/2021	500,000.00	04/12/2017 1.72%	487,482.14 492,831.25	96.67 2.51%	483,359.50 15.29	2.37% (9,471.75)	Aaa / AA+ AAA	2.51 2.44
[REDACTED]	US Treasury Note 1.75% Due 3/31/2022	400,000.00	07/10/2018 2.72%	386,328.13 388,655.47	97.82 2.49%	391,296.80 2,923.08	1.93% 2,641.33	Aaa / AA+ AAA	3.09 2.96
Total US Treasury		5,650,000.00	1.74%	5,591,605.35 5,617,342.63	2.53%	5,569,304.90 13,714.46	27.34% (48,037.73)	Aaa / AA+ AAA	1.31 1.28
TOTAL PORTFOLIO		20,510,000.00	2.10%	20,417,804.20 20,428,613.78	2.64%	20,322,523.12 97,176.89	100.00% (106,090.66)	Aa1 / AA AAA	1.63 1.56
TOTAL MARKET VALUE PLUS ACCRUED						20,419,700.01			



Account [REDACTED]

As of February 28, 2019

Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
DISPOSITIONS										
Maturity	02/25/2019	[REDACTED]	235,000.00	Paccar Financial Corp Note 1.65% Due 2/25/2019	100.000		235,000.00	0.00	235,000.00	0.00
Subtotal			235,000.00				235,000.00	0.00	235,000.00	0.00
TOTAL DISPOSITIONS			235,000.00				235,000.00	0.00	235,000.00	0.00

OTHER TRANSACTIONS										
Interest	02/02/2019	[REDACTED]	425,000.00	FNMA Note 0.875% Due 8/2/2019	0.000		1,859.38	0.00	1,859.38	0.00
Interest	02/07/2019	[REDACTED]	250,000.00	Bank of NY Mellon Corp Callable Note Cont 1/7/2022 2.6% Due 2/7/2022	0.000		3,250.00	0.00	3,250.00	0.00
Interest	02/07/2019	[REDACTED]	250,000.00	HSBC USA Inc Note 2.75% Due 8/7/2020	0.000		3,437.50	0.00	3,437.50	0.00
Interest	02/09/2019	[REDACTED]	250,000.00	Apple Inc Callable Cont 1/9/2022 2.5% Due 2/9/2022	0.000		3,125.00	0.00	3,125.00	0.00
Interest	02/15/2019	[REDACTED]	200,000.00	American Honda Finance Note 2.25% Due 8/15/2019	0.000		2,250.00	0.00	2,250.00	0.00
Interest	02/15/2019	[REDACTED]	250,000.00	Praxair Callable Note Cont 11/15/2021 2.45% Due 2/15/2022	0.000		3,062.50	0.00	3,062.50	0.00
Interest	02/19/2019	[REDACTED]	200,000.00	IBM Corp Note 2.25% Due 2/19/2021	0.000		2,250.00	0.00	2,250.00	0.00
Interest	02/22/2019	[REDACTED]	400,000.00	FHLMC Callable Note 1X 8/22/2014 1.4% Due 8/22/2019	0.000		2,800.00	0.00	2,800.00	0.00
Interest	02/25/2019	[REDACTED]	235,000.00	Paccar Financial Corp Note 1.65% Due 2/25/2019	0.000		1,938.75	0.00	1,938.75	0.00
Interest	02/28/2019	[REDACTED]	500,000.00	US Treasury Note 1.125% Due 8/31/2021	0.000		2,812.50	0.00	2,812.50	0.00
Interest	02/28/2019	[REDACTED]	425,000.00	US Treasury Note 1.375% Due 8/31/2020	0.000		2,921.88	0.00	2,921.88	0.00

Transaction Ledger

As of February 28, 2019



Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
OTHER TRANSACTIONS										
Interest	02/28/2019	[REDACTED]	400,000.00	US Treasury Note 1% Due 8/31/2019	0.000		2,000.00	0.00	2,000.00	0.00
Subtotal			3,785,000.00				31,707.51	0.00	31,707.51	0.00
TOTAL OTHER TRANSACTIONS			3,785,000.00				31,707.51	0.00	31,707.51	0.00

Income Earned
As of February 28, 2019



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
FIXED INCOME						
[REDACTED]	American Honda Finance Note 2.25% Due 08/15/2019	03/10/2016 03/15/2016 200,000.00	200,425.31 0.00 0.00 200,364.24	2,075.00 2,250.00 200.00 375.00	0.00 61.07 (61.07) 313.93	313.93
[REDACTED]	American Honda Finance Note 1.65% Due 07/12/2021	07/10/2018 07/13/2018 200,000.00	192,797.20 0.00 0.00 193,023.30	174.17 0.00 449.17 275.00	226.10 0.00 226.10 501.10	501.10
[REDACTED]	Apple Inc Callable Cont 1/9/2022 2.5% Due 02/09/2022	11/01/2017 11/06/2017 250,000.00	251,926.32 0.00 0.00 251,877.47	2,986.11 3,125.00 381.94 520.83	0.00 48.85 (48.85) 471.98	471.98
[REDACTED]	Bank of America Corp Note 2.625% Due 04/19/2021	01/04/2019 01/10/2019 250,000.00	246,463.78 0.00 0.00 246,586.33	1,859.38 0.00 2,406.25 546.87	122.55 0.00 122.55 669.42	669.42
[REDACTED]	Bank of NY Mellon Corp Callable Note Cont 1/7/2022 2.6% Due 02/07/2022	11/01/2017 11/06/2017 250,000.00	251,643.43 0.00 0.00 251,601.67	3,141.67 3,250.00 433.33 541.66	0.00 41.76 (41.76) 499.90	499.90
[REDACTED]	Berkshire Hathaway Note 1.7% Due 03/15/2019	03/10/2016 03/15/2016 200,000.00	200,028.00 0.00 0.00 200,009.33	1,284.44 0.00 1,567.78 283.34	0.00 18.67 (18.67) 264.67	264.67
[REDACTED]	Berkshire Hathaway Callable Note Cont 1/15/2023 2.75% Due 03/15/2023	01/04/2019 01/10/2019 250,000.00	245,175.62 0.00 0.00 245,265.49	2,597.22 0.00 3,170.14 572.92	89.87 0.00 89.87 662.79	662.79
[REDACTED]	Blackrock Inc Note 3.375% Due 06/01/2022	07/10/2018 07/13/2018 250,000.00	251,767.44 0.00 0.00 251,726.74	1,406.25 0.00 2,109.38 703.13	0.00 40.70 (40.70) 662.43	662.43
[REDACTED]	Cisco Systems Note 2.45% Due 06/15/2020	12/12/2016 12/15/2016 200,000.00	200,790.30 0.00 0.00 200,746.04	626.11 0.00 1,034.44 408.33	0.00 44.26 (44.26) 364.07	364.07

Income Earned
As of February 28, 2019



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
[REDACTED]	John Deere Capital Corp Note 1.25% Due 10/09/2019	12/12/2016 12/15/2016 200,000.00	199,194.07 0.00 0.00 199,284.33	777.78 0.00 986.11 208.33	90.26 0.00 90.26 298.59	298.59
[REDACTED]	John Deere Capital Corp Note 2.65% Due 01/06/2022	07/10/2018 07/13/2018 200,000.00	197,118.65 0.00 0.00 197,194.05	368.06 0.00 809.72 441.66	75.40 0.00 75.40 517.06	517.06
[REDACTED]	Exxon Mobil Corp Callable Note Cont 2/15/2019 1.819% Due 03/15/2019	04/19/2016 04/21/2016 235,000.00	235,146.74 0.00 0.00 235,048.91	1,614.87 0.00 1,971.09 356.22	0.00 97.83 (97.83) 258.39	258.39
[REDACTED]	Exxon Mobil Corp Callable Note Cont 1/1/2023 2.726% Due 03/01/2023	01/04/2019 01/10/2019 250,000.00	246,176.49 0.00 0.00 246,248.39	2,839.58 0.00 3,407.50 567.92	71.90 0.00 71.90 639.82	639.82
[REDACTED]	FHLB Note 2.875% Due 12/10/2021	01/04/2019 01/10/2019 500,000.00	504,015.31 0.00 0.00 503,907.51	2,036.46 0.00 3,234.38 1,197.92	0.00 107.80 (107.80) 1,090.12	1,090.12
[REDACTED]	FHLB Note 2.75% Due 06/10/2022	07/10/2018 07/13/2018 500,000.00	498,545.96 0.00 0.00 498,579.19	1,947.92 0.00 3,093.75 1,145.83	33.23 0.00 33.23 1,179.06	1,179.06
[REDACTED]	FHLB Note 3% Due 12/09/2022	01/04/2019 01/10/2019 250,000.00	253,537.19 0.00 0.00 253,466.80	1,770.83 0.00 2,395.83 625.00	0.00 70.39 (70.39) 554.61	554.61
[REDACTED]	FHLB Note 1.875% Due 03/13/2020	03/10/2016 03/15/2016 400,000.00	402,008.02 0.00 0.00 401,869.53	2,875.00 0.00 3,500.00 625.00	0.00 138.49 (138.49) 486.51	486.51
[REDACTED]	FHLB Note 1.875% Due 03/08/2019	03/10/2016 03/15/2016 200,000.00	200,129.51 0.00 0.00 200,025.90	1,489.58 0.00 1,802.08 312.50	0.00 103.61 (103.61) 208.89	208.89



Account [REDACTED]

As of February 28, 2019

CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
[REDACTED]	FHLB Note 1.375% Due 09/13/2019	10/12/2016 10/17/2016 375,000.00	375,569.24 0.00 0.00 375,498.08	1,976.56 0.00 2,406.25 429.69	0.00 71.16 (71.16) 358.53	358.53
[REDACTED]	FHLB Note 1.25% Due 12/13/2019	02/01/2018 02/06/2018 250,000.00	248,061.00 0.00 0.00 248,233.36	416.67 0.00 677.08 260.41	172.36 0.00 172.36 432.77	432.77
[REDACTED]	FFCB Note 1.55% Due 04/13/2020	04/12/2017 04/18/2017 500,000.00	500,158.22 0.00 0.00 500,148.08	2,325.00 0.00 2,970.83 645.83	0.00 10.14 (10.14) 635.69	635.69
[REDACTED]	FHLMC Callable Note 1X 8/22/2014 1.4% Due 08/22/2019	03/10/2016 03/15/2016 400,000.00	400,307.75 0.00 0.00 400,265.09	2,473.33 2,800.00 140.00 466.67	0.00 42.66 (42.66) 424.01	424.01
[REDACTED]	FNMA Note 1.25% Due 05/06/2021	10/12/2016 10/17/2016 350,000.00	348,935.00 0.00 0.00 348,971.14	1,032.99 0.00 1,397.57 364.58	36.14 0.00 36.14 400.72	400.72
[REDACTED]	FNMA Note 0.875% Due 08/02/2019	12/12/2016 12/15/2016 425,000.00	423,918.71 0.00 0.00 424,085.06	1,849.05 1,859.38 299.57 309.90	166.35 0.00 166.35 476.25	476.25
[REDACTED]	FNMA Note 2% Due 01/05/2022	11/01/2017 11/06/2017 500,000.00	500,376.01 0.00 0.00 500,366.16	722.22 0.00 1,555.56 833.34	0.00 9.85 (9.85) 823.49	823.49
[REDACTED]	FNMA Note 1.875% Due 04/05/2022	04/12/2017 04/18/2017 500,000.00	499,725.11 0.00 0.00 499,731.75	3,020.83 0.00 3,802.08 781.25	6.64 0.00 6.64 787.89	787.89
[REDACTED]	FNMA Note 1.75% Due 06/20/2019	05/17/2016 05/19/2016 250,000.00	250,643.20 0.00 0.00 250,513.63	498.26 0.00 862.85 364.59	0.00 129.57 (129.57) 235.02	235.02



Account [REDACTED]

As of February 28, 2019

CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
[REDACTED]	FNMA Note 1.75% Due 11/26/2019	03/10/2016 03/15/2016 400,000.00	401,372.87 0.00 0.00 401,243.88	1,263.89 0.00 1,847.22 583.33	0.00 128.99 (128.99) 454.34	454.34
[REDACTED]	FHLMC Note 1.25% Due 10/02/2019	01/21/2016 01/25/2016 500,000.00	499,792.38 0.00 0.00 499,816.31	2,065.97 0.00 2,586.81 520.84	23.93 0.00 23.93 544.77	544.77
[REDACTED]	FHLMC Note 1.875% Due 11/17/2020	07/10/2018 07/13/2018 400,000.00	394,396.62 0.00 0.00 394,636.15	1,541.67 0.00 2,166.67 625.00	239.53 0.00 239.53 864.53	864.53
[REDACTED]	General Dynamics Corp Note 3% Due 05/11/2021	07/10/2018 07/13/2018 250,000.00	249,590.22 0.00 0.00 249,604.05	1,666.67 0.00 2,291.67 625.00	13.83 0.00 13.83 638.83	638.83
[REDACTED]	HSBC USA Inc Note 2.75% Due 08/07/2020	02/01/2018 02/06/2018 250,000.00	250,433.07 0.00 0.00 250,411.14	3,322.92 3,437.50 458.33 572.91	0.00 21.93 (21.93) 550.98	550.98
[REDACTED]	IBM Corp Note 2.25% Due 02/19/2021	11/01/2017 11/06/2017 200,000.00	200,750.87 0.00 0.00 200,722.80	2,025.00 2,250.00 150.00 375.00	0.00 28.07 (28.07) 346.93	346.93
[REDACTED]	JP Morgan Chase Callable Note Cont 5/7/2021 2.4% Due 06/07/2021	02/01/2018 02/06/2018 250,000.00	247,885.67 0.00 0.00 247,954.75	900.00 0.00 1,400.00 500.00	69.08 0.00 69.08 569.08	569.08
[REDACTED]	Oracle Corp Callable Note Cont 8/01/21 1.9% Due 09/15/2021	11/01/2017 11/06/2017 250,000.00	248,322.36 0.00 0.00 248,371.45	1,794.44 0.00 2,190.28 395.84	49.09 0.00 49.09 444.93	444.93
[REDACTED]	PNC Bank Callable Note Cont 11/09/2021 2.55% Due 12/09/2021	11/01/2017 11/06/2017 250,000.00	251,393.17 0.00 0.00 251,355.73	920.83 0.00 1,452.08 531.25	0.00 37.44 (37.44) 493.81	493.81

Income Earned

As of February 28, 2019



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
	Paccar Financial Corp Note Due 02/25/2019	04/18/2016 04/21/2016 0.00	235,043.71 0.00 235,000.00 0.00	1,680.25 1,938.75 0.00 258.50	0.00 43.71 (43.71) 214.79	214.79
	Paccar Financial Corp Note 2.8% Due 03/01/2021	07/10/2018 07/13/2018 150,000.00	149,266.25 0.00 0.00 149,293.32	1,750.00 0.00 2,100.00 350.00	27.07 0.00 27.07 377.07	377.07
	Praxair Callable Note Cont 11/15/2021 2.45% Due 02/15/2022	02/01/2018 02/06/2018 250,000.00	247,698.83 0.00 0.00 247,756.87	2,824.31 3,062.50 272.22 510.41	58.04 0.00 58.04 568.45	568.45
	Qualcomm Inc Note 2.25% Due 05/20/2020	12/12/2016 12/15/2016 225,000.00	225,038.33 0.00 0.00 225,036.07	998.44 0.00 1,420.31 421.87	0.00 2.26 (2.26) 419.61	419.61
	Charles Schwab Corp Note 3.225% Due 09/01/2022	07/10/2018 07/13/2018 250,000.00	249,712.17 0.00 0.00 249,718.33	3,359.38 0.00 4,031.25 671.87	6.16 0.00 6.16 678.03	678.03
	State Street Bank Note 1.95% Due 05/19/2021	02/01/2018 02/06/2018 200,000.00	196,771.11 0.00 0.00 196,879.00	780.00 0.00 1,105.00 325.00	107.89 0.00 107.89 432.89	432.89
	Toronto Dominion Bank Note 2.125% Due 07/02/2019	02/12/2018 02/15/2018 300,000.00	299,768.99 0.00 0.00 299,811.82	513.54 0.00 1,044.79 531.25	42.83 0.00 42.83 574.08	574.08
	Toyota Motor Credit Corp Note 2.7% Due 01/11/2023	01/04/2019 01/10/2019 250,000.00	243,600.27 0.00 0.00 243,724.71	375.00 0.00 937.50 562.50	124.44 0.00 124.44 686.94	686.94
	US Bancorp Callable Note Cont 3/25/2019 2.2% Due 04/25/2019	03/10/2016 03/15/2016 200,000.00	200,176.23 0.00 0.00 200,116.78	1,173.33 0.00 1,540.00 366.67	0.00 59.45 (59.45) 307.22	307.22

Income Earned
As of February 28, 2019



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
[REDACTED]	US Treasury Note 1.125% Due 08/31/2021	04/12/2017 04/18/2017 500,000.00	492,611.64 0.00 0.00 492,831.25	2,392.96 2,812.50 15.29 434.83	219.61 0.00 219.61 654.44	654.44
[REDACTED]	US Treasury Note 1.25% Due 01/31/2020	03/10/2016 03/15/2016 400,000.00	399,944.15 0.00 0.00 399,948.45	13.81 0.00 400.55 386.74	4.30 0.00 4.30 391.04	391.04
[REDACTED]	US Treasury Note 1.75% Due 03/31/2022	07/10/2018 07/13/2018 400,000.00	388,373.37 0.00 0.00 388,655.47	2,384.62 0.00 2,923.08 538.46	282.10 0.00 282.10 820.56	820.56
[REDACTED]	US Treasury Note 1.375% Due 08/31/2020	12/12/2016 12/15/2016 425,000.00	423,183.76 0.00 0.00 423,271.89	2,486.02 2,921.88 15.88 451.74	88.13 0.00 88.13 539.87	539.87
[REDACTED]	US Treasury Note 1.375% Due 01/31/2021	04/12/2017 04/18/2017 500,000.00	497,579.94 0.00 0.00 497,672.76	18.99 0.00 550.76 531.77	92.82 0.00 92.82 624.59	624.59
[REDACTED]	US Treasury Note 0.875% Due 07/31/2019	01/21/2016 01/25/2016 400,000.00	399,375.43 0.00 0.00 399,472.59	9.67 0.00 280.39 270.72	97.16 0.00 97.16 367.88	367.88
[REDACTED]	US Treasury Note 1% Due 08/31/2019	01/21/2016 01/25/2016 400,000.00	399,523.50 0.00 0.00 399,586.73	1,701.66 2,000.00 10.87 309.21	63.23 0.00 63.23 372.44	372.44
[REDACTED]	US Treasury Note 1% Due 11/30/2019	01/04/2019 01/10/2019 500,000.00	493,519.01 0.00 0.00 494,119.89	865.38 0.00 1,250.00 384.62	600.88 0.00 600.88 985.50	985.50
[REDACTED]	US Treasury Note 1.125% Due 12/31/2019	10/12/2016 10/17/2016 350,000.00	350,120.96 0.00 0.00 350,110.79	348.07 0.00 652.62 304.55	0.00 10.17 (10.17) 294.38	294.38

Income Earned

As of February 28, 2019



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
[REDACTED]	US Treasury Note 1.125% Due 04/30/2020	10/12/2016 10/17/2016 350,000.00	349,889.83 0.00 0.00 349,896.62	995.31 0.00 1,323.44 328.13	6.79 0.00 6.79 334.92	334.92
[REDACTED]	US Treasury Note 2% Due 09/30/2020	02/01/2018 02/06/2018 500,000.00	497,658.33 0.00 0.00 497,766.35	3,406.59 0.00 4,175.82 769.23	108.02 0.00 108.02 877.25	877.25
[REDACTED]	US Treasury Note 1.625% Due 07/31/2020	12/12/2016 12/15/2016 425,000.00	424,973.20 0.00 0.00 424,974.58	19.08 0.00 553.26 534.18	1.38 0.00 1.38 535.56	535.56
[REDACTED]	US Treasury Note 1.25% Due 05/31/2019	02/01/2018 02/06/2018 500,000.00	498,738.42 0.00 0.00 499,035.26	1,081.73 0.00 1,562.50 480.77	296.84 0.00 296.84 777.61	777.61
[REDACTED]	Visa Inc Note 2.2% Due 12/14/2020	12/12/2016 12/15/2016 200,000.00	200,297.09 0.00 0.00 200,284.89	574.44 0.00 941.11 366.67	0.00 12.20 (12.20) 354.47	354.47
[REDACTED]	Wal-Mart Stores Callable Note Cont 1/11/2023 2.55% Due 04/11/2023	01/04/2019 01/10/2019 250,000.00	244,284.68 0.00 0.00 244,389.28	1,947.92 0.00 2,479.17 531.25	104.60 0.00 104.60 635.85	635.85
			19,175,670.01	93,387.23	3,818.55	
			0.00	31,707.51	1,381.03	
			235,000.00	91,193.55	2,437.52	
Total Fixed Income		19,010,000.00	18,943,107.53	29,513.83	31,951.35	31,951.35

CASH & EQUIVALENT						
[REDACTED]	Bank of Montreal Chicago Yankee CD 2.8% Due 04/29/2019	01/04/2019 01/10/2019 500,000.00	500,058.38 0.00 0.00 500,039.59	1,088.89 0.00 2,177.78 1,088.89	0.00 18.79 (18.79) 1,070.10	1,070.10

Income Earned

As of February 28, 2019



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
[REDACTED]	Rabobank Nederland NV NY Discount CP 2.72% Due 10/04/2019	01/07/2019 01/10/2019 500,000.00	489,913.33 0.00 0.00 489,913.33	831.11 0.00 1,888.89 1,057.78	0.00 0.00 0.00 1,057.78	1,057.78
[REDACTED]	MUFG Bank Ltd/NY Discount CP 2.76% Due 05/06/2019	01/04/2019 01/10/2019 500,000.00	495,553.33 0.00 0.00 495,553.33	843.33 0.00 1,916.67 1,073.34	0.00 0.00 0.00 1,073.34	1,073.34
			1,485,525.04	2,763.33	0.00	
			0.00	0.00	18.79	
			0.00	5,983.34	(18.79)	
Total Cash & Equivalent		1,500,000.00	1,485,506.25	3,220.01	3,201.22	3,201.22
			20,661,195.05	96,150.56	3,818.55	
			0.00	31,707.51	1,399.82	
			235,000.00	97,176.89	2,418.73	
TOTAL PORTFOLIO		20,510,000.00	20,428,613.78	32,733.84	35,152.57	35,152.57

Cash Flow Report

As of February 28, 2019



Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
03/01/2019	Interest	[REDACTED]	150,000.00	Paccar Financial Corp Note 2.8% Due 3/1/2021	0.00	2,100.00	2,100.00
03/01/2019	Interest	[REDACTED]	250,000.00	Exxon Mobil Corp Callable Note Cont 1/1/2023 2.726% Due 3/1/2023	0.00	3,407.50	3,407.50
03/01/2019	Interest	[REDACTED]	250,000.00	Charles Schwab Corp Note 3.225% Due 9/1/2022	0.00	4,031.25	4,031.25
03/08/2019	Maturity	[REDACTED]	200,000.00	FHLB Note 1.875% Due 3/8/2019	200,000.00	1,875.00	201,875.00
03/13/2019	Interest	[REDACTED]	400,000.00	FHLB Note 1.875% Due 3/13/2020	0.00	3,750.00	3,750.00
03/13/2019	Interest	[REDACTED]	375,000.00	FHLB Note 1.375% Due 9/13/2019	0.00	2,578.13	2,578.13
03/15/2019	Interest	[REDACTED]	250,000.00	Oracle Corp Callable Note Cont 8/01/21 1.9% Due 9/15/2021	0.00	2,375.00	2,375.00
03/15/2019	Interest	[REDACTED]	250,000.00	Berkshire Hathaway Callable Note Cont 1/15/2023 2.75% Due 3/15/2023	0.00	3,437.50	3,437.50
03/15/2019	Maturity	[REDACTED]	235,000.00	Exxon Mobil Corp Callable Note Cont 2/15/2019 1.819% Due 3/15/2019	235,000.01	2,137.32	237,137.33
03/15/2019	Maturity	[REDACTED]	200,000.00	Berkshire Hathaway Note 1.7% Due 3/15/2019	200,000.00	1,700.00	201,700.00
03/31/2019	Interest	[REDACTED]	400,000.00	US Treasury Note 1.75% Due 3/31/2022	0.00	3,500.00	3,500.00
03/31/2019	Interest	[REDACTED]	500,000.00	US Treasury Note 2% Due 9/30/2020	0.00	5,000.00	5,000.00
MAR 2019					635,000.01	35,891.70	670,891.71
04/02/2019	Interest	[REDACTED]	500,000.00	FHLMC Note 1.25% Due 10/2/2019	0.00	3,125.00	3,125.00
04/05/2019	Interest	[REDACTED]	500,000.00	FNMA Note 1.875% Due 4/5/2022	0.00	4,687.50	4,687.50
04/09/2019	Interest	[REDACTED]	200,000.00	John Deere Capital Corp Note 1.25% Due 10/9/2019	0.00	1,250.00	1,250.00
04/11/2019	Interest	[REDACTED]	250,000.00	Wal-Mart Stores Callable Note Cont 1/11/2023 2.55% Due 4/11/2023	0.00	3,187.50	3,187.50

Cash Flow Report

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
04/13/2019	Interest	[REDACTED]	500,000.00	FFCB Note 1.55% Due 4/13/2020	0.00	3,875.00	3,875.00
04/19/2019	Interest	[REDACTED]	250,000.00	Bank of America Corp Note 2.625% Due 4/19/2021	0.00	3,281.25	3,281.25
04/25/2019	Maturity	[REDACTED]	200,000.00	US Bancorp Callable Note Cont 3/25/2019 2.2% Due 4/25/2019	200,000.00	2,200.00	202,200.00
04/29/2019	Maturity	[REDACTED]	500,000.00	Bank of Montreal Chicago Yankee CD 2.8% Due 4/29/2019	500,000.00	4,472.22	504,472.22
04/30/2019	Interest	[REDACTED]	350,000.00	US Treasury Note 1.125% Due 4/30/2020	0.00	1,968.75	1,968.75
APR 2019					700,000.00	28,047.22	728,047.22
05/06/2019	Interest	[REDACTED]	350,000.00	FNMA Note 1.25% Due 5/6/2021	0.00	2,187.50	2,187.50
05/06/2019	Maturity	[REDACTED]	500,000.00	MUFG Bank Ltd/NY Discount CP 2.76% Due 5/6/2019	495,553.33	4,446.67	500,000.00
05/11/2019	Interest	[REDACTED]	250,000.00	General Dynamics Corp Note 3% Due 5/11/2021	0.00	3,750.00	3,750.00
05/17/2019	Interest	[REDACTED]	400,000.00	FHLMC Note 1.875% Due 11/17/2020	0.00	3,750.00	3,750.00
05/19/2019	Interest	[REDACTED]	200,000.00	State Street Bank Note 1.95% Due 5/19/2021	0.00	1,950.00	1,950.00
05/20/2019	Interest	[REDACTED]	225,000.00	Qualcomm Inc Note 2.25% Due 5/20/2020	0.00	2,531.25	2,531.25
05/26/2019	Interest	[REDACTED]	400,000.00	FNMA Note 1.75% Due 11/26/2019	0.00	3,500.00	3,500.00
05/31/2019	Interest	[REDACTED]	500,000.00	US Treasury Note 1% Due 11/30/2019	0.00	2,500.00	2,500.00
05/31/2019	Maturity	[REDACTED]	500,000.00	US Treasury Note 1.25% Due 5/31/2019	500,000.00	3,125.00	503,125.00
MAY 2019					995,553.33	27,740.42	1,023,293.75
06/01/2019	Interest	[REDACTED]	250,000.00	Blackrock Inc Note 3.375% Due 6/1/2022	0.00	4,218.75	4,218.75
06/07/2019	Interest	[REDACTED]	250,000.00	JP Morgan Chase Callable Note Cont 5/7/2021 2.4% Due 6/7/2021	0.00	3,000.00	3,000.00

Cash Flow Report

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
06/09/2019	Interest	[REDACTED]	250,000.00	PNC Bank Callable Note Cont 11/09/2021 2.55% Due 12/9/2021	0.00	3,187.50	3,187.50
06/09/2019	Interest	[REDACTED]	250,000.00	FHLB Note 3% Due 12/9/2022	0.00	4,437.50	4,437.50
06/10/2019	Interest	[REDACTED]	500,000.00	FHLB Note 2.875% Due 12/10/2021	0.00	7,187.50	7,187.50
06/10/2019	Interest	[REDACTED]	500,000.00	FHLB Note 2.75% Due 6/10/2022	0.00	6,875.00	6,875.00
06/13/2019	Interest	[REDACTED]	250,000.00	FHLB Note 1.25% Due 12/13/2019	0.00	1,562.50	1,562.50
06/14/2019	Interest	[REDACTED]	200,000.00	Visa Inc Note 2.2% Due 12/14/2020	0.00	2,200.00	2,200.00
06/15/2019	Interest	[REDACTED]	200,000.00	Cisco Systems Note 2.45% Due 6/15/2020	0.00	2,450.00	2,450.00
06/20/2019	Maturity	[REDACTED]	250,000.00	FNMA Note 1.75% Due 6/20/2019	250,000.00	2,187.50	252,187.50
06/30/2019	Interest	[REDACTED]	350,000.00	US Treasury Note 1.125% Due 12/31/2019	0.00	1,968.75	1,968.75
JUN 2019					250,000.00	39,275.00	289,275.00
07/02/2019	Maturity	[REDACTED]	300,000.00	Toronto Dominion Bank Note 2.125% Due 7/2/2019	300,000.00	3,187.50	303,187.50
07/05/2019	Interest	[REDACTED]	500,000.00	FNMA Note 2% Due 1/5/2022	0.00	5,000.00	5,000.00
07/06/2019	Interest	[REDACTED]	200,000.00	John Deere Capital Corp Note 2.65% Due 1/6/2022	0.00	2,650.00	2,650.00
07/11/2019	Interest	[REDACTED]	250,000.00	Toyota Motor Credit Corp Note 2.7% Due 1/11/2023	0.00	3,375.00	3,375.00
07/12/2019	Interest	[REDACTED]	200,000.00	American Honda Finance Note 1.65% Due 7/12/2021	0.00	1,650.00	1,650.00
07/31/2019	Interest	[REDACTED]	500,000.00	US Treasury Note 1.375% Due 1/31/2021	0.00	3,437.50	3,437.50
07/31/2019	Interest	[REDACTED]	425,000.00	US Treasury Note 1.625% Due 7/31/2020	0.00	3,453.13	3,453.13

Cash Flow Report

As of February 28, 2019



Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
07/31/2019	Interest	[REDACTED]	400,000.00	US Treasury Note 1.25% Due 1/31/2020	0.00	2,500.00	2,500.00
07/31/2019	Maturity	[REDACTED]	400,000.00	US Treasury Note 0.875% Due 7/31/2019	400,000.00	1,750.00	401,750.00
JUL 2019					700,000.00	27,003.13	727,003.13
08/02/2019	Maturity	[REDACTED]	425,000.00	FNMA Note 0.875% Due 8/2/2019	425,000.00	1,859.38	426,859.38
08/07/2019	Interest	[REDACTED]	250,000.00	Bank of NY Mellon Corp Callable Note Cont 1/7/2022 2.6% Due 2/7/2022	0.00	3,250.00	3,250.00
08/07/2019	Interest	[REDACTED]	250,000.00	HSBC USA Inc Note 2.75% Due 8/7/2020	0.00	3,437.50	3,437.50
08/09/2019	Interest	[REDACTED]	250,000.00	Apple Inc Callable Cont 1/9/2022 2.5% Due 2/9/2022	0.00	3,125.00	3,125.00
08/15/2019	Interest	[REDACTED]	250,000.00	Praxair Callable Note Cont 11/15/2021 2.45% Due 2/15/2022	0.00	3,062.50	3,062.50
08/15/2019	Maturity	[REDACTED]	200,000.00	American Honda Finance Note 2.25% Due 8/15/2019	200,000.00	2,250.00	202,250.00
08/19/2019	Interest	[REDACTED]	200,000.00	IBM Corp Note 2.25% Due 2/19/2021	0.00	2,250.00	2,250.00
08/22/2019	Maturity	[REDACTED]	400,000.00	FHLMC Callable Note 1X 8/22/2014 1.4% Due 8/22/2019	400,000.00	2,800.00	402,800.00
08/31/2019	Interest	[REDACTED]	425,000.00	US Treasury Note 1.375% Due 8/31/2020	0.00	2,921.88	2,921.88
08/31/2019	Interest	[REDACTED]	500,000.00	US Treasury Note 1.125% Due 8/31/2021	0.00	2,812.50	2,812.50
08/31/2019	Maturity	[REDACTED]	400,000.00	US Treasury Note 1% Due 8/31/2019	400,000.00	2,000.00	402,000.00
AUG 2019					1,425,000.00	29,768.76	1,454,768.76
09/01/2019	Interest	[REDACTED]	250,000.00	Exxon Mobil Corp Callable Note Cont 1/1/2023 2.726% Due 3/1/2023	0.00	3,407.50	3,407.50
09/01/2019	Interest	[REDACTED]	150,000.00	Paccar Financial Corp Note 2.8% Due 3/1/2021	0.00	2,100.00	2,100.00
09/01/2019	Interest	[REDACTED]	250,000.00	Charles Schwab Corp Note 3.225% Due 9/1/2022	0.00	4,031.25	4,031.25

Cash Flow Report

As of February 28, 2019



Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
09/13/2019	Interest	[REDACTED]	400,000.00	FHLB Note 1.875% Due 3/13/2020	0.00	3,750.00	3,750.00
09/13/2019	Maturity	[REDACTED]	375,000.00	FHLB Note 1.375% Due 9/13/2019	375,000.00	2,578.13	377,578.13
09/15/2019	Interest	[REDACTED]	250,000.00	Oracle Corp Callable Note Cont 8/01/21 1.9% Due 9/15/2021	0.00	2,375.00	2,375.00
09/15/2019	Interest	[REDACTED]	250,000.00	Berkshire Hathaway Callable Note Cont 1/15/2023 2.75% Due 3/15/2023	0.00	3,437.50	3,437.50
09/30/2019	Interest	[REDACTED]	400,000.00	US Treasury Note 1.75% Due 3/31/2022	0.00	3,500.00	3,500.00
09/30/2019	Interest	[REDACTED]	500,000.00	US Treasury Note 2% Due 9/30/2020	0.00	5,000.00	5,000.00
SEP 2019					375,000.00	30,179.38	405,179.38
10/02/2019	Maturity	[REDACTED]	500,000.00	FHLMC Note 1.25% Due 10/2/2019	500,000.00	3,125.00	503,125.00
10/04/2019	Maturity	[REDACTED]	500,000.00	Rabobank Nederland NV NY Discount CP 2.72% Due 10/4/2019	489,913.33	10,086.67	500,000.00
10/05/2019	Interest	[REDACTED]	500,000.00	FNMA Note 1.875% Due 4/5/2022	0.00	4,687.50	4,687.50
10/09/2019	Maturity	[REDACTED]	200,000.00	John Deere Capital Corp Note 1.25% Due 10/9/2019	200,000.00	1,250.00	201,250.00
10/11/2019	Interest	[REDACTED]	250,000.00	Wal-Mart Stores Callable Note Cont 1/11/2023 2.55% Due 4/11/2023	0.00	3,187.50	3,187.50
10/13/2019	Interest	[REDACTED]	500,000.00	FFCB Note 1.55% Due 4/13/2020	0.00	3,875.00	3,875.00
10/19/2019	Interest	[REDACTED]	250,000.00	Bank of America Corp Note 2.625% Due 4/19/2021	0.00	3,281.25	3,281.25
10/31/2019	Interest	[REDACTED]	350,000.00	US Treasury Note 1.125% Due 4/30/2020	0.00	1,968.75	1,968.75
OCT 2019					1,189,913.33	31,461.67	1,221,375.00
11/06/2019	Interest	[REDACTED]	350,000.00	FNMA Note 1.25% Due 5/6/2021	0.00	2,187.50	2,187.50
11/11/2019	Interest	[REDACTED]	250,000.00	General Dynamics Corp Note 3% Due 5/11/2021	0.00	3,750.00	3,750.00

Cash Flow Report

As of February 28, 2019



Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
11/17/2019	Interest	[REDACTED]	400,000.00	FHLMC Note 1.875% Due 11/17/2020	0.00	3,750.00	3,750.00
11/19/2019	Interest	[REDACTED]	200,000.00	State Street Bank Note 1.95% Due 5/19/2021	0.00	1,950.00	1,950.00
11/20/2019	Interest	[REDACTED]	225,000.00	Qualcomm Inc Note 2.25% Due 5/20/2020	0.00	2,531.25	2,531.25
11/26/2019	Maturity	[REDACTED]	400,000.00	FNMA Note 1.75% Due 11/26/2019	400,000.00	3,500.00	403,500.00
11/30/2019	Maturity	[REDACTED]	500,000.00	US Treasury Note 1% Due 11/30/2019	500,000.00	2,500.00	502,500.00
NOV 2019					900,000.00	20,168.75	920,168.75
12/01/2019	Interest	[REDACTED]	250,000.00	Blackrock Inc Note 3.375% Due 6/1/2022	0.00	4,218.75	4,218.75
12/07/2019	Interest	[REDACTED]	250,000.00	JP Morgan Chase Callable Note Cont 5/7/2021 2.4% Due 6/7/2021	0.00	3,000.00	3,000.00
12/09/2019	Interest	[REDACTED]	250,000.00	FHLB Note 3% Due 12/9/2022	0.00	3,750.00	3,750.00
12/09/2019	Interest	[REDACTED]	250,000.00	PNC Bank Callable Note Cont 11/09/2021 2.55% Due 12/9/2021	0.00	3,187.50	3,187.50
12/10/2019	Interest	[REDACTED]	500,000.00	FHLB Note 2.75% Due 6/10/2022	0.00	6,875.00	6,875.00
12/10/2019	Interest	[REDACTED]	500,000.00	FHLB Note 2.875% Due 12/10/2021	0.00	7,187.50	7,187.50
12/13/2019	Maturity	[REDACTED]	250,000.00	FHLB Note 1.25% Due 12/13/2019	250,000.00	1,562.50	251,562.50
12/14/2019	Interest	[REDACTED]	200,000.00	Visa Inc Note 2.2% Due 12/14/2020	0.00	2,200.00	2,200.00
12/15/2019	Interest	[REDACTED]	200,000.00	Cisco Systems Note 2.45% Due 6/15/2020	0.00	2,450.00	2,450.00
12/31/2019	Maturity	[REDACTED]	350,000.00	US Treasury Note 1.125% Due 12/31/2019	350,000.00	1,968.75	351,968.75
DEC 2019					600,000.00	36,400.00	636,400.00
01/05/2020	Interest	[REDACTED]	500,000.00	FNMA Note 2% Due 1/5/2022	0.00	5,000.00	5,000.00

Cash Flow Report

As of February 28, 2019



Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
01/06/2020	Interest	[REDACTED]	200,000.00	John Deere Capital Corp Note 2.65% Due 1/6/2022	0.00	2,650.00	2,650.00
01/11/2020	Interest	[REDACTED]	250,000.00	Toyota Motor Credit Corp Note 2.7% Due 1/11/2023	0.00	3,375.00	3,375.00
01/12/2020	Interest	[REDACTED]	200,000.00	American Honda Finance Note 1.65% Due 7/12/2021	0.00	1,650.00	1,650.00
01/31/2020	Interest	[REDACTED]	500,000.00	US Treasury Note 1.375% Due 1/31/2021	0.00	3,437.50	3,437.50
01/31/2020	Interest	[REDACTED]	425,000.00	US Treasury Note 1.625% Due 7/31/2020	0.00	3,453.13	3,453.13
01/31/2020	Maturity	[REDACTED]	400,000.00	US Treasury Note 1.25% Due 1/31/2020	400,000.00	2,500.00	402,500.00
JAN 2020					400,000.00	22,065.63	422,065.63
02/07/2020	Interest	[REDACTED]	250,000.00	HSBC USA Inc Note 2.75% Due 8/7/2020	0.00	3,437.50	3,437.50
02/07/2020	Interest	[REDACTED]	250,000.00	Bank of NY Mellon Corp Callable Note Cont 1/7/2022 2.6% Due 2/7/2022	0.00	3,250.00	3,250.00
02/09/2020	Interest	[REDACTED]	250,000.00	Apple Inc Callable Cont 1/9/2022 2.5% Due 2/9/2022	0.00	3,125.00	3,125.00
02/15/2020	Interest	[REDACTED]	250,000.00	Praxair Callable Note Cont 11/15/2021 2.45% Due 2/15/2022	0.00	3,062.50	3,062.50
02/19/2020	Interest	[REDACTED]	200,000.00	IBM Corp Note 2.25% Due 2/19/2021	0.00	2,250.00	2,250.00
FEB 2020					0.00	15,125.00	15,125.00
TOTAL					8,170,466.67	343,126.66	8,513,593.33



Account [REDACTED]

Chandler Asset Management, Inc. ("Chandler") is an SEC registered investment adviser. For additional information about our firm, please see our current disclosures (Form ADV). To obtain a copy of our current disclosures, you may contact your client service representative by calling the number on the front of this statement or you may visit our website at www.chandlerasset.com.

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Custody: Your qualified custodian bank maintains control of all assets reflected in this statement and we urge you to compare this statement to the one you receive from your qualified custodian. Chandler does not have any authority to withdraw or deposit funds from/to the custodian account.

Valuation: Prices are provided by IDC, an independent pricing source. In the event IDC does not provide a price or if the price provided is not reflective of fair market value, Chandler will obtain pricing from an alternative approved third party pricing source in accordance with our written valuation policy and procedures. Our valuation procedures are also disclosed in Item 5 of our Form ADV Part 2A.

Performance: Performance results are presented gross-of-advisory fees and represent the client's Total Return. The deduction of advisory fees lowers performance results. These results include the reinvestment of dividends and other earnings. Past performance may not be indicative of future results. Therefore, clients should not assume that future performance of any specific investment or investment strategy will be profitable or equal to past performance levels. All investment strategies have the potential for profit or loss. Economic factors, market conditions or changes in investment strategies, contributions or withdrawals may materially alter the performance and results of your portfolio.

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Index returns assume reinvestment of all distributions. Historical performance results for investment indexes generally do not reflect the deduction of transaction and/or custodial charges or the deduction of an investment management fee, the incurrence of which would have the effect of decreasing historical performance results. It is not possible to invest directly in an index.

Ratings: Ratings information have been provided by Moody's, S&P and Fitch through data feeds we believe to be reliable as of the date of this statement, however we cannot guarantee its accuracy.

Security level ratings for U.S. Agency issued mortgage-backed securities ("MBS") reflect the issuer rating because the securities themselves are not rated. The issuing U.S. Agency guarantees the full and timely payment of both principal and interest and carries a AA+/Aaa/AAA by S&P, Moody's and Fitch respectively.



Benchmark Index	Disclosure
ICE BAML 1-3 Yr US Treasury/Agency Index	The ICE BAML 1-3 Year US Treasury & Agency Index tracks the performance of US dollar denominated US Treasury and nonsubordinated US agency debt issued in the US domestic market. Qualifying securities must have an investment grade rating (based on an average of Moody's, S&P and Fitch). Qualifying securities must have at least one year remaining term to final maturity and less than three years remaining term to final maturity, at least 18 months to maturity at time of issuance, a fixed coupon schedule and a minimum amount outstanding of \$1 billion for sovereigns and \$250 million for agencies. (Index: G1A0. Please visit www.mlindex.ml.com for more information)

COUNTY OF SIERRA
 Posted General Ledger Transactions
 JV006139 - TREAS BV ADJ (FEB 2019)

<u>Fund Code</u>	<u>SubDept Code</u>	<u>Account...</u>	<u>Debit</u>	<u>Credit</u>	<u>Effective ...</u>	<u>Transaction Description</u>
8850000		2005	2,566.05		2/28/2019	TREAS BV ADJ (FEB 2019)
8850000	9999	4201		2,566.05	2/28/2019	TREAS BV ADJ (FEB 2019)
8850000		1000	2,566.05		3/12/2019	TREAS BV ADJ (FEB 2019)
8850000		2005		2,566.05	3/12/2019	TREAS BV ADJ (FEB 2019)
	Total JV006139 - TREAS BV ADJ (FEB 2019)		5,132.10	5,132.10		
Report Total			5,132.10	5,132.10		

SIERRA COUNTY TREASURER

MONTHLY REPORT OF INVESTMENTS AND LIQUIDITY

January 31, 2019

INVESTMENT PORTFOLIO SUMMARY

Description	Par Value	Market Value	Book Value	Yield to maturity		Average Weighted Days to Maturity	Percentage of Assets by Security Type
				360 day basis	365 day basis		
Corporate Bonds	6,595,000.00	6,552,653.57	6,558,917.38	2.55%	2.55%	834	26.0%
Federal Agency Coupon Securities	6,700,000.00	6,652,266.56	6,701,492.10	1.78%	1.78%	619	26.6%
Treasury Coupon Securities	5,650,000.00	5,567,017.33	5,615,491.54	1.74%	1.74%	507	22.3%
Negotiable CDs	500,000.00	500,058.38	500,058.38	2.73%	2.73%	88	2.0%
Foreign Corporate	300,000.00	299,370.00	299,768.99	2.31%	2.31%	152	1.2%
Commercial Paper	1,000,000.00	985,466.66	985,466.66	2.79%	2.79%	190	3.9%
Total Long-Term Investment Portfolio	20,745,000.00	20,556,832.50	20,661,195.05	2.092%	2.091%	616	82.0%
Local Agency Investment Fund	2,801,354.32	2,801,354.32	2,801,354.32		2.355%	1	11.1%
California Assets Management Program (CAMP)	1,731,894.73	1,731,894.73	1,731,894.73		2.620%	1	6.9%
Total Long-Term and Liquidity Investment Portfolio	25,278,249.05	25,090,081.55	25,194,444.10		2.157%	N/A	100.0%
Sierra County Deposit Account: Wells Fargo Bank	1,756,247.13	1,756,247.13	1,756,247.13				
Cash on Hand	679.45	679.45	679.45				
Total Cash and Equivalent	1,756,926.58	1,756,926.58	1,756,926.58				
GRAND TOTAL IN TREASURY	27,035,175.63	26,847,008.13	26,951,370.68		2.016%		

The composition of the investment portfolio complies with the requirements of the Sierra County Investment Policy.

The Sierra County Treasury has the cash flow necessary to meet the expenditure needs of the treasury pool for the next six months.

Dated: 4/12/2019

/s/ Caleb J. Nelson

Caleb J Nelson

Sierra County Investment Portfolio as of 01/31/2019

CUSIP	Security Description	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Purchase YTM 360	Purchase YTM 365	Days to Maturity	Maturity Date
CORPORATE NOTES										
	American Honda Finance 2.250% 08/15/2019	03/10/2016	200,000.00	199,424.00	200,425.31	2.250	1.845%	1.850%	196	08/15/19
	Berkshire Hathaway 1.700% 03/15/2019	03/10/2016	200,000.00	199,836.00	200,028.00	1.700	1.572%	1.572%	43	03/15/19
	US Bancorp 2.200% 04/25/2019	03/10/2016	200,000.00	199,791.60	200,176.23	2.200	1.806%	1.806%	84	04/25/19
	Exxon Mobil Corp 1.819% 03/15/2019	04/19/2016	235,000.00	234,887.20	235,146.74	1.819	1.284%	1.284%	43	03/15/19
	Paccar Financial Corp 1.650% 02/25/2019	04/18/2016	235,000.00	234,798.14	235,043.71	1.650	1.366%	1.366%	25	02/25/19
	Cisco Systems 2.450% 06/15/2020	12/12/2016	200,000.00	199,496.20	200,790.30	2.450	2.155%	2.155%	501	06/15/20
	John Deere Capital Corp 1.250% 10/09/2019	12/12/2016	200,000.00	198,001.60	199,194.07	1.250	1.845%	1.841%	251	10/09/19
	Qualcomm Inc 2.250% 05/20/2020	12/12/2016	225,000.00	223,518.38	225,038.33	2.250	2.235%	2.235%	475	05/20/20
	Visa Inc 2.200% 12/14/2020	12/12/2016	200,000.00	198,702.40	200,297.09	2.200	2.118%	2.118%	683	12/14/20
	Apple Inc 2.500% 02/09/2022	11/01/2017	250,000.00	248,555.00	251,926.32	2.500	2.234%	2.235%	1,105	02/09/22
	Bank of NY Mellon Corp 2.600% 02/07/2022	11/01/2017	250,000.00	247,456.50	251,643.43	2.600	2.372%	2.373%	1,103	02/07/22
	HSBC USA Inc 2.750% 08/07/2020	02/01/2018	250,000.00	248,985.75	250,433.07	2.750	2.632%	2.632%	554	08/07/20
	IBM Corp 2.250% 02/19/2021	11/01/2017	200,000.00	197,035.80	200,750.87	2.250	2.061%	2.062%	750	02/19/21
	JP Morgan Chase 2.400% 06/07/2021	02/01/2018	250,000.00	246,798.00	247,885.67	2.400	2.774%	2.774%	858	06/07/21
	Oracle Corp 1.900% 09/15/2021	11/01/2017	250,000.00	244,574.00	248,322.36	1.900	2.165%	2.164%	958	09/15/21
	PNC Bank 2.550% 12/09/2021	11/01/2017	250,000.00	245,887.00	251,393.17	2.550	2.346%	2.346%	1,043	12/09/21
	Praxair 2.450% 02/15/2022	02/01/2018	250,000.00	248,270.00	247,698.83	2.450	2.769%	2.768%	1,111	02/15/22
	State Street Bank 1.950% 05/19/2021	02/01/2018	200,000.00	195,422.60	196,771.11	1.950	2.679%	2.678%	839	05/19/21
	American Honda Finance 1.650% 07/12/2021	07/10/2018	200,000.00	194,264.20	192,797.20	1.650	3.191%	3.191%	893	07/12/21
	Blackrock Inc 3.375% 06/01/2022	07/10/2018	250,000.00	253,853.00	251,767.44	3.375	3.149%	3.149%	1,217	06/01/22
	John Deere Capital Corp 2.650% 01/06/2022	07/10/2018	200,000.00	198,774.20	197,118.65	2.650	3.168%	3.168%	1,071	01/06/22
	General Dynamics Corp 3.000% 05/11/2021	07/10/2018	250,000.00	251,505.25	249,590.22	3.000	3.074%	3.074%	831	05/11/21
	Paccar Financial Corp 2.800% 03/01/2021	07/10/2018	150,000.00	149,190.00	149,266.25	2.800	3.044%	3.043%	760	03/01/21
	Charles Schwab Corp 3.225% 09/01/2022	07/10/2018	250,000.00	251,375.75	249,712.17	3.225	3.259%	3.259%	1,309	09/01/22
	Bank of America Corp 2.625% 04/19/2021	01/04/2019	250,000.00	248,192.00	246,463.78	2.625	3.292%	3.291%	809	04/19/21
	Berkshire Hathaway 2.750% 03/15/2023	01/04/2019	250,000.00	248,333.00	245,175.62	2.750	3.254%	3.254%	1,504	03/15/23
	Exxon Mobil Corp 2.726% 03/01/2023	01/04/2019	250,000.00	249,474.75	246,176.49	2.726	3.128%	3.127%	1,490	03/01/23
	Toyota Motor Credit Corp 2.700% 01/11/2023	01/04/2019	250,000.00	246,982.00	243,600.27	2.700	3.399%	3.399%	1,441	01/11/23
	Wal-Mart Stores 2.550% 04/11/2023	01/04/2019	250,000.00	249,269.25	244,284.68	2.550	3.136%	3.135%	1,531	04/11/23
Subtotal and Average			6,595,000.00	6,552,653.57	6,558,917.38		2.546%	2.546%	834	
FEDERAL AGENCIES										
	FHLMC 1.250% 10/02/2019	01/21/2016	500,000.00	495,776.00	499,792.38	1.250	1.312%	1.312%	244	10/02/19
	FHLB 1.875% 03/13/2020	03/10/2016	400,000.00	396,803.20	402,008.02	1.875	1.418%	1.420%	407	03/13/20
	FHLB 1.875% 03/08/2019	03/10/2016	200,000.00	199,889.40	200,129.51	1.875	1.214%	1.214%	36	03/08/19
	FHLMC 1.400% 08/22/2019	03/10/2016	400,000.00	397,620.40	400,307.75	1.400	1.259%	1.260%	203	08/22/19
	FNMA 1.750% 11/26/2019	03/10/2016	400,000.00	397,457.20	401,372.87	1.750	1.325%	1.327%	299	11/26/19
	FNMA 1.750% 06/20/2019	05/17/2016	250,000.00	249,288.00	250,643.20	1.750	1.076%	1.076%	140	06/20/19
	FHLB 1.375% 09/13/2019	10/12/2016	375,000.00	372,337.88	375,569.24	1.375	1.125%	1.127%	225	09/13/19
	FNMA 1.250% 05/06/2021	10/12/2016	350,000.00	340,697.00	348,935.00	1.250	1.387%	1.387%	826	05/06/21
	FNMA 0.875% 08/02/2019	12/12/2016	425,000.00	421,514.58	423,918.71	0.875	1.393%	1.386%	183	08/02/19
	FCCB 1.550% 04/13/2020	04/12/2017	500,000.00	494,351.00	500,158.22	1.550	1.523%	1.523%	438	04/13/20
	FNMA 1.875% 04/05/2022	04/12/2017	500,000.00	490,163.50	499,725.11	1.875	1.893%	1.893%	1,160	04/05/22
	FHLB 1.250% 12/13/2019	02/01/2018	250,000.00	247,164.50	248,061.00	1.250	2.160%	2.158%	316	12/13/19
	FNMA 2.000% 01/05/2022	11/01/2017	500,000.00	492,923.50	500,376.01	2.000	1.973%	1.973%	1,070	01/05/22
	FHLB 2.750% 06/10/2022	07/10/2018	500,000.00	502,788.00	498,545.96	2.750	2.841%	2.841%	1,226	06/10/22
	FHLMC 1.875% 11/17/2020	07/10/2018	400,000.00	395,668.40	394,396.62	1.875	2.680%	2.679%	656	11/17/20
	FHLB 2.875% 12/10/2021	01/04/2019	500,000.00	503,994.50	504,015.31	2.875	2.581%	2.581%	1,044	12/10/21
	FHLB 3.000% 12/09/2022	01/04/2019	250,000.00	253,829.50	253,537.19	3.000	2.611%	2.611%	1,408	12/09/22
Subtotal and Average			6,700,000.00	6,652,266.56	6,701,492.10		1.781%	1.781%	619	
US TREASURY										
	US Treasury 0.875% 07/31/2019	01/21/2016	400,000.00	396,843.60	399,375.43	0.875	1.189%	1.189%	181	07/31/19
	US Treasury 1.000% 08/31/2019	01/21/2016	400,000.00	396,531.20	399,523.50	1.000	1.208%	1.206%	212	08/31/19
	US Treasury 1.250% 01/31/2020	03/10/2016	400,000.00	394,859.20	399,944.15	1.250	1.264%	1.264%	365	01/31/20
	US Treasury 1.125% 12/31/2019	10/12/2016	350,000.00	345,460.85	350,120.96	1.125	1.087%	1.087%	334	12/31/19
	US Treasury 1.125% 04/30/2020	10/12/2016	350,000.00	344,080.10	349,889.83	1.125	1.150%	1.150%	455	04/30/20
	US Treasury 1.375% 08/31/2020	12/12/2016	425,000.00	417,645.38	423,183.76	1.375	1.651%	1.650%	578	08/31/20
	US Treasury 1.625% 07/31/2020	12/12/2016	425,000.00	419,471.60	424,973.20	1.625	1.629%	1.629%	547	07/31/20
	US Treasury 1.125% 08/31/2021	04/12/2017	500,000.00	483,437.50	492,611.64	1.125	1.714%	1.713%	943	08/31/21
	US Treasury 1.375% 01/31/2021	04/12/2017	500,000.00	489,336.00	497,579.94	1.375	1.622%	1.622%	731	01/31/21
	US Treasury 2.000% 09/30/2020	02/01/2018	500,000.00	495,976.50	497,658.33	2.000	2.289%	2.288%	608	09/30/20
	US Treasury 1.250% 05/31/2019	02/01/2018	500,000.00	498,047.00	498,738.42	1.250	2.016%	2.016%	120	05/31/19
	US Treasury 1.750% 03/31/2022	07/10/2018	400,000.00	391,656.40	388,373.37	1.750	2.716%	2.715%	1,155	03/31/22
	US Treasury 1.000% 11/30/2019	01/04/2019	500,000.00	493,672.00	493,519.01	1.000	2.592%	2.587%	303	11/30/19
Subtotal and Average			5,650,000.00	5,567,017.33	5,615,491.54		1.739%	1.738%	507	
NEGOTIABLE CDs										
	Bank of Montreal Chicago 2.800% 04/29/2019	01/04/2019	500,000.00	500,058.38	500,058.38	2.800	2.732%	2.732%	88	04/29/19
Subtotal and Average			500,000.00	500,058.38	500,058.38		2.732%	2.732%	88	
FOREIGN CORPORATE										
	Toronto Dominion Bank 2.125% 07/02/2019	02/12/2018	300,000.00	299,370.00	299,768.99	2.125	2.306%	2.306%	152	07/02/19
Subtotal and Average			300,000.00	299,370.00	299,768.99		2.306%	2.306%	152	
COMMERCIAL PAPER										
	Rabobank Nederland NV NY 2.720% 10/04/2019	01/07/2019	500,000.00	489,913.33	489,913.33	2.720	2.798%	2.798%	246	10/04/19
	MUFG Bank Ltd/NY 2.760% 05/06/2019	01/04/2019	500,000.00	495,553.33	495,553.33	2.760	2.789%	2.789%	95	05/06/19
Subtotal and Average			1,000,000.00	985,466.66	985,466.66		2.794%	2.794%	170	
TOTAL AND AVERAGE			20,745,000.00	20,556,832.50	20,661,195.05		2.092%	2.091%	616	

Local Agency Investment Fund
P.O. Box 942809
Sacramento, CA 94209-0001
(916) 653-3001

www.treasurer.ca.gov/pmia-laif/laif.asp
February 04,
2019

SIERRA COUNTY

TREASURER
P.O. BOX 376
DOWNIEVILLE, CA 95936-0376

PMIA Average Monthly Yields

Account Number:



Tran Type Definitions

January 2019 Statement

Effective Date	Transaction Date	Tran Type	Confirm Number	Authorized Caller	Amount
1/7/2019	1/7/2019	RW	1593429	JENNY VARN	-2,000,000.00
1/10/2019	1/10/2019	RD	1593691	JENNY VARN	700,000.00
1/15/2019	1/14/2019	QRD	1595127	SYSTEM	17,766.97

Account Summary

Total Deposit:	717,766.97	Beginning Balance:	4,083,587.35
Total Withdrawal:	-2,000,000.00	Ending Balance:	2,801,354.32



California State Treasurer
Fiona Ma, CPA



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POOLED MONEY INVESTMENT ACCOUNT

→ PMIA Average Monthly Effective Yields

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1977	5.770	5.660	5.660	5.650	5.760	5.850	5.930	6.050	6.090	6.090	6.610	6.730
1978	6.920	7.050	7.140	7.270	7.386	7.569	7.652	7.821	7.871	8.110	8.286	8.769
1979	8.777	8.904	8.820	9.082	9.046	9.224	9.202	9.528	9.259	9.814	10.223	10.218
1980	10.980	11.251	11.490	11.480	12.017	11.798	10.206	9.870	9.945	10.056	10.426	10.961
1981	10.987	11.686	11.130	11.475	12.179	11.442	12.346	12.844	12.059	12.397	11.887	11.484
1982	11.683	12.044	11.835	11.773	12.270	11.994	12.235	11.909	11.151	11.111	10.704	10.401
1983	10.251	9.887	9.688	9.868	9.527	9.600	9.879	10.076	10.202	10.182	10.164	10.227
1984	10.312	10.280	10.382	10.594	10.843	11.119	11.355	11.557	11.597	11.681	11.474	11.024
1985	10.579	10.289	10.118	10.025	10.180	9.743	9.656	9.417	9.572	9.482	9.488	9.371
1986	9.252	9.090	8.958	8.621	8.369	8.225	8.141	7.844	7.512	7.586	7.432	7.439
1987	7.365	7.157	7.205	7.044	7.294	7.289	7.464	7.562	7.712	7.825	8.121	8.071
1988	8.078	8.050	7.945	7.940	7.815	7.929	8.089	8.245	8.341	8.397	8.467	8.563
1989	8.698	8.770	8.870	8.992	9.227	9.204	9.056	8.833	8.801	8.771	8.685	8.645
1990	8.571	8.538	8.506	8.497	8.531	8.538	8.517	8.382	8.333	8.321	8.269	8.279
1991	8.164	8.002	7.775	7.666	7.374	7.169	7.098	7.072	6.859	6.719	6.591	6.318
1992	6.122	5.863	5.680	5.692	5.379	5.323	5.235	4.958	4.760	4.730	4.659	4.647
1993	4.678	4.649	4.624	4.605	4.427	4.554	4.438	4.472	4.430	4.380	4.365	4.384
1994	4.359	4.176	4.248	4.333	4.434	4.623	4.823	4.989	5.106	5.243	5.380	5.528
1995	5.612	5.779	5.934	5.960	6.008	5.997	5.972	5.910	5.832	5.784	5.805	5.748
1996	5.698	5.643	5.557	5.538	5.502	5.548	5.587	5.566	5.601	5.601	5.599	5.574
1997	5.583	5.575	5.580	5.612	5.634	5.667	5.679	5.690	5.707	5.705	5.715	5.744
1998	5.742	5.720	5.680	5.672	5.673	5.671	5.652	5.652	5.639	5.557	5.492	5.374
1999	5.265	5.210	5.136	5.119	5.086	5.095	5.178	5.225	5.274	5.391	5.484	5.639
2000	5.760	5.824	5.851	6.014	6.190	6.349	6.443	6.505	6.502	6.517	6.538	6.535
2001	6.372	6.169	5.976	5.760	5.328	4.958	4.635	4.502	4.288	3.785	3.526	3.261
2002	3.068	2.967	2.861	2.845	2.740	2.687	2.714	2.594	2.604	2.487	2.301	2.201
2003	2.103	1.945	1.904	1.858	1.769	1.697	1.653	1.632	1.635	1.596	1.572	1.545
2004	1.528	1.440	1.474	1.445	1.426	1.469	1.604	1.672	1.771	1.890	2.003	2.134
2005	2.264	2.368	2.542	2.724	2.856	2.967	3.083	3.179	3.324	3.458	3.636	3.808
2006	3.955	4.043	4.142	4.305	4.563	4.700	4.849	4.946	5.023	5.098	5.125	5.129

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2007	5.156	5.181	5.214	5.222	5.248	5.250	5.255	5.253	5.231	5.137	4.962	4.801
2008	4.620	4.161	3.777	3.400	3.072	2.894	2.787	2.779	2.774	2.709	2.568	2.353
2009	2.046	1.869	1.822	1.607	1.530	1.377	1.035	0.925	0.750	0.646	0.611	0.569
2010	0.558	0.577	0.547	0.588	0.560	0.528	0.531	0.513	0.500	0.480	0.454	0.462
2011	0.538	0.512	0.500	0.588	0.413	0.448	0.381	0.408	0.378	0.385	0.401	0.382
2012	0.385	0.389	0.383	0.367	0.363	0.358	0.363	0.377	0.348	0.340	0.324	0.326
2013	0.300	0.286	0.285	0.264	0.245	0.244	0.267	0.271	0.257	0.266	0.263	0.264
2014	0.244	0.236	0.236	0.233	0.228	0.228	0.244	0.260	0.246	0.261	0.261	0.267
2015	0.262	0.266	0.278	0.283	0.290	0.299	0.320	0.330	0.337	0.357	0.374	0.400
2016	0.446	0.467	0.506	0.525	0.552	0.576	0.588	0.614	0.634	0.654	0.678	0.719
2017	0.751	0.777	0.821	0.884	0.925	0.978	1.051	1.084	1.111	1.143	1.172	1.239
2018	1.350	1.412	1.524	1.661	1.755	1.854	1.944	1.998	2.063	2.144	2.208	2.291
2019	2.355	2.392	2.436									



Account Statement - Transaction Summary

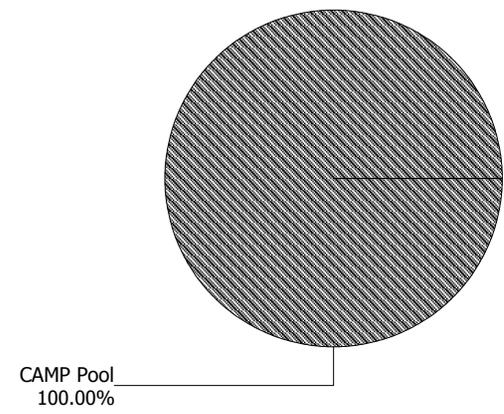
For the Month Ending **January 31, 2019**

SIERRA COUNTY - SIERRA COUNTY - [REDACTED]

CAMP Pool	
Opening Market Value	3,127,921.69
Purchases	603,973.04
Redemptions	(2,000,000.00)
Unsettled Trades	0.00
Change in Value	0.00
Closing Market Value	\$1,731,894.73
Cash Dividends and Income	3,973.04

Asset Summary	January 31, 2019	December 31, 2018
CAMP Pool	1,731,894.73	3,127,921.69
Total	\$1,731,894.73	\$3,127,921.69

Asset Allocation





Account Statement

For the Month Ending **January 31, 2019**

SIERRA COUNTY - SIERRA COUNTY - [REDACTED]

Trade Date	Settlement Date	Transaction Description	Share or Unit Price	Dollar Amount of Transaction	Total Shares Owned
CAMP Pool					
Opening Balance					3,127,921.69
01/07/19	01/07/19	Redemption - Outgoing Wires	1.00	(2,000,000.00)	1,127,921.69
01/18/19	01/18/19	Purchase - Incoming Wires	1.00	600,000.00	1,727,921.69
01/31/19	02/01/19	Accrual Income Div Reinvestment - Distributions	1.00	3,973.04	1,731,894.73

Closing Balance **1,731,894.73**

	Month of January	Fiscal YTD February-January		
Opening Balance	3,127,921.69	4,691,609.08	Closing Balance	1,731,894.73
Purchases	603,973.04	6,090,285.65	Average Monthly Balance	1,786,114.37
Redemptions (Excl. Checks)	(2,000,000.00)	(9,050,000.00)	Monthly Distribution Yield	2.62%
Check Disbursements	0.00	0.00		
Closing Balance	1,731,894.73	1,731,894.73		
Cash Dividends and Income	3,973.04	65,285.65		

Bank Reconciliation 1-31-2019

Balance per the bank 1,745,775.23

Deposits in transit

#6968 5,189.90

EBT TIMING VARIANCE 5,282.00

10,471.90

BALANCE PER THE BOOKS

1,756,247.13

Analyzed Business Checking - PF

Account number: [REDACTED] ■ January 1, 2019 - January 31, 2019 ■ Page 1 of 6



COUNTY OF SIERRA
PO BOX 376
DOWNIEVILLE CA 95936-0376

Questions?

Call your Customer Service Officer or Client Services
1-800-AT WELLS (1-800-289-3557)
5:00 AM TO 6:00 PM Pacific Time Monday - Friday

Online: wellsfargo.com

Write: Wells Fargo Bank, N.A. (114)
P.O. Box 6995
Portland, OR 97228-6995

Account summary

Analyzed Business Checking - PF

Account number	Beginning balance	Total credits	Total debits	Ending balance
[REDACTED]	\$743,418.41	\$8,024,690.99	-\$7,022,334.17	\$1,745,775.23

Credits

Deposits

Effective date	Posted date	Amount	Transaction detail
	01/02	16,527.22	Deposit
	01/02	1,082.75	Deposit
	01/03	26,917.30	Deposit
	01/03	967.07	Deposit
	01/03	159.88	Deposit
	01/04	47,199.63	Deposit
	01/04	2,180.00	Deposit
	01/04	2,131.83	Deposit
	01/04	59.12	Deposit
	01/07	100,690.17	Deposit
	01/08	5,384.48	Deposit
	01/08	277.29	Deposit
	01/09	665,986.59	Deposit
	01/09	50.00	Deposit
	01/10	37,905.25	Deposit
	01/11	33,542.26	Deposit
	01/11	24,632.06	Deposit
	01/11	3,631.26	Deposit
	01/11	1,050.00	Deposit
	01/11	312.45	Deposit
	01/11	116.72	Deposit
	01/14	59,693.46	Deposit
	01/14	23,851.39	Deposit



Deposits (continued)

<i>Effective date</i>	<i>Posted date</i>	<i>Amount</i>	<i>Transaction detail</i>
	01/14	208.00	Deposit
	01/15	14,263.49	Deposit
	01/15	4,238.03	Deposit
	01/15	1,022.38	Deposit
	01/16	17,914.78	Deposit
	01/16	100.00	Deposit
	01/17	16,709.46	Deposit
	01/17	9,591.11	Deposit
	01/17	50.00	Deposit
	01/18	50,016.22	Deposit
	01/22	20,600.02	Deposit
	01/22	4,848.85	Deposit
	01/22	1,800.00	Deposit
	01/22	100.00	Deposit
	01/23	4,830.79	Deposit
	01/23	236.20	Deposit
	01/24	73,722.54	Deposit
	01/24	726.02	Deposit
	01/24	7.93	Deposit
	01/25	100,434.72	Deposit
	01/25	360.00	Deposit
	01/25	50.00	Deposit
	01/28	42,020.85	Deposit
	01/28	24,328.24	Deposit
	01/29	14,639.60	Deposit
	01/29	120.16	Deposit
	01/30	1,885.63	Deposit
	01/31	7,923.09	Deposit
	01/31	1,100.00	Deposit
	01/31	1,018.11	Deposit
		\$1,469,214.40	Total deposits

Electronic deposits/bank credits

<i>Effective date</i>	<i>Posted date</i>	<i>Amount</i>	[REDACTED]
	01/02	84,688.86	[REDACTED]
	01/02	45.00	[REDACTED]
	01/03	3,187.50	[REDACTED]
	01/03	2,407.10	[REDACTED]
	01/03	2,221.88	[REDACTED]
	01/03	988.85	[REDACTED]
	01/03	549.12	[REDACTED]
	01/04	114.00	[REDACTED]
	01/07	2,000,000.00	[REDACTED]



Electronic deposits/bank credits (continued)

Effective date	Posted date	Amount	[REDACTED]
	01/07	7,650.00	[REDACTED]
	01/07	2,000,000.00	[REDACTED]
	01/07	450.00	[REDACTED]
	01/08	200.00	[REDACTED]
	01/09	464.00	[REDACTED]
	01/09	269.00	[REDACTED]
	01/10	1,115.50	[REDACTED]
	01/10	101.00	[REDACTED]
	01/11	3,375.00	[REDACTED]
	01/11	1,321.20	[REDACTED]
	01/14	50,000.00	[REDACTED]
	01/14	1,650.00	[REDACTED]
	01/14	378.52	[REDACTED]
	01/15	62,250.71	[REDACTED]
	01/15	5.73	[REDACTED]
	01/15	202,150.00	[REDACTED]
	01/15	2,256.08	[REDACTED]
	01/16	1,202.30	[REDACTED]
	01/16	369.52	[REDACTED]
	01/16	204.00	[REDACTED]
	01/17	202,100.00	[REDACTED]
	01/17	879.00	[REDACTED]
	01/18	56,699.08	[REDACTED]
	01/18	23,859.55	[REDACTED]
	01/18	3,886.43	[REDACTED]
	01/18	637.08	[REDACTED]
	01/18	540.37	[REDACTED]
	01/18	1,674.96	[REDACTED]
	01/22	77,037.95	[REDACTED]
	01/22	957.50	[REDACTED]
	01/22	402,500.00	[REDACTED]
	01/22	50.00	[REDACTED]
	01/23	989.57	[REDACTED]
	01/23	394.00	[REDACTED]
	01/23	190.00	[REDACTED]
	01/24	25,264.35	[REDACTED]
	01/24	8,694.76	[REDACTED]
	01/24	544.00	[REDACTED]
	01/25	78,686.43	[REDACTED]



Electronic deposits/bank credits (continued)

Effective date	Posted date	Amount	[REDACTED]
	01/25	44,798.50	[REDACTED]
	01/25	26,380.20	[REDACTED]
	01/25	23,741.18	[REDACTED]
	01/25	9,166.93	[REDACTED]
	01/25	6,898.41	[REDACTED]
	01/25	6,456.35	[REDACTED]
	01/25	2,419.52	[REDACTED]
	01/25	15.83	[REDACTED]
	01/25	855.00	[REDACTED]
	01/28	21,498.19	[REDACTED]
	01/28	9,196.11	[REDACTED]
	01/28	633.18	[REDACTED]
	01/28	1,608.11	[REDACTED]
	01/29	218,296.00	[REDACTED]
	01/29	50.00	[REDACTED]
	01/30	76,735.26	[REDACTED]
	01/30	61,267.80	[REDACTED]
	01/30	19,221.39	[REDACTED]
	01/30	1,226.87	[REDACTED]
	01/30	100.00	[REDACTED]
	01/31	670,961.46	[REDACTED]
	01/31	32,383.98	[REDACTED]
	01/31	5,466.42	[REDACTED]
	01/31	900.00	[REDACTED]
		\$6,555,476.59	[REDACTED]
		\$8,024,690.99	[REDACTED]

Debits

Electronic debits/bank debits

Effective date	Posted date	Amount	[REDACTED]
	01/02	5,000.00	[REDACTED]
	01/02	191,640.60	[REDACTED]
	01/03	52,992.54	[REDACTED]
	01/04	43,157.23	[REDACTED]
	01/07	100,810.38	[REDACTED]
	01/08	80,461.02	[REDACTED]
	01/09	3,975,951.52	[REDACTED]
	01/09	25,658.14	[REDACTED]



Electronic debits/bank debits (continued)

Effective date	Posted date	Amount	[REDACTED]
	01/10	700,000.00	[REDACTED]
	01/10	49,846.76	[REDACTED]
	01/10	2,592.77	[REDACTED]
	01/11	2,075.34	[REDACTED]
	01/11	146,303.35	[REDACTED]
	01/11	87,822.53	[REDACTED]
	01/14	12,612.90	[REDACTED]
	01/15	49,323.03	[REDACTED]
	01/16	2,041.39	[REDACTED]
	01/17	5,606.86	[REDACTED]
	01/17	23,650.00	[REDACTED]
	01/17	19,715.49	[REDACTED]
	01/18	600,000.00	[REDACTED]
	01/18	7,984.62	[REDACTED]
	01/22	28,036.26	[REDACTED]
	01/22	73,447.61	[REDACTED]
	01/23	105,817.40	[REDACTED]
	01/24	5,396.95	[REDACTED]
	01/25	13,929.30	[REDACTED]
	01/28	294,441.80	[REDACTED]
	01/28	91,731.48	[REDACTED]
	01/28	22,950.06	[REDACTED]
	01/29	30,226.45	[REDACTED]
	01/30	87,519.00	[REDACTED]
	01/31	83,591.39	[REDACTED]
		\$7,022,334.17	[REDACTED]
		\$7,022,334.17	[REDACTED]

Daily ledger balance summary

Date	Balance	Date	Balance	Date	Balance
12/31	743,418.41	01/10	527,277.84	01/22	852,935.38
01/02	649,121.64	01/11	359,057.57	01/23	753,758.54
01/03	633,527.80	01/14	482,226.04	01/24	857,321.19
01/04	642,055.15	01/15	719,089.43	01/25	1,143,654.96
01/07	4,650,034.94	01/16	736,838.64	01/28	833,816.30
01/08	4,575,435.69	01/17	917,195.86	01/29	1,036,695.61
01/09	1,240,595.62	01/18	446,524.93	01/30	1,109,613.56



Daily ledger balance summary (continued)

<u>Date</u>	<u>Balance</u>	<u>Date</u>	<u>Balance</u>	<u>Date</u>	<u>Balance</u>
01/31	1,745,775.23				
	Average daily ledger balance		\$1,009,196.14		



Main Payroll Benefit EBT Production Reconciliation Report

Sierra

Run Date: JAN-26-19 12:11 AM

Date: 01/24/2019

Overall Totals	11	\$5,282.00
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EBT Submission Summary

30 - CW-All Other Families (Fed)

Availability Date	Transactions	Issued Amount
02/01/2019	3	\$1,802.00
02/02/2019	1	\$549.00
02/03/2019	2	\$849.00
Aid Code Totals	6	\$3,200.00

33 - CW-Zero Parent (Fed)

Availability Date	Transactions	Issued Amount
02/02/2019	1	\$616.00
Aid Code Totals	1	\$616.00

3F - CW-Safety Net/Felon/WTW Sanct-Two Parent

Availability Date	Transactions	Issued Amount
02/02/2019	1	\$272.00
Aid Code Totals	1	\$272.00

90 - GA General Relief Independent Living-CNTY

Availability Date	Transactions	Issued Amount
02/02/2019	2	\$672.00

Aid Code Totals	2	\$672.00
------------------------	----------	-----------------

K1 - CW-Safety Net/Felon/WTW Sanct-Non-Two Parent		
Availability Date	Transactions	Issued Amount
02/01/2019	1	\$522.00
Aid Code Totals	1	\$522.00

January 2019

Date	Description	DR	CR	Balance
1-2-19	Balance fwrto			1618.83 ✓
1-3-19	CR00253	73.66		1545.17 ✓
1-4-19	CR013106		204.00	1749.17
	CR013112 Sec		947.70	2696.87
	Cash to the bank	2100.00		516.87 ✓
1-9-19	CR013126		950.00	1466.87 ✓
1-11-19	CR013133		46.00	1512.87
	CR013139		200.00	1712.87
	CR013143		8.00	1720.87
	CR013145		13.00	1733.95
	Cashed check 120430	208.00		1525.95
	Cash to bank	1050.00		475.95
1-14-19	CR013149		91.00	566.95
	CR013148		27.00	593.95 ✓
1-16-19	CR013165		16.00	609.95 ✓
1-18-19	CR013157		35.00	644.95
	CR013150		2.00	646.95
	CR013155		27.00	673.95
	CR013154		43.00	716.95 ✓
1-22-19	CR013185 Sec #53		1800.00	2516.95
	Cash to bank	1800.00		716.95 ✓
1-23-19	CR013190		4.00	720.95
1-24-19	CR013180		22.89	743.84
	CR013186		25.00	768.84 ✓
1-25-19	Cash to bank	360.00		408.84 ✓
1-28-19	CR013212		215.70	

Date	Description	DR	CR	Balance
1-28-19	Balance forward			624.62
	CR013215		40.00	664.62 ✓
1-29-19	CR013216		28.60	693.22
	CR013218		635.23	1328.45
	CR013222		72.00	1400.45
	CR013221		55.00	1455.45
	CR013220		80.00	1535.45 ✓
1-31-19	CR013232		84.00	1619.45
	CR cash to bank	1100.00		519.45 ✓
	CR013243		115.00	634.45
	CR013244		40.00	674.45
	CR013245		5.00	679.45 ✓



County of Sierra - Account # [REDACTED]

MONTHLY ACCOUNT STATEMENT

JANUARY 1, 2019 THROUGH JANUARY 31, 2019

Chandler Team:

For questions about your account, please call (800) 317-4747,
or contact operations@chandlerasset.com

Custodian

Union Bank N.A.
Tina Guzman
(619) 230-3547

CHANDLER ASSET MANAGEMENT
chandlerasset.com

Information contained herein is confidential. We urge you to compare this statement to the one you receive from your qualified custodian. Please see Important Disclosures.



PORTFOLIO CHARACTERISTICS

Average Modified Duration	1.62
Average Coupon	1.94%
Average Purchase YTM	2.09%
Average Market YTM	2.63%
Average S&P/Moody Rating	AA/Aa1
Average Final Maturity	1.69 yrs
Average Life	1.66 yrs

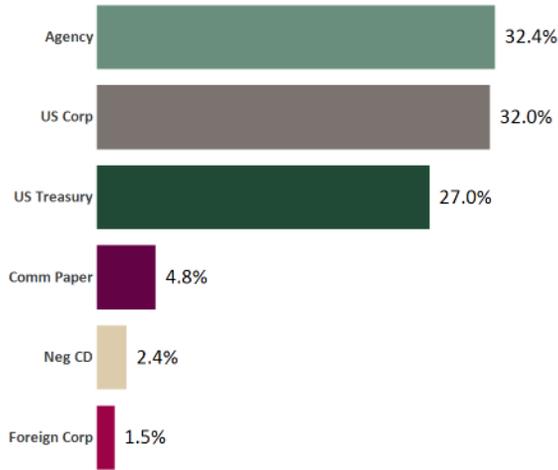
ACCOUNT SUMMARY

	Beg. Values as of 12/31/18	End Values as of 1/31/19
Market Value	17,978,578	20,556,833
Accrued Interest	88,213	96,151
Total Market Value	18,066,791	20,652,983
Income Earned	28,769	34,913
Cont/WD		2,482,378
Par	18,195,000	20,745,000
Book Value	18,163,629	20,661,195
Cost Value	18,174,909	20,654,698

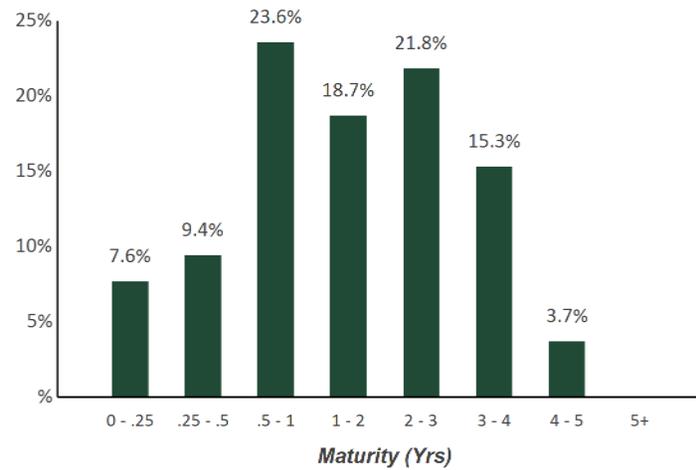
TOP ISSUERS

Government of United States	27.0%
Federal Home Loan Bank	12.1%
Federal National Mortgage Assoc	11.6%
Federal Home Loan Mortgage Corp	6.3%
Bank of Montreal Chicago	2.4%
Federal Farm Credit Bank	2.4%
MUFG Bank Ltd/NY	2.4%
Rabobank Nederland NV NY	2.4%
Total	66.6%

SECTOR ALLOCATION



MATURITY DISTRIBUTION



CREDIT QUALITY (S&P)



PERFORMANCE REVIEW

TOTAL RATE OF RETURN	1M	3M	YTD	1YR	Annualized				
					2YRS	3YRS	5YRS	10YRS	2/28/2015
County of Sierra	0.49%	1.38%	0.49%	2.25%	1.31%	1.12%	N/A	N/A	1.11%
ICE BAML 1-3 Yr US Treasury/Agency Index	0.27%	1.41%	0.27%	2.16%	1.09%	0.86%	N/A	N/A	0.88%

Statement of Compliance

As of January 31, 2019



County of Sierra

Assets managed by Chandler Asset Management are in full compliance with state law and with the investment policy

Category	Standard	Comment
Treasury Issues	No limitations	Complies
U.S. Agencies	25% max per Agency/GSE issuer	Complies
Supranational	"AA" rating category or better by a NRSRO; 30% maximum; 10% max per issuer; USD denominated senior unsecured unsubordinated obligations issued or unconditionally guaranteed by IBRD, IFC, or IADB	Complies
Municipal Securities	"A" rating category or better by a NRSRO; 30% maximum; 5% max per issuer; Obligations of the County, State of California, or any of the 49 states in addition to California, including bonds payable solely out of the revenues from a revenue-producing property owned, controlled, or operated by a state or by a department, board, agency, or authority of any of the other 49 states in addition to California.	Complies
Corporate Medium Term Notes (MTNs)	"A" rating category or better by a NRSRO; 30% maximum; 5% max per issuer; Issued by corporations organized and operating within the U.S. or by depository institutions licensed by the U.S. or any state and operating within the U.S.	Complies*
Negotiable CDs (NCDs)	No rating required if amount of the NCD is covered by FDIC insured limit; If above FDIC insured limit, requires "A-1" short-term rated or better by a NRSRO or "A" long-term issuer rating category or better by a NRSRO; 30% maximum (combined with CDARS); 5% max per issuer	Complies
FDIC insured Time Deposits/ Certificates of Deposit	Amount per institution limited to the max covered under FDIC; 20% maximum (combination of FDIC insured and collateralized TDs/ CDs)	Complies
Collateralized Time Deposits/ Certificates of Deposit	20% maximum (combination of FDIC insured and collateralized TDs/ CDs)	Complies
Asset Backed/ Mortgage Backed/ Collateralized Mortgage Obligation	"AA" rating category or better by a NRSRO; "A" long-term debt rating category or higher by a NRSRO; 20% maximum; 5% max per issuer on Asset-Backed or Commercial Mortgage security; There is no issuer limitation on any Mortgage security where the issuer is the US Treasury or a Federal Agency/GSE	Complies
Banker's Acceptances	"A-1" short-term rated issuer or better by a NRSRO or "A" long-term debt rating category or better by a NRSRO; 40% maximum; 5% max per issuer; 180 days max maturity	Complies
Commercial Paper	"A-1" rated or better by a NRSRO; and "A" long-term debt issuer rating category or better by a NRSRO; 25% maximum; 5% max per issuer; 270 days max maturity; Issuer is a corporation organized and operating in the U.S. with assets > \$500 million; County may not purchase more than 10% of outstanding commercial paper of any single issuer.	Complies
Mutual Fund & Money Market Mutual Funds	Highest rating or "AAA" rated by two NRSROs; SEC registered adviser with AUM >\$500 million and experience > 5 years; 20% maximum in Mutual Funds and Money Market Mutual Funds; 10% max per one Mutual Fund; 20% max in Money Market Mutual Funds.	Complies
Local Agency Investment Fund (LAIF)	Maximum amount permitted by LAIF; Not used by investment adviser	Complies
Local Government Investment Pools	California Asset Management Program; Investment Trust of California; Not used by investment adviser	Complies
Repurchase Agreements	1 year max maturity; 102% collateralized; Not used by investment adviser	Complies
Prohibited Securities	Inverse floaters; Ranges notes, Mortgage-derived, Interest-only strips; Zero interest accrual securities; Futures and Options; Purchasing/ Selling securities on margin; Reverse Repurchase Agreements; Securities lending; Foreign currency denominated securities	Complies
Callable Securities	20% maximum (does not include "make whole call" securities)	Complies
Max Per Issuer	No more than 5% in any single issuer unless otherwise specified in the policy	Complies
Maximum Maturity	5 years maximum maturity	Complies

*Corporate exposure is 33%; confirmed with County that they have assets managed internally and the concentration is in compliance with the portfolio in aggregate.



Account [REDACTED]

As of January 31, 2019

BOOK VALUE RECONCILIATION		
BEGINNING BOOK VALUE		\$18,163,629.31
<u>Acquisition</u>		
+ Security Purchases	\$3,961,576.68	
+ Money Market Fund Purchases	\$0.00	
+ Money Market Contributions	\$0.00	
+ Security Contributions	\$0.00	
+ Security Transfers	\$0.00	
Total Acquisitions		\$3,961,576.68
<u>Dispositions</u>		
- Security Sales	\$253,962.50	
- Money Market Fund Sales	\$0.00	
- MMF Withdrawals	\$0.00	
- Security Withdrawals	\$0.00	
- Security Transfers	\$0.00	
- Other Dispositions	\$0.00	
- Maturities	\$1,200,000.00	
- Calls	\$0.00	
- Principal Paydowns	\$0.00	
Total Dispositions		\$1,453,962.50
<u>Amortization/Accretion</u>		
+/- Net Accretion	\$1,739.06	
		\$1,739.06
<u>Gain/Loss on Dispositions</u>		
+/- Realized Gain/Loss	(\$11,787.50)	
		(\$11,787.50)
ENDING BOOK VALUE		\$20,661,195.05

Holdings Report

As of January 31, 2019



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
	FHLB Note 1.875% Due 3/8/2019	200,000.00	03/10/2016 1.19%	204,026.00 200,129.51	99.94 2.40%	199,889.40 1,489.58	0.98% (240.11)	Aaa / AA+ AAA	0.10 0.10
	FNMA Note 1.75% Due 6/20/2019	250,000.00	05/17/2016 1.06%	255,215.00 250,643.20	99.72 2.49%	249,288.00 498.26	1.21% (1,355.20)	Aaa / AA+ AAA	0.38 0.38
	FNMA Note 0.875% Due 8/2/2019	425,000.00	12/12/2016 1.40%	419,296.50 423,918.71	99.18 2.53%	421,514.58 1,849.05	2.05% (2,404.13)	Aaa / AA+ AAA	0.50 0.49
	FHLMC Callable Note 1X 8/22/2014 1.4% Due 8/22/2019	400,000.00	03/10/2016 1.26%	401,912.00 400,307.75	99.41 2.48%	397,620.40 2,473.33	1.94% (2,687.35)	Aaa / AA+ AAA	0.56 0.55
	FHLB Note 1.375% Due 9/13/2019	375,000.00	10/12/2016 1.12%	377,696.25 375,569.24	99.29 2.54%	372,337.88 1,976.56	1.81% (3,231.36)	Aaa / AA+ NR	0.62 0.61
	FHLMC Note 1.25% Due 10/2/2019	500,000.00	01/21/2016 1.31%	498,850.00 499,792.38	99.16 2.53%	495,776.00 2,065.97	2.41% (4,016.38)	Aaa / AA+ AAA	0.67 0.66
	FNMA Note 1.75% Due 11/26/2019	400,000.00	03/10/2016 1.32%	406,224.00 401,372.87	99.36 2.54%	397,457.20 1,263.89	1.93% (3,915.67)	Aaa / AA+ AAA	0.82 0.80
	FHLB Note 1.25% Due 12/13/2019	250,000.00	02/01/2018 2.17%	245,845.00 248,061.00	98.87 2.58%	247,164.50 416.67	1.20% (896.50)	Aaa / AA+ AAA	0.87 0.85
	FHLB Note 1.875% Due 3/13/2020	400,000.00	03/10/2016 1.41%	407,216.00 402,008.02	99.20 2.60%	396,803.20 2,875.00	1.94% (5,204.82)	Aaa / AA+ NR	1.12 1.09
	FFCB Note 1.55% Due 4/13/2020	500,000.00	04/12/2017 1.52%	500,395.00 500,158.22	98.87 2.51%	494,351.00 2,325.00	2.40% (5,807.22)	Aaa / AA+ AAA	1.20 1.17
	FHLMC Note 1.875% Due 11/17/2020	400,000.00	07/10/2018 2.69%	392,660.00 394,396.62	98.92 2.50%	395,668.40 1,541.67	1.92% 1,271.78	Aaa / AA+ AAA	1.80 1.74
	FNMA Note 1.25% Due 5/6/2021	350,000.00	10/12/2016 1.39%	347,854.50 348,935.00	97.34 2.46%	340,697.00 1,032.99	1.65% (8,238.00)	Aaa / AA+ AAA	2.26 2.21
	FHLB Note 2.875% Due 12/10/2021	500,000.00	01/04/2019 2.58%	504,100.00 504,015.31	100.80 2.58%	503,994.50 2,036.46	2.45% (20.81)	Aaa / AA+ NR	2.86 2.72
	FNMA Note 2% Due 1/5/2022	500,000.00	11/01/2017 1.97%	500,535.00 500,376.01	98.58 2.50%	492,923.50 722.22	2.39% (7,452.51)	Aaa / AA+ AAA	2.93 2.82
	FNMA Note 1.875% Due 4/5/2022	500,000.00	04/12/2017 1.89%	499,570.00 499,725.11	98.03 2.52%	490,163.50 3,020.83	2.39% (9,561.61)	Aaa / AA+ AAA	3.18 3.04
	FHLB Note 2.75% Due 6/10/2022	500,000.00	07/10/2018 2.84%	498,305.00 498,545.96	100.56 2.58%	502,788.00 1,947.92	2.44% 4,242.04	Aaa / AA+ NR	3.36 3.18

Holdings Report

As of January 31, 2019



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
AGENCY									
	FHLB Note 3% Due 12/9/2022	250,000.00	01/04/2019 2.61%	253,592.50 253,537.19	101.53 2.58%	253,829.50 1,770.83	1.24% 292.31	Aaa / AA+ AAA	3.86 3.60
		6,700,000.00	1.78%	6,713,292.75 6,701,492.10	2.53%	6,652,266.56 29,306.23	32.35% (49,225.54)	Aaa / AA+ AAA	1.70 1.63
APER									
	MUFG Bank Ltd/NY Discount CP 2.76% Due 5/6/2019	500,000.00	01/04/2019 2.82%	495,553.33 495,553.33	99.11 2.82%	495,553.33 843.33	2.40% 0.00	P-1 / A-1 NR	0.26 0.26
	Rabobank Nederland NV NY Discount CP 2.72% Due 10/4/2019	500,000.00	01/07/2019 2.80%	489,913.33 489,913.33	97.98 2.80%	489,913.33 831.11	2.38% 0.00	P-1 / A-1 NR	0.67 0.66
	al Paper	1,000,000.00	2.81%	985,466.66 985,466.66	2.81%	985,466.66 1,674.44	4.78% 0.00	P-1 / A-1 NR	0.47 0.46
ORATE									
	Toronto Dominion Bank Note 2.125% Due 7/2/2019	300,000.00	02/12/2018 2.31%	299,232.00 299,768.99	99.79 2.63%	299,370.00 513.54	1.45% (398.99)	Aa1 / AA- AA-	0.42 0.41
	orporate	300,000.00	2.31%	299,232.00 299,768.99	2.63%	299,370.00 513.54	1.45% (398.99)	Aa1 / AA- AA-	0.42 0.41
	Bank of Montreal Chicago Yankee CD 2.8% Due 4/29/2019	500,000.00	01/04/2019 2.75%	500,073.14 500,058.38	100.01 2.75%	500,058.38 1,088.89	2.43% 0.00	P-1 / A-1 F-1+	0.24 0.24
	CD	500,000.00	2.75%	500,073.14 500,058.38	2.75%	500,058.38 1,088.89	2.43% 0.00	P-1 / A-1 F-1+	0.24 0.24

Holdings Report

As of January 31, 2019



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
	Paccar Financial Corp Note 1.65% Due 2/25/2019	235,000.00	04/18/2016 1.36%	236,894.10 235,043.71	99.91 2.92%	234,798.14 1,680.25	1.15% (245.57)	A1 / A+ NR	0.07 0.07
	Berkshire Hathaway Note 1.7% Due 3/15/2019	200,000.00	03/10/2016 1.57%	200,730.00 200,028.00	99.92 2.36%	199,836.00 1,284.44	0.97% (192.00)	Aa2 / AA A+	0.12 0.12
	Exxon Mobil Corp Callable Note Cont 2/15/2019 1.819% Due 3/15/2019	235,000.00	04/19/2016 1.26%	238,696.55 235,146.74	99.95 2.20%	234,887.20 1,614.87	1.15% (259.54)	Aaa / AA+ NR	0.12 0.12
	US Bancorp Callable Note Cont 3/25/2019 2.2% Due 4/25/2019	200,000.00	03/10/2016 1.80%	202,412.00 200,176.23	99.90 2.63%	199,791.60 1,173.33	0.97% (384.63)	A1 / A+ AA-	0.23 0.23
	American Honda Finance Note 2.25% Due 8/15/2019	200,000.00	03/10/2016 1.84%	202,722.00 200,425.31	99.71 2.79%	199,424.00 2,075.00	0.98% (1,001.31)	A2 / A+ NR	0.54 0.53
	John Deere Capital Corp Note 1.25% Due 10/9/2019	200,000.00	12/12/2016 1.86%	196,686.00 199,194.07	99.00 2.72%	198,001.60 777.78	0.96% (1,192.47)	A2 / A A	0.69 0.68
	Qualcomm Inc Note 2.25% Due 5/20/2020	225,000.00	12/12/2016 2.24%	225,101.25 225,038.33	99.34 2.77%	223,518.38 998.44	1.09% (1,519.95)	A2 / A- NR	1.30 1.27
	Cisco Systems Note 2.45% Due 6/15/2020	200,000.00	12/12/2016 2.15%	202,020.00 200,790.30	99.75 2.64%	199,496.20 626.11	0.97% (1,294.10)	A1 / AA- NR	1.37 1.34
	HSBC USA Inc Note 2.75% Due 8/7/2020	250,000.00	02/01/2018 2.63%	250,715.00 250,433.07	99.59 3.03%	248,985.75 3,322.92	1.22% (1,447.32)	A2 / A AA-	1.52 1.45
	Visa Inc Note 2.2% Due 12/14/2020	200,000.00	12/12/2016 2.12%	200,636.00 200,297.09	99.35 2.56%	198,702.40 574.44	0.96% (1,594.69)	A1 / AA- NR	1.87 1.81
	IBM Corp Note 2.25% Due 2/19/2021	200,000.00	11/01/2017 2.06%	201,204.00 200,750.87	98.52 3.00%	197,035.80 2,025.00	0.96% (3,715.07)	A1 / A A	2.05 1.97
	Paccar Financial Corp Note 2.8% Due 3/1/2021	150,000.00	07/10/2018 3.05%	149,070.00 149,266.25	99.46 3.07%	149,190.00 1,750.00	0.73% (76.25)	A1 / A+ NR	2.08 1.98
	Bank of America Corp Note 2.625% Due 4/19/2021	250,000.00	01/04/2019 3.29%	246,367.50 246,463.78	99.28 2.96%	248,192.00 1,859.38	1.21% 1,728.22	A3 / A- A+	2.22 2.12
	General Dynamics Corp Note 3% Due 5/11/2021	250,000.00	07/10/2018 3.07%	249,490.00 249,590.22	100.60 2.72%	251,505.25 1,666.67	1.23% 1,915.03	A2 / A+ NR	2.28 2.18
	State Street Bank Note 1.95% Due 5/19/2021	200,000.00	02/01/2018 2.69%	195,384.00 196,771.11	97.71 2.99%	195,422.60 780.00	0.95% (1,348.51)	A1 / A AA-	2.30 2.22

Holdings Report

As of January 31, 2019



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
US CORPORATE									
	JP Morgan Chase Callable Note Cont 5/7/2021 2.4% Due 6/7/2021	250,000.00	02/01/2018 2.78%	246,997.50 247,885.67	98.72 2.96%	246,798.00 900.00	1.20% (1,087.67)	A2 / A- AA-	2.35 2.26
	American Honda Finance Note 1.65% Due 7/12/2021	200,000.00	07/10/2018 3.21%	191,158.00 192,797.20	97.13 2.87%	194,264.20 174.17	0.94% 1,467.00	A2 / A+ NR	2.45 2.37
	Oracle Corp Callable Note Cont 8/01/21 1.9% Due 9/15/2021	250,000.00	11/01/2017 2.17%	247,530.00 248,322.36	97.83 2.76%	244,574.00 1,794.44	1.19% (3,748.36)	A1 / AA- A	2.62 2.52
	PNC Bank Callable Note Cont 11/09/2021 2.55% Due 12/9/2021	250,000.00	11/01/2017 2.34%	251,997.50 251,393.17	98.35 3.16%	245,887.00 920.83	1.20% (5,506.17)	A2 / A A+	2.86 2.72
	John Deere Capital Corp Note 2.65% Due 1/6/2022	200,000.00	07/10/2018 3.17%	196,572.00 197,118.65	99.39 2.87%	198,774.20 368.06	0.96% 1,655.55	A2 / A A	2.93 2.79
	Bank of NY Mellon Corp Callable Note Cont 1/7/2022 2.6% Due 2/7/2022	250,000.00	11/01/2017 2.37%	252,317.50 251,643.43	98.98 2.95%	247,456.50 3,141.67	1.21% (4,186.93)	A1 / A AA-	3.02 2.84
	Apple Inc Callable Cont 1/9/2022 2.5% Due 2/9/2022	250,000.00	11/01/2017 2.23%	252,715.00 251,926.32	99.42 2.70%	248,555.00 2,986.11	1.22% (3,371.32)	Aa1 / AA+ NR	3.03 2.86
	Praxair Callable Note Cont 11/15/2021 2.45% Due 2/15/2022	250,000.00	02/01/2018 2.77%	246,952.50 247,698.83	99.31 2.69%	248,270.00 2,824.31	1.22% 571.17	A2 / A NR	3.04 2.88
	Blackrock Inc Note 3.375% Due 6/1/2022	250,000.00	07/10/2018 3.15%	252,062.50 251,767.44	101.54 2.89%	253,853.00 1,406.25	1.24% 2,085.56	Aa3 / AA- NR	3.33 3.12
	Charles Schwab Corp Note 3.225% Due 9/1/2022	250,000.00	07/10/2018 3.26%	249,667.50 249,712.17	100.55 3.06%	251,375.75 3,359.38	1.23% 1,663.58	A2 / A A	3.59 3.32
	Toyota Motor Credit Corp Note 2.7% Due 1/11/2023	250,000.00	01/04/2019 3.40%	243,502.50 243,600.27	98.79 3.03%	246,982.00 375.00	1.20% 3,381.73	Aa3 / AA- A+	3.95 3.71
	Exxon Mobil Corp Callable Note Cont 1/1/2023 2.726% Due 3/1/2023	250,000.00	01/04/2019 3.13%	246,120.00 246,176.49	99.79 2.77%	249,474.75 2,839.58	1.22% 3,298.26	Aaa / AA+ NR	4.08 3.80
	Berkshire Hathaway Callable Note Cont 1/15/2023 2.75% Due 3/15/2023	250,000.00	01/04/2019 3.25%	245,105.00 245,175.62	99.33 2.92%	248,333.00 2,597.22	1.21% 3,157.38	Aa2 / AA A+	4.12 3.79
	Wal-Mart Stores Callable Note Cont 1/11/2023 2.55% Due 4/11/2023	250,000.00	01/04/2019 3.14%	244,202.50 244,284.68	99.71 2.62%	249,269.25 1,947.92	1.22% 4,984.57	Aa2 / AA AA	4.19 3.92

Holdings Report

As of January 31, 2019



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
				6,565,028.40		6,552,653.57	31.96%	A1 / A+	2.29
Total US Corporate		6,595,000.00	2.55%	6,558,917.38	2.82%	47,843.57	(6,263.81)	A+	2.17

US Treasury Note 1.25% Due 5/31/2019	500,000.00	02/01/2018 2.04%	494,921.88 498,738.42	99.61 2.44%	498,047.00 1,081.73	2.42% (691.42)	Aaa / AA+ AAA	0.33 0.33
US Treasury Note 0.875% Due 7/31/2019	400,000.00	01/21/2016 1.20%	395,548.22 399,375.43	99.21 2.47%	396,843.60 9.67	1.92% (2,531.83)	Aaa / AA+ AAA	0.50 0.49
US Treasury Note 1% Due 8/31/2019	400,000.00	01/21/2016 1.21%	397,032.59 399,523.50	99.13 2.52%	396,531.20 1,701.66	1.93% (2,992.30)	Aaa / AA+ AAA	0.58 0.57
US Treasury Note 1% Due 11/30/2019	500,000.00	01/04/2019 2.59%	493,046.88 493,519.01	98.73 2.55%	493,672.00 865.38	2.39% 152.99	Aaa / AA+ AAA	0.83 0.82
US Treasury Note 1.125% Due 12/31/2019	350,000.00	10/12/2016 1.09%	350,425.00 350,120.96	98.70 2.57%	345,460.85 348.07	1.67% (4,660.11)	Aaa / AA+ AAA	0.92 0.90
US Treasury Note 1.25% Due 1/31/2020	400,000.00	03/10/2016 1.26%	399,782.59 399,944.15	98.71 2.56%	394,859.20 13.81	1.91% (5,084.95)	Aaa / AA+ AAA	1.00 0.98
US Treasury Note 1.125% Due 4/30/2020	350,000.00	10/12/2016 1.15%	349,686.72 349,889.83	98.31 2.51%	344,080.10 995.31	1.67% (5,809.73)	Aaa / AA+ AAA	1.25 1.23
US Treasury Note 1.625% Due 7/31/2020	425,000.00	12/12/2016 1.63%	424,935.02 424,973.20	98.70 2.51%	419,471.60 19.08	2.03% (5,501.60)	Aaa / AA+ AAA	1.50 1.47
US Treasury Note 1.375% Due 8/31/2020	425,000.00	12/12/2016 1.66%	420,734.82 423,183.76	98.27 2.50%	417,645.38 2,486.02	2.03% (5,538.38)	Aaa / AA+ AAA	1.58 1.54
US Treasury Note 2% Due 9/30/2020	500,000.00	02/01/2018 2.29%	496,269.53 497,658.33	99.20 2.50%	495,976.50 3,406.59	2.42% (1,681.83)	Aaa / AA+ AAA	1.67 1.61
US Treasury Note 1.375% Due 1/31/2021	500,000.00	04/12/2017 1.63%	495,411.83 497,579.94	97.87 2.47%	489,336.00 18.99	2.37% (8,243.94)	Aaa / AA+ AAA	2.00 1.96
US Treasury Note 1.125% Due 8/31/2021	500,000.00	04/12/2017 1.72%	487,482.14 492,611.64	96.69 2.46%	483,437.50 2,392.96	2.35% (9,174.14)	Aaa / AA+ AAA	2.58 2.50

Holdings Report

As of January 31, 2019



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
US TREASURY									
	US Treasury Note 1.75% Due 3/31/2022	400,000.00	07/10/2018 2.72%	386,328.13 388,373.37	97.91 2.44%	391,656.40 2,384.62	1.91% 3,283.03	Aaa / AA+ AAA	3.16 3.03
Total US Treasury		5,650,000.00	1.74%	5,591,605.35 5,615,491.54	2.50%	5,567,017.33 15,723.89	27.03% (48,474.21)	Aaa / AA+ AAA	1.39 1.35
TOTAL PORTFOLIO		20,745,000.00	2.09%	20,654,698.30 20,661,195.05	2.63%	20,556,832.50 96,150.56	100.00% (104,362.55)	Aa1 / AA AAA	1.69 1.62
TOTAL MARKET VALUE PLUS ACCRUED						20,652,983.06			

Account [REDACTED]

Transaction Ledger

As of January 31, 2019



Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
ACQUISITIONS										
Purchase	01/10/2019	[REDACTED]	250,000.00	Bank of America Corp Note 2.625% Due 4/19/2021	98.547	3.29%	246,367.50	1,476.56	247,844.06	0.00
Purchase	01/10/2019	[REDACTED]	500,000.00	Bank of Montreal Chicago Yankee CD 2.8% Due 4/29/2019	100.015	2.75%	500,073.14	233.33	500,306.47	0.00
Purchase	01/10/2019	[REDACTED]	250,000.00	Berkshire Hathaway Callable Note Cont 1/15/2023 2.75% Due 3/15/2023	98.042	3.25%	245,105.00	2,196.18	247,301.18	0.00
Purchase	01/10/2019	[REDACTED]	500,000.00	Rabobank Nederland NV NY Discount CP 2.72% Due 10/4/2019	97.983	2.80%	489,913.33	0.00	489,913.33	0.00
Purchase	01/10/2019	[REDACTED]	250,000.00	Exxon Mobil Corp Callable Note Cont 1/1/2023 2.726% Due 3/1/2023	98.448	3.13%	246,120.00	2,442.04	248,562.04	0.00
Purchase	01/10/2019	[REDACTED]	500,000.00	FHLB Note 2.875% Due 12/10/2021	100.820	2.58%	504,100.00	1,197.92	505,297.92	0.00
Purchase	01/10/2019	[REDACTED]	250,000.00	FHLB Note 3% Due 12/9/2022	101.437	2.61%	253,592.50	1,333.33	254,925.83	0.00
Purchase	01/10/2019	[REDACTED]	500,000.00	MUFG Bank Ltd/NY Discount CP 2.76% Due 5/6/2019	99.111	2.82%	495,553.33	0.00	495,553.33	0.00
Purchase	01/10/2019	[REDACTED]	250,000.00	Toyota Motor Credit Corp Note 2.7% Due 1/11/2023	97.401	3.40%	243,502.50	3,356.25	246,858.75	0.00
Purchase	01/10/2019	[REDACTED]	500,000.00	US Treasury Note 1% Due 11/30/2019	98.609	2.59%	493,046.88	563.19	493,610.07	0.00
Purchase	01/10/2019	[REDACTED]	250,000.00	Wal-Mart Stores Callable Note Cont 1/11/2023 2.55% Due 4/11/2023	97.681	3.14%	244,202.50	1,576.04	245,778.54	0.00
Subtotal		[REDACTED]	4,000,000.00				3,961,576.68	14,374.84	3,975,951.52	0.00
TOTAL ACQUISITIONS			4,000,000.00				3,961,576.68	14,374.84	3,975,951.52	0.00

Transaction Ledger

As of January 31, 2019



Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
DISPOSITIONS										
Sale	01/31/2019		250,000.00	General Electric Capital Corp Note 4.65% Due 10/17/2021	101.585	4.02%	253,962.50	3,358.33	257,320.83	-11,787.50
Subtotal			250,000.00				253,962.50	3,358.33	257,320.83	-11,787.50
Maturity	01/15/2019		200,000.00	Wells Fargo Corp Note 2.15% Due 1/15/2019	100.000		200,000.00	0.00	200,000.00	0.00
Maturity	01/17/2019		200,000.00	Toyota Motor Credit Corp Note 2.1% Due 1/17/2019	100.000		200,000.00	0.00	200,000.00	0.00
Maturity	01/22/2019		400,000.00	FFCB Note 1.25% Due 1/22/2019	100.000		400,000.00	0.00	400,000.00	0.00
Maturity	01/31/2019		400,000.00	US Treasury Note 1.25% Due 1/31/2019	100.000		400,000.00	0.00	400,000.00	0.00
Subtotal			1,200,000.00				1,200,000.00	0.00	1,200,000.00	0.00
TOTAL DISPOSITIONS			1,450,000.00				1,453,962.50	3,358.33	1,457,320.83	-11,787.50
OTHER TRANSACTIONS										
Interest	01/02/2019		300,000.00	Toronto Dominion Bank Note 2.125% Due 7/2/2019	0.000		3,187.50	0.00	3,187.50	0.00
Interest	01/05/2019		500,000.00	FNMA Note 2% Due 1/5/2022	0.000		5,000.00	0.00	5,000.00	0.00
Interest	01/06/2019		200,000.00	John Deere Capital Corp Note 2.65% Due 1/6/2022	0.000		2,650.00	0.00	2,650.00	0.00
Interest	01/11/2019		250,000.00	Toyota Motor Credit Corp Note 2.7% Due 1/11/2023	0.000		3,375.00	0.00	3,375.00	0.00
Interest	01/12/2019		200,000.00	American Honda Finance Note 1.65% Due 7/12/2021	0.000		1,650.00	0.00	1,650.00	0.00
Interest	01/15/2019		200,000.00	Wells Fargo Corp Note 2.15% Due 1/15/2019	0.000		2,150.00	0.00	2,150.00	0.00
Interest	01/17/2019		200,000.00	Toyota Motor Credit Corp Note 2.1% Due 1/17/2019	0.000		2,100.00	0.00	2,100.00	0.00

Transaction Ledger

As of January 31, 2019



Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
OTHER TRANSACTIONS										
Interest	01/22/2019	[REDACTED]	400,000.00	FFCB Note 1.25% Due 1/22/2019	0.000		2,500.00	0.00	2,500.00	0.00
Interest	01/31/2019	[REDACTED]	400,000.00	US Treasury Note 1.25% Due 1/31/2020	0.000		2,500.00	0.00	2,500.00	0.00
Interest	01/31/2019	[REDACTED]	500,000.00	US Treasury Note 1.375% Due 1/31/2021	0.000		3,437.50	0.00	3,437.50	0.00
Interest	01/31/2019	[REDACTED]	400,000.00	US Treasury Note 1.25% Due 1/31/2019	0.000		2,500.00	0.00	2,500.00	0.00
Interest	01/31/2019	[REDACTED]	400,000.00	US Treasury Note 0.875% Due 7/31/2019	0.000		1,750.00	0.00	1,750.00	0.00
Interest	01/31/2019	[REDACTED]	425,000.00	US Treasury Note 1.625% Due 7/31/2020	0.000		3,453.13	0.00	3,453.13	0.00
Subtotal			4,375,000.00				36,253.13	0.00	36,253.13	0.00
TOTAL OTHER TRANSACTIONS			4,375,000.00				36,253.13	0.00	36,253.13	0.00

Income Earned

As of January 31, 2019



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
FIXED INCOME						
	American Honda Finance Note 2.25% Due 08/15/2019	03/10/2016 03/15/2016 200,000.00	200,492.93 0.00 0.00 200,425.31	1,700.00 0.00 2,075.00 375.00	0.00 67.62 (67.62) 307.38	307.38
	American Honda Finance Note 1.65% Due 07/12/2021	07/10/2018 07/13/2018 200,000.00	192,546.88 0.00 0.00 192,797.20	1,549.17 1,650.00 174.17 275.00	250.32 0.00 250.32 525.32	525.32
	Apple Inc Callable Cont 1/9/2022 2.5% Due 02/09/2022	11/01/2017 11/06/2017 250,000.00	251,980.41 0.00 0.00 251,926.32	2,465.28 0.00 2,986.11 520.83	0.00 54.09 (54.09) 466.74	466.74
	Bank of America Corp Note 2.625% Due 04/19/2021	01/04/2019 01/10/2019 250,000.00	0.00 246,367.50 0.00 246,463.78	0.00 (1,476.56) 1,859.38 382.82	96.28 0.00 96.28 479.10	479.10
	Bank of NY Mellon Corp Callable Note Cont 1/7/2022 2.6% Due 02/07/2022	11/01/2017 11/06/2017 250,000.00	251,689.66 0.00 0.00 251,643.43	2,600.00 0.00 3,141.67 541.67	0.00 46.23 (46.23) 495.44	495.44
	Berkshire Hathaway Note 1.7% Due 03/15/2019	03/10/2016 03/15/2016 200,000.00	200,048.67 0.00 0.00 200,028.00	1,001.11 0.00 1,284.44 283.33	0.00 20.67 (20.67) 262.66	262.66
	Berkshire Hathaway Callable Note Cont 1/15/2023 2.75% Due 03/15/2023	01/04/2019 01/10/2019 250,000.00	0.00 245,105.00 0.00 245,175.62	0.00 (2,196.18) 2,597.22 401.04	70.62 0.00 70.62 471.66	471.66
	Blackrock Inc Note 3.375% Due 06/01/2022	07/10/2018 07/13/2018 250,000.00	251,812.50 0.00 0.00 251,767.44	703.13 0.00 1,406.25 703.12	0.00 45.06 (45.06) 658.06	658.06
	Cisco Systems Note 2.45% Due 06/15/2020	12/12/2016 12/15/2016 200,000.00	200,839.30 0.00 0.00 200,790.30	217.78 0.00 626.11 408.33	0.00 49.00 (49.00) 359.33	359.33

Income Earned

As of January 31, 2019



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
	John Deere Capital Corp Note 1.25% Due 10/09/2019	12/12/2016 12/15/2016 200,000.00	199,094.13 0.00 0.00 199,194.07	569.44 0.00 777.78 208.34	99.94 0.00 99.94 308.28	308.28
	John Deere Capital Corp Note 2.65% Due 01/06/2022	07/10/2018 07/13/2018 200,000.00	197,035.17 0.00 0.00 197,118.65	2,576.39 2,650.00 368.06 441.67	83.48 0.00 83.48 525.15	525.15
	Exxon Mobil Corp Callable Note Cont 2/15/2019 1.819% Due 03/15/2019	04/19/2016 04/21/2016 235,000.00	235,255.05 0.00 0.00 235,146.74	1,258.65 0.00 1,614.87 356.22	0.00 108.31 (108.31) 247.91	247.91
	Exxon Mobil Corp Callable Note Cont 1/1/2023 2.726% Due 03/01/2023	01/04/2019 01/10/2019 250,000.00	0.00 246,120.00 0.00 246,176.49	0.00 (2,442.04) 2,839.58 397.54	56.49 0.00 56.49 454.03	454.03
	FHLB Note 2.875% Due 12/10/2021	01/04/2019 01/10/2019 500,000.00	0.00 504,100.00 0.00 504,015.31	0.00 (1,197.92) 2,036.46 838.54	0.00 84.69 (84.69) 753.85	753.85
	FHLB Note 2.75% Due 06/10/2022	07/10/2018 07/13/2018 500,000.00	498,509.16 0.00 0.00 498,545.96	802.08 0.00 1,947.92 1,145.84	36.80 0.00 36.80 1,182.64	1,182.64
	FHLB Note 3% Due 12/09/2022	01/04/2019 01/10/2019 250,000.00	0.00 253,592.50 0.00 253,537.19	0.00 (1,333.33) 1,770.83 437.50	0.00 55.31 (55.31) 382.19	382.19
	FHLB Note 1.875% Due 03/13/2020	03/10/2016 03/15/2016 400,000.00	402,161.34 0.00 0.00 402,008.02	2,250.00 0.00 2,875.00 625.00	0.00 153.32 (153.32) 471.68	471.68
	FHLB Note 1.875% Due 03/08/2019	03/10/2016 03/15/2016 200,000.00	200,244.22 0.00 0.00 200,129.51	1,177.08 0.00 1,489.58 312.50	0.00 114.71 (114.71) 197.79	197.79

Income Earned

As of January 31, 2019



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
	FHLB Note 1.375% Due 09/13/2019	10/12/2016 10/17/2016 375,000.00	375,648.01 0.00 0.00 375,569.24	1,546.88 0.00 1,976.56 429.68	0.00 78.77 (78.77) 350.91	350.91
	FHLB Note 1.25% Due 12/13/2019	02/01/2018 02/06/2018 250,000.00	247,870.18 0.00 0.00 248,061.00	156.25 0.00 416.67 260.42	190.82 0.00 190.82 451.24	451.24
	FFCB Note Due 01/22/2019	03/10/2016 03/15/2016 0.00	400,018.12 0.00 400,000.00 0.00	2,208.33 2,500.00 0.00 291.67	0.00 18.12 (18.12) 273.55	273.55
	FFCB Note 1.55% Due 04/13/2020	04/12/2017 04/18/2017 500,000.00	500,169.44 0.00 0.00 500,158.22	1,679.17 0.00 2,325.00 645.83	0.00 11.22 (11.22) 634.61	634.61
	FHLMC Callable Note 1X 8/22/2014 1.4% Due 08/22/2019	03/10/2016 03/15/2016 400,000.00	400,354.98 0.00 0.00 400,307.75	2,006.67 0.00 2,473.33 466.66	0.00 47.23 (47.23) 419.43	419.43
	FNMA Note 1.25% Due 05/06/2021	10/12/2016 10/17/2016 350,000.00	348,894.98 0.00 0.00 348,935.00	668.40 0.00 1,032.99 364.59	40.02 0.00 40.02 404.61	404.61
	FNMA Note 0.875% Due 08/02/2019	12/12/2016 12/15/2016 425,000.00	423,734.54 0.00 0.00 423,918.71	1,539.15 0.00 1,849.05 309.90	184.17 0.00 184.17 494.07	494.07
	FNMA Note 2% Due 01/05/2022	11/01/2017 11/06/2017 500,000.00	500,386.92 0.00 0.00 500,376.01	4,888.89 5,000.00 722.22 833.33	0.00 10.91 (10.91) 822.42	822.42
	FNMA Note 1.875% Due 04/05/2022	04/12/2017 04/18/2017 500,000.00	499,717.76 0.00 0.00 499,725.11	2,239.58 0.00 3,020.83 781.25	7.35 0.00 7.35 788.60	788.60

Income Earned

As of January 31, 2019



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
	FNMA Note 1.75% Due 06/20/2019	05/17/2016 05/19/2016 250,000.00	250,786.65 0.00 0.00 250,643.20	133.68 0.00 498.26 364.58	0.00 143.45 (143.45) 221.13	221.13
	FNMA Note 1.75% Due 11/26/2019	03/10/2016 03/15/2016 400,000.00	401,515.69 0.00 0.00 401,372.87	680.56 0.00 1,263.89 583.33	0.00 142.82 (142.82) 440.51	440.51
	FHLMC Note 1.25% Due 10/02/2019	01/21/2016 01/25/2016 500,000.00	499,765.90 0.00 0.00 499,792.38	1,545.14 0.00 2,065.97 520.83	26.48 0.00 26.48 547.31	547.31
	FHLMC Note 1.875% Due 11/17/2020	07/10/2018 07/13/2018 400,000.00	394,131.42 0.00 0.00 394,396.62	916.67 0.00 1,541.67 625.00	265.20 0.00 265.20 890.20	890.20
	General Dynamics Corp Note 3% Due 05/11/2021	07/10/2018 07/13/2018 250,000.00	249,574.92 0.00 0.00 249,590.22	1,041.67 0.00 1,666.67 625.00	15.30 0.00 15.30 640.30	640.30
	General Electric Capital Corp Note Due 10/17/2021	11/01/2017 11/06/2017 0.00	266,227.27 0.00 265,750.00 0.00	2,389.58 3,358.33 0.00 968.75	0.00 477.27 (477.27) 491.48	491.48
	HSBC USA Inc Note 2.75% Due 08/07/2020	02/01/2018 02/06/2018 250,000.00	250,457.35 0.00 0.00 250,433.07	2,750.00 0.00 3,322.92 572.92	0.00 24.28 (24.28) 548.64	548.64
	IBM Corp Note 2.25% Due 02/19/2021	11/01/2017 11/06/2017 200,000.00	200,781.95 0.00 0.00 200,750.87	1,650.00 0.00 2,025.00 375.00	0.00 31.08 (31.08) 343.92	343.92
	JP Morgan Chase Callable Note Cont 5/7/2021 2.4% Due 06/07/2021	02/01/2018 02/06/2018 250,000.00	247,809.19 0.00 0.00 247,885.67	400.00 0.00 900.00 500.00	76.48 0.00 76.48 576.48	576.48

Income Earned

As of January 31, 2019



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
	Oracle Corp	11/01/2017	248,268.02	1,398.61	54.34	450.17
	Callable Note Cont 8/01/21	11/06/2017	0.00	0.00	0.00	
	1.9% Due 09/15/2021	250,000.00	0.00	1,794.44	54.34	
			248,322.36	395.83	450.17	
	PNC Bank	11/01/2017	251,434.62	389.58	0.00	489.80
	Callable Note Cont 11/09/2021	11/06/2017	0.00	0.00	41.45	
	2.55% Due 12/09/2021	250,000.00	0.00	920.83	(41.45)	
			251,393.17	531.25	489.80	
	Paccar Financial Corp	04/18/2016	235,100.17	1,357.13	0.00	266.66
	Note	04/21/2016	0.00	0.00	56.46	
	1.65% Due 02/25/2019	235,000.00	0.00	1,680.25	(56.46)	
			235,043.71	323.12	266.66	
	Paccar Financial Corp	07/10/2018	149,236.28	1,400.00	29.97	379.97
	Note	07/13/2018	0.00	0.00	0.00	
	2.8% Due 03/01/2021	150,000.00	0.00	1,750.00	29.97	
			149,266.25	350.00	379.97	
	Praxair	02/01/2018	247,634.56	2,313.89	64.27	574.69
	Callable Note Cont 11/15/2021	02/06/2018	0.00	0.00	0.00	
	2.45% Due 02/15/2022	250,000.00	0.00	2,824.31	64.27	
			247,698.83	510.42	574.69	
	Qualcomm Inc	12/12/2016	225,040.84	576.56	0.00	419.37
	Note	12/15/2016	0.00	0.00	2.51	
	2.25% Due 05/20/2020	225,000.00	0.00	998.44	(2.51)	
			225,038.33	421.88	419.37	
	Charles Schwab Corp	07/10/2018	249,705.35	2,687.50	6.82	678.70
	Note	07/13/2018	0.00	0.00	0.00	
	3.225% Due 09/01/2022	250,000.00	0.00	3,359.38	6.82	
			249,712.17	671.88	678.70	
	State Street Bank	02/01/2018	196,651.67	455.00	119.44	444.44
	Note	02/06/2018	0.00	0.00	0.00	
	1.95% Due 05/19/2021	200,000.00	0.00	780.00	119.44	
			196,771.11	325.00	444.44	
	Toronto Dominion Bank	02/12/2018	299,721.56	3,169.79	47.43	578.68
	Note	02/15/2018	0.00	3,187.50	0.00	
	2.125% Due 07/02/2019	300,000.00	0.00	513.54	47.43	
			299,768.99	531.25	578.68	

Income Earned

As of January 31, 2019



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
	Toyota Motor Credit Corp Note Due 01/17/2019	03/10/2016 03/15/2016 0.00	200,036.75 0.00 200,000.00 0.00	1,913.33 2,100.00 0.00 186.67	0.00 36.75 (36.75) 149.92	149.92
	Toyota Motor Credit Corp Note 2.7% Due 01/11/2023	01/04/2019 01/10/2019 250,000.00	0.00 243,502.50 0.00 243,600.27	0.00 18.75 375.00 393.75	97.77 0.00 97.77 491.52	491.52
	US Bancorp Callable Note Cont 3/25/2019 2.2% Due 04/25/2019	03/10/2016 03/15/2016 200,000.00	200,242.05 0.00 0.00 200,176.23	806.67 0.00 1,173.33 366.66	0.00 65.82 (65.82) 300.84	300.84
	US Treasury Note 1.125% Due 08/31/2021	04/12/2017 04/18/2017 500,000.00	492,368.50 0.00 0.00 492,611.64	1,911.26 0.00 2,392.96 481.70	243.14 0.00 243.14 724.84	724.84
	US Treasury Note 1.25% Due 01/31/2020	03/10/2016 03/15/2016 400,000.00	399,939.40 0.00 0.00 399,944.15	2,092.39 2,500.00 13.81 421.42	4.75 0.00 4.75 426.17	426.17
	US Treasury Note 1.75% Due 03/31/2022	07/10/2018 07/13/2018 400,000.00	388,061.04 0.00 0.00 388,373.37	1,788.46 0.00 2,384.62 596.16	312.33 0.00 312.33 908.49	908.49
	US Treasury Note 1.375% Due 08/31/2020	12/12/2016 12/15/2016 425,000.00	423,086.18 0.00 0.00 423,183.76	1,985.58 0.00 2,486.02 500.44	97.58 0.00 97.58 598.02	598.02
	US Treasury Note 1.375% Due 01/31/2021	04/12/2017 04/18/2017 500,000.00	497,477.17 0.00 0.00 497,579.94	2,877.04 3,437.50 18.99 579.45	102.77 0.00 102.77 682.22	682.22
	US Treasury Note Due 01/31/2019	01/21/2016 01/25/2016 0.00	400,052.78 0.00 400,000.00 0.00	2,092.39 2,500.00 0.00 407.61	0.00 52.78 (52.78) 354.83	354.83

Income Earned

As of January 31, 2019



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
	US Treasury Note 0.875% Due 07/31/2019	01/21/2016 01/25/2016 400,000.00	399,267.87 0.00 0.00 399,375.43	1,464.67 1,750.00 9.67 295.00	107.56 0.00 107.56 402.56	402.56
	US Treasury Note 1% Due 08/31/2019	01/21/2016 01/25/2016 400,000.00	399,453.49 0.00 0.00 399,523.50	1,359.12 0.00 1,701.66 342.54	70.01 0.00 70.01 412.55	412.55
	US Treasury Note 1% Due 11/30/2019	01/04/2019 01/10/2019 500,000.00	0.00 493,046.88 0.00 493,519.01	0.00 (563.19) 865.38 302.19	472.13 0.00 472.13 774.32	774.32
	US Treasury Note 1.125% Due 12/31/2019	10/12/2016 10/17/2016 350,000.00	350,132.22 0.00 0.00 350,120.96	10.88 0.00 348.07 337.19	0.00 11.26 (11.26) 325.93	325.93
	US Treasury Note 1.125% Due 04/30/2020	10/12/2016 10/17/2016 350,000.00	349,882.31 0.00 0.00 349,889.83	667.19 0.00 995.31 328.12	7.52 0.00 7.52 335.64	335.64
	US Treasury Note 2% Due 09/30/2020	02/01/2018 02/06/2018 500,000.00	497,538.74 0.00 0.00 497,658.33	2,554.95 0.00 3,406.59 851.64	119.59 0.00 119.59 971.23	971.23
	US Treasury Note 1.625% Due 07/31/2020	12/12/2016 12/15/2016 425,000.00	424,971.68 0.00 0.00 424,973.20	2,890.12 3,453.13 19.08 582.09	1.52 0.00 1.52 583.61	583.61
	US Treasury Note 1.25% Due 05/31/2019	02/01/2018 02/06/2018 500,000.00	498,409.77 0.00 0.00 498,738.42	549.45 0.00 1,081.73 532.28	328.65 0.00 328.65 860.93	860.93
	Visa Inc Note 2.2% Due 12/14/2020	12/12/2016 12/15/2016 200,000.00	200,310.59 0.00 0.00 200,297.09	207.78 0.00 574.44 366.66	0.00 13.50 (13.50) 353.16	353.16

Income Earned

As of January 31, 2019



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
	Wal-Mart Stores Callable Note Cont 1/11/2023 2.55% Due 04/11/2023	01/04/2019 01/10/2019 250,000.00	0.00 244,202.50 0.00 244,284.68	0.00 (1,576.04) 1,947.92 371.88	82.18 0.00 82.18 454.06	454.06
	Wells Fargo Corp Note Due 01/15/2019	04/18/2016 04/21/2016 0.00	200,051.01 0.00 200,000.00 0.00	1,982.78 2,150.00 0.00 167.22	0.00 51.01 (51.01) 116.21	116.21
			18,163,629.31	88,212.85	3,869.52	
			2,476,036.88	25,469.95	2,115.70	
			1,465,750.00	93,387.23	1,753.82	
Total Fixed Income		19,245,000.00	19,175,670.01	30,644.33	32,398.15	32,398.15
CASH & EQUIVALENT						
	Bank of Montreal Chicago Yankee CD 2.8% Due 04/29/2019	01/04/2019 01/10/2019 500,000.00	0.00 500,073.14 0.00 500,058.38	0.00 (233.33) 1,088.89 855.56	0.00 14.76 (14.76) 840.80	840.80
	Rabobank Nederland NV NY Discount CP 2.72% Due 10/04/2019	01/07/2019 01/10/2019 500,000.00	0.00 489,913.33 0.00 489,913.33	0.00 0.00 831.11 831.11	0.00 0.00 0.00 831.11	831.11
	MUFG Bank Ltd/NY Discount CP 2.76% Due 05/06/2019	01/04/2019 01/10/2019 500,000.00	0.00 495,553.33 0.00 495,553.33	0.00 0.00 843.33 843.33	0.00 0.00 0.00 843.33	843.33
			0.00	0.00	0.00	
			1,485,539.80	(233.33)	14.76	
			0.00	2,763.33	(14.76)	
Total Cash & Equivalent		1,500,000.00	1,485,525.04	2,530.00	2,515.24	2,515.24
			18,163,629.31	88,212.85	3,869.52	
			3,961,576.68	25,236.62	2,130.46	
			1,465,750.00	96,150.56	1,739.06	
TOTAL PORTFOLIO		20,745,000.00	20,661,195.05	33,174.33	34,913.39	34,913.39

Cash Flow Report

As of January 31, 2019



Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
02/02/2019	Interest		425,000.00	FNMA Note 0.875% Due 8/2/2019	0.00	1,859.38	1,859.38
02/07/2019	Interest		250,000.00	Bank of NY Mellon Corp Callable Note Cont 1/7/2022 2.6% Due 2/7/2022	0.00	3,250.00	3,250.00
02/07/2019	Interest		250,000.00	HSBC USA Inc Note 2.75% Due 8/7/2020	0.00	3,437.50	3,437.50
02/09/2019	Interest		250,000.00	Apple Inc Callable Cont 1/9/2022 2.5% Due 2/9/2022	0.00	3,125.00	3,125.00
02/15/2019	Interest		250,000.00	Praxair Callable Note Cont 11/15/2021 2.45% Due 2/15/2022	0.00	3,062.50	3,062.50
02/15/2019	Interest		200,000.00	American Honda Finance Note 2.25% Due 8/15/2019	0.00	2,250.00	2,250.00
02/19/2019	Interest		200,000.00	IBM Corp Note 2.25% Due 2/19/2021	0.00	2,250.00	2,250.00
02/22/2019	Interest		400,000.00	FHLMC Callable Note 1X 8/22/2014 1.4% Due 8/22/2019	0.00	2,800.00	2,800.00
02/25/2019	Maturity		235,000.00	Paccar Financial Corp Note 1.65% Due 2/25/2019	235,000.00	1,938.75	236,938.75
02/28/2019	Interest		500,000.00	US Treasury Note 1.125% Due 8/31/2021	0.00	2,812.50	2,812.50
02/28/2019	Interest		425,000.00	US Treasury Note 1.375% Due 8/31/2020	0.00	2,921.88	2,921.88
02/28/2019	Interest		400,000.00	US Treasury Note 1% Due 8/31/2019	0.00	2,000.00	2,000.00
FEB 2019					235,000.00	31,707.51	266,707.51
03/01/2019	Interest		250,000.00	Exxon Mobil Corp Callable Note Cont 1/1/2023 2.726% Due 3/1/2023	0.00	3,407.50	3,407.50
03/01/2019	Interest		250,000.00	Charles Schwab Corp Note 3.225% Due 9/1/2022	0.00	4,031.25	4,031.25
03/01/2019	Interest		150,000.00	Paccar Financial Corp Note 2.8% Due 3/1/2021	0.00	2,100.00	2,100.00
03/08/2019	Maturity		200,000.00	FHLB Note 1.875% Due 3/8/2019	200,000.00	1,875.00	201,875.00

Cash Flow Report

As of January 31, 2019



Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
03/13/2019	Interest		400,000.00	FHLB Note 1.875% Due 3/13/2020	0.00	3,750.00	3,750.00
03/13/2019	Interest		375,000.00	FHLB Note 1.375% Due 9/13/2019	0.00	2,578.13	2,578.13
03/15/2019	Interest		250,000.00	Oracle Corp Callable Note Cont 8/01/21 1.9% Due 9/15/2021	0.00	2,375.00	2,375.00
03/15/2019	Interest		250,000.00	Berkshire Hathaway Callable Note Cont 1/15/2023 2.75% Due 3/15/2023	0.00	3,437.50	3,437.50
03/15/2019	Maturity		235,000.00	Exxon Mobil Corp Callable Note Cont 2/15/2019 1.819% Due 3/15/2019	235,000.01	2,137.32	237,137.33
03/15/2019	Maturity		200,000.00	Berkshire Hathaway Note 1.7% Due 3/15/2019	200,000.00	1,700.00	201,700.00
03/31/2019	Interest		400,000.00	US Treasury Note 1.75% Due 3/31/2022	0.00	3,500.00	3,500.00
03/31/2019	Interest		500,000.00	US Treasury Note 2% Due 9/30/2020	0.00	5,000.00	5,000.00
MAR 2019					635,000.01	35,891.70	670,891.71
04/02/2019	Interest		500,000.00	FHLMC Note 1.25% Due 10/2/2019	0.00	3,125.00	3,125.00
04/05/2019	Interest		500,000.00	FNMA Note 1.875% Due 4/5/2022	0.00	4,687.50	4,687.50
04/09/2019	Interest		200,000.00	John Deere Capital Corp Note 1.25% Due 10/9/2019	0.00	1,250.00	1,250.00
04/11/2019	Interest		250,000.00	Wal-Mart Stores Callable Note Cont 1/11/2023 2.55% Due 4/11/2023	0.00	3,187.50	3,187.50
04/13/2019	Interest		500,000.00	FFCB Note 1.55% Due 4/13/2020	0.00	3,875.00	3,875.00
04/19/2019	Interest		250,000.00	Bank of America Corp Note 2.625% Due 4/19/2021	0.00	3,281.25	3,281.25
04/25/2019	Maturity		200,000.00	US Bancorp Callable Note Cont 3/25/2019 2.2% Due 4/25/2019	200,000.00	2,200.00	202,200.00
04/29/2019	Maturity		500,000.00	Bank of Montreal Chicago Yankee CD 2.8% Due 4/29/2019	500,000.00	4,472.22	504,472.22

Cash Flow Report

As of January 31, 2019



Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
04/30/2019	Interest		350,000.00	US Treasury Note 1.125% Due 4/30/2020	0.00	1,968.75	1,968.75
APR 2019					700,000.00	28,047.22	728,047.22
05/06/2019	Interest		350,000.00	FNMA Note 1.25% Due 5/6/2021	0.00	2,187.50	2,187.50
05/06/2019	Maturity		500,000.00	MUFG Bank Ltd/NY Discount CP 2.76% Due 5/6/2019	495,553.33	4,446.67	500,000.00
05/11/2019	Interest		250,000.00	General Dynamics Corp Note 3% Due 5/11/2021	0.00	3,750.00	3,750.00
05/17/2019	Interest		400,000.00	FHLMC Note 1.875% Due 11/17/2020	0.00	3,750.00	3,750.00
05/19/2019	Interest		200,000.00	State Street Bank Note 1.95% Due 5/19/2021	0.00	1,950.00	1,950.00
05/20/2019	Interest		225,000.00	Qualcomm Inc Note 2.25% Due 5/20/2020	0.00	2,531.25	2,531.25
05/26/2019	Interest		400,000.00	FNMA Note 1.75% Due 11/26/2019	0.00	3,500.00	3,500.00
05/31/2019	Interest		500,000.00	US Treasury Note 1% Due 11/30/2019	0.00	2,500.00	2,500.00
05/31/2019	Maturity		500,000.00	US Treasury Note 1.25% Due 5/31/2019	500,000.00	3,125.00	503,125.00
MAY 2019					995,553.33	27,740.42	1,023,293.75
06/01/2019	Interest		250,000.00	Blackrock Inc Note 3.375% Due 6/1/2022	0.00	4,218.75	4,218.75
06/07/2019	Interest		250,000.00	JP Morgan Chase Callable Note Cont 5/7/2021 2.4% Due 6/7/2021	0.00	3,000.00	3,000.00
06/09/2019	Interest		250,000.00	PNC Bank Callable Note Cont 11/09/2021 2.55% Due 12/9/2021	0.00	3,187.50	3,187.50
06/09/2019	Interest		250,000.00	FHLB Note 3% Due 12/9/2022	0.00	4,437.50	4,437.50
06/10/2019	Interest		500,000.00	FHLB Note 2.875% Due 12/10/2021	0.00	7,187.50	7,187.50

Cash Flow Report

As of January 31, 2019



Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
06/10/2019	Interest		500,000.00	FHLB Note 2.75% Due 6/10/2022	0.00	6,875.00	6,875.00
06/13/2019	Interest		250,000.00	FHLB Note 1.25% Due 12/13/2019	0.00	1,562.50	1,562.50
06/14/2019	Interest		200,000.00	Visa Inc Note 2.2% Due 12/14/2020	0.00	2,200.00	2,200.00
06/15/2019	Interest		200,000.00	Cisco Systems Note 2.45% Due 6/15/2020	0.00	2,450.00	2,450.00
06/20/2019	Maturity		250,000.00	FNMA Note 1.75% Due 6/20/2019	250,000.00	2,187.50	252,187.50
06/30/2019	Interest		350,000.00	US Treasury Note 1.125% Due 12/31/2019	0.00	1,968.75	1,968.75
JUN 2019					250,000.00	39,275.00	289,275.00
07/02/2019	Maturity		300,000.00	Toronto Dominion Bank Note 2.125% Due 7/2/2019	300,000.00	3,187.50	303,187.50
07/05/2019	Interest		500,000.00	FNMA Note 2% Due 1/5/2022	0.00	5,000.00	5,000.00
07/06/2019	Interest		200,000.00	John Deere Capital Corp Note 2.65% Due 1/6/2022	0.00	2,650.00	2,650.00
07/11/2019	Interest		250,000.00	Toyota Motor Credit Corp Note 2.7% Due 1/11/2023	0.00	3,375.00	3,375.00
07/12/2019	Interest		200,000.00	American Honda Finance Note 1.65% Due 7/12/2021	0.00	1,650.00	1,650.00
07/31/2019	Interest		500,000.00	US Treasury Note 1.375% Due 1/31/2021	0.00	3,437.50	3,437.50
07/31/2019	Interest		400,000.00	US Treasury Note 1.25% Due 1/31/2020	0.00	2,500.00	2,500.00
07/31/2019	Interest		425,000.00	US Treasury Note 1.625% Due 7/31/2020	0.00	3,453.13	3,453.13
07/31/2019	Maturity		400,000.00	US Treasury Note 0.875% Due 7/31/2019	400,000.00	1,750.00	401,750.00
JUL 2019					700,000.00	27,003.13	727,003.13
08/02/2019	Maturity		425,000.00	FNMA Note 0.875% Due 8/2/2019	425,000.00	1,859.38	426,859.38

Cash Flow Report

As of January 31, 2019



Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
08/07/2019	Interest		250,000.00	HSBC USA Inc Note 2.75% Due 8/7/2020	0.00	3,437.50	3,437.50
08/07/2019	Interest		250,000.00	Bank of NY Mellon Corp Callable Note Cont 1/7/2022 2.6% Due 2/7/2022	0.00	3,250.00	3,250.00
08/09/2019	Interest		250,000.00	Apple Inc Callable Cont 1/9/2022 2.5% Due 2/9/2022	0.00	3,125.00	3,125.00
08/15/2019	Interest		250,000.00	Praxair Callable Note Cont 11/15/2021 2.45% Due 2/15/2022	0.00	3,062.50	3,062.50
08/15/2019	Maturity		200,000.00	American Honda Finance Note 2.25% Due 8/15/2019	200,000.00	2,250.00	202,250.00
08/19/2019	Interest		200,000.00	IBM Corp Note 2.25% Due 2/19/2021	0.00	2,250.00	2,250.00
08/22/2019	Maturity		400,000.00	FHLMC Callable Note 1X 8/22/2014 1.4% Due 8/22/2019	400,000.00	2,800.00	402,800.00
08/31/2019	Interest		425,000.00	US Treasury Note 1.375% Due 8/31/2020	0.00	2,921.88	2,921.88
08/31/2019	Interest		500,000.00	US Treasury Note 1.125% Due 8/31/2021	0.00	2,812.50	2,812.50
08/31/2019	Maturity		400,000.00	US Treasury Note 1% Due 8/31/2019	400,000.00	2,000.00	402,000.00
AUG 2019					1,425,000.00	29,768.76	1,454,768.76
09/01/2019	Interest		250,000.00	Exxon Mobil Corp Callable Note Cont 1/1/2023 2.726% Due 3/1/2023	0.00	3,407.50	3,407.50
09/01/2019	Interest		150,000.00	Paccar Financial Corp Note 2.8% Due 3/1/2021	0.00	2,100.00	2,100.00
09/01/2019	Interest		250,000.00	Charles Schwab Corp Note 3.225% Due 9/1/2022	0.00	4,031.25	4,031.25
09/13/2019	Interest		400,000.00	FHLB Note 1.875% Due 3/13/2020	0.00	3,750.00	3,750.00
09/13/2019	Maturity		375,000.00	FHLB Note 1.375% Due 9/13/2019	375,000.00	2,578.13	377,578.13
09/15/2019	Interest		250,000.00	Berkshire Hathaway Callable Note Cont 1/15/2023 2.75% Due 3/15/2023	0.00	3,437.50	3,437.50

Cash Flow Report

As of January 31, 2019



Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
09/15/2019	Interest		250,000.00	Oracle Corp Callable Note Cont 8/01/21 1.9% Due 9/15/2021	0.00	2,375.00	2,375.00
09/30/2019	Interest		400,000.00	US Treasury Note 1.75% Due 3/31/2022	0.00	3,500.00	3,500.00
09/30/2019	Interest		500,000.00	US Treasury Note 2% Due 9/30/2020	0.00	5,000.00	5,000.00
SEP 2019					375,000.00	30,179.38	405,179.38
10/02/2019	Maturity		500,000.00	FHLMC Note 1.25% Due 10/2/2019	500,000.00	3,125.00	503,125.00
10/04/2019	Maturity		500,000.00	Rabobank Nederland NV NY Discount CP 2.72% Due 10/4/2019	489,913.33	10,086.67	500,000.00
10/05/2019	Interest		500,000.00	FNMA Note 1.875% Due 4/5/2022	0.00	4,687.50	4,687.50
10/09/2019	Maturity		200,000.00	John Deere Capital Corp Note 1.25% Due 10/9/2019	200,000.00	1,250.00	201,250.00
10/11/2019	Interest		250,000.00	Wal-Mart Stores Callable Note Cont 1/11/2023 2.55% Due 4/11/2023	0.00	3,187.50	3,187.50
10/13/2019	Interest		500,000.00	FFCB Note 1.55% Due 4/13/2020	0.00	3,875.00	3,875.00
10/19/2019	Interest		250,000.00	Bank of America Corp Note 2.625% Due 4/19/2021	0.00	3,281.25	3,281.25
10/31/2019	Interest		350,000.00	US Treasury Note 1.125% Due 4/30/2020	0.00	1,968.75	1,968.75
OCT 2019					1,189,913.33	31,461.67	1,221,375.00
11/06/2019	Interest		350,000.00	FNMA Note 1.25% Due 5/6/2021	0.00	2,187.50	2,187.50
11/11/2019	Interest		250,000.00	General Dynamics Corp Note 3% Due 5/11/2021	0.00	3,750.00	3,750.00
11/17/2019	Interest		400,000.00	FHLMC Note 1.875% Due 11/17/2020	0.00	3,750.00	3,750.00
11/19/2019	Interest		200,000.00	State Street Bank Note 1.95% Due 5/19/2021	0.00	1,950.00	1,950.00
11/20/2019	Interest		225,000.00	Qualcomm Inc Note 2.25% Due 5/20/2020	0.00	2,531.25	2,531.25

Cash Flow Report

As of January 31, 2019



Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
11/26/2019	Maturity		400,000.00	FNMA Note 1.75% Due 11/26/2019	400,000.00	3,500.00	403,500.00
11/30/2019	Maturity		500,000.00	US Treasury Note 1% Due 11/30/2019	500,000.00	2,500.00	502,500.00
NOV 2019					900,000.00	20,168.75	920,168.75
12/01/2019	Interest		250,000.00	Blackrock Inc Note 3.375% Due 6/1/2022	0.00	4,218.75	4,218.75
12/07/2019	Interest		250,000.00	JP Morgan Chase Callable Note Cont 5/7/2021 2.4% Due 6/7/2021	0.00	3,000.00	3,000.00
12/09/2019	Interest		250,000.00	FHLB Note 3% Due 12/9/2022	0.00	3,750.00	3,750.00
12/09/2019	Interest		250,000.00	PNC Bank Callable Note Cont 11/09/2021 2.55% Due 12/9/2021	0.00	3,187.50	3,187.50
12/10/2019	Interest		500,000.00	FHLB Note 2.75% Due 6/10/2022	0.00	6,875.00	6,875.00
12/10/2019	Interest		500,000.00	FHLB Note 2.875% Due 12/10/2021	0.00	7,187.50	7,187.50
12/13/2019	Maturity		250,000.00	FHLB Note 1.25% Due 12/13/2019	250,000.00	1,562.50	251,562.50
12/14/2019	Interest		200,000.00	Visa Inc Note 2.2% Due 12/14/2020	0.00	2,200.00	2,200.00
12/15/2019	Interest		200,000.00	Cisco Systems Note 2.45% Due 6/15/2020	0.00	2,450.00	2,450.00
12/31/2019	Maturity		350,000.00	US Treasury Note 1.125% Due 12/31/2019	350,000.00	1,968.75	351,968.75
DEC 2019					600,000.00	36,400.00	636,400.00
01/05/2020	Interest		500,000.00	FNMA Note 2% Due 1/5/2022	0.00	5,000.00	5,000.00
01/06/2020	Interest		200,000.00	John Deere Capital Corp Note 2.65% Due 1/6/2022	0.00	2,650.00	2,650.00
01/11/2020	Interest		250,000.00	Toyota Motor Credit Corp Note 2.7% Due 1/11/2023	0.00	3,375.00	3,375.00
01/12/2020	Interest		200,000.00	American Honda Finance Note 1.65% Due 7/12/2021	0.00	1,650.00	1,650.00



Account [REDACTED]

As of January 31, 2019

Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
01/31/2020	Interest	[REDACTED]	500,000.00	US Treasury Note 1.375% Due 1/31/2021	0.00	3,437.50	3,437.50
01/31/2020	Interest	[REDACTED]	425,000.00	US Treasury Note 1.625% Due 7/31/2020	0.00	3,453.13	3,453.13
01/31/2020	Maturity	[REDACTED]	400,000.00	US Treasury Note 1.25% Due 1/31/2020	400,000.00	2,500.00	402,500.00
JAN 2020					400,000.00	22,065.63	422,065.63
TOTAL					8,405,466.67	359,709.17	8,765,175.84



Chandler Asset Management, Inc. is a handler and an SEC registered investment adviser. For additional information about our firm, please see our current disclosures (Form ADV). To obtain a copy of our current disclosures, you may contact your client service representative by calling the number on the front of this statement or you may visit our website at www.chandlerasset.com.

Information contained in this monthly statement is confidential and is provided for informational purposes only and should not be construed as specific investment or legal advice. The information contained herein was obtained from sources believed to be reliable as of the date of this statement, but may become outdated or superseded at any time without notice.

Custody: Your qualified custodian bank maintains control of all assets reflected in this statement and we urge you to compare this statement to the one you receive from your qualified custodian. Chandler does not have any authority to withdraw or deposit funds from/to the custodian account.

Valuation: Prices are provided by IDC, an independent pricing source. In the event IDC does not provide a price or if the price provided is not reflective of fair market value, Chandler will obtain pricing from an alternative approved third party pricing source in accordance with our written valuation policy and procedures. Our valuation procedures are also disclosed in Item 5 of our Form ADV Part 2A.

Performance: Performance results are presented gross-of-advisory fees and represent the client's Total Return. The deduction of advisory fees lowers performance results. These results include the reinvestment of dividends and other earnings. Past performance may not be indicative of future results. Therefore, clients should not assume that future performance of any specific investment or investment strategy will be profitable or equal to past performance levels. All investment strategies have the potential for profit or loss. Economic factors, market conditions or changes in investment strategies, contributions or withdrawals may materially alter the performance and results of your portfolio.

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Index returns assume reinvestment of all distributions. Historical performance results for investment indexes generally do not reflect the deduction of transaction and/or custodial charges or the deduction of an investment management fee, the incurrence of which would have the effect of decreasing historical performance results. It is not possible to invest directly in an index.

Ratings: Ratings information have been provided by Moody's S&P and Fitch through data feeds we believe to be reliable as of the date of this statement, however we cannot guarantee its accuracy.

Security level ratings for U.S. Agency issued mortgage-backed securities reflect the issuer rating because the securities themselves are not rated. The issuing U.S. Agency guarantees the full and timely payment of both principal and interest and carries a AA+/Aaa/AAA by S&P, Moody's and Fitch respectively.



Benchmark Index & Disclosures



Benchmark Index	Disclosure
ICE BAML 1-3 Yr US Treasury/Agency Index	The ICE BAML 1-3 Year US Treasury & Agency Index tracks the performance of US dollar denominated US Treasury and nonsubordinated US agency debt issued in the US domestic market. Qualifying securities must have an investment grade rating (based on an average of Moody's, S&P and Fitch). Qualifying securities must have at least one year remaining term to final maturity and less than three years remaining term to final maturity, at least 18 months to maturity at time of issuance, a fixed coupon schedule and a minimum amount outstanding of \$1 billion for sovereigns and \$250 million for agencies. (Index: G1A0. Please visit www.mlindex.ml.com for more information)

COUNTY OF SIERRA
 Posted General Ledger Transactions
 JV006104 - TREAS BV ADJ (JAN 2019)

<u>Fund Code</u>	<u>SubDept Code</u>	<u>Account...</u>	<u>Debit</u>	<u>Credit</u>	<u>Effective ...</u>	<u>Transaction Description</u>
8850000		2005	2,374.99		1/31/2019	TREAS BV ADJ (JAN 2019)
8850000	9999	4201		2,374.99	1/31/2019	TREAS BV ADJ (JAN 2019)
8850000		1000	2,374.99		2/6/2019	TREAS BV ADJ (JAN 2019)
8850000		2005		2,374.99	2/6/2019	TREAS BV ADJ (JAN 2019)
	Total JV006104 - TREAS BV ADJ (JAN 2019)		4,749.98	4,749.98		
Report Total			4,749.98	4,749.98		

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: April 7, 2020	TYPE OF AGENDA ITEM: <input type="checkbox"/> Regular <input type="checkbox"/> Timed <input checked="" type="checkbox"/> Consent
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DEPARTMENT: Human Resources
APPROVING PARTY: Margaret Long
PHONE NUMBER: 530-289-2879

AGENDA ITEM: Resolution adopting the Sierra County Lactation Accommodation Policy

SUPPORTIVE DOCUMENTS ATTACHED: Memo Resolution Agreement Other

BACKGROUND INFORMATION: Effective 1-1-2020 California state law mandates workplace lactation spaces for all employees.

FUNDING SOURCE: N/A
GENERAL FUND IMPACT: No General Fund Impact
OTHER FUND: N/A
AMOUNT: \$ N/A

ARE ADDITIONAL PERSONNEL REQUIRED? <input type="checkbox"/> Yes, -- -- <input checked="" type="checkbox"/> No	IS THIS ITEM ALLOCATED IN THE BUDGET? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No IS A BUDGET TRANSFER REQUIRED? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
--	---

SPACE BELOW FOR CLERK'S USE

BOARD ACTION: <input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken	<input type="checkbox"/> Set public hearing For: _____ <input type="checkbox"/> Direction to: _____ <input type="checkbox"/> Referred to: _____ <input type="checkbox"/> Continued to: _____ <input type="checkbox"/> Authorization given to: _____	Resolution 2020- _____ Agreement 2020- _____ Ordinance _____ Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus
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COMMENTS:

CLERK TO THE BOARD

DATE

SB 142- LACTATION ACCOMODATION BACKGROUND & COMPLIANCE INSTRUCTIONS

I. BACKGROUND

Effective January 1, 2020, California state law mandates workplace lactation spaces for all employees. In compliance with Senate Bill 142 (SB 142), employers are required to provide breaks, and safe private locations, to reasonably accommodate all employees who want to express breast milk at work. SB 142 further requires employers to develop and implement a written lactation accommodation policy in the employee manual (or set of policies distributed to employees), that includes the following:

- Statement of employee’s right to request lactation accommodation;
- Instructions on how the employee may request an accommodation from the County;
- The employer’s responsibility and protocol for responding to the request;
- The employee’s right to file a complaint with the Labor Commissioner for any violation of the law.

SB 142 increases penalties for noncompliance, prohibits discrimination and retaliation against employees who exercise or attempt to exercise their right to lactation accommodation.

Employers must provide a copy of the written policy to new employees and when an employee makes an inquiry about or requests pregnancy and/or parental leave.

II. DESIGNATED LACTATION SPACE

Under SB 142, California employers must provide a “reasonable” amount of break time for employees to express breast milk and provide the use of a private space, other than a bathroom, in “close proximity” to the employee’s work area. The employee’s normal work area can be used if it allows the employee to express milk in private.

In addition, the room must comply with the following requirements:

- Be safe, clean and free from hazardous materials, as defined in Labor Code Section 6382.
- Provide a surface to place a breast pump and personal items.
- Provide a place to sit.
- Have access to electricity or alternative devices, including, but not limited to, extension cords or charging stations needed to operate an electric or battery-powered breast pump.

Employers must also provide access to a sink with running water and a refrigerator suitable for storing milk. If a refrigerator is not feasible, the employer may provide another cooling device, such as a cooler.

Caveat: “Reasonable” break time is undefined and there is no standard for enforcement. To avoid conflict and guide scheduling and work-productivity

expectations, it is suggested that the employer discuss the request with the employee promptly upon receipt of the written request. Although individual biology and needs vary, 20-30 minutes for a lactation accommodation is consistent with U.S. Breastfeeding Committee guidelines.

Caveat: *“Close proximity” is undefined and may be affected by a number of factors including the architecture of the workspace, stage of breastfeeding, mobility of the employee, etc. For example, an official designated “lactation room” at the opposite end of the building on another floor may not be as convenient to the employee’s comfort and work-productivity as the ability to use a private office or conference room just a few steps down the hall. Communication and flexibility among employers and employees are essential in ensuring compliance.*

A. Temporary Location

While there is no requirement that an employer provide a permanent location designated solely for lactation accommodation by its employees, if a temporary location is utilized because of operational, financial or space limitations, it is subject to the following requirements:

- The temporary location must be private and free from intrusion while an employee expresses milk;
- The temporary location must be used only for lactation purposes while an employee expresses milk; and
- The temporary location must otherwise meet the requirements of state law concerning lactation accommodation.

B. Multipurpose Room or Multi-Tenant Building/Worksite

If the designated lactation room has additional uses, such as an employee break room, the employer’s office, or any other purpose, the room must remain completely private for the time it is in use for lactation purposes. Furthermore, if a multi-purpose room is used for lactation, the use of the room for lactation shall take precedence over other uses for the time it is in use for lactation purposes.

Any employer in a multi-tenant building or multi-employer worksite may comply with providing a shared space among multiple employees within the building if unable to provide a compliant location within the employer’s own workspace.

C. Undue Hardship Exemption

For employers with less than 50 employees, the law includes an undue hardship exemption regarding the location provided for lactation accommodation. This is determined by looking at the difficulty and/or expense of compliance for a specific employer, in comparison to the size, financial resources, and the nature or structure of the employer’s business. An employer who can demonstrate to the Department of Fair Employment and Housing that it is an undue hardship (*i.e.*, not merely an inconvenience to employer or staff) to find a location other than a bathroom *due to

the size, nature, or structure of the business) must instead make reasonable efforts to find a private and close location other than a toilet stall).

As with any accommodation, employers are highly encouraged to comply and/or make reasonable efforts to comply with all aspects of the law.

III. USE OF MEAL AND REST BREAKS

Employees who wish to express breast milk can be required to use the paid rest break time already provided by law. If the employee needs a reasonable amount of additional time for expressing milk beyond the normal paid rest break, the time *must* be provided, but may be unpaid. Employees can also choose to use lunch break time to express breast milk, but this does not relieve employers of their responsibility to provide reasonable additional time, along with rest breaks.

The Labor Commissioner may issue a citation for violations of this law/provision, subjecting the employer to a civil penalty of one hundred dollars (\$100) for each violation, in addition to any fines or penalties for missed rest breaks.

IV. LENGTH OF ACCOMODATION; RECORDKEEPING REQUIREMENTS

California law has no time limitation. All employers must reasonably accommodate nursing mothers if they request the accommodation, even if it is beyond one (1) year.

A special form is not required; however, there must be a written memo to the employee noting the parameters of the agreement regarding lactation breaks and spaces, along with follow-up memos outlining any future discussions of needs, is adequate, so long as it is filed and retained according to the employer's record retention policy.

All written request and denial records must be maintained for three (3) years from the date of written request. If for some reason the employer is unable to provide a break time or location that complies with the policy, the employer must provide a written response to the employee.

Employers should consult legal counsel prior to claiming an undue hardship or denying an employee's request for lactation accommodation.

V. COMPLIANCE

Penalties for noncompliance with the new law are similar to those imposed for missed meal and rest breaks. The Labor Commission may issue a citation to the employer, subjecting them to a fine of one hundred dollars (\$100) per day, for each day an employee is denied reasonable break time or adequate space to express milk, *in addition* to any fines or penalties for missed meal or rest breaks.

BOARD OF SUPERVISORS, COUNTY OF SIERRA, STATE OF CALIFORNIA

**RESOLUTION ADOPTING THE
SIERRA COUNTY LACTATION ACCOMODATION POLICY**

Resolution 2020-

NOW, THEREFORE, BE IT RESOLVED that the Board of Supervisors, County of Sierra, State of California does hereby adopt the attached Sierra County Lactation Accommodation Policy.

ADOPTED by the Board of Supervisors of the County of Sierra on the 7th day of April, 2020, by the following vote:

AYES: Supervisors
NOES: None
ABSTAIN: None
ABSENT: None

COUNTY OF SIERRA

JIM BEARD, CHAIRMAN
BOARD OF SUPERVISORS

ATTEST:

APPROVED AS TO FORM:

HEATHER FOSTER
CLERK TO THE BOARD

DAVID PRENTICE
COUNTY COUNSEL

**SIERRA COUNTY
LACTATION ACCOMODATION POLICY**

I. POLICY

Effective January 1, 2020, California state law mandates workplace lactation spaces for all employees. Sierra County recognizes that breast milk is the optimal food for growth and development of infants, and seeks to promote a breastfeeding-friendly work environment that supports the legal right and necessity of employees who choose to express milk in the workplace. In accordance with Senate Bill 142 (“SB 142”), the County has developed and implemented this written Lactation Accommodation Policy to provide breaks, and safe private locations, to reasonably accommodate all employees who desire to express breast milk at work.

II. NOTICE

A copy of this policy will be provided to every newly hired employee, and to any employee who requests or inquires about pregnancy or parental leave.

The written request form is attached below and should be completed by the employee and submitted to Sierra County Human Resources at least five (5) business days prior to the start date of the requested accommodation. The County will respond to an employee’s written request for lactation accommodation within five (5) business days.

III. USE OF MEAL AND REST BREAKS

The County will provide a reasonable amount of break time to accommodate an employee’s need to express breast milk or accommodate any medical condition related to breast-feeding.

The break time should, if possible, be taken concurrently with other rest and meal break periods already provided.

Nonexempt employees should clock out for any time taken that does not run concurrently with normally scheduled periods, and such time will be unpaid.

IV. LACTATION SPACE

The County will provide breastfeeding employees with space in close proximity to the employee’s work area that is shielded from view and free from intrusion from co-workers and the public, to express breastmilk. The room or location may include the place where the employee normally works if it otherwise meets the requirements of the lactation space. Restrooms are prohibited from being utilized for lactation purposes.

The designated lactation space will:

- Be safe, clean and free of toxic or hazardous materials (as defined in Labor Code § 6382);
- Contain a place to sit and a surface to place a breast pump and personal items;
- Have access to electricity; and
- Have access to a sink with running water and a refrigerator in close proximity to the employee work area.

Sierra County has designated a private office space with all required accommodations located at 211 Nevada Street, Downieville, CA 95936 and another at 202 Front Street in Loyalton, CA 96118.

Multi-purpose rooms may be used as lactation space if they satisfy the above requirements; however, use of the space for lactation purposes shall take precedence over other uses for the time it is in use for lactation purposes.

V. RETALIATION RELATED TO BREASTFEEDING OR EXPRESSING MILK IS PROHIBITED

The County expressly prohibits retaliation against lactating employees for exercising their rights granted by the law. Examples of employer-prohibited conduct includes:

- The denial or reasonable break time or adequate space to express milk;
- Discharge of an employee;
- Discrimination or retaliation, in any manner, against an employee who exercises, or attempts to exercise, any right protected under the law.

An employee may report a violation of this chapter to the Labor Commissioner's field enforcement unit.

VI. RECORDKEEPING

For a period of three (3) years from the date of written request, the County will maintain a record of the written requests for Lactation Accommodation that includes the name of the employee, the date of request, and a description of how the request was resolved.

All written denials by the County must also be maintained for a period of three (3) years from the date of the written request.

**SIERRA COUNTY
REQUEST FOR LACTATION ACCOMODATION FORM**

Date of Request: _____

Name of Employee: _____

Department/Address of Worksite: _____

Contact Phone: _____

Start Date for Requested Accommodation: _____

Requested Number of Breaks per Day: _____

Proposed Lactation Break Schedule- Dates and Times:

Employee Signature: _____

Date: _____

****Please return this form to Sierra County Human Resources at least five (5) business days before the start of request for Lactation Accommodation. ****

Received by:

Authorized _____
Date: _____

County

Signature: _____

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: April 7, 2020	TYPE OF AGENDA ITEM: <input type="checkbox"/> Regular <input type="checkbox"/> Timed <input checked="" type="checkbox"/> Consent
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DEPARTMENT: Board of Supervisors
APPROVING PARTY: Heather Foster, Clerk of the Board
PHONE NUMBER: 530-289-3295

AGENDA ITEM: Resolution establishing policy for the award of county property to retiring employees.	
SUPPORTIVE DOCUMENTS ATTACHED: <input type="checkbox"/> Memo <input checked="" type="checkbox"/> Resolution <input type="checkbox"/> Agreement <input type="checkbox"/> Other Resolution	
BACKGROUND INFORMATION: The attached resolution reflects the requested changes by the Board on March 17, 2020 to authorize law enforcement to purchase their fire arm when they retire from the County.	
FUNDING SOURCE: N/A GENERAL FUND IMPACT: No General Fund Impact OTHER FUND: AMOUNT: \$ N/A	
ARE ADDITIONAL PERSONNEL REQUIRED? <input type="checkbox"/> Yes, -- -- <input checked="" type="checkbox"/> No	IS THIS ITEM ALLOCATED IN THE BUDGET? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No IS A BUDGET TRANSFER REQUIRED? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

SPACE BELOW FOR CLERK'S USE

BOARD ACTION: <input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken	<input type="checkbox"/> Set public hearing For: _____ <input type="checkbox"/> Direction to: _____ <input type="checkbox"/> Referred to: _____ <input type="checkbox"/> Continued to: _____ <input type="checkbox"/> Authorization given to: _____	Resolution 2020- _____ Agreement 2020- _____ Ordinance _____ Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus
COMMENTS: _____ _____ _____ _____		
CLERK TO THE BOARD _____	DATE _____	

BOARD OF SUPERVISORS, COUNTY OF SIERRA, STATE OF CALIFORNIA

**RESOLUTION ESTABLISHING POLICY FOR THE AWARD OF COUNTY
PROPERTY TO RETIRING EMPLOYEES**

RESOLUTION NO. 2020-

WHEREAS, California Constitution Art. XVI section 6 prohibits the gift of public funds to any agency or individual in the absence of a public benefit, and

WHEREAS, public property is a form of public funds, and

WHEREAS, the Board of Supervisors finds and determines that an award of a peace officer's service weapon benefits the public in that a retired peace officer may carry a concealed weapon (California Penal Code section 25450) and must remain competent in the use of a side arm and having an armed and trained peace officer available to the public in an emergency is a public benefit, and

WHEREAS, it is not the Board of Supervisor's intention to adopt a policy allowing retirees to retain county property except as specifically stated herein, and

WHEREAS, the Board of Supervisors finds that the incidental benefit to an individual does not out-weigh the public benefit of awarding a retired peace officer his/her personal side arm.

NOW THEREFORE BE IT RESOLVED, that the Board of Supervisors, based on the foregoing, establishes that a peace officer retiring from Sierra County with a minimum of twenty (20) years law enforcement service, at least ten (10) years of which was in the service of Sierra County shall be eligible to retain their assigned duty side arm (handgun only) as their personal property upon payment of the fair market value of the duty side arm as determined by the Sheriff and Auditor of Sierra County.

BE IT FURTHER RESOLVED, that this Resolution shall be prospective only and applicable only to requests received after the execution hereof. All transfer of duty weapons shall be through federally licensed arms dealer.

ADOPTED by the Board of Supervisors of the County of Sierra, State of California on the ___ day of _____ 2020 by the following vote:

AYES:
NOES:
ABSTAIN:
ABSENT:

COUNTY OF SIERRA

JIM BEARD
BOARD OF SUPERVISORS

ATTEST:

APPROVED AS TO FORM:

HEATHER FOSTER
CLERK OF THE BOARD

DAVID PRENTICE
COUNTY COUNSEL

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: April 7, 2020	TYPE OF AGENDA ITEM: <input type="checkbox"/> Regular <input type="checkbox"/> Timed <input checked="" type="checkbox"/> Consent
---------------------------------------	---

DEPARTMENT: Clerk-Recorder/Elections APPROVING PARTY: Heather Foster PHONE NUMBER: 530-289-3295
--

AGENDA ITEM: Certified Statement of the Results of the canvass for the March 3, 2020 Presidential Primary Election.

SUPPORTIVE DOCUMENTS ATTACHED: Memo Resolution Agreement Other
Copy of certified results of the official canvass of the March 3, 2020 Presidential Primary Election.

BACKGROUND INFORMATION:

FUNDING SOURCE:
GENERAL FUND IMPACT: No General Fund Impact
OTHER FUND:
AMOUNT: \$ N/A

ARE ADDITIONAL PERSONNEL REQUIRED? <input type="checkbox"/> Yes, -- -- <input checked="" type="checkbox"/> No	IS THIS ITEM ALLOCATED IN THE BUDGET? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No IS A BUDGET TRANSFER REQUIRED? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
--	---

SPACE BELOW FOR CLERK'S USE

BOARD ACTION: <input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken	<input type="checkbox"/> Set public hearing For: _____ <input type="checkbox"/> Direction to: _____ <input type="checkbox"/> Referred to: _____ <input type="checkbox"/> Continued to: _____ <input type="checkbox"/> Authorization given to: _____	Resolution 2020- _____ Agreement 2020- _____ Ordinance _____ Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus
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COMMENTS:

CLERK TO THE BOARD _____	DATE _____
--------------------------	------------

SIERRA COUNTY

Clerk-Recorder
P.O. Drawer D
Downieville, California 95936
Telephone (530) 289-3295
Fax (530) 289-2830



Heather Foster
Registrar of Voters

CERTIFICATE OF STATEMENT OF THE RESULTS OF THE CANVASS OF THE MARCH 3, 2020 PRESIDENTIAL PRIMARY ELECTION

STATE OF CALIFORNIA)

COUNTY OF SIERRA)

§

I, Heather Foster, County Clerk of said County do hereby certify that in pursuance of the provisions of Chapter 4, Section 15300 of the Elections Code, I did canvass the returns of the vote cast in the First Congressional District, First Assembly, and First Senatorial District, in said County at the Presidential Primary Election held March 3, 2020 for President of the United States, United States Representative, State Senator, State Assembly, County Supervisor Districts 1, 3, and 4 and measures submitted to the vote of the voters, and that the Statement of the Vote Cast, to which this certificate is attached, shows the total number of votes cast in said Congressional, Assembly and Senatorial Districts in said County, and the total number of votes cast for each candidate and for and against each measure in said Districts in said County and in each of the respective precincts therein, and that the totals of the respective columns and the totals as shown for each candidate and for and against each measure are full, true and correct.

WITNESS my hand and Official Seal this 1st day of April A.D., 2020.



HEATHER FOSTER
COUNTY CLERK

Canvass Results Report

Official

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Run Date 04/01/2020

COUNTY OF SIERRA, CALIFORNIA

PRESIDENTIAL PRIMARY ELECTION

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Official Results

Registered Voters

1389 of 2127 = 65.30%

Precincts Reporting

23 of 23 = 100.00%

PRESIDENT OF THE UNITED STATES PRESIDENTIAL PREFERENCE - Democratic Party - Vote for One

Precinct	Total Ballots Cast	Registered Voters	Turnout Percentage
Downieville No. 1	30	32	93.75%
Downieville No. 2	27	32	84.38%
Goodyears Bar	16	27	59.26%
Alleghany	9	17	52.94%
Pike	29	46	63.04%
Sierra City No. 1	32	34	94.12%
Sierra City No. 2	36	37	97.30%
Sierra City No. 3	4	3	133.33%
Verdi	41	42	97.62%
Long Valley	0	0	0.00%
Loyalton 2 Outside	14	15	93.33%
Calpine	60	59	101.69%
Sattley	11	13	84.62%
Sierraville	41	49	83.67%
Loyalton 3 Outside	13	20	65.00%
Loyalton 4 East	27	38	71.05%
Loyalton 4 West	42	56	75.00%
Loyalton 4 South	20	23	86.96%
Loyalton 5 West	1	3	33.33%
Loyalton 5 Outside	0	1	0.00%

Canvass Results Report

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COUNTY OF SIERRA, CALIFORNIA

PRESIDENTIAL PRIMARY ELECTION

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Official Results

Registered Voters

1389 of 2127 = 65.30%

Precincts Reporting

23 of 23 = 100.00%

PRESIDENT OF THE UNITED STATES PRESIDENTIAL PREFERENCE - Democratic Party - Vote for One

Precinct	JULIÁN CASTRO	MICHAEL A. ELLINGER	ELIZABETH WARREN	MARIANNE WILLIAMSON	ANDREW YANG	ROQUE "ROCKY" DE LA FUENTE III	JOHN K. DELANEY	AMY KLOBUCHAR	BERNIE SANDERS	JOE SESTAK	TOM STEYER	DEVAL PATRICK
Sierra Brooks No. 1	0	0	2	0	0	0	0	1	5	0	1	0
Sierra Brooks No. 2	0	0	6	0	0	0	0	3	9	0	2	0
Sierra City No. 4	0	0	0	0	0	0	0	0	0	0	0	0
Totals	0	0	71	1	4	0	1	33	130	0	28	0

Canvass Results Report

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COUNTY OF SIERRA, CALIFORNIA

PRESIDENTIAL PRIMARY ELECTION

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Official Results

Registered Voters
1389 of 2127 = 65.30%

Precincts Reporting
23 of 23 = 100.00%

PRESIDENT OF THE UNITED STATES PRESIDENTIAL PREFERENCE - Democratic Party - Vote for One

Precinct	MICHAEL BENNET	MICHAEL R. BLOOMBERG	MOSIE BOYD	CORY BOOKER	PETE BUTTIGIEG	JOSEPH R. BIDEN	TULSI GABBARD	MARK STEWART GREENSTEIN	Cast Votes	Undervotes	Overvotes	Rejected write-in votes	Unresolved write-in votes	BY MAIL VOTING Ballots Cast
Sierra Brooks No. 1	0	1	0	0	4	5	2	0	21	1	0	0	0	22
Sierra Brooks No. 2	0	4	0	0	2	6	0	0	32	0	0	0	0	32
Sierra City No. 4	0	2	0	0	0	0	0	0	2	0	0	0	0	2
Totals	0	59	0	0	47	101	10	0	485	11	0	13	0	509

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COUNTY OF SIERRA, CALIFORNIA

PRESIDENTIAL PRIMARY ELECTION

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Official Results

Registered Voters

1389 of 2127 = 65.30%

Precincts Reporting

23 of 23 = 100.00%

PRESIDENT OF THE UNITED STATES PRESIDENTIAL PREFERENCE - Democratic Party - Vote for One

Precinct	Total Ballots Cast	Registered Voters	Turnout Percentage
Sierra Brooks No. 1	22	28	78.57%
Sierra Brooks No. 2	32	38	84.21%
Sierra City No. 4	2	3	66.67%
Totals	509	616	82.63%

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COUNTY OF SIERRA, CALIFORNIA

PRESIDENTIAL PRIMARY ELECTION

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Official Results

Registered Voters

1389 of 2127 = 65.30%

Precincts Reporting

23 of 23 = 100.00%

PRESIDENT OF THE UNITED STATES PRESIDENTIAL PREFERENCE - Republican Party - Vote for One

Precinct	ROBERT ARDINI	JOE WALSH	BILL WELD	ROQUE "ROCKY" DE LA FUENTE	MATTHEW JOHN MATERN	ZOLTAN G. ISTVAN	DONALD J. TRUMP	Cast Votes	Undervotes	Overvotes	Rejected write-in votes	Unresolved write-in votes	BY MAIL VOTING Ballots Cast	Total Ballots Cast
Downieville No. 1	0	1	0	0	0	0	46	47	0	0	1	0	48	48
Downieville No. 2	0	0	0	0	0	0	14	14	2	0	0	0	16	16
Goodyears Bar	0	0	1	1	0	0	19	21	0	0	0	0	21	21
Alleghany	0	0	0	0	0	0	9	9	1	0	0	0	10	10
Pike	0	0	0	0	0	0	30	30	1	0	0	0	31	31
Sierra City No. 1	0	1	0	0	0	0	38	39	1	0	1	0	41	41
Sierra City No. 2	0	1	2	0	0	0	33	36	1	0	0	0	37	37
Sierra City No. 3	0	0	0	0	0	0	2	2	0	0	0	0	2	2
Verdi	0	1	0	0	0	0	15	16	0	0	1	0	17	17
Long Valley	0	0	0	0	0	0	2	2	0	0	0	0	2	2
Loyalton 2 Outside	0	1	0	0	0	0	17	18	1	0	1	0	20	20
Calpine	0	2	0	0	1	0	49	52	1	0	0	0	53	53
Sattley	0	1	0	0	0	0	16	17	0	0	0	0	17	17
Sierraville	0	0	1	0	0	0	40	41	0	0	2	0	43	43
Loyalton 3 Outside	0	0	0	0	0	0	27	27	1	0	0	0	28	28
Loyalton 4 East	0	0	1	0	0	0	54	55	0	0	1	0	56	56
Loyalton 4 West	0	0	1	0	0	0	52	53	1	1	0	0	55	55
Loyalton 4 South	0	1	0	0	0	0	21	22	1	0	1	0	24	24
Loyalton 5 West	0	0	0	0	0	0	2	2	0	0	0	0	2	2
Loyalton 5 Outside	0	0	0	0	0	0	1	1	0	0	0	0	1	1

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COUNTY OF SIERRA, CALIFORNIA

PRESIDENTIAL PRIMARY ELECTION

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Official Results

Registered Voters

1389 of 2127 = 65.30%

Precincts Reporting

23 of 23 = 100.00%

PRESIDENT OF THE UNITED STATES PRESIDENTIAL PREFERENCE - Republican Party - Vote for One

Precinct	Registered Voters	Turnout Percentage
Downieville No. 1	81	59.26%
Downieville No. 2	19	84.21%
Goodyears Bar	26	80.77%
Alleghany	15	66.67%
Pike	39	79.49%
Sierra City No. 1	50	82.00%
Sierra City No. 2	47	78.72%
Sierra City No. 3	4	50.00%
Verdi	28	60.71%
Long Valley	2	100.00%
Loyalton 2 Outside	35	57.14%
Calpine	83	63.86%
Sattley	20	85.00%
Sierraville	58	74.14%
Loyalton 3 Outside	40	70.00%
Loyalton 4 East	75	74.67%
Loyalton 4 West	67	82.09%
Loyalton 4 South	33	72.73%
Loyalton 5 West	2	100.00%
Loyalton 5 Outside	1	100.00%

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COUNTY OF SIERRA, CALIFORNIA

PRESIDENTIAL PRIMARY ELECTION

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Official Results

Registered Voters

1389 of 2127 = 65.30%

Precincts Reporting

23 of 23 = 100.00%

PRESIDENT OF THE UNITED STATES PRESIDENTIAL PREFERENCE - Republican Party - Vote for One

Precinct	ROBERT ARDINI	JOE WALSH	BILL WELD	ROQUE "ROCKY" DE LA FUENTE	MATTHEW JOHN MATERN	ZOLTAN G. ISTVAN	DONALD J. TRUMP	Cast Votes	Undervotes	Overvotes	Rejected write-in votes	Unresolved write-in votes	BY MAIL VOTING Ballots Cast	Total Ballots Cast
Sierra Brooks No. 1	0	1	0	0	0	0	55	56	2	0	0	0	58	58
Sierra Brooks No. 2	0	0	0	0	0	0	64	64	2	0	1	0	67	67
Sierra City No. 4	0	0	0	0	0	0	1	1	0	0	0	0	1	1
Totals	0	10	6	1	1	0	607	625	15	1	9	0	650	650

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COUNTY OF SIERRA, CALIFORNIA

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Official Results

Registered Voters

1389 of 2127 = 65.30%

Precincts Reporting

23 of 23 = 100.00%

PRESIDENT OF THE UNITED STATES PRESIDENTIAL PREFERENCE - Republican Party - Vote for One

Precinct	Registered Voters	Turnout Percentage
Sierra Brooks No. 1	73	79.45%
Sierra Brooks No. 2	93	72.04%
Sierra City No. 4	2	50.00%
Totals	893	72.79%

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COUNTY OF SIERRA, CALIFORNIA

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Official Results

Registered Voters

1389 of 2127 = 65.30%

Precincts Reporting

23 of 23 = 100.00%

PRESIDENT OF THE UNITED STATES PRESIDENTIAL PREFERENCE - American Independent Party - Vote for One

Precinct	PHIL COLLINS	ROQUE "ROCKY" DE LA FUENTE	CHARLES KRAUT	J.R. MYERS	DON BLANKENSHIP	Cast Votes	Undervotes	Overvotes	Rejected write-in votes	Unresolved write-in votes	BY MAIL VOTING Ballots Cast	Total Ballots Cast	Registered Voters	Turnout Percentage
Downieville No. 1	3	0	0	0	0	3	1	0	4	0	8	8	11	72.73%
Downieville No. 2	0	0	0	0	0	0	0	0	0	0	0	0	0	0.00%
Goodyears Bar	0	0	0	0	0	0	1	0	3	0	4	4	7	57.14%
Alleghany	0	0	0	0	0	0	1	0	4	0	5	5	9	55.56%
Pike	0	0	0	0	1	1	0	0	0	0	1	1	3	33.33%
Sierra City No. 1	0	0	0	0	0	0	0	0	0	0	0	0	4	0.00%
Sierra City No. 2	0	0	0	0	0	0	2	0	1	0	3	3	4	75.00%
Sierra City No. 3	0	0	0	0	0	0	0	0	0	0	0	0	0	0.00%
Verdi	0	0	0	0	0	0	0	0	0	0	0	0	1	0.00%
Long Valley	0	0	0	0	0	0	0	0	0	0	0	0	0	0.00%
Loyalton 2 Outside	1	0	0	0	0	1	2	0	2	0	5	5	11	45.45%
Calpine	1	0	1	0	0	2	0	0	4	0	6	6	9	66.67%
Sattley	1	0	0	0	0	1	2	0	2	0	5	5	8	62.50%
Sierraville	0	0	0	0	0	0	3	0	2	0	5	5	9	55.56%
Loyalton 3 Outside	0	0	0	0	0	0	1	0	0	0	1	1	2	50.00%
Loyalton 4 East	1	0	0	0	0	1	3	0	2	0	6	6	12	50.00%
Loyalton 4 West	2	0	0	0	0	2	2	0	4	0	8	8	12	66.67%
Loyalton 4 South	0	0	0	1	0	1	0	0	1	0	2	2	7	28.57%
Loyalton 5 West	0	0	0	0	0	0	1	0	0	0	1	1	2	50.00%
Loyalton 5 Outside	0	0	0	0	0	0	0	0	1	0	1	1	1	100.00%

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COUNTY OF SIERRA, CALIFORNIA

PRESIDENTIAL PRIMARY ELECTION

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Official Results

Registered Voters

1389 of 2127 = 65.30%

Precincts Reporting

23 of 23 = 100.00%

PRESIDENT OF THE UNITED STATES PRESIDENTIAL PREFERENCE - American Independent Party - Vote for One

Precinct	PHIL COLLINS	ROQUE "ROCKY" DE LA FUENTE	CHARLES KRAUT	J.R. MYERS	DON BLANKENSHIP	Cast Votes	Undervotes	Overvotes	Rejected write-in votes	Unresolved write-in votes	BY MAIL VOTING Ballots Cast	Total Ballots Cast	Registered Voters	Turnout Percentage
Sierra Brooks No. 1	0	0	0	0	0	0	2	0	3	0	5	5	11	45.45%
Sierra Brooks No. 2	0	0	0	0	0	0	0	0	6	0	6	6	10	60.00%
Sierra City No. 4	0	0	0	0	0	0	0	0	0	0	0	0	0	0.00%
Totals	9	0	1	1	1	12	21	0	39	0	72	72	133	54.14%

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COUNTY OF SIERRA, CALIFORNIA

PRESIDENTIAL PRIMARY ELECTION

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Official Results

Registered Voters

1389 of 2127 = 65.30%

Precincts Reporting

23 of 23 = 100.00%

PRESIDENT OF THE UNITED STATES Presidential Candidate Preference - Green Party - Vote for a Candidate

Precinct	DAVID ROLDE	HOWIE HAWKINS	DARIO HUNTER	DENNIS LAMBERT	SEDINAM MOYOWASIFZA-CURRY	Cast Votes	Undervotes	Overvotes	Rejected write-in votes	Unresolved write-in votes	BY MAIL VOTING Ballots Cast	Total Ballots Cast	Registered Voters	Turnout Percentage
Sierra Brooks No. 1	0	0	0	0	0	0	1	0	1	0	2	2	2	100.00%
Sierra Brooks No. 2	0	0	1	0	0	1	0	0	0	0	1	1	2	50.00%
Sierra City No. 4	0	0	0	0	0	0	0	0	0	0	0	0	0	0.00%
Totals	0	1	1	1	0	3	3	0	4	0	10	10	17	58.82%

Canvass Results Report

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COUNTY OF SIERRA, CALIFORNIA

PRESIDENTIAL PRIMARY ELECTION

3/3/2020

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Official Results

Registered Voters
1389 of 2127 = 65.30%

Precincts Reporting
23 of 23 = 100.00%

PRESIDENT OF THE UNITED STATES PRESIDENTIAL PREFERENCE - Libertarian Party - Vote for One

Precinct	KEN ARMSTRONG	MAX ABRAMSON	SAM ROBB	KIM RUFF	STEVEN A RICHEY	JACOB HORNERBERGER	SOURAYA FAAS	KEENAN WALLACE DUNHAM	ADAM KOKESH	VERMIN SUPREME	JO JORGENSEN	DAN BEHRMAN
Sierra Brooks No. 1	0	0	0	0	0	0	0	0	0	0	0	0
Sierra Brooks No. 2	0	0	0	0	0	0	0	0	0	0	0	0
Sierra City No. 4	0	0	0	0	0	0	0	0	0	0	0	0
Totals	2	0	1	0	0	0	0	0	0	2	0	1

Canvass Results Report

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COUNTY OF SIERRA, CALIFORNIA

PRESIDENTIAL PRIMARY ELECTION

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Official Results

Registered Voters

1389 of 2127 = 65.30%

Precincts Reporting

23 of 23 = 100.00%

PRESIDENT OF THE UNITED STATES PRESIDENTIAL PREFERENCE - Libertarian Party - Vote for One

Precinct	ERIK CHASE GERHARDT	Cast Votes	Undervotes	Overvotes	Rejected write-in votes	Unresolved write-in votes	BY MAIL VOTING Ballots Cast	Total Ballots Cast	Registered Voters	Turnout Percentage
Sierra Brooks No. 1	0	0	2	0	0	0	2	2	2	100.00%
Sierra Brooks No. 2	0	0	0	0	1	0	1	1	1	100.00%
Sierra City No. 4	0	0	0	0	0	0	0	0	0	0.00%
Totals	0	6	8	0	4	0	18	18	31	58.06%

Canvass Results Report

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COUNTY OF SIERRA, CALIFORNIA

PRESIDENTIAL PRIMARY ELECTION

3/3/2020

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Official Results

Registered Voters
1389 of 2127 = 65.30%

Precincts Reporting
23 of 23 = 100.00%

PRESIDENT OF THE UNITED STATES PRESIDENTIAL PREFERENCE - Peace and Freedom Party - Vote for One

Precinct	HOWIE HAWKINS	GLORIA LA RIVA	Cast Votes	Undervotes	Overvotes	Rejected write-in votes	Unresolved write-in votes	BY MAIL VOTING Ballots Cast	Total Ballots Cast	Registered Voters	Turnout Percentage
Sierra Brooks No. 1	0	0	0	0	0	0	0	0	0	0	0.00%
Sierra Brooks No. 2	0	0	0	0	0	0	0	0	0	0	0.00%
Sierra City No. 4	0	0	0	0	0	0	0	0	0	0	0.00%
Totals	0	0	0	0	0	1	0	1	1	3	33.33%

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COUNTY OF SIERRA, CALIFORNIA

PRESIDENTIAL PRIMARY ELECTION

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Official Results

Registered Voters

1389 of 2127 = 65.30%

Precincts Reporting

23 of 23 = 100.00%

UNITED STATES REPRESENTATIVE DISTRICT 1 - No Party Preference - Vote for One

Precinct	GREGORY EDWARD CHEADLE	DOUG LAMALFA	JOSEPH LETOURNEAU IV	ROB LYDON	AUDREY DENNEY	Cast Votes	Undervotes	Overvotes	Rejected write-in votes	Unresolved write-in votes	BY MAIL VOTING Ballots Cast	Total Ballots Cast	Registered Voters	Turnout Percentage
Downieville No. 1	3	58	4	3	30	98	2	0	0	0	100	100	163	61.35%
Downieville No. 2	0	19	0	1	24	44	0	0	0	0	44	44	64	68.75%
Goodyears Bar	0	23	0	1	17	41	2	0	0	0	43	43	70	61.43%
Alleghany	0	13	1	1	12	27	0	0	1	0	28	28	58	48.28%
Pike	0	37	1	1	36	75	0	0	0	0	75	75	131	57.25%
Sierra City No. 1	0	42	0	4	30	76	0	0	1	0	77	77	103	74.76%
Sierra City No. 2	2	42	1	5	36	86	2	0	0	0	88	88	115	76.52%
Sierra City No. 3	0	2	0	0	5	7	0	0	0	0	7	7	11	63.64%
Verdi	0	20	0	10	30	60	2	0	0	0	62	62	88	70.45%
Long Valley	0	2	0	0	0	2	0	0	0	0	2	2	2	100.00%
Loyalton 2 Outside	0	27	0	4	13	44	1	0	0	0	45	45	80	56.25%
Calpine	3	77	0	5	50	135	0	0	0	0	135	135	198	68.18%
Sattley	1	20	0	2	13	36	0	0	0	0	36	36	46	78.26%
Sierraville	0	55	0	3	41	99	2	0	0	0	101	101	149	67.79%
Loyalton 3 Outside	0	35	0	0	12	47	1	0	0	0	48	48	75	64.00%
Loyalton 4 East	0	66	0	6	23	95	3	0	0	0	98	98	152	64.47%
Loyalton 4 West	2	77	2	4	33	118	1	0	0	0	119	119	183	65.03%
Loyalton 4 South	0	33	1	1	18	53	1	0	0	0	54	54	88	61.36%
Loyalton 5 West	0	4	0	0	0	4	0	0	0	0	4	4	9	44.44%
Loyalton 5 Outside	0	2	0	0	0	2	0	0	0	0	2	2	5	40.00%

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Official Results

Registered Voters

1389 of 2127 = 65.30%

Precincts Reporting

23 of 23 = 100.00%

UNITED STATES REPRESENTATIVE DISTRICT 1 - No Party Preference - Vote for One

Precinct	GREGORY EDWARD CHEADLE	DOUG LAMALFA	JOSEPH LETOURNEAU IV	ROB LYDON	AUDREY DENNEY	Cast Votes	Undervotes	Overvotes	Rejected write-in votes	Unresolved write-in votes	BY MAIL VOTING Ballots Cast	Total Ballots Cast	Registered Voters	Turnout Percentage
Sierra Brooks No. 1	1	66	2	3	19	91	4	0	2	0	97	97	149	65.10%
Sierra Brooks No. 2	2	83	0	2	32	119	2	0	0	0	121	121	182	66.48%
Sierra City No. 4	0	1	0	0	2	3	0	0	0	0	3	3	6	50.00%
Totals	14	804	12	56	476	1,362	23	0	4	0	1,389	1,389	2,127	65.30%

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Official Results

Registered Voters

1389 of 2127 = 65.30%

Precincts Reporting

23 of 23 = 100.00%

STATE SENATOR DISTRICT 1 - No Party Preference - Vote for One

Precinct	LINDA KELLEHER	BRIAN DAHLE	PAMELA DAWN SWARTZ	Cast Votes	Undervotes	Overvotes	Rejected write-in votes	Unresolved write-in votes	BY MAIL VOTING Ballots Cast	Total Ballots Cast	Registered Voters	Turnout Percentage
Downieville No. 1	7	66	24	97	3	0	0	0	100	100	163	61.35%
Downieville No. 2	2	20	21	43	1	0	0	0	44	44	64	68.75%
Goodyears Bar	0	24	18	42	1	0	0	0	43	43	70	61.43%
Alleghany	6	15	7	28	0	0	0	0	28	28	58	48.28%
Pike	5	39	31	75	0	0	0	0	75	75	131	57.25%
Sierra City No. 1	4	47	25	76	0	0	1	0	77	77	103	74.76%
Sierra City No. 2	8	51	28	87	1	0	0	0	88	88	115	76.52%
Sierra City No. 3	0	2	5	7	0	0	0	0	7	7	11	63.64%
Verdi	5	23	31	59	2	0	1	0	62	62	88	70.45%
Long Valley	0	2	0	2	0	0	0	0	2	2	2	100.00%
Loyalton 2 Outside	5	28	11	44	1	0	0	0	45	45	80	56.25%
Calpine	10	82	42	134	1	0	0	0	135	135	198	68.18%
Sattley	5	20	10	35	1	0	0	0	36	36	46	78.26%
Sierraville	2	61	37	100	1	0	0	0	101	101	149	67.79%
Loyalton 3 Outside	4	32	11	47	1	0	0	0	48	48	75	64.00%
Loyalton 4 East	5	63	22	90	6	1	1	0	98	98	152	64.47%
Loyalton 4 West	7	77	32	116	3	0	0	0	119	119	183	65.03%
Loyalton 4 South	4	32	17	53	1	0	0	0	54	54	88	61.36%
Loyalton 5 West	0	3	1	4	0	0	0	0	4	4	9	44.44%
Loyalton 5 Outside	0	2	0	2	0	0	0	0	2	2	5	40.00%

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Official Results

Registered Voters

1389 of 2127 = 65.30%

Precincts Reporting

23 of 23 = 100.00%

STATE SENATOR DISTRICT 1 - No Party Preference - Vote for One

Precinct	LINDA KELLEHER	BRIAN DAHLE	PAMELA DAWN SWARTZ	Cast Votes	Undervotes	Overvotes	Rejected write-in votes	Unresolved write-in votes	BY MAIL VOTING Ballots Cast	Total Ballots Cast	Registered Voters	Turnout Percentage
Sierra Brooks No. 1	5	67	20	92	4	0	1	0	97	97	149	65.10%
Sierra Brooks No. 2	9	86	24	119	2	0	0	0	121	121	182	66.48%
Sierra City No. 4	0	1	2	3	0	0	0	0	3	3	6	50.00%
Totals	93	843	419	1,355	29	1	4	0	1,389	1,389	2,127	65.30%

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Official Results

Registered Voters

1389 of 2127 = 65.30%

Precincts Reporting

23 of 23 = 100.00%

MEMBER OF THE STATE ASSEMBLY DISTRICT 1 - No Party Preference - Vote for One

Precinct	ELIZABETH L BETANCOURT	PK "PAUL" DHANUKA	MEGAN DAHLE	Cast Votes	Undervotes	Overvotes	Rejected write-in votes	Unresolved write-in votes	BY MAIL VOTING Ballots Cast	Total Ballots Cast	Registered Voters	Turnout Percentage
Downieville No. 1	26	6	63	95	5	0	0	0	100	100	163	61.35%
Downieville No. 2	22	3	18	43	1	0	0	0	44	44	64	68.75%
Goodyears Bar	18	1	23	42	1	0	0	0	43	43	70	61.43%
Alleghany	11	2	14	27	1	0	0	0	28	28	58	48.28%
Pike	34	4	35	73	2	0	0	0	75	75	131	57.25%
Sierra City No. 1	32	2	42	76	1	0	0	0	77	77	103	74.76%
Sierra City No. 2	31	10	46	87	1	0	0	0	88	88	115	76.52%
Sierra City No. 3	4	1	2	7	0	0	0	0	7	7	11	63.64%
Verdi	40	1	18	59	3	0	0	0	62	62	88	70.45%
Long Valley	0	0	2	2	0	0	0	0	2	2	2	100.00%
Loyalton 2 Outside	18	9	17	44	1	0	0	0	45	45	80	56.25%
Calpine	52	15	68	135	0	0	0	0	135	135	198	68.18%
Sattley	15	4	16	35	1	0	0	0	36	36	46	78.26%
Sierraville	44	5	50	99	2	0	0	0	101	101	149	67.79%
Loyalton 3 Outside	13	8	24	45	3	0	0	0	48	48	75	64.00%
Loyalton 4 East	29	21	44	94	3	0	1	0	98	98	152	64.47%
Loyalton 4 West	33	22	61	116	3	0	0	0	119	119	183	65.03%
Loyalton 4 South	17	13	23	53	1	0	0	0	54	54	88	61.36%
Loyalton 5 West	1	0	3	4	0	0	0	0	4	4	9	44.44%
Loyalton 5 Outside	0	0	2	2	0	0	0	0	2	2	5	40.00%

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Official Results

Registered Voters

1389 of 2127 = 65.30%

Precincts Reporting

23 of 23 = 100.00%

MEMBER OF THE STATE ASSEMBLY DISTRICT 1 - No Party Preference - Vote for One

Precinct	ELIZABETH L BETANCOURT	PK "PAUL" DHANUKA	MEGAN DAHLE	Cast Votes	Undervotes	Overvotes	Rejected write-in votes	Unresolved write-in votes	BY MAIL VOTING Ballots Cast	Total Ballots Cast	Registered Voters	Turnout Percentage
Sierra Brooks No. 1	19	23	51	93	3	0	1	0	97	97	149	65.10%
Sierra Brooks No. 2	29	34	58	121	0	0	0	0	121	121	182	66.48%
Sierra City No. 4	2	0	1	3	0	0	0	0	3	3	6	50.00%
Totals	490	184	681	1,355	32	0	2	0	1,389	1,389	2,127	65.30%

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Official Results

Registered Voters
1389 of 2127 = 65.30%

Precincts Reporting
23 of 23 = 100.00%

COUNTY SUPERVISOR DISTRICT 1 - No Party Preference - Vote for One

Precinct	LEE ADAMS III	Cast Votes	Undervotes	Overvotes	Rejected write-in votes	Unresolved write-in votes	BY MAIL VOTING Ballots Cast	Total Ballots Cast	Registered Voters	Turnout Percentage
Downieville No. 1	70	70	19	0	11	0	100	100	163	61.35%
Downieville No. 2	35	35	7	0	2	0	44	44	64	68.75%
Goodyears Bar	28	28	8	0	7	0	43	43	70	61.43%
Alleghany	19	19	6	0	3	0	28	28	58	48.28%
Pike	42	42	24	0	9	0	75	75	131	57.25%
Totals	194	194	64	0	32	0	290	290	486	59.67%

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Official Results

Registered Voters
1389 of 2127 = 65.30%

Precincts Reporting
23 of 23 = 100.00%

COUNTY SUPERVISOR DISTRICT 3 - No Party Preference - Vote for One

Precinct	PAUL I. ROEN	Cast Votes	Undervotes	Overvotes	Rejected write-in votes	Unresolved write-in votes	BY MAIL VOTING Ballots Cast	Total Ballots Cast	Registered Voters	Turnout Percentage
Calpine	97	97	31	0	7	0	135	135	198	68.18%
Sattley	30	30	4	0	2	0	36	36	46	78.26%
Sierraville	76	76	18	0	7	0	101	101	149	67.79%
Loyalton 3 Outside	33	33	15	0	0	0	48	48	75	64.00%
Totals	236	236	68	0	16	0	320	320	468	68.38%

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Official Results

Registered Voters
1389 of 2127 = 65.30%

Precincts Reporting
23 of 23 = 100.00%

COUNTY SUPERVISOR DISTRICT 4 - No Party Preference - Vote for One

Precinct	TERRY LEBLANC	JAMES E. BEARD	Cast Votes	Undervotes	Overvotes	Rejected write-in votes	Unresolved write-in votes	BY MAIL VOTING Ballots Cast	Total Ballots Cast	Registered Voters	Turnout Percentage
Loyalton 4 East	38	56	94	3	0	1	0	98	98	152	64.47%
Loyalton 4 West	76	37	113	6	0	0	0	119	119	183	65.03%
Loyalton 4 South	28	23	51	2	0	1	0	54	54	88	61.36%
Totals	142	116	258	11	0	2	0	271	271	423	64.07%

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Official Results

Registered Voters

1389 of 2127 = 65.30%

Precincts Reporting

23 of 23 = 100.00%

STATE - No Party Preference

Precinct	YES	NO	Cast Votes	Undervotes	Overvotes	Rejected write-in votes	Unresolved write-in votes	BY MAIL VOTING Ballots Cast	Total Ballots Cast	Registered Voters	Turnout Percentage
Downieville No. 1	35	60	95	5	0	0	0	100	100	163	61.35%
Downieville No. 2	17	26	43	1	0	0	0	44	44	64	68.75%
Goodyears Bar	15	28	43	0	0	0	0	43	43	70	61.43%
Alleghany	12	16	28	0	0	0	0	28	28	58	48.28%
Pike	19	49	68	7	0	0	0	75	75	131	57.25%
Sierra City No. 1	21	53	74	3	0	0	0	77	77	103	74.76%
Sierra City No. 2	33	53	86	2	0	0	0	88	88	115	76.52%
Sierra City No. 3	5	2	7	0	0	0	0	7	7	11	63.64%
Verdi	24	33	57	5	0	0	0	62	62	88	70.45%
Long Valley	0	0	0	2	0	0	0	2	2	2	100.00%
Loyalton 2 Outside	18	25	43	2	0	0	0	45	45	80	56.25%
Calpine	28	102	130	5	0	0	0	135	135	198	68.18%
Sattley	6	30	36	0	0	0	0	36	36	46	78.26%
Sierraville	32	65	97	4	0	0	0	101	101	149	67.79%
Loyalton 3 Outside	8	38	46	2	0	0	0	48	48	75	64.00%
Loyalton 4 East	27	69	96	2	0	0	0	98	98	152	64.47%
Loyalton 4 West	32	78	110	9	0	0	0	119	119	183	65.03%
Loyalton 4 South	19	31	50	4	0	0	0	54	54	88	61.36%
Loyalton 5 West	1	3	4	0	0	0	0	4	4	9	44.44%
Loyalton 5 Outside	0	2	2	0	0	0	0	2	2	5	40.00%

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Official Results

Registered Voters
1389 of 2127 = 65.30%

Precincts Reporting
23 of 23 = 100.00%

STATE - No Party Preference

Precinct	YES	NO	Cast Votes	Undervotes	Overvotes	Rejected write-in votes	Unresolved write-in votes	BY MAIL VOTING Ballots Cast	Total Ballots Cast	Registered Voters	Turnout Percentage
Sierra Brooks No. 1	20	77	97	0	0	0	0	97	97	149	65.10%
Sierra Brooks No. 2	26	94	120	1	0	0	0	121	121	182	66.48%
Sierra City No. 4	2	1	3	0	0	0	0	3	3	6	50.00%
Totals	400	935	1,335	54	0	0	0	1,389	1,389	2,127	65.30%

Canvass Results Report

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Official Results

Registered Voters

1389 of 2127 = 65.30%

Precincts Reporting

23 of 23 = 100.00%

*** End of report ***

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: April 7, 2020	TYPE OF AGENDA ITEM: <input type="checkbox"/> Regular <input type="checkbox"/> Timed <input checked="" type="checkbox"/> Consent
---------------------------------------	---

DEPARTMENT: Clerk-Recorder/Elections
APPROVING PARTY: Heather Foster
PHONE NUMBER: 530-289-3295

AGENDA ITEM: Resolution approving appointments in lieu of election to the Sierra County Democratic Central Committee.

SUPPORTIVE DOCUMENTS ATTACHED: Memo Resolution Agreement Other

BACKGROUND INFORMATION: Pursuant to Elections Code Section 7228, when the number of candidates nominated for election to a central committee does not exceed the number of candidates to be elected, the Board of Supervisors shall declare elected the candidates who have been nominated.

FUNDING SOURCE:
GENERAL FUND IMPACT: No General Fund Impact
OTHER FUND:
AMOUNT: \$ N/A

ARE ADDITIONAL PERSONNEL REQUIRED?

 Yes, -- --
 No

IS THIS ITEM ALLOCATED IN THE BUDGET? Yes No

IS A BUDGET TRANSFER REQUIRED? Yes No

SPACE BELOW FOR CLERK'S USE

<p>BOARD ACTION:</p> <input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken	<input type="checkbox"/> Set public hearing For: _____ <input type="checkbox"/> Direction to: _____ <input type="checkbox"/> Referred to: _____ <input type="checkbox"/> Continued to: _____ <input type="checkbox"/> Authorization given to: _____	Resolution 2020- _____ Agreement 2020- _____ Ordinance _____ Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus
---	---	---

COMMENTS:

CLERK TO THE BOARD _____ DATE _____

BOARD OF SUPERVISORS, COUNTY OF SIERRA, STATE OF CALIFORNIA

**RESOLUTION APPROVING APPOINTMENTS IN LIEU OF
ELECTION TO THE SIERRA COUNTY DEMOCRATIC
CENTRAL COMMITTEE**

RESOLUTION 2020-_____

WHEREAS, The County Clerk in her capacity as the Registrar of Voters has certified, per Elections Code Section 7228, that the number of candidates nominated for election to a committee from an Assembly or supervisorial district does exceed the number of candidates to be elected from an Assembly or supervisorial district. In lieu of election, the board of supervisors shall declare elected the candidates who have been nominated, and those candidates shall be entitled to receive certificates of election in the same manner as other candidates elected to a committee; and,

WHEREAS, the County Clerk as Registrar of Voters requests the Sierra County Board of Supervisors to declare elected those persons nominated as candidates for their district.

NOW, THEREFORE, BE IT RESOLVED that the Board of Supervisors does hereby declare as elected the following persons to the Sierra County Democratic Central Committee:

1. Cynthia A. Ellsmore
2. Paul Bascom Guffin
3. Linda C. Guffin

ADOPTED by the Board of Supervisors of the County of Sierra on the 7th day of April, 2020, by the following vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

COUNTY OF SIERRA:

JAMES BEARD
CHAIRMAN OF THE BOARD

ATTEST:

APPROVED AS TO FORM:

HEATHER FOSTER
CLERK OF THE BOARD

DAVID PRENTICE
COUNTY COUNSEL



**STATE OF CALIFORNIA, COUNTY OF SIERRA
BOARD OF SUPERVISORS
MINUTES
REGULAR MEETING**

Lee Adams, Vice-Chair, District 1

P.O. Box 1 - Downieville, CA 95936 - 530-289-3506 - supervisor1@sierracounty.ca.gov

Peter W. Huebner, District 2

P.O. Box 349 - Sierra City, CA 96125 - 530-565-6055 - phuebner@sierracounty.ca.gov

Paul Roen, District 3

P.O. Box 43 - Calpine, CA 96124 - 530-565-6048 - supervisor3@sierracounty.ca.gov

Jim Beard, Chair, District 4

P.O. Box 1140 - Loyalton, CA 96118 - 530-565-6092 - jbeard@sierracounty.ca.gov

Sharon Dryden, District 5

P.O. Box 246 - Loyalton, CA 96118 - 530-913-9218 - sdryden@sierracounty.ca.gov

The Sierra County Board of Supervisors met in regular session commencing at 9:00 a.m. on February 18, 2020 at the Loyalton Social Hall, Loyalton City Park, Loyalton, CA. This meeting was recorded for posting on the Board of Supervisors' website at www.sierracounty.ca.gov.

PLEDGE OF ALLEGIANCE: Led by Supervisor Huebner

ROLL CALL

Present: Lee Adams, Supervisor, District #1
Peter W. Huebner, Supervisor, District #2
Paul Roen, Supervisor, Chair, District #3
Jim Beard, Supervisor, Vice-Chair, District #4
Sharon Dryden, Supervisor, District #5

Staff: Heather Foster, County Clerk-Recorder
Amanda Uhrhammer, Deputy County Counsel
Van Maddox, Auditor/Treasurer Tax-Collector
Tim Beals, Director of Planning and Transportation
Lea Salas, Director of Behavioral Health
Vickie Clark, Director of Health and Social Services
Mike Fisher, Sheriff/Coroner
Ann Mendez, Court Executive Officer

February 18, 2020

APPROVAL OF CONSENT AGENDA

At the request of the Clerk, Item 12.H. was pulled from the Consent Agenda.

12.H. Minutes from the regular meeting held on January 21, 2020. (CLERK-RECORDER)

The Board moved to approve the Consent Agenda as amended.

APPROVED as amended. Motion: Adams/Huebner/Unanimous Roll Call Vote: 5/0

12. CONSENT AGENDA

12.A. Resolution rescinding Resolution 2019-048 and authorizing amended expenditures under the Fiscal Year 2017 State Homeland Security Grant Program. (OES)

ADOPTED, Resolution 2020-025

12.B. Resolution rescinding Resolution 2019-046 and authorizing amended expenditures under the Fiscal Year 2018 State Homeland Security Grant Program. (OES)

ADOPTED, Resolution 2020-026

12.C. Resolution authorizing expenditures under the Fiscal Year 2019 State Homeland Security Grant Program. (OES)

ADOPTED, Resolution 2020-027

12.D. Advance approval of expenditure in the amount of \$8,600.00 to Light Foot Truck Repair, Inc. for clutch and transmission replacement, and related parts and labor for Road Department vehicle #282, a Peterbilt water truck. (PUBLIC WORKS)

ADOPTED, Resolution 2020-028

12.E. Resolution approving plans, specifications and bidding documents and authorizing solicitations of bids for the construction of the foundation for the Sierra County Wellness Center. (PUBLIC WORKS)

ADOPTED, Resolution 2020-029

12.F. Annual renewal of probation's pretrial electronic monitoring per 1203.018 PC and post sentence electronic monitoring program per 1203.016 PC. (PROBATION)

February 18, 2020

12.G. Minutes from the regular meeting held on December 17, 2019. (CLERK-RECORDER)

APPROVAL OF REGULAR AGENDA

At the request of the Director of Transportation, Regular Agenda Item 7.C. was pulled from the agenda.

The Board moved to approve the Regular Agenda as amended.

7.C. Resolution of Appreciation honoring Mr. Dennis Marsh, County Road Superintendent, and recognizing his retirement from County Service effective December 5, 2019.

APPROVED as amended. Motion: Huebner/Roen/Unanimous Roll Call Vote: 5/0

REGULAR AGENDA

2. PUBLIC COMMENT OPPORTUNITY

At 9:02 a.m. Chair Beard opened and closed the public comment opportunity with no persons addressing the Board.

3. COMMITTEE REPORTS & ANNOUNCEMENTS

Supervisor Adams reported on AB 2316 regarding dual use roads and looking to include some of Sierra County's roads in the pilot project which would provide for some economic benefit to the County. Supervisor Adams also reminded the Board that he will be in Washington D.C. for RCRC during the next Board meeting.

4. DEPARTMENT MANAGERS' REPORTS & ANNOUNCEMENTS

The Director of Health and Social Services reported on needing to amend the County's CUPA contract with Plumas County to address underground tank work at the gas stations on the west side of the County; the reasons why the County needs to do its own resource family homes; information distributed by Kathryn Hill regarding the coronavirus; and the ICW unit being recognized for 100% timeliness for processing CalFresh and Medicaid applications.

In response to Supervisor Huebner's inquiry regarding the status of the Sierra City gas station, the Director commented that the owner is moving forward to fix the tank and is waiting on her contractor.

The Director of Behavioral Health reported on Toby Ewing, Executive Director, Mental Health Services Act Oversight and Accountability Commission has postponed his trip to March 20th; the external quality review of the mental health plan with Placer County; a new health assistant in the front office; the draft plan for No Place Like Home

February 18, 2020

has been completed by Housing Tools and will be coming before the Board in the near future; and the mobile health van visit to Alleghany.

5. FOREST SERVICE UPDATE

Sierraville District Ranger Quentin Youngblood provided an update on the Antelope Fire which was ignited by a private owner doing brush burning and burned approximately 102 acres. Ranger Youngblood continued to provide an update on the status of a number of projects going on in the district.

Yuba River District Ranger Lon Henderson provided an update on the North Yuba Forest Partnership's project under the Collaborative Forest Landscape Restoration Program (CFLRP) which was selected to move forward to the Washington office; the proposed Aspin Restoration project; and the Alaska Peak timber sale.

Brief discussion ensued.

6. PERSONNEL DIRECTOR - Margaret Long

6.A. Approval of job description for the position of Chief Probation Officer.

The Court Executive Officer commented on the proposed job description and the recruitment for the Juvenile Justice Commission.

The Board moved to approve the job description for the position of Chief Probation Officer.

APPROVED. Motion: Adams/Roen/Unanimous Roll Call Vote: 5/0

Supervisor Adams expressed his support of limited use of a head hunter for the recruitment of the Chief Probation Officer position, but he is not in support of using the full \$17,000.

7. PUBLIC WORKS/TRANSPORTATION - Tim Beals

7.A. Professional Services Agreement with Flaherty Engineering Inc. for construction management services related to the storm damage repair projects on Mountain House Road and Ridge Road.

Following a brief overview of agreement by the Director of Transportation, the Board moved to approve the Professional Services Agreement with Flaherty Engineering Inc. for construction management services related to the storm damage repair projects on Mountain House Road and Ridge Road.

APPROVED, Agreement 2020-015. Motion: Dryden/Huebner/Unanimous Roll Call Vote: 5/0

February 18, 2020

- 7.B. Discussion and direction to staff regarding the issue of seasonal road closures for certain county highways due to rescue calls and also significant road damage that occurs because of the increasing volume of traffic.

The Director of Transportation provided background on the request for an ordinance giving discretion to the Director of Transportation to seasonally close roads, specifically Gold Lake Road and Henness Pass Road from Verdi to Long Valley and from Kyburz to Smithneck, in order to eliminate damage to the roads, public safety issues, and the drain on county resources.

Discussion ensued with the Board.

Public comment was received by Mr. Don Yegge in regards to Garmin updating their maps following his request.

The Director commented that both Google and MapQuest have been nonresponsive to the County's request to update their maps and remove these roads.

Following discussion and by consensus, the Board directed staff to move forward with drafting an ordinance.

8. PLANNING / BUILDING - Tim Beals

- 8.A. Agreement for Professional Services between DUDEK and the County of Sierra for additional Environmental Consulting Services for the Sierra Hot Springs Masterplan Project.

The Director of Planning provided background on the item which is an amendment to the existing agreement which increases the term of the agreement, the scope of work, and price in order to move forward with an Environmental Impact Report.

Discussion ensued with the Board regarding the project.

In response to Supervisor Dryden's inquiry, the Director clarified that the project applicant is required to fund the contract through a deposit so there is no cost to the County.

The Board moved to approve the agreement for professional services between DUDEK and the County of Sierra for additional Environmental Consulting Services for the Sierra Hot Springs Masterplan Project.

APPROVED, Agreement 2020-016. Motion: Roen/Huebner/Unanimous Roll Call Vote: 5/0

11. TIMED ITEMS

February 18, 2020

11.A. 10:00 A.M. SIERRA COUNTY SCHOOLS FOR ADULTS

Presentation by Wendy Jackson, Sierra County Schools for Adults on starting a Fire Basics Academy in Sierra County.

School Superintendent James Berardi provided background on the adult education program.

Ms. Wendy Jackson commented on Cal Fire not having the resources to support a full blown fire academy in Sierra County, however they are able to support the County with respect to providing a variety of trainings to the local fire departments in order to remain in compliance. Ms. Jackson continued to comment on the ESL (English Secondary Language) program that they have extended into Alleghany and additional career technical education opportunities.

10.D. Discussion/direction regarding the proposed contract with Plumas County for Library Services. (SUPERVISOR HUEBNER)

Supervisor Huebner commented on the proposed contract.

The Auditor commented on being in agreement with Plumas County on everything in the contract with the exception of who is going to be responsible for payroll and the employees.

In response to Supervisor Roen's inquiry, the Auditor clarified that Plumas County had been paying and overseeing the library employees up to this point.

Considerable discussion ensued regarding the County not having the resources to train and manage the library employees; having the Superintendent of Schools take on the oversight of the library employees; and adding language to the contract to have Sierra County take on the library employees but still have Plumas County manage/supervise the employees.

Following discussion and by consensus, the Board directed the Ad Hoc Committee to communicate with Plumas County's CAO and Board of Supervisors in order to come to an agreement.

11.B. 10:30 A.M. ALLIANCE FOR WORKFORCE DEVELOPMENT

Mid-year update by the Alliance for Workforce Development, Inc. on business and job seeker services in Sierra County for program year 2019/2020.

Ms. Valerie Bourque, Business Services Representative, provided an update on their activities from July 1, 2019 through December 31, 2019.

9. OFFICE OF EMERGENCY SERVICES (OES) -Tim Beals

- 9.A. Authorize issuance of Notice of Termination of the existing "Code Red" services agreement 2011-011 for emergency notifications as part of the transfer of the E-9-1-1 software upgrade to Everbridge.

The Director of OES provided background on the Sheriff's Office currently updating the E-9-1-1 system which includes a software package with Everbridge that can provide the emergency notification services at a lesser cost. The Director further suggested sending the notice of termination to Code Red and retain Everbridge to bridge the gap between now and when the new E-9-1-1 system is functional.

The Sheriff commented on the new E-9-1-1 system which includes Everbridge's emergency notification service over the 10-year contract.

Following brief discussion, the Board moved to authorize the issuance of the Notice of Termination of the existing "Code Red" services agreement 2011-011 for emergency notifications as part of the transfer of the E-9-1-1 software upgrade to Everbridge.

APPROVED. Motion: Adams/Roen/Unanimous Roll Call Vote: 5/0

10. BOARD OF SUPERVISORS

- 10.A. Discussion/approval of letter in response to Congressman Doug LaMalfa's request for input on possible legislation that would help improve availability of allotments to the livestock industry. (SUPERVISOR ROEN)

Supervisor Roen provided background on the proposed legislation (H.R. 5737) and commented on the Antelope fire which proves that grazing is a benefit and the need to have grazing expanded.

The Board moved to approve the letter in opposition to H.R. 5737 as amended to also send copies to United States Senators Feinstein and Harris.

APPROVED. Motion: Adams/Huebner/Unanimous Roll Call Vote: 5/0

- 10.B. Discussion/direction regarding letter from CAL FIRE Unit Chief Brian Estes, Nevada-Yuba-Placer Unit, in response to Sierra County's concerns regarding the analysis of State and Local Responsibility Areas (SRA/LRA) within the Sierra Valley. (SUPERVISOR ROEN)

Supervisor Roen indicated this letter is in response to the meeting they held with the Cal Fire Unit Chief at the direction of the Board. Supervisor Roen added that he will continue to hold conversations with Cal Fire regarding the County's concerns regarding the analysis of State and Local Responsibility Areas (SRA/LRA) within the Sierra Valley.

February 18, 2020

10.C. Discussion/direction regarding the Tree Removal Information State Regulations provided by CAL FIRE, Nevada-Yuba-Placer Unit. (SUPERVISOR ROEN)

Supervisor Roen commented that following the last meeting with Cal Fire, they committed to continuing this conversation in order to try to find an avenue to eliminate some of these restrictions.

Discussion ensued with the Board.

No action taken.

ADJOURN

At 11:13 a.m., with no further business, Chair Beard adjourned the meeting.

JIM BEARD, CHAIR
BOARD OF SUPERVISORS

ATTEST:

HEATHER FOSTER
CLERK OF THE BOARD

Minutes to be distributed
under separate cover
and/or at meeting.

**Sierra County
Board of Supervisors'
Agenda Transmittal &
Record of Proceedings**

MEETING DATE: April 7, 2020	TYPE OF AGENDA ITEM: <input checked="" type="checkbox"/> Regular <input type="checkbox"/> Timed <input type="checkbox"/> Consent
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DEPARTMENT: Public Works and Transportation
APPROVING PARTY: Tim H. Beals, Director
PHONE NUMBER: 530-289-3201

AGENDA ITEM: Governing Body Resolution naming Authorizing Agents for the Designation of Applicants Agents for the purpose of obtaining Federal Financial Assistance due to the COVID-19 Emergency.

SUPPORTIVE DOCUMENTS ATTACHED: Memo Resolution Agreement Other

BACKGROUND INFORMATION: A Governing Body Resolution must be in place in order to access emergency financial assistance through FEMA or California Disaster Assistance Act. The resolution is universal and is effective for all open and future sdisasters for up to 3 years. Our previous resolution, adopted in response to the 2017 storms, expired on March 21, 2020.

FUNDING SOURCE:
GENERAL FUND IMPACT: No General Fund Impact
OTHER FUND:
AMOUNT: \$ N/A

ARE ADDITIONAL PERSONNEL REQUIRED?

 Yes, -- --
 No

IS THIS ITEM ALLOCATED IN THE BUDGET? Yes No

IS A BUDGET TRANSFER REQUIRED? Yes No

SPACE BELOW FOR CLERK'S USE

<p>BOARD ACTION:</p> <input type="checkbox"/> Approved <input type="checkbox"/> Approved as amended <input type="checkbox"/> Adopted <input type="checkbox"/> Adopted as amended <input type="checkbox"/> Denied <input type="checkbox"/> Other <input type="checkbox"/> No Action Taken	<input type="checkbox"/> Set public hearing For: _____ <input type="checkbox"/> Direction to: _____ <input type="checkbox"/> Referred to: _____ <input type="checkbox"/> Continued to: _____ <input type="checkbox"/> Authorization given to: _____	Resolution 2020- _____ Agreement 2020- _____ Ordinance _____ Vote: Ayes: Noes: Abstain: Absent: <input type="checkbox"/> By Consensus
---	---	---

COMMENTS:

CLERK TO THE BOARD

DATE

**BOARD OF SUPERVISORS
COUNTY OF SIERRA
STATE OF CALIFORNIA**

**IN THE MATTER OF APPROVAL OF THE GOVERNING BODY RESOLUTION FOR
DESIGNATION OF APPLICANTS AGENTS FOR NON-STATE AGENCIES**

BE IT RESOLVED BY THE BOARD OF SUPERVISORS OF THE COUNTY OF SIERRA THAT the

Director of Emergency Services

OR

Deputy Director of Transportation

Is hereby authorized to execute for and on behalf of the Board of Supervisors a public entity established under the laws of the State of California, this application and to file it with the California Governor's Office of Emergency Services for the purpose of obtaining certain federal financial assistance under Public Law 93-288 as amended by the Robert T. Stafford Disaster Relief and Emergency Assistance Act of 1988, and/or state financial assistance under the California Disaster Assistance Act.

That the Sierra County Board of Supervisors, a public entity established under the laws of the State of California, hereby authorizes its agents to provide to the Governor's Office of Emergency Services for all matters pertaining to such state disaster assistance the assurances and agreements required.

This is a universal resolution and is effective for all open and future disasters up to three (3) years following the date of approval below.

ADOPTED by the Board of Supervisors of the County of Sierra on the 7th day of April, 2020, by the following vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

COUNTY OF SIERRA

James Beard
Chair, Board of Supervisors

ATTEST:

APPROVED AS TO FORM:

Heather Foster
Clerk of the Board

David Prentice
County Counsel

CERTIFICATION

I, Heather Foster, duly appointed and Clerk of the Board of Supervisors of the County of Sierra, do hereby certify that the above is a true and correct copy of a Resolution passed and approved by the Board of Supervisors of the County of Sierra on the 7th day of April, 2020.

Heather Foster, Clerk
Sierra County Board of Supervisors

Date

B GERALD R.
ushore
REAL ESTATE BROKER INC.

N. CALIFORNIA - BOX 65 • NORTH SAN JUAN • CALIFORNIA 95960
TELEPHONE: (530) 292-3090
S. CALIFORNIA - BOX 4 • ATWOOD • CALIFORNIA 92811
TELEPHONE: (714) 282-0246

March 18, 2020

Ms. Heather Foster - Clerk of the Board
Honorable Sierra County Board of Supervisors
100 Courthouse Square, Room 11
Downieville, CA 95936

VIA EMAIL

RE: LETTER OF INQUIRY
Sierra County owned Real Property owned
Assessor Parcel No. 003-031-004-000

TO: The Honorable Board of Supervisors

Gentlepersons:

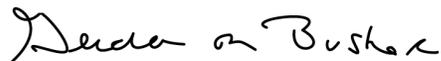
I am inquiring into the possible availability for the purchase of that certain Sierra County owned vacant land in the town of Downieville, known by the County Assessor as number 003-031-007-000.

The proposed use of the property is for construction of a single-family residence under the zoning and building codes of the County, and contingent upon inquires of normal residential type services available, or allowed, for decent and safe habitability.

The said proposed use would be in conjunction with Assessor Parcel Nos. 003-031-006 and 007.

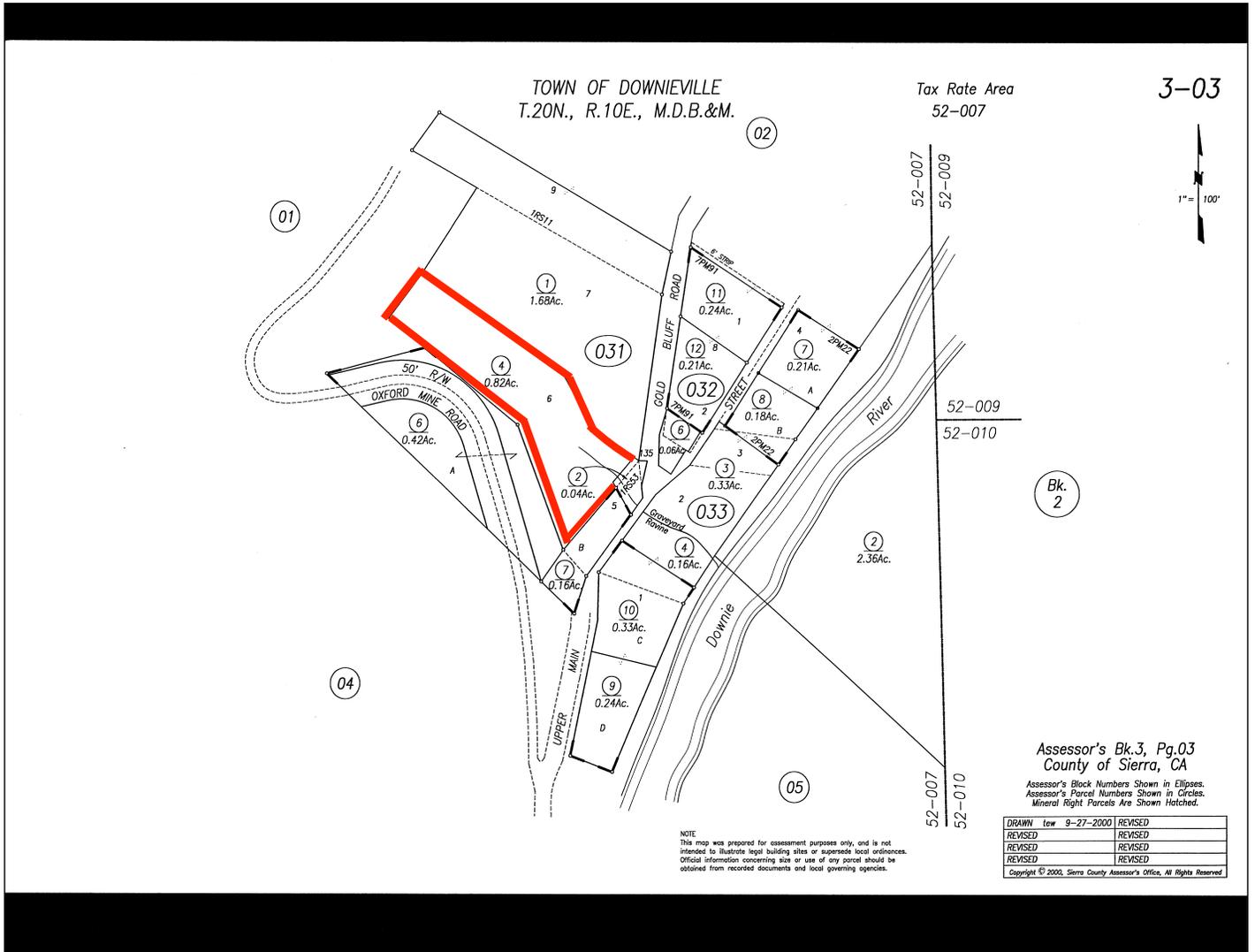
Your consideration will be greatly appreciated.

Sincerely,

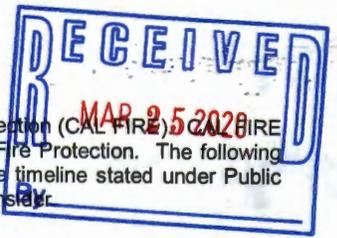


Gerald R. Bushore

Attachment - Assessor's Parcel Map



NOTICE OF INTENT TO HARVEST TIMBER



A Timber Harvesting Plan (Plan) or Amendment has been submitted to the California Department of Forestry & Fire Protection (CAL FIRE) for review. CAL FIRE will be reviewing the proposed timber operation for compliance with State law and rules of the Board of Forestry and Fire Protection. The following briefly describes the proposed timber operation and where and how to get more information. In accordance with the timeline stated under Public Resources Code Section 4582.7, you may submit written public comments on the Plan or Amendment for CAL FIRE to consider.

This notice applies to (select one): New Timber Harvesting Plan Amendment Approved Timber Harvesting Plan

Applicant Information (Timberland Owner(s), Registered Professional Forester who prepared the plan and Plan Submitter should match those listed in the plan or amendment.)

1. The name(s) of the Timberland Owner(s) where timber operations are to occur: Sierra Pacific Land & Timber Co.
2. Registered Professional Forester who prepared the plan or amendment: Corrina Munger, RPF 3032
Registered Professional Forester Phone (optional): _____
3. The name of the Plan or Amendment Submitter: Sierra Pacific Industries

Project Summary (County, legal description, acres proposed to be harvested and treatments to be used should match those listed in the plan or amendment.)

4. Location of the proposed timber operation (county, legal description, approximate direction & approximate distance of the timber operation from the nearest community or well-known landmark):
The THP is located in Sierra County in portions of Sections 7, 9, and 31, T19N, R10E, MDBM. The southern portion of the THP is approximately 3 air miles west of the town of Alleghany, CA. The northern portions of the THP are approximately 1 air mile southeast and southwest of Goodyears Bar, CA.
5. The name of, and distance from, the nearest perennial stream and major watercourse flowing through or downstream from the timber operation:
Oregon Creek is approximately 1,000 feet north and 500 feet south of THP units in Section 31. Devils Canyon is approximately 1,500 feet west of the THP area in Section 7 and Rock Creek is approximately 1,000 feet north of the THP area in Section 9.
6. Acres proposed to be harvested: 218
7. The regeneration methods and intermediate treatments to be used:
Clearcutting, Sanitation Salvage, Fuelbreak / Defensible Space, Commercial Thinning, Road Right of Way

POWERLINES: 14 CCR 1032.7(d)(10) & (e) (provide name and mailing addresses of the utilities for department distribution)

8. Yes No Overhead electrical power lines within the plan boundary? (except lines from transformers to service panels)
9. Yes No Overhead powerlines within 200 feet outside the plan boundary?

Public Information: The review times allowed for CAL FIRE to review the proposed timber operation are variable in length, but limited. To ensure CAL FIRE receives your comments please read the following:

The estimated earliest possible date CAL FIRE may **APPROVE** the Plan or Amendment is: 4-3-2020
(This date is 15 calendar days from receipt of the Plan or Amendment by CAL FIRE, except in counties for which special rules have been adopted where the earliest date is 45 calendar days after receipt.)

NOTE: THE ESTIMATED EARLIEST APPROVAL DATE IS PROBABLY NOT THE ACTUAL APPROVAL DATE. Normally, a much longer period of time is available for public comment and preparation of CAL FIRE's responses to public comments. Please check with CAL FIRE, prior to the above listed date, to determine the actual date that the public comment period closes.

The public may review, or purchase a copy of, the Plan or Amendment at the CAL FIRE Review Team Office shown below. The cost to obtain a copy is 37 cents for each page, \$2.50 minimum per request. The cost to obtain a copy of this plan or amendment is: \$89.17
(to be completed by CAL FIRE upon receipt of plan).

Questions or concerns regarding this plan should be directed to the CAL FIRE Review Team Office shown below or emailed to ReddingPublicComment@fire.ca.gov for incorporation into an Official Response Document. Please include the plan number on all correspondence.

Forest Practice Program Manager
CAL FIRE
6105 Airport Road
Redding, CA 96002
(530) 224-2445

The plan may be viewed online at <https://caltreesplans.resources.ca.gov/caltrees/> A map showing the approximate location of the THP area, a map legend, and a scale is attached to help in locating where the proposed timber operation is to occur.



For CAL FIRE Use Only

MAR 20 2020

Timber Harvest Plan Number:

Date of Receipt:

8-17

2.20-00048-SVE

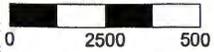
REDDING
FOREST PRACTICE

Mountain House
THP Area

Section
Boundaries



5,000 ft./in.



Mountain House THP - General Location Map

T19N R10E MDBM Sections 7, 9 & 31

Pike, Goodyears Bar, & Downieville Quad.

Landowners within 300' of Plan Boundary, as of 1/28/2020

USFS

Tahoe National Forest
631 Coyote St.
Nevada City, CA 95959

United States of America
POB 6003
Nevada City CA 95959

Maverick Gold LLC
12984 St. HWY 49
Grass Valley, CA 95949

Utility Contact List, 2017 List

Pacific Gas & Electric Co.
Attn: Mark Stewart
4636 Missouri Flat Road
Placerville, CA 95667
(530) 621-4100
M9S5@pge.com